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**Report on programme performance of the  
International Criminal Court for the year 2008\***

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## **A. General introduction**

1. This report contains a list of significant achievements of each organ of the Court and the Secretariat of the Assembly of States Parties for 2008. The report includes a breakdown of expenditure<sup>1</sup> by major programme and programme and a staffing table that details actual vs. budgeted staffing levels as requested by the Committee on Budget and Finance<sup>2</sup> (“the Committee”). In addition, a detailed review of programme performance by section of the annual budget programme with reference to objectives, expected accomplishments, indicators of achievement and performance indicators is included in annexes I to VI.

## **B. Significant events and achievements of the Court**

### **1. Situation in Uganda**

#### **a) Analytical, investigative and prosecutorial activities**

- i) Continued the monitoring of crimes committed after the issuance of the arrest warrants against Joseph Kony *et al.* in July 2005;
- ii) Investigated the reported death of one of the suspects Vincent Otti and reported to the Chambers;
- iii) Monitored the supply networks, direct or indirect assistance that could benefit the suspects and help them abscond;
- iv) Continuously monitored the situation of its witnesses in the Uganda situation and proceeded in coordination with the Court's victims and Witness Unit when necessary to take measures to ensure their protection and avoid “any foreseeable risk” for them;
- v) Continued contacts with the witnesses;
- vi) Continued preparation for disclosure; and
- vii) Continued to monitor crimes committed by other parties such as the Uganda People's Defence Forces (“UPDF”) and requested information from the government on national proceedings.

#### **b) Judicial proceedings**

Pre-Trial Chamber II granted the status of victims to 19 applicants. The total number of victims participating in this proceeding is 21.

#### **The Prosecutor v. Joseph Kony, Vincent Otti, Okot Odhiambo and Dominic Ongwen**

- i) On 21 October 2008, Pre-Trial Chamber II issued a request to the Government of the Democratic Republic of Congo (“the DRC”) to provide the Chamber with detailed information, preferably no later than 17 November 2008, on the measures taken for the execution of the warrants of arrest issued in 2005 against the four suspects;

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<sup>1</sup> Expenditure 2008 is based on preliminary, unaudited figures which are subject to change.

<sup>2</sup> ICC-ASP/5/32, part II.D.6(a), para. 23.

- ii) On 21 October 2008, Pre-Trial Chamber II initiated proceedings under article 19; and
- iii) Pre-Trial Chamber II granted the status of victim to 35 applicants in 2008.

**c) Arrests and surrenders**

The arrest warrants have not yet been executed.

**d) Registry activities**

- i) Filled a total of 176 documents (including annexes) for a total of 2.885 pages on issues pertaining, inter alia, to witness protection, victim participation and detention;
- ii) One hundred and seventy-three outreach activities were carried out with 32,312 people reached compared to 8,874 in 2006<sup>7</sup> and 300 in 2006. In terms of radio and television broadcasts, one-hour weekly radio programmes have been broadcast by outreach partners in the local languages of the sub-region, Acholi, Teso, Madi and Lango, with 8,000,000 individuals likely informed;<sup>3</sup>
- iii) Processed 272 applications from victims to participate in proceedings. The Office of Public Counsel for Victims has been appointed to represent the interests of victims granted the right to participate in these proceedings;
- iv) The field office supported 56 external missions and about 20 internal missions per month; and
- v) Conducted two missions to Kampala/Uganda to meet with Government representatives on the outstanding arrest warrants against Joseph Kony, Okot Odhiambo and Dominic Ongwen and other requests for cooperation. Held meetings with NGOs, journalists and representatives of United Nations agencies.

**2. Situation in the DRC**

**a) Analytical, investigative and prosecutorial activities**

- i) Further prepared for the trial against Thomas Lubanga Dyilo;
- ii) Disclosed incriminatory and potentially exculpatory evidence to the defence;
- iii) Reached agreements on disclosure of material protected under article 54, paragraph 3 (e) of the Statute with all information providers, leading to a disclosure of all relevant information;
- iv) Filed 69 submissions with a total of 6,134 pages with the Trial Chamber and the Appeals Chamber;
- v) Litigated the case against Germain Katanga and Mathieu Ngudjolo Chui before the Pre-Trial Chamber in status conferences and during the hearing on the confirmation of charges;

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<sup>3</sup> Please note that the statistics related to outreach are taken from the 2008 Annual Report of Outreach, covering the period from October 2007 to September 2008.

- vi) Filed 30 submissions with a total of 4,292 pages with the Pre-Trial Chamber;
- vii) Concluded the analysis of information on the Kivus and selected a third case. Alleged crimes to be investigated include forcible transfers of population, killings and mass sexual violence committed by all groups involved;
- viii) Conducted 80 investigative missions;
- ix) Continuously monitored the situation of its witnesses in the DRC, and proceeded in coordination with the Court's Victims and Witness Unit when necessary to take measures to ensure their protection and avoid "any foreseeable risk" for them;
- x) In cooperation with the Office of the Prosecutor, necessary arrangements were put in place to ensure the arrest of Mr. Ngudjolo Chui and his transfer to The Hague; and
- xi) Conducted a mission to the DRC in June 2008 focused on the execution of outstanding arrest warrant against Bosco Ntaganda and other requests for cooperation and held meetings with media representatives, NGOs.

**b) Judicial proceedings**

Pre-Trial Chamber I granted the status of victim to 121 applicants. The total number of victims participating in this proceeding is 196.

