

**Assembly of States Parties**Distr.: General
5 September 2013

Original: English

Twelfth session

The Hague, 20-28 November 2013

Election of members of the Committee on Budget and Finance**Note by the Secretariat**

1. On 12 February 2013, the Bureau of the Assembly of States Parties (“the Assembly”) decided that the election of six members of the Committee on Budget and Finance would take place at the twelfth session of the Assembly, to be held in The Hague, from 20 to 28 November 2013. Pursuant to the latter decision, the nomination period for the candidates for six seats on the Committee on Budget and Finance ran from 22 May to 13 August 2013.
2. The Committee on Budget and Finance was established by the Assembly in its resolution ICC-ASP/1/Res.4 of 3 September 2002. The terms of reference of the Committee are set out in the annex to the resolution. Paragraph 2 of the annex to that resolution was amended by the Assembly in its resolution ICC-ASP/2/Res.5 of 12 September 2003.
3. The procedure for the nomination and election of the members of the Committee was set out by the Assembly in its resolution ICC-ASP/1/Res.5. Paragraph 15 of that resolution was amended by resolution ICC-ASP/2/Res.4 of 12 September 2003. In accordance with paragraph 6 of resolution ICC-ASP/1/Res.5, each nomination shall specify how the candidate fulfils the requirements laid down in paragraph 2 of resolution ICC-ASP/1/Res.4, i.e. to be an expert of recognized standing and experience in financial matters at the international level from a State Party.
4. The distribution of seats among the regional groups for the purpose of the first election was established in paragraph 8 of resolution ICC-ASP/1/Res.5 as follows:
 - (a) Two seats for the Group of African States;
 - (b) Two seats for the Group of Asian States;
 - (c) Two seats for the Group of Eastern European States;
 - (d) Two seats for the Group of Latin American and Caribbean States; and
 - (e) Four seats for the Group of Western European and Other States.
5. The six members whose terms of office end on 20 April 2014 belong to the following regional groups:
 - (a) African States, one seat;
 - (b) Eastern European States, one seat;
 - (c) Latin American and Caribbean States, one seat; and
 - (d) Western European and Other States, three seats.

6. As required by paragraph 9 of resolution ICC-ASP/1/Res.5, every effort shall be made to elect the members of the Committee by consensus, on the basis of a recommendation by the Bureau. Under paragraph 10, in the absence of a consensus, the election shall be a matter of substance, and subject to the requirements of article 112, paragraph 7 (a), of the Rome Statute, which provides as follows:

“7. Each State Party shall have one vote. Every effort shall be made to reach decisions by consensus in the Assembly and in the Bureau. If consensus cannot be reached, except as otherwise provided in the Statute:

(a) Decisions on matters of substance must be approved by a two-thirds majority of those present and voting provided that an absolute majority of States Parties constitutes the quorum for voting.”

7. In accordance with paragraph 11 of resolution ICC-ASP/1/Res.5, the election shall be by secret ballot. However, this requirement may be dispensed with if the number of candidates corresponds to the number of seats to be filled or in respect of candidates endorsed by the respective regional groups, unless a delegation specifically requests a vote on a given election.

8. Under paragraph 12 of the resolution, the persons elected shall be those candidates from each regional group who obtained the highest number of votes and a two-thirds majority of States Parties present and voting, provided that an absolute majority of the States Parties constitutes the quorum for voting.

9. By the closing date of the nomination period, i.e. 13 August 2013, seven nominations had been received.

10. Of the seven nominations, two were submitted by the Group of African States; one by the Group of Eastern European States; one by the Group of Latin American and Caribbean States; and three by the Group of Western European and Other States.

11. In accordance with paragraph 7 of resolution ICC-ASP/1/Res.5, a list in English alphabetical order of all persons nominated, with accompanying documents, is contained in the annex to the present note.

Annex**Alphabetical list of candidates (with statements of qualifications)**

[Original: English/French/Spanish]

Contents

<i>Name and nationality*</i>	<i>Page</i>
1. Banyanka, David (Burundi).....	4
2. Fernández Opazo, Carolina María (Mexico).....	7
3. Finkelstein, Gilles (France).....	9
4. Lemmik, Juhani (Estonia).....	12
5. Saupe, Gerd (Germany).....	15
6. Traoré, Noumoutié Herbert (Burkina Faso).....	18
7. Warren, Helen Louise (United Kingdom).....	21

* Also the nominating State(s) unless otherwise indicated.

1. Banyanka, David (Burundi)

[Original: French]

Note verbale

The Permanent Mission of the Republic of Burundi to the United Nations presents its compliments to the Secretariat of the Assembly of States Parties to the Rome Statute of the International Criminal Court and referring to Note verbale No ICC-ASP/12/S/009 of 13 February 2013 has the honour to submit the Curriculum Vitae of Mr. David Banyanka, State Auditor, the candidate nominated by the Government of Burundi to the Committee on Budget and Finance of the International Criminal Court.

[...]

Statement of qualifications

Personal data

Family name:	BANYANKA
Given name:	David
Sex:	Male
Date of birth:	30/12/1967
Place of birth:	Gitaba
Municipality:	Burambi
Nationality:	Burundian
Marital status:	Married

Education

2005:	International Organization of Supreme Audit Institutions (INTSOAI) Development Initiative (IDI), Diploma in Design and Development of Training Courses.
2000 to 2001:	University of Yaoundé II: Programme in Economic Policy Management (GPE) in the Faculty of Economics and Management; Third Cycle Diploma, Diploma of Higher Specialized Studies (DESS) in Management and Economic Policy (Bac + 5).
1991 to 1993:	University of Burundi: Faculty of Economics and Administration, Bachelor's Degree in Economics (Licence Bac + 4); majoring in management.
1988 to 1991:	University of Burundi: Faculty of Economics and Administration, Diplôme des Candidatures (awarded after successful completion of first two years of a degree course) in Economics.
1987 to 1988:	University of Burundi: Faculty of Agronomy.