**The Prosecutor v. Thomas Lubanga Dyilo**

- i) On 13 June, Trial Chamber I ordered a stay of all proceedings and on 2 July ordered the unconditional release of the accused, finding that the Prosecutor withheld from the defence and the judges potentially exculpatory evidence obtained on condition of confidentiality;
- ii) On 7 July, the Appeals Chamber suspended the effect of the decision on release while it considered the appeals;
- iii) On 21 October, the Appeals Chamber confirmed the stay of proceedings and remanded the matter of Mr. Lubanga's release to Trial Chamber I for a new determination;
- iv) On 18 November, Trial Chamber I lifted the stay of proceedings and provisionally scheduled the trial to start on 26 January 2009; and
- v) Trial Chamber I recognized 92 victims to participate in the Lubanga case (plus one in January 2009). There are 92 victims who currently participate in the trial of Thomas Lubanga Dyilo.

**The Prosecutor v. Germain Katanga and Mathieu Ngudjolo Chui**

- i) On 7 February 2008, Mr. Mathieu Ngudjolo Chui was surrendered to the Court by the DRC pursuant to a sealed warrant of arrest issued by Pre-Trial Chamber I in 2007;

- ii) On 10 March, Pre-Trial Chamber I joined the case of Mr. Ngudjolo Chui with that of Mr. Germain Katanga, who had been surrendered to the Court by the DRC on 18 October 2007;
- iii) On 9 June, the Appeals Chamber upheld the joinder of the two cases;
- iv) From 27 June to 16 July, Pre-Trial Chamber I held a confirmation of charges hearing in the case;
- v) On 26 September, Pre-Trial Chamber I confirmed 10 counts of crimes against humanity or war crimes against each of the two individuals and committed them to trial; and
- vi) Pre-Trial Chamber I granted the status of victim to 57 applicants.

*The Prosecutor v. Bosco Ntaganda*

- i) On 28 April 2008, Pre-Trial Chamber I, following a request from the Prosecutor, unsealed a warrant of arrest issued on 22 August 2006 for Mr. Bosco Ntaganda;
- ii) On 22 September 2008, the Appeals Chamber unsealed a decision it had issued on 13 July 2006. In the underlying decision, the Appeals Chamber had overruled a decision of Pre-Trial Chamber I rejecting the Prosecutor's application for an arrest warrant for Mr. Ntaganda; and
- iii) At the end of 2008, Mr. Bosco Ntaganda remained at large.

**c) Registry activities**

- i) Conducted a mission to the DRC focused on the execution of outstanding arrest warrant against Bosco Ntaganda and other requests for cooperation. Held meetings with media representatives, NGOs;
- ii) In cooperation with the Office of the Prosecutor necessary arrangements were put in place to ensure the arrest of Mr. Ngudjolo Chui and his transfer to The Hague;
- iii) Filed a total of 1,255 documents (including annexes), altogether a total of 18,177 pages, on matters within its purview;
- iv) In the course of 79 outreach activities, some 17,736 people were directly targeted compared to 3,600 in 2007 and 2,025 in 2006. This is being complemented by radio and television broadcasts. In Ituri, an estimated audience of 1.8 million people listen to the weekly radio programmes on the Court in French and in local languages broadcast via a network of seven community radio stations;
- v) Prepared and arranged for a possible decision by the judges to hold proceedings in the DRC. No such decision was taken. However, the exercise has established a template that the Court can use for future similar requirements;
- vi) The Victims and Witnesses Unit of the Court continued its planning and preparations for witness movements and support services for the upcoming Lubanga trial, and was ready to provide services for the trial;

- vii) Processed 270 applications from victims to participate in proceedings;
- viii) A substantial increase in activities of the various organs operating in the field has been observed, notably in Kinshasa, Bunia, Kisangani, Beni and Goma. The field offices in Kinshasa and Bunia were confronted to an increase in staff and requirement for additional office accommodations that were not planned for;
- ix) Security continues to remain a serious concern in Bunia and has necessitated international and national staff to be lodged there on a permanent basis; and
- x) The field offices supported 124 external missions and about 50 internal missions per months.

### **3. Situation in Darfur, Sudan**

#### **a) Analytical, investigative and prosecutorial activities**

- i) Submitted an application on 14 July for an arrest warrant against the Sudanese President, Omar Hassan Ahmad Al-Bashir, for allegedly having committed the crimes of genocide, crimes against humanity and war crimes in Darfur;
- ii) Submitted an application for arrest warrants or in the alternative summons to appear against three rebel commanders for their alleged responsibility for crimes committed against African Union peacekeepers in Darfur on 29 September 2007;
- iii) Filed 5 submissions with a total of 3,546 pages into the court records of the case;
- iv) Reported twice to the United Nations Security Council in accordance with United Nations Security Council Resolution 1593 in June and December 2008 on the progress of its investigation; and
- v) Continued the consultations with the African Union, the Arab League, the European Union and NGO coalitions to enhance efforts to arrest the suspects.

#### **b) Judicial proceedings**

The 11 victims who participate in the situation in Darfur, Sudan proceedings were granted the status of victims by Pre-Trial Chamber I in 2007. No new applications were received in 2008.