Work experience

June 1996 - Sept. 2006:	Inspector of Finance with responsibility for oversight of budget implementation in public administrations, oversight of the functioning of personalized administrations (administrations personnalisées), in development projects and all areas in which the State has an interest. Responsibility for verifying the lawfulness, legality and legitimacy of expenditures and receipts of these public and parapublic institutions. Also responsible for training in the Audit Inspectorate.
-------------------------	--

- September 2006 - to present: State Auditor responsible for the same portfolio with the addition of performance auditing.
- July, Oct. and Nov. 2007: Acting State Auditor General
Responsible for supervising and coordinating the work of 71 State Auditors, supervising audit missions and reading all the reports on management auditing, financial auditing, legality and lawfulness in the various public services concerned.
- Dec. 1994 to June 1996: Advisor in the Department of Urban Development and Coordination of Property and Equipment in the Ministry of Public Works.

Other areas of competence

Teaching and training activities:

- From 2005 to 2008, taught on a part-time basis at the Lumière University of Bujumbura;
- Since 1998, teaching on a part-time basis at the Martin Luther King University;
- From 1996 to 2008, taught on a part-time basis at the University of Burundi (Higher Institute of Commerce); and
- From 2006 to 2008, taught on a part-time basis at the Great Lakes University.

Internal and Performance auditing:

- In May and June 2005, participated in the development of a workshop on performance auditing, held in Cotonou, Benin.
- In August, following the workshop in Cotonou, personally developed a course on evaluation of the internal audit system; and
- In January – February 2006, participated in a workshop to evaluate a course on performance auditing, held in SOUSSE, Tunisia.

- April 2006: Co-moderator of a workshop on performance auditing for a group of 30 participants from more than 12 countries, held in Yaoundé, Cameroon.
- November 2008 : Participated in a workshop to evaluate a course on training and course implementation techniques, held in Niamey, NIGER.
- January – March 2009: Co-moderated a workshop to train trainers of the Supreme Audit Institutions of Sub-Saharan French-Speaking Africa, held in SOUSSE, Tunisia.
- 27 November -8 December 2006: Co-moderated a workshop on auditing the performance of public debt management, jointly organized by Pôle-Dette and the Regional Council of Supreme Audit Institutions of French-Speaking Africa (CREFI AF) for auditors of countries of the West and Central African French-Speaking community, held in Lomé, Togo.

Overseas courses

- May-July 2001: Attended a training course at the University of Liège, Belgium; specialized in management auditing; and
- 2004: Participated in a workshop to evaluate the competence of participants, held in Libreville, Gabon.

Publications

- August 2001: Presentation of a paper for the award of the Diploma of Higher Specialized Studies (DESS) entitled "Problems in management auditing in the public service".
- March 1994: Completion of degree thesis entitled "Training and human resources in small- and medium-sized enterprises in Bujumbura".
- 1993: Presented a paper on updating the management information system in five public institutions:
- Banque de Crédit Burundi (BCB);
 - Institut National de la Sécurité Sociale (INSS);
 - Public Service Insurance Company (La Mutuelle de la Fonction Publique)
 - Randa Farm; and
 - Military Construction Management Company.
- In March of each year from 1997 to 2000: Presented the auditors' report on the National Economic Development Bank (BNDE); and
- From 2001 to 2008: Presented auditors' reports on the Military Hospital of Kamenge, the Office of Education Projects and the Community Development Fund.
- August 2005: Taught a course on Evaluation of the Internal Audit System subsequent to the Cotonou workshop on performance auditing, for which the Organization of Supreme Audit Institutions (INTOSAI) Development Initiative (IDI) diploma was awarded upon completion of training.

Other activities

- Currently auditor of the Regional Council of the Supreme Audit Institutions of French-Speaking Sub-Saharan Africa (CREFIAF) which has its headquarters in Cameroon;
 - President of the CREFIAF Technical Working Group on Performance Auditing;
 - Founding Member of the Martin Luther King University of Bujumbura;
 - From 2008 to the present, member of the Committee on Budget and Finance of the ICC.
-

2. Fernández Opazo, Carolina María (Mexico)

[Original: Spanish]

Note verbale

The Embassy of Mexico presents its compliments to the President of the Assembly of States Parties (ASP) of the International Criminal Court (ICC), Ambassador Tiina Intelmann, and has the honor to refer to the note ICC-ASP/12/S/009 PBA-0442 of 13 February 2013, related to the resolutions and procedures for the presentation of candidates to the Committee on Finance and Budget (CBF) of the ASP for the elections held during the 12th Assembly of States Parties (November 20-28 2013).

In this regard, the Embassy of Mexico would like to transmit the interest of the Government of the Mexican United States to present as candidate for reelection to the CBF, Ms. Carolina María Fernández-Opazo. Please find herewith annex to this note the curriculum vitae of Ms. Fernández-Opazo.

Ms. Fernández-Opazo is an Officer at the Ministry of Foreign Affairs. Her current position as Director for Financial Matters, General Directorate for the Organization for the United Nations reflects a deep knowledge and expertise of procedures and functioning of numerous international organizations, in which Mexico holds a membership.

Ms. Fernández-Opazo, fully complies with the requirements established by paragraph 2 of resolution ICC-ASP/1/Res.4 (as amended) on the establishment of the CBF, being a national from a country (Mexico) in a region where underrepresentation would result if no citizen from this area is elected. In addition to the characteristics of her proficiency indicated in the previous paragraph, Ms. Fernández-Opazo fully complies with the requirement of being a renowned and prestigious financial expert, while currently a member of the CBF with the end of term on 20 April 2014.

[...]

Statement of qualifications

Mrs. Carolina María Fernández Opazo (Mexican) was born in Kingston, Jamaica, in December 1972.

She has a B.A. on International Relations by the Universidad de las Américas in Puebla, Mexico.

She also studied Administration at the Universidad de las Américas in Puebla and Economics at the Universidad Católica Boliviana, La Paz, Bolivia.

Working experience

Mrs. Fernández has held different positions in the Ministry of Foreign Affairs, all of them in the multilateral area.

- Director for Financial Issues, United Nations Division, November 2007 to date.
- Deputy Director for Administrative and Budgetary Questions, United Nations Division, October 2003 to October 2007.
- Head of Department for Administrative and Budgetary Questions, candidatures and political affairs, United Nations Division, November 2000 to September 2003.
- Advisor to the Director General for the United Nations System, January 2000-October 2000.
- Analyst in charge of candidatures and political affairs, United Nations Division, April 1999- December 1999.

Main activities

- Expert on the Committee on Budget and Finance of the International Criminal Court (April 2008 to date)
- Technical Secretary for the evaluation of Mexican memberships to international organizations. (December 2006-May 2007)
- Main negotiator of the Mexican Delegation to the scale of assessments process of the United Nations General Assembly for the period 2007-2009. (March-December 2006)
- Team leader of the Specialized Group of the Mexican Ministry of Foreign Affairs on scales of assessment of international organizations. (January 2006)
- Member of the Delegation of Mexico to the negotiation process of the UN Budget for the biennium 2006-2007, 60th session of the General Assembly. (December 2005)
- Member of the Delegation of Mexico to the negotiation process of the UN Peacekeeping Operations' Budget, 59th session of the General Assembly. (May 2005)
- Member of the Delegation of Mexico to the 59th session of the UN General Assembly. (October-December 2004)
- Officer in charge of the Mexican candidature to the UN Economic and Social Council. (October 2001-December 2002)
- Officer in charge of the Mexican candidature to the UN Security Council. (December 2000- October 2001)

Congresses at the Universidad de las Américas in Puebla

- Youth National Congress on the World Conference against Racism, Racial Discrimination, Xenophobia and related Intolerance. Organizer. June 2001.
- Regional Workshop on Judicial Cooperation in Criminal Matters related to drugs and organized crime. Organizer. June 2000.
- Youth National Congress on the Millennium Assembly. Organizer. May 2000.
- High Level Regional Meeting "Towards a More Stable and Predictable Financial International System and its Social Link". Organizer. September 1999.

Mrs. Fernández speaks fluently Spanish and English and has knowledge of Italian and French.

Mrs. Fernández is married and has two children.

3. Finkelstein, Gilles (France)

[Original: French]

Note verbale

The Embassy of France presents its compliments to the Secretariat of the Assembly of States Parties and, with reference to note ICC-ASP/12/S/009, has the honour to inform it that the Government of the French Republic has decided to put forward the candidacy of Mr. Gilles Finkelstein, currently Principal Adviser to the Aquitaine-Poitou-Charentes Regional Chamber of Accounts, for re-election as member of the Committee on Budget and Finance (CBF) of the International Criminal Court, at the elections to be held in The Hague during the twelfth session of the Assembly of States Parties, from 20 to 28 November 2013.

As this delegation indicated to the Court in 2007 and 2010, at the time of the candidate's presentation for a first and second term, the nomination of Mr. Finkelstein has been selected in accordance with section A of the resolution that sets out the procedure for the nomination and election of members of the Committee on Budget and Finance.

As indicated in his curriculum vitae, which is appended to this Note verbale, Mr. Gilles Finkelstein fulfils the requirements set out in paragraph 2 of the annex to the resolution on the establishment of the Committee on Budget and Finance.

Appointed to the Aquitaine-Poitou-Charentes Regional Chamber of Accounts in 1999, Mr. Finkelstein also served as principal customs inspector, senior adviser and rapporteur to the National Public Service Ethics Commission, deputy public prosecutor at the district courts (Tribunal de grande instance) of Poitiers and Tours, and later Principal Adviser to the Aquitaine-Poitou-Charentes Regional Chamber of Accounts. Mr. Finkelstein therefore possesses all the qualifications and experience required by the resolutions of the Assembly of States Parties in the area of finance, accounting and management and he has experience of criminal proceedings.

During a third term in office Mr. Finkelstein will, in particular, be able to draw in full on the experience he has acquired in the last five years working as a member of the Committee on Budget and Finance of the International Criminal Court and in particular as Chairperson of the Committee since April 2012.

[...]

Statement of qualifications

Personal data

Born on 3 September 1958 in Paris (14th district)
 French national, married, two children
Magistrat financier (law officer responsible for financial matters)
 Chevalier of the Legion of Honour

Professional activities

Since April 2012	Chairperson of the Committee on Budget and Finance
2 April 2012	Principal Adviser, Aquitaine-Poitou-Charentes Regional Chamber of Accounts responsible for hospitals
December 2010	Re-election as a member of the Committee on Budget and Finance of the International Criminal Court, 2008/2010
3 December 2007	Election to the Assembly of States Parties to the Rome Statute.