#### **The Prosecutor v. Ahmad Muhammad Harun ("Ahmad Harun") and Ali Muhammad Ali Abd-al-Rahman ("Ali Kushayb")**

There were no new developments in the case of The Prosecutor v. Ahmad Muhammad Harun and Ali Muhammad Ali Abd-Al-Rahman because the two suspects were not arrested and surrendered.

#### **The Prosecutor v. Omar Hassan Ahmad Al-Bashir**

At the end of 2008, the application submitted by the Prosecutor for a warrant of arrest for President Oam Hassan Ahmad Al-Bashir of Sudan was being considered by the judges of Pre-Trial Chamber I.

### **Darfur rebels**

At the end of 2008, the application submitted by the Prosecutor for a warrant of arrest for three alleged Darfur rebel commanders whose names were not disclosed was being considered by the judges of Pre-Trial Chamber I.

#### **c) Arrests and surrenders**

Neither of the pending arrest warrants has yet been executed.

#### **d) Registry activities**

- i) Filed a total of 86 documents (including annexes), for a total of 1,107 pages, in relation to matters within its purview;
- ii) With two staff available, one based in The Hague and the other in Abeche, Eastern Chad, 2,148 Sudanese were targeted compared to 500 directly engaged in 2007, during 16 activities held. In Eastern Chad, in partnership with *internews* radio which has an estimated coverage of 80 per cent of the camps, Sudanese refugees are being informed on a weekly basis about the Court;
- iii) In Chad, rebel factions entered Ndjamena (bypassing Abeche) in late January, resulting in the evacuation of non-essential personnel out of the country, and the loss, through ransacking, of the Court's office. Negotiations for new offices co-located with the United Nations Development Programme ("UNDP") are currently in their final negotiations;
- iv) The Court moved offices to more appropriate premises in Abeche at the beginning of the year. Security in Abeche nevertheless deteriorated with a marked spike in violent acts against the international community. For the Court, the hazards of the situation can be illustrated with the car-jacking of one of the Court's vehicle at the end of the year, accompanied with direct physical threat to its driver and passengers. The result has been increased travel restrictions (Phase 4, which remains in place today) and decreased activities throughout Chad; and
- v) The field offices supported 35 external missions and about 20 internal missions per months

### **4. Situation in the Central African Republic ("CAR")**

#### **a) Analytical, investigative and prosecutorial activities**

- i) Concluded the investigation into alleged crimes of rape torture other crimes committed during a peak of violence in 2002 to 2003;
- ii) Submitted an application for an arrest warrant against Jean-Pierre Bemba Gombo;
- iii) Ensured tracing the whereabouts of Jean-Pierre Bemba Gombo and thus contributed to his arrest in Belgium;
- iv) Conducted search and seizure operations to collect evidence in various countries;

- v) Secured the cooperation needed from governments and international organizations for the investigations and arrest;
- vi) Filed 37 submissions with a total of 6,423 pages into the court records of the case;
- vii) Disclosed incriminatory and potentially exculpatory evidence to the defence;
- viii) Continuously monitored the situation of its witnesses in the CAR situation and proceeded in coordination with the Court's Victims and Witness Unit when necessary to take measures to ensure their protection and avoid "any foreseeable risk" for them;
- ix) Conducted 39 investigative missions in the CAR and other countries;
- x) Continued the monitoring of other acts of violence committed more recently on the territory of the CAR and requested information on the status on national proceedings;
- xi) Conducted a mission led by the Prosecutor to the CAR to meet with victims, their representatives and other stakeholders in situ; and
- xii) Conducted three missions to secure cooperation of the CAR authorities and ensure that the political dialogue under way excluded any amnesty for crimes within the jurisdiction of the Court.

**b) Judicial proceedings**

**The Prosecutor v. Jean-Pierre Bemba Gombo**

- i) On 23 May 2008, Pre-Trial Chamber III issued a warrant of arrest for Mr. Jean-Pierre Bemba Gombo and requested Belgium to provisionally arrest Mr. Bemba;
- ii) Mr. Bemba was arrested by the Belgian authorities on 24 May 2008. This arrest was made possible by the cooperation of a number of countries including Portugal. He was surrendered to the Court and his initial appearance before the Court was held on 4 July 2008;
- iii) On 10 June 2008, the Chamber issued a new warrant of arrest, supplementing the initial counts with new charges;
- iv) On 4 July 2008, one day after his transfer to Court custody, Mr. Bemba made his initial appearance before the judges of Pre-Trial Chamber III. The Chamber scheduled a hearing on the confirmation of the charges against Mr. Bemba to begin on 4 November 2008;
- v) On 17 October 2008, Pre-Trial Chamber III decided that the confirmation hearing in the case would not commence on 4 November 2008 in order to provide the defence with more time to prepare;
- vi) On 29 December 2008, Pre-Trial Chamber III decided that the confirmation of charges hearing would take place from 12 to 15 January 2009; and
- vii) Pre-Trial Chamber III granted the status of victim to 54 applicants.

**c) Registry activities**

- i) Conducted a mission to Bangui shortly after the transfer to the Court of Jean-Pierre Bemba Gombo. Held meetings with NGOs, Diplomatic Corps, journalists, humanitarian agencies;
- ii) Several missions were further conducted in order to notify the warrant of arrest for Mr. Jean-Pierre Bemba Gombo, secure his arrest in cooperation with Office of the Prosecutor, organize his transfer to The Hague and ensure the execution of other orders/decisions of the Chambers, such as the freezing of assets;
- iii) Filed a total of 343 documents (including annexes), for a total of 6,102 pages, on matters within its purview;
- iv) Six outreach activities were undertaken, compared to one in 2007, targeting 93 individuals compared to the 30 people reached in 2007;
- v) The Court finalized the implementation of its field office infrastructure in 2008. Although the Registry's activities throughout the year have been confined to the Bangui area, the fourth quarter saw a marked interest in carrying out operations further in the field; and
- vi) The field office supported 73 external missions and about 20 internal missions per months.

**5. Other situations**

**a) Analytical, investigative and prosecutorial activities**

In addition to the monitoring of open source material, the Court received, acknowledged and analyzed of 4,533 communications received under article 15 of the Rome Statute. The Office of the Prosecutor conducted an in-depth analysis of situations in various countries, among them:

- i) Conducted a second mission to Colombia from 25 to 27 August 2008, led by the Prosecutor, which included meetings with senior officials from the Government, the Prosecutor's Office and the Supreme Court of Justice as well as Colombian civil society; and requested information from states in the Americas and in Europe on domestic investigations on networks of support to groups like the Fuerzas Armadas Revolucionarias Colombianas ("FARC");
- ii) On 20 August, made public that the situation in Georgia was under analysis; analyzed the situation in Georgia, in this context held meetings with government officials, received reports from Georgia and conducted a mission to the field; received over 3,000 documents related to Georgia from the Government of Russia;
- iii) Sent requests for information to the Government of Afghanistan and to Afghan human rights bodies and met with a delegation from Afghanistan in October 2008; and
- iv) Met with Côte d'Ivoire officials to secure mission on the field.

## **6. Judicial administration**

2. On 29 July 2008, Judge Navanethem Pillay submitted her resignation, effective 31 August 2008, following approval by the United Nations General Assembly of her appointment by the United Nations Secretary-General to the position of High Commissioner for Human Rights. The Presidency replaced Judge Pillay in the Appeals Division with Judge Daniel Nsereko with effect from 1 September.

3. On 24 October, the Presidency ordered the constitution of Trial Chamber II and re-shuffled the Pre-Trial Chambers.

## **7. Judicial and other support services**

### **a) Legal aid/counsel issues**

4. The legal aid system guaranteed that three defendants in cases before the Court would receive legal representation, as well as victims participating in the proceedings and who were found to be indigent. The system ensured furthermore that assistance by counsel was available whenever necessary according to the legal framework. The Registrar issued two decisions on legal aid for defendants, one of which was a denial of the application. The latter was appealed to the Presidency which dismissed the appeal. Similarly, the Registrar issued 12 decisions on legal aid for a total of 76 victims. All decisions but one are granting legal aid to the victims. The decisions denying legal aid for a group of 17 victims was appealed before the Presidency and it dismissed the appeal. The Court provided administrative support and assistance to all legal teams representing accused or victims.

5. The Court held several consultations and activities with members of the legal profession, including a new Seminar of Counsel, which for the first time was complemented by a training program targeting lawyers who might act as counsel before the Court.

6. The Office of Public Counsel for the Defence provided ongoing representation of the interests of the defence in connection with the Darfur, DRC and Uganda situations. The Appeals Chamber granted the two appeals of the Office of Public Counsel for the Defence which were filed in the DRC situation concerning victim participation during this phase.

7. The Office of Public Counsel for Victims provided ongoing representation and assistance to 397 who victims applied to participate in the proceedings and were authorized to participate in the proceedings in connection with the Darfur, DRC and Uganda situations and related cases.

8. Finally, the Court handled 62 applications to the list of counsel, 47 were added to the list, bringing the total to 279; it further handled 30 applications to the list of assistants to counsel, 19 were added to the list, bringing total to 36. Also, five professional investigators were added to the list, bringing total to 24.

### **b) Outreach**

9. This year, the Court was able to increase the number of outreach activities undertaken, and achieved significant rises in the audiences reached, as indicated in the situation specific statistics above. Further, new, more dynamic, outreach tools were developed, such as drama performances and video summaries of proceedings. Finally, the process of setting up a formal and standardized evaluation system was finalized. These developments and achievements are reflected in the 2008 Outreach Report.

**c) Victim participation**

10. The Court was able to process more applications from victims, and faster, than in 2007 due to improvements in Victims Participation and Reparations Section systems and procedures. Further, the quality of applications received, in terms of completeness, has improved following training and support provided to persons assisting victims. In order to assist with the registration process, a new database for victims' applications was designed and is now almost complete. A total of 31 missions were conducted to the field in order assist victims in understanding who wished to participate in the proceedings.

11. The Court responded to numerous orders of different Chambers to assist, consult or inform victims, including organising, within a short time period, for all applicants and victims in the Uganda situation to be informed of article 19 proceedings and to provide their observations. A total of 54 documents were filed with the Chambers.

**d) Witness protection**

12. The Court maintained 55 protection cases involving over 300 individuals across the four situations. A strong and operational presence was maintained in the field, involved in operations across all situations. The Court maintained local protection measures and initial response system (IRS) in Uganda, in DRC, in the Darfur situation and in the CAR, as well as its ability to provide support services in these situations. The IRS was tested in each situation with satisfactory results.