	Member of the Committee on Budget and Finance – International Criminal Court, 2008/2010
1 December 2005	Returned, at own request, to the Poitou-Charentes Regional Chamber of Accounts – Principal Adviser – member of the hospitals group at the Court of Accounts/Regional Chambers of Accounts.
1 January 2003 to 30 November 2005	Judicial secondments: <i>Tribunal de grande instance</i> (district court) of Tours (1 January 2003 to 30 June 2003) – Deputy Public Prosecutor. <i>Tribunal de grande instance</i> (district court) of Poitiers (1 July 2003 to 30 November 2005) – Deputy Public Prosecutor (traffic offences, civil matters, drug-related cases, military cases, counsel for the prosecution at trials).
2002	Promotion to Principal Adviser.
1 January 2001	Senior Adviser. Rapporteur to the National Public Service Ethics Commission.
1 September 1999	Appointment to the Poitou-Charentes Regional Chamber of Accounts (CRC).
2 September 1996 to 31 August 1999	Appointment as Class 1 Adviser – Nord-Pas-De-Calais Regional Chamber of Accounts.
11 July 1996	Selected for the tour outside the region for advisers of the Regional Chamber of Accounts – Ranked first among Class I advisers.
1 July 1995 to 31 August 1996	Head of investigation region – National Department Guarantee and Industrial Services – Directorate-General of Customs and Indirect Taxes (DGDDI) – posted to Rennes (19 departments).
1 July 1993 to 30 June 1995	Appointment as Chief of Division in the <i>Paris-Spécial</i> department – Missions to restructure departments in the divisions of Laon (02), Strasbourg (67), Orly, Lorient (56) and Prades (66). Investigation management.
February to June 1993	Selection as Principal Customs Inspector – ranked 5th among the candidates for selection – training course
End of 1991 to February 1993	Chief of the Legal Affairs Department – Nantes Interregional Customs Service – Prosecuting officer for customs.
1 September 1988 to December 1991	Customs inspector, Cholet centre, auditing of businesses, agricultural issues, industrial relocations.
1 September 1985 to 31 August 1988	Customs inspector in the Roissy regional office, responsible for oversight of international commercial transactions.
1 September 1984 to 31 August 1985	National Customs School – 37th intake of students.
1982 and 1983	Trainee court attorney – law office of attorney-at-law Gontier – Angers.

Additional teaching activities

- Course in criminal law, Faculty of Law of Angers (Cholet campus) from 1990 to 1993 and course in commercial law (Cholet campus during the same period, LEA II);
- Lectures in criminal customs law at the Lille Law School (1998 and 1999);
- Lectures in criminal customs law and criminal financial law at the Regional Centre for the Professional Training of Lawyers (CRFP), Lille (1998 and 1999);
- Course in procurement contract law and public service delegation (DSP) – Lille Regional Institute of Administration (1997/1999);
- Lectures on litigation involving procurement, Faculty of Law, University of Poitiers, Masters II (2001 to 2009); and
- Tutorials in public procurement law – Master I (2006/2007) - Faculty of Law of Poitiers.

Articles and lectures

- Customs law and common agricultural policy (in the journal *Revue de Droit Rural*, 1991);
- Customs auditing in Symposium of the Regional Institute of Administration of Nantes / 20 years of the Regional Institute of Administration;
- Course in general criminal law – duplicated lecture notes, Faculty of Law, University of Angers;
- Elements of criminal litigation involving procurement contracts and delegation. M2 Faculty of Law of Poitiers;
- Recurrent education for public servants of the State - Publication of the Committee of Inquiry into the Cost and Performance of Public Services in 2008 (collective work);
- Negotiated contracts and the offence of favouritism: the risks, in the journal *Contrats publics*, January 2009;
- The offence of favouritism: what future? In the journal *Contrats publics*, February 2009.

Other professional activities

- Member of the Regional Registration Commission and the Regional Disciplinary Chamber for external auditors within the remit of the Poitiers Court of Appeal;
- Rapporteur to the FIJ Steering Committee for commerce and management graduate schools (*écoles supérieures*);
- Member of the hospitals group at the Court of Accounts (2006/2009) FIJ healthcare organisation;
- Rapporteur to the Committee of Inquiry into the Cost and Performance of Public Services, 2006;
- Rapporteur to the National Public Service Ethics Commission (2001 to end of 2005);
- Rapporteur to the Commission on Campaign Accounts (2001); and
- Advisor to the Cholet industrial tribunal – employers' section – 1988 to 1992.

Early training

- Master's degree in private law (judicial careers), University of Poitiers and Poitiers Law Institute;
- Diploma of Higher Studies in Social Law, Faculty of Law and Political Science, University of Nantes; and
- Alumnus of the National Customs School, 37th intake of students.

4. Lemmik, Juhani (Estonia)

[Original: English]

Note verbale

The Embassy of the Republic of Estonia presents its compliments to the Secretariat of the Assembly of States Parties to the Rome Statute of the International Criminal Court and with reference to the Secretariat's note No. ICC-ASP/12/S/009 of 13 February 2013 has the honor to inform that the Government of Estonia has decided to present the candidature of Mr Juhani Lemmik for re-election to the Committee on Budget and Finance (CBF) at the elections to be held during the twelfth session of the Assembly of States Parties from 20 to 28 November 2013.

Mr Lemmik has been a member of the Committee on Budget and Finance since September 2006. He has a strong background in public financial management, public budgeting, public governance and audit. He currently works as the Governance Adviser in the Strategy Unit of the Government Office of the Republic of Estonia. He has held positions of Chief Auditor at the Estonian National Audit Office, Deputy Head of the State Budget Department of the Ministry of Finance, and Director of Public Administration Office of the Government Office. He also serves in the Bureau of the OECD Public Governance Committee.