**e) Translation and interpretation**

13. One thousand and eighty-nine days of interpretation in court and conference. Two hundred and twenty-nine days of interpretation in the field. Twenty one thousand, seven hundred pages translated or edited

**f) E-Court**

14. Like in other international tribunals and national courts, the Court has put in place a series of Information Technology products to support its daily judicial and operational management and its proceedings. These technologies are made available to participants to enable them to participate in proceedings, to manage their materials and to exchange information electronically, providing secure remote access to participants not seated at the Court and increasing efficiencies for all parties involved. This includes real-time transcript of all proceedings made available to all authorized participants, whether in the courtroom or authorized to follow proceedings from their desks.

**8. Agreements and cooperation**

15. On 5 February 2008, the Court signed a Memorandum of Understanding between the International Criminal Court and the Asian-African Legal Consultative Organization with the goal of enhancing cooperation in promoting awareness of international criminal law.

16. On 1 March 2008, the Headquarters Agreement between the International Criminal Court and the Kingdom of the Netherlands, signed by the President and the Minister of Foreign Affairs of the Netherlands, H.E. Maxime Verhagen, on 7 June 2007, entered into force.

17. On 10 March 2008, agreed modifications to "Security Arrangements between the EU Council General Secretariat Security Office and the European Commission Security Directorate and the International Criminal Court Safety and Security Section for the

Protection of Classified Information Exchanged between the EU and the ICC” entered into force.

18. The Court signed one additional Relocation of Witnesses Agreement, bringing the total to 10 agreements.

## **9. Strategic Plan**

19. Under the direction of the Coordination Council and having consulted with internal and external stakeholders, the Court completed the first update of its Strategic Plan. As a result, the number of strategic objectives has decreased from 30 to 18. The Court continued the implementation of the Strategic Plan for Outreach and reported on this implementation to the seventh session of the Assembly.

20. The Court continued, in consultation with The Hague Working Group, to develop strategies for human resources and victims issues. An interim report on human resources was submitted to the Committee on Budget and Finance in April 2008.

## **10. Governance**

21. The Court reviewed and improved its corporate governance arrangements with the adoption of ICC/PRESG/G/2008/1 setting out the Terms of Reference of the Audit Committee and with the adoption of amendments to the Charter of Internal Audit. In light of comments from the Assembly specific aspects of these documents are being reviewed in 2009. Furthermore, the Court is working, in coordination with The Hague Working Group, on the development of an independent oversight mechanism to strengthen its capacity to investigate staff misconduct.

## **11. Human resources**

### **a) Performance management**

22. In 2008, significant progress has been made in improving the Court’s application of the performance management system. In August 2008, a plan for improving and fully institutionalizing the system was communicated to all major programmes.

### **b) Career opportunities**

23. Increasingly, there are examples of a more systematic approach to career development of Court staff. In the Office of the Prosecutor, for example, career paths have been established for investigators and analysts, whose levels range from junior (P-1/P-2) and trial lawyers. The high number of internal placements is an encouraging indication of an increase in career development opportunities for staff. To the extent its resources have permitted, the Human Resources Section has increasingly provided career counselling to staff. This includes both temporary and permanent transition support.

## **12. Risk management**

24. The Court started a comprehensive risk assessment project that will eventually lead to the implementation of an extensive risk management programme for the Court. The first phase of the project (risk identification and impact analysis) was concluded in 2008 with a holistic appraisal of the internal and external risks to the Court. The second phase of the project (risk prioritisation and strategy selection) was planned to be started early 2009.

**13. Move to new interim premises**

25. To respond to the Court's problems of space allocation within its current premises, the host State had planned the hand-over to the Court of a new building in the vicinity of the current headquarter in the course of 2008. This new building was made available at the end of the year. The first employees of the Court moved in by 8 December 2008 and further roll-out of staff relocation was planned for the beginning of 2009.

**14. Transfer of funds**

26. €205,600 were transferred within the Court Management Section from staff cost to contractual services. This was done to cover the costs of outsourcing the provision of English transcription services thereby enabling the section to constitute quickly a team of court reporters to cover the Court's judicial activities.

27. An additional redeployment of €274,000 was done in 2008 as a consequence of the re-organisation of the Records Management Unit dealing with TRIM archiving systems. The unit and related funds were redeployed from the General Services Section to the Information and Communication Technologies Section, as indicated in the Court's 2009 proposed budget<sup>4</sup>.

**C. Significant achievements of the Secretariat of the Assembly of States Parties**

28. The Secretariat continued to provide substantive and conference servicing to the Assembly of States Parties (the Assembly) and its organs. Significant achievements of the Secretariat in 2008 included:

- a) Organized and serviced the five-day resumed sixth session of the Assembly in New York and the seventh session of the Assembly in The Hague;
- b) Serviced the subsidiary bodies of the Assembly, in particular, the Bureau and its Working Groups, the Special Working Group on the Crime of Aggression, the Oversight Committee on permanent premises;
- c) Organized and serviced two sessions of the Committee on Budget and Finance in The Hague;
- d) Provided legal and substantive secretariat services, such as the provision of documentation, reports and analytical summaries to the Assembly and its subsidiary bodies, including the preparation of documentation relating to the election of judges and members of the Committee on Budget and Finance;
- e) Provided advice to the Assembly, the Bureau and subsidiary bodies on legal and substantive issues relating to the work of the Assembly;
- f) Discharged its mandate regarding the Plan of Action pursuant to resolutions ICC-ASP/5/Res.3 and ICC-ASP/6/Res.2, resulting in the accessibility of information provided on the website of the Assembly;
- g) Secured contributions to and managed the trust fund for the participation of the least developed countries and other developing States in the work of the Assembly, thus facilitating the participation of 38 representatives in the sessions of the Assembly held in 2008; and

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<sup>4</sup> ICC-ASP/7/20.II.A.para 232.

- h) Corresponded with governments, the Court, intergovernmental organizations, non-governmental organizations and other relevant bodies and individuals on matters relating to the work of the Assembly.

#### **D. Significant achievements of the Project office for the permanent premises**

29. Based on the decision by the Assembly of States Parties during its sixth session, the Project Office for the permanent premises was created<sup>5</sup> in 2008. The objective of the Office is to provide the Court with the necessary permanent premises to meet the organisation's strategic goals and objectives through the development, planning and ultimately implementation of permanent premises for the Court. The Project Director who will be heading this major programme took office in October 2008.

#### **E. Budgetary performance 2008**

##### **Overview of the budgetary performance of the International Criminal Court**

30. The overall implementation rate of the Court, including the Contingency Fund expenditure, is 93.3 per cent or a total of €84.3 million against an approved budget of €90.4 million.

31. Key issues which have influenced the Court's ability to fully implement the 2008 programme budget include the lack of trials, pace of recruitment, and travel restrictions due to security risk.

32. The major programmes affected by the lack of trial are the Judiciary, Office of the Prosecutor and the Registry. The programmes most affected by the travel restrictions due to security risks are programmes of the Prosecutor and the Security Section and Division of Court Services of the Registry. Delayed recruitment affected primarily the Office of the Prosecutor and the Office of the Registrar.

33. As a result of recruitment issues (delayed recruitment and leavers), the Court has utilized General Temporary Assistance (GTA) resulting in over expenditures for this cost category.

34. Major programme VI experienced an implementation rate of 99.0 per cent.

35. For major programme IV, the low implementation rate of 75.3 per cent reflects delayed recruitment of established posts and the usage of GTAs in support of meetings being less than budgeted. The lower implementation is also attributed to the variations in conference servicing costs resulting from the different venues of the sessions of the Assembly and the duration of the sessions, greater efficiency in the production of documentation and in the use of interpretation services.

36. Table 1 provides a summary of appropriation, expenditure, variance and implementation rates by major programme and programme.

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<sup>5</sup> *Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Sixth Session, New York, 30 November to 14 December 2007* (International Criminal Court publication, ICC-ASP/6/20), vol I, part II, resolution ICC-ASP/6/Res. 1, paragraph 10.

**Table 1:** Budget performance 2008 by major programme and programme (€000)

Major programme/programme	Appropriation	Expenditure	Variance	Implementati on rate in %
<b>Major programme I</b>				
<b>Judiciary</b>	10,279	9,571	708	93.1
Presidency (*)	2,544	2,297	247	90.3
Chambers	7,735	7,274	461	94.0
<b>Major programme II</b>				
<b>Office of the Prosecutor</b>	22,472	21,274	1,198	94.7
Prosecutor	5,686	5,228	458	91.9
Jurisdiction, Complementarity and Cooperation Division	1,806	1,773	33	98.2
Investigation Division	11,726	10,339	1,387	88.2
Prosecution Division	3,254	3,934	-680	120.9
<b>Major programme III</b>				
<b>Registry</b>	52,437	49,394	3,043	94.2
Office of the Registrar	9,010	7,680	1,330	85.2
Common Administrative Services Division	21,961	21,798	163	99.3
Division of Court Services	14,066	12,341	1,725	87.7
Public Information and Documentation Section	2,944	2,656	288	90.2
Division of Victims and Counsel	4,456	4,919	-463	110.4
<b>Major programme IV</b>				
<b>Secretariat of the Assembly of States Parties</b>	3,996	3,007	989	75.3
<b>Major programme VI</b>				
<b>Secretariat of the Trust Fund for Victims</b>	989	979	10	99.0
<b>Major programme VII</b>				
<b>Project office for the permanent premises</b>	209	84	125	40.2
<b>Total Court</b>	<b>90,382</b>	<b>84,309</b>	<b>6,073</b>	<b>93.3</b>

(\*) The Presidency includes provision for the New York Liaison Office.

Note: Expenditure includes expenses made to CON2008.

If software maintenance were distributed from the Registry to all organs according to their respective head count the appropriations would be in thousands of euros: Judiciary: 10,425.9, Office of the Registrar: 2,3201.2, Registry: 51,511.7, Secretariat of the Assembly of States Parties: 4,028.8, Secretariat of the Trust Fund for Victims: 1,006.0 and Permanent premises project : 208.5.

Expenditure includes expenses made to CON2008.

Expenditure 2008 based on preliminary, unaudited figures which are subject to change.

37. Table 2 provides an overview split by basic and situation-related expenditure. The basic component shows a 93.2 per cent implementation rate whereas the situation-related element at 93.4 per cent reflects the impact of the above cited issues.