In Mr Lemmik's view, the main task of the CBF for the coming years is to reinvent itself and its working methods in the context of ongoing fiscal restraint from one hand and increasing workload of the Court from the other. The Committee has taken first steps in establishing stronger working relationship with the Hague Working Group in order to better understand the aspirations and fiscal realities of the States Parties, but also for the States Parties being able to utilize the technical and policy expertise of the CBF to the fullest. Also, it will be necessary to advise the Court in setting the functional strategies, which ultimately manifest themselves in the yearly budget submission, in order to cope with the ongoing gap between its financing needs and fiscal reality. Established systems and instruments, such as HRM practices, the Contingency Fund, Working Capital Fund etc, are subject to careful monitoring and possible modification in due course for them to continue to effectively serve the purpose they were set up for.

[...]

Statement of qualifications

Education

Institution, Date from - date to, Degree (s) or Diploma (s) obtained:

Central European University, 1998-1999, MA in Political Science (Specialisation in Political Economy).

Tartu University, 1993-1997, BA in Public Administration (Specialisation in Public Economics).

Language skills

<i>Language</i>	<i>reading</i>	<i>speaking</i>	<i>writing</i>
Estonian	Native Language		
English	1	1	1 ¹
Russian	2	2	3
Finnish	1	2	2
French	4	5	5

¹ Competence indicated on a scale of 1 to 5 (1 - excellent, 5 - basic).

Membership of professional bodies

Founding member of the Association of the Estonian Public Administration Students (since 1995).

Member of the Committee on Budget and Finance of the International Criminal Court (since 2006).

Professional experience

Public Governance Adviser, Strategy Unit, Government Office (Jan 2011 -)

The main responsibility is to help to re-organise and develop Government long-term planning system. Also managed the development of The Impact Assessment Guidelines for ministries and The Code of Public Engagement, both enacted by the Cabinet of Ministers. Part of the job also entails participating in renewal of the strategic planning system of the government, designing administrative policy instruments for financing from the EU Financial Perspective 2014+, and following up the OECD Public Governance Review on Estonia. Designs training programmes for policy makers who implement the Guidelines and the Code, develops and manages networks of those working with those instruments, instructs ministries in using these guidelines, and delivers short-term training. The Programme Manager for a European Social Fund funded programme whereby the government evidence based policy making is supported by financing policy studies and impact assessments.

Key Training Expert, employed by Human Dynamics (work performed in the Croatian Ministry of Finance), in Croatia (July 2009- Nov 2010)

Within the framework of the project “Improving Budget Processes”, conducted training needs assessment, developed training programmes and study materials, and delivered training to the Ministry of Finance and line ministries staff. Co-author and editor of the Guidebook to Budget and Planning Processes and author of the Monitoring and Reporting Guidelines.

Director of Audit, National Audit Office of Estonia, Estonia (Oct 2006- Dec 2008)

Managed Audit Department I auditing Ministry of Finance, Ministry of Foreign Affairs, State Chancellery and their subordinate entities, and constitutional institutions (Office of the President, Chancellor of Justice, Chancellery of the Parliament, Supreme Court, Bank of Estonia), doing both financial audits and performance audits. Responsible for organising auditing of the Whole of Government Accounts, and issuing EU Trend Report, an analytical summary of all audit findings, evaluation and monitoring reports concerning the use of EU money in Estonia for a given period (e.g. 2004-2006 Financial Perspective).

Audit Manager, National Audit Office of Estonia (Jan 2006-Sept 2006) Senior Auditor, National Audit Office of Estonia (Jan 2005 –Dec 2005)

Conducted, and later managed small teams who did performance audits in the Ministries of Justice, Interior and Defence. Audited “value for money” aspect of government spending (criteria of efficiency and effectiveness). Responsible for making reform proposals for performance improvement to relevant ministers (in terms of legislation, organisation of government, strategies, financing, etc). Member of the in-house team responsible for supervision of the use of EU funds in Estonia.

State Budget Department, Deputy Director, Estonian Ministry of Finance, Estonia (Jan 2002-Sept 2004)

Developed the strategic planning system of the central government, and linked it with budgeting. Team Leader of experts who drafted Strategic Planning Decree. Developed medium-term expenditure framework, integrated strategic planning, budgeting and reporting processes, and established a framework for development of performance measures for all ministries. Developed a Strategic Planning Manual in cooperation with international experts.

Developed and implemented training curricula for all ministries and agencies with regard to the reform. Co-ordinated development of public service standards for all government institutions. Advised government institutions methodologically (according to methodological guidelines drafted by the MOF) in their drafting of Service Charters, reengineering their service delivery processes, reorganising the customer interface and service provision channels, introducing e-government solutions and how to integrate the customer-oriented approach to strategic management of organisation via introduction of management models, such as Balanced Scorecard and Common Assessment Framework.

Developed public sector pay policy and statistics to make pay comparisons possible. Team Leader of experts who drafted a new pay arrangement for the general civil service in the new Civil Service Law. Represented Ministry of Finance in pay negotiations with central trade unions.

Local Project Manager for the DFID-funded “IPSPELL project”.

Acting Director, Office of Public Administration (OPA), Estonian State Chancellery, Estonia (Nov -Dec 2001)

Managed the work of the OPA with the main task to prepare and co-ordinate the implementation of Government Public Administration Reform Programme. Supervised performance-oriented budgeting reforms, local government reform, functional review of county governments, delegation of public functions onto lower levels of government, developed service standards for major public services, customer consultation mechanisms, performance standards to back up effective service delivery, introduced one-stop-shops and e-public service provision.