**Table 2:** Budget implementation 2008 by basic and situation-related expenditure (€000)

Major programme and programme	Basic			Situation-related		
	Appropriation	Expenditure	Implementation rate in %	Appropriation	Expenditure	Implementation rate in %
<b>Major programme I:</b>						
Judiciary	9,756	8,965	91.9	523	606	115.9
Presidency	2,544	2,297	90.3			
Chambers	7,212	6,668	92.5	523	606	115.9
<b>Major programme II</b>						
<b>Office of the Prosecutor</b>	4,426	4,410	99.6	18,046	16,864	93.5
Prosecutor	2,712	2,652	97.8	2,974	2,576	86.6
Jurisdiction, Complementarity and Cooperation Division	801	770	96.1	1,005	1,003	99.8
Investigation Division	372	375	100.8	11,354	9,964	87.8
Prosecution Division	541	613	113.3	2,713	3,321	122.4
<b>Major programme III</b>						
<b>Registry</b>	28,573	27,447	96.1	23,864	21,947	92.0
Office of the Registrar	6,485	5,852	90.2	2,525	1,828	72.4
Common Administrative Services Division	14,277	13,906	97.4	7,684	7,892	102.7
Division of Court Services	4,996	4,926	98.6	9,070	7,415	81.8
Public Information and Documentation Section	1,372	1,236	90.1	1,572	1,420	90.3
Division of Victims and Counsel	1,443	1,527	105.8	3,013	3,392	112.6
<b>Major programme IV</b>						
<b>Secretariat of the Assembly of States Parties</b>	3,996	3,007	75.3			
<b>Major programme VI</b>						
<b>Secretariat of the Trust Fund for Victims</b>	692	476	68.8	297	503	169.4
<b>Major programme VII</b>						
Project office permanent premises	209	84	40.2			
<b>Total Court</b>	<b>47,652</b>	<b>44,389</b>	<b>93.2</b>	<b>42,730</b>	<b>39,920</b>	<b>93.4</b>

(\*) The Presidency includes provision for the New York Liaison Office.

Note: If software maintenance were distributed from the Registry to all organs according to their respective head count the appropriations would be in thousands of euros: Judiciary: 10,425.9, Office of the Registrar: 2,3201.2, Registry: 51,511.7, Secretariat of the Assembly of States Parties: 4,028.8, Secretariat of the Trust Fund for Victims: 1,006.0 and Permanent premises project: 208.5.

Situation-related expenditure includes expenses made to CON2008.

Expenditure 2008 based on preliminary, unaudited figures which are subject to change.

38. Table 3 illustrates the implementation of the budget performance of 2008 by expenditure type.

**Table 3.** Budget implementation 2008 by expenditure type (€000)

Item	Expenditure		Balance	Implementation rate
	Planned	Actual		
Judges	6,270	5,813	457	92.7
<i>Salaries &amp; allowances of Judges</i>	<b>6,700</b>	<b>5,813</b>	<b>457</b>	<b>92.7</b>
Professional staff	n/a	n/a	n/a	n/a
General Service staff	n/a	n/a	n/a	n/a
<i>Subtotal staff</i>	<b>48,148</b>	<b>41,999</b>	<b>6,149</b>	<b>87.2</b>
General temporary assistance	7,637	10,409	-2772	136.3
Temporary assistance for meetings	1561	1,122	439	71.9
Overtime	383	283	100	73.9
Consultants	350	340	10	97.1
<i>Subtotal other staff</i>	<b>9,931</b>	<b>12,154</b>	<b>-2,223</b>	<b>122.4</b>
Travel *	4,471	3,601	870	80.5
Hospitality	59	47	12	79.7
Contractual services including training	7,406	8,201	-795	110.7
General operating expenses	11,704	9,630	2,074	82.3
Supplies and materials	1228	1,075	153	87.5
Equipment	1165	1,789	-624	153.6
<i>Subtotal non-staff</i>	<b>26,033</b>	<b>24,343</b>	<b>1,690</b>	<b>93.5</b>
<b>Total</b>	<b>90,382</b>	<b>84,309</b>	<b>6,073</b>	<b>93.3</b>

\* Travel includes judges travel.

Note: Expenditure 2008 based on preliminary, unaudited figures which are subject to change.

Expenditure includes expenses made to CON2008.

39. Table 4 shows the staffing status as at December 2008. Distinction has been made between vacant posts under advertisement, those not advertised and posts abolished.

**Table 4.** Staffing status as at December 2008

Major programme/programme	Post type	Approved posts 2008	Filled posts	Implementation rate in %	Vacant posts advertised	Vacant posts not advertised
	[1]	[2]	[3]	[3]/[2]=[4]	[5]	[6]
<b>Judiciary</b>	P	27	24	88.9	2	1
	GS	16	13	81.3	3	0
<b>Office of the Prosecutor</b>	P	138	129	93.5	5	4
	GS	65	63	96.9	2	0
<b>Registry</b>	P	172	138	80.2	29	5
	GS	240	215	89.6	23	2
<b>Secretariat of the Assembly of States Parties</b>	P	5	3	60.0	2	0
	GS	4	0	0.0	0	4
<b>Secretariat of the Trust Fund for Victims</b>	P	3	1	33.3	2	0
	GS	2	0	0.0	1	1
<b>Project office permanent premises</b>	P	2	1	50.0	1	0
	GS	1	0	0.0	0	1
<i>Subtotal Professional Staff</i>	<i>P</i>	<i>347</i>	<i>296</i>	<i>85.3</i>	<i>41</i>	<i>10</i>
<i>Subtotal General Service Staff</i>	<i>GS</i>	<i>328</i>	<i>291</i>	<i>88.7</i>	<i>29</i>	<i>8</i>
<b>Total Court</b>		<b>675</b>	<b>587</b>	<b>86.96%</b>	<b>70</b>	<b>18</b>

### Overview of the trust funds at the Court

**Table 5.** Status of trust funds at December 2008<sup>6</sup>

2008	Trust funds	Allotment	Total expenditures	Variance
T001	Internship and visiting professionals programme	899,015	898,417	598
T101	Judicial capacity strengthening programme	42,002	42,002	0
T202	Legal tools project (Case matrix)	94,972	94,336	636
T401	Least developed countries	171,538	153,017	18,521
	<b>Total</b>	<b>1,207,527</b>	<b>1,187,772</b>	<b>19,755</b>

T001 Programme to support interns and visiting professionals at the Court: in Judiciary, Office of the Prosecutor and Registry. This programme runs from April through March of the next year. Allotment therefore covers two periods: January-March and April-December. Balance will be carried forward to 2009 – period January-March.