Counsellor, Office of Public Administration, Estonian State Chancellery, Estonia (Nov 1999 - Oct 2001)

Prepared the Government Public Administration Reform Programme and coordinated its implementation. Arranged bi-weekly meetings of the Director of the OPA with the Prime Minister to discuss the reform strategies and tasks. Communicated with main partners (Ministries of Finance, Justice and Interior) the reform programme and supervised their progress in its implementation. Supported the Government Public Administration Reform Committee, consisting of key ministers, in discussing and proposing major decisions to the Cabinet. Member of the inter-ministerial working group that developed the Citizens’ Portal (www.eesti.ee), a webpage that surveys citizens’ needs and preferences about particular public services, registers customer complaints and feedback, and provides information to citizens on public services.

Co-ordinated DFID-funded “IPSPELL project” and Finnish bilateral assistance.

Programme Manager, Estonian Institute of Public Administration, Estonia (Oct 1997 – Aug 1998)

Reorganised and compiled training materials for a comprehensive Competency Programme for Higher Civil Servants. Modules included Law, Economics, Public Policy, IT in Public Administration and Management. Taught modules on information society and was part of the Institute’s strategic planning working group.

Development of a new customer service strategy, which included establishing feedback mechanisms through surveys, and performance indicators for all units, surveying and analysing of training needs of client organisations (public organisations that were purchasing training from the Institute).

Professional Assignments

Since autumn 2006	Member of the Committee on Budget and Finance of the International Criminal Court.
April- November 2007:	Member of the Ministry of Finance Budget Reform Task Force.
October-December 2006:	Member of the Ministry of Finance State Owned Real Estate Management Reform Task Force.
2002-2004:	Member of the Government Delegation (representing the Ministry of Finance) negotiating public sector pay and work conditions with the national trade unions.
2001-2003:	Member of the Advisory Board of the Estonian Institute of Public Administration.

5. Saupe, Gerd (Germany)

[Original: English]

Note verbale

The Embassy of the Federal Republic of Germany presents its compliments to the Secretariat of the Assembly of States Parties to the Rome Statute of the International Criminal Court and has the honour, with reference to the Secretariat's note ICC-ASP/12/S/CBF/009 of 13 February 2013, to inform that Germany has decided to nominate Dr. Gerd Saupe as a candidate for re-election to the Committee on Budget and Finance of the International Criminal Court at the election to be held during the twelfth session of the Assembly of States Parties in The Hague from 20 to 28 November 2013.

A copy of Dr Gerd Saupe's Statement of qualification, containing a career overview and his career profile, is attached to this note. It specifies that Dr. Gerd Saupe fulfils the requirement that candidates be experts of recognised standing and experience in financial matters at international level.

Throughout his career Dr Gerd Saupe has collected extensive experience in the management and financing of international organisations both at European and at United Nations level. He has been monitoring administrative matters, governance issues and human resources policies in various functions. Previously, he served, among others, as Chairman of the Budget and Administrative Affairs Committee of the European Bank for Reconstruction and Development.

[...]

Statement of qualifications

Career overview

- Extensive experience in international financial, monetary and economic affairs. Demonstrated negotiation skills.
- Particular experience in budgetary management and policy planning of international organizations including United Nations System and the European Union.
- Served on Boards of Directors of the European Bank for Reconstruction and Development (EBRD) and the European Investment Bank (EIB). Chaired Budget and Administrative Affairs Committee at the EBRD. Member of Committee on Budget and Finance at the ICC. Chairman of the Financial Committee of the Permanent Court of Arbitration.

Career profile

August 2006 – present

Business consultant and attorney (Rechtsanwalt)

April 2008 – present

Member of Committee on Budget and Finance at the International Criminal Court, The Hague

2012 – present

Member and Chairman of the Financial Committee of the Permanent Court of Arbitration, The Hague

2003 – August 2006

Executive Director for Germany and member of the Board of Directors at the European Bank for Reconstruction and Development (EBRD), London. As Board members we:

- Directed the operations of the Bank, established policies and approved projects; adopted the budget and business plans, monitored performance and risk management and oversaw human resources policy;
- Participated in Board consultation visits to countries of operations in Central and South-Eastern Europe; met with ministers and government agencies, business leaders and the diplomatic community to discuss how the Bank could best support economic reforms and sustainable growth; and
- Liaised with the business community; met with NGOs to review Bank policies and projects of concern to them.

2004 – August 2006

Chairman of the Budget and Administrative Affairs Committee of the European Bank for Reconstruction and Development (EBRD) in London. The Committee:

- Assisted the Board in directing the Bank's budgetary, staff and administrative resources to the agreed priorities; reviewed draft budget and business plans monitoring efficiency and cost control; set and monitored performance targets;
- Monitored human resources policy with particular attention to hiring and retaining qualified staff, staff development, performance evaluation and gender equality; reviewed staff compensation and benefits, considered issues relating to health, safety and security of Bank staff; and
- Oversaw policies relating to governance and ethics; conducted a full review of the code of conduct aligning it with best international practice; modernized the grievance and appeal procedures to strengthen the protection of staff in line with relevant international standards.

1995 - 2003

Member of the Board of Directors at the European Investment Bank (EIB), Luxembourg. As Board members we:

- Adopted annual and medium-term business plans, set targets for business and financial performance, monitored results, reviewed and approved projects, oversaw performance and risk management policy;
- Reported on Bank performance to domestic parliamentary committees; and
- Responded to queries from companies and NGOs.

1993 – 2003

Deputy Director General, German Ministry of Finance. Responsibilities included:

- Budget and policies of the European Union (EU): Took part in annual budget process and establishment of medium-term financial perspectives; participated in negotiations at EU level, cleared Ministry of Finance position with domestic departments, and presented government policy to parliamentary committees;
- Protection of EU financial interests against fraud and irregularities: Cooperated with EU Commission services and domestic administrations to ensure that effective control systems were set up, necessary inspections performed and any amounts incorrectly paid promptly recovered;
- EU state aid rules and EU law: Took part in developing framework for state aid control and resolution of individual cases;
- Head of Ministry of Finance task force on multilateral trade negotiations;
- Budgets of United Nations and UN system of organizations: Priorities, annual budget process and medium-term strategy; and
- Debt management of German Government: Analysis of domestic and international financial markets, scheduling and placement of debt issues, development of debt instruments, management of liquid funds.

1984 – 1993

Head of Unit, Ministry of Finance: Responsibilities included:

- International economy, monetary policy, capital markets; and
- World economic summits, International Monetary Fund, World Bank and Organization for Economic Co-operation and Development.

1971 – 1984

Worked at Ministries of Economy and Finance: International economy, monetary policy, capital markets, management of government debt.

1968 – 1971

Assistant lecturer at Cologne University, European Law

Education

1971 Doctorate in European law, University of Cologne

1960 – 1967 Law studies at Bonn and Cologne universities, practical legal training and examinations

Languages: English fluent; French very good; German (native speaker)

Born: 9 June 1941

Married, two daughters

6. Traoré, Noumoutié Herbert (Burkina Faso)

[Original: French]

Note verbale

The Embassy of Burkina Faso to the Kingdom of the Netherlands presents its compliments to the Secretariat of the Assembly of States Parties of the International Criminal Court in The Hague and has the honour to put forward the candidacy of Mr. Noumoutié Herbert Traoré, Financial Services Administrator and President of the Court of Auditors in Burkina Faso, for one of the six seats of members of the Committee on Budget and Finance of the Court, in the elections to be held in The Hague from 20 to 28 November 2013 during the twelfth session of the Assembly of States Parties.

Holder of a Master's degree in results-based management (Master en gestion axée sur les résultats) from the University of Laval (Quebec) and a graduate of the National School for the Financial Authorities of Burkina Faso (ENAREF – Ecole Nationale des Régies Financières du Burkina Faso), Mr. Traoré has 29 years of service in public financial administration behind him. He has devoted a large part of his career to auditing and controlling public finances, having held the strategic posts of Director General of Financial Control and Inspector-General of Finances in the Ministry for the Economy and Finances and now President of the Court of Auditors in Burkina Faso.

At an international level, he served as a member of the audit committee of ECOWAS from 2009 to 2011 and since September 2011 he has represented the Court of Auditors before a number of inter-African and international organisations involved in controlling public finances.

[...]

Statement of qualifications

Personal data

Family name:	Traoré
Given name:	Noumoutié
Nickname:	Herbert
Employment:	Public Finances Administrator
Date and place of birth:	20/03/1959 in MAHON/Kéné Dougou Province
Nationality:	Burkinabé
Marital status:	Married
Military status:	People's National Service/Class of 1984

Education

- | | |
|-------------|--|
| 2009 – 2010 | Master's degree in results-based management for public programmes and in risk analysis and management (<i>Masters en Gestion Axée sur les Résultats des programmes publics et en analyse et gestion des risques</i>) from the University of Laval et IDEA International (Quebec) |
| 1992 - 1995 | Administrator's Diploma in Public Finances, National School for the Financial Authorities (ENAREF – <i>Ecole Nationale des Régies Financières</i>) |
| 1987 - 1989 | Diploma with an option in Economics and Finance from the National School for Public Administration and the Judiciary (<i>Ecole Nationale d'Administration et de Magistrature</i> - ENAM) |
| 1982 - 1984 | CFPF certification, finance option, Ministry of Finance Professional Training Centre (<i>CFPF - Centre de Formation Professionnelle du Ministère des Finances</i>) |

1979 - 1982 Baccalaureate B series (specialisation: Economics), Ouezzin Coulibaly high school in Bobo Dioulasso

Languages

- French (very good)
- English (adequate)

Seniority: 29 years of service

Principal tasks

Administration of Public Finances by:

- Coordinating audits of public finances and administrative acts;
- Checking the implementation of the budget of the state and its subdivisions;
- Providing consulting expertise to managers, administrators and authorising officers in the field of public finances;
- Examining audit reports from auditors;
- Evaluating the management accounts of public accountants;
- Evaluating public programmes;
- Imposing penalties for mismanagement.

IT skills

Able to use a PC, Windows 3.1, Excel, Word, Access 97 and the Internet. Trained to use project management software WPROGID 4.0 and Microsoft Office Project 2003.

Work history

September 2011 to date	President of the Court of Auditors (National Audit Institution for Public Finances), Ouagadougou <ul style="list-style-type: none"> - Administration of the Court of Auditors - Advice to Parliament and Government
2009 to 2011	Inspector-General of Finances, Audit Inspectorate, Ouagadougou <ul style="list-style-type: none"> - Audit and inspection of bodies responsible for public finances
2005 - 2009	Director General of Financial Control, Directorate-General for Financial Control, Ouagadougou <ul style="list-style-type: none"> - Ex ante checks on public expenditure and administrative acts
2003 - 2005	Internal Auditor – Sectoral Programme for Transport/Ministry for Infrastructure, Transport and Housing, Ouagadougou <ul style="list-style-type: none"> - Monitoring the application of management procedures
2001 - 2003	Administrative and Finance Director, Charles de Gaulle National Paediatric Hospital, Ouagadougou <ul style="list-style-type: none"> - Management of financial resources and patient administration
1999 – 2001	Official, Directorate-General for Cooperation, Ouagadougou <ul style="list-style-type: none"> - Monitoring the financial performance of projects and programmes
1997 – 1999	Administrative and Finance Director, Ministry for Animal Resources, Ouagadougou

- Management of human, financial and logistical resources
- 1996 – 1997 Administrative and Finance Director, State Inspectorate General, Ouagadougou
 - Management of financial resources, personnel and logistics
- 1995 – 1996 Official, National Office for Public Contracts, Ouagadougou
 - Overseeing compliance with procedures for letting public contracts
- 1990 – 1992 Provincial Head of Department, financial control of Poni province, Gaoua
 - Ex ante checks on expenditure, advisor
 - Provincial and municipal financial controller
- 1984 – 1987 Official, Financial Control Directorate, Ouagadougou
 - Casework. Monitoring the implementation of the state budget.

Other roles and representations

- * Member of the Burkinabé National Lottery Board: April 2007 to 2009.
- * Member of the ECOWAS audit committee: 2009 to 2011.
- * Representing the Court of Auditors before:
 - INTOSAI (International Organisation of Supreme Audit Institutions);
 - AFROSAI (African Organisation of Supreme Audit Institutions);
 - AISCCUF (*Association des Institutions Supérieures de Contrôle ayant en Commun l'Usage du Français* – Association of Supreme Audit Institutions using French as their working language);
 - CREFIAF (*Conseil Régional de Formation des Institutions Supérieures de Contrôle des Finances Publiques d'Afrique Francophone subsaharienne* – Regional Training Council for Supreme Audit Institutions in French-speaking Sub-Saharan Africa); and
 - AAHJF (*Association Africaine des Hautes Juridictions Francophones* – African Association of French-speaking supreme courts).

Publications and seminars

- * End of studies dissertation at ENAREF: Management of local resources and decentralisation
 - Training on “Modern tools and approaches to risk management in the public sector” in March 2010.
 - Attended the training course on the “Organisation and management of an internal audit department” delivered by the Abidjan International Management Institute (IMA - Institut International de Management d'Abidjan) from 17 to 28 January 2004 in Lomé/Togo.

Training in “Financial management and disbursement procedures for World Bank-funded projects” at the African Centre for Higher Management Studies (CESAG - Centre Africain d'Etudes Supérieures en Gestion) in Dakar/Senegal from 06 to 17 September 2004.

7. Warren, Helen Louise (United Kingdom)

[Original: English]

Note verbale

Her Britannic Majesty's Embassy presents its compliments to the Secretariat of the Assembly of States Parties and has the honour to nominate Ms. Helen Warren for a position on the ASP's Committee on Budget and Finance. This commendation is pursuant to the procedure for the nomination and election of members of the Committee on Budget and Finance (ICC-ASP/1/Res.5, as amended by ICC-ASP/2/Res.4).

As requested, a curriculum vitae providing details of Ms. Warren's qualifications and proof of relevant experience is attached.

The United Kingdom makes this commendation as Ms. Warren is an expert of recognised standing and experience in financial matters at the international level. As a senior official in Her Majesty's Treasury, Ms. Warren has played a leading role managing the Treasury's financial affairs for the London 2012 Olympics, as well as the logistical arrangements for the United Kingdom's presidency of the Group of Seven international forum.

[...]

Statement of qualifications

Oct 2012 – present	<p>Head of G7 Presidency logistics, HM Treasury (UK Finance Ministry)</p> <p>Project lead for all logistical aspects of the 2013 UK Presidency of the Group of Seven (G7) international forum. Managed the budget of £1m ensuring accountability and suitable governance arrangements followed for HM Treasury and the Bank of England as budget holders. As well as organising and supporting conference calls at Ministerial and Deputy level also planning and delivering a set piece meeting hosted by the Chancellor (Minister of Finance) and Governor of the Bank of England for Finance Ministers and Central Bank Governors and heads of a number of international financial institutions.</p>
June 2010 – Oct 2012	<p>Head of Olympic & Sport Spending, HM Treasury (UK Finance Ministry)</p> <p>Internal lead for the £9.3bn Olympic and Paralympic Games budget and around £200m Government spend on sports policy. Understanding the resources needed in the context of the current landscape and advising HMT ministers on all aspects of spend in these areas and implications of changes to budgets. Ensuring and monitoring effective spending control, appropriate risk management and value for money and efficiency for the taxpayer. Provide constructive challenge, where necessary, to collectively deliver desired outcomes.</p>
Feb 2009 – June 2010	<p>Economic Adviser, Social Fund Team, UK Department for Work & Pensions</p> <p>Accountable for various elements of the Social Fund, including improving delivery of Community Care Grants, worth £139m in 2010.</p>

June 2008 – Jan 2009	Economic Adviser, Long Term Benefit Strategy Division, UK Department for Work & Pensions Secretariat to governance structure for 2009 reform of the welfare agenda. Role included management of Directors group, co-chair of official steering group and member of project management office.
March 2006 – June 2008	Private Secretary to the Secretary of State, UK Department for Work & Pensions Supported Secretary of State on all children, poverty and international matters.
Sept 2005 – March 2006	Economic Adviser, EU & International Labour Market Division, Department for Work & Pensions Analyst on all aspects of non UK labour markets. Managed evidence base for status of the UK labour market compared to other countries. UK representative of EU Employment Committee sub-group.
July 2004 – Sept 2005	Economic Advisor, Private Pensions Analysis Directorate, UK Department for Work & Pensions Provided analytical research, policy papers and secretariat support to the X-Gov group on Annuities.

Education & skills

Oct 99 – Jun 03	University of Bath, UK 2:1 (BSc Hons) Economics with Industrial Placement
2009	Prince II Foundation and Practitioner
2008 onwards	Government Economic Service Graduate Assessor