T101 Programme to facilitate the professional development of the judges. This programme is run by Judiciary.

T202 Project to facilitate and coordinate the introduction, implementation and use of the Legal tools project, in particular the Case matrix, by users outside the Court. This Project is run by the Office of the Prosecutor.

T401 Through this programme, run by the Secretariat of the Assembly of States Parties, travel costs related to the participation of representatives of least developed countries and other developing States at the Assembly are covered. This is an ongoing programme; therefore any balance will be carried forward to the next year.

<sup>6</sup> Unaudited figures.

## Annex I

### Major programme I: Judiciary

#### 1. Programme 1100: Presidency

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Conduct five investigations into cases and one trial, subject to external cooperation received (SO 1)	<ul style="list-style-type: none"> <li>Assistance to Chambers in efficient use of existing resources.</li> </ul>	<ul style="list-style-type: none"> <li>Number of measures taken to improve efficiency of proceedings and workflow.</li> </ul>	3	6
Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 8)	<ul style="list-style-type: none"> <li>Improved awareness and understanding of the Court through communication with the diplomatic community</li> </ul>	<ul style="list-style-type: none"> <li>Number of briefings and meetings.</li> </ul>	3 diplomatic briefings 1 meeting ICC/UN officials	<ul style="list-style-type: none"> <li>3 briefings held.</li> <li>Meeting postponed to 2009.</li> </ul>

#### 2. Programme 1200: Chambers

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Conduct five investigations into cases and one trial, subject to external cooperation received (SO 1)	<ul style="list-style-type: none"> <li>Improved efficiency of proceedings.</li> </ul>	<ul style="list-style-type: none"> <li>Number of measures taken to improve efficiency of proceedings and workflow.</li> </ul>	3	3
Conduct fair, effective and expeditious public proceedings in accordance with the Rome Statute and high legal standards, ensuring full exercise of the rights of all participants. (SG 1)	<ul style="list-style-type: none"> <li>Develop key performance indicators for Chambers.</li> </ul>	<ul style="list-style-type: none"> <li>Number of key performance indicators developed.</li> </ul>	3	Moved to 2009

## Annex II

### Major programme II: Office of the Prosecutor

#### 1. Programme 2100: The Prosecutor

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity. (SO 3)	<ul style="list-style-type: none"> <li>All protocols and standard operating procedures planned for 2008 finalized and implemented.</li> <li>Systematic development of academic legal network and the implementation of the annual Legal Tools Project plan.</li> </ul>	<ul style="list-style-type: none"> <li>Actual proportion of planned protocols/standard operating procedures developed and implemented.</li> <li>Proportion of 2008 legal network development plan and Legal Tools Project objectives achieved.</li> </ul>	100%  100%	<ul style="list-style-type: none"> <li>All SOPs developed and implemented or pending approval under Operations Manual</li> <li>Legal academic network maintained at the level of 2007; additional activities not performed</li> <li>Legal Tools Project Plan fully implemented</li> </ul>
Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights and minimize risks. (SO 11)	<ul style="list-style-type: none"> <li>Delivery of at least the level of service indicated in the SLAs relevant to the units.</li> <li>All OTP-specific language, administrative, budgetary and technical development and improvement objectives for 2008 implemented.</li> </ul>	<ul style="list-style-type: none"> <li>Actual service standards compared to published service standards.</li> <li>Actual proportion of improvements/developments implemented.</li> </ul>	100% > SLA  100%	<ul style="list-style-type: none"> <li>All requests met within timeframes established or negotiated.</li> <li>Formal SLAs pending approval</li> <li>All improvements implemented or pending approval</li> </ul>

#### 2. Programme 2200: Jurisdiction, Complementarity and Cooperation Division

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Conduct five investigations into cases and one trial, subject to external cooperation received (SO 1)	<ul style="list-style-type: none"> <li>All requested and periodic analytical reports on situations of interest or admissibility or interests of justice for situations under investigation delivered on time and substantiated by the Executive Committee.</li> </ul>	<ul style="list-style-type: none"> <li>Actual percentage of reports delivered on time and substantiated by the Executive Committee.</li> </ul>	100%	<ul style="list-style-type: none"> <li>All requested and periodic reports (100%) were submitted to the Executive Committee on time and substantiated.</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
	<ul style="list-style-type: none"> <li>• Efficient and compliant requests for assistance.</li> </ul>	<ul style="list-style-type: none"> <li>• Proportion of requests that are not timely or where conformity issues are identified; rate of compliance.</li> </ul>	<5%	<ul style="list-style-type: none"> <li>• All requests complying with formal and material requirements and processed timely.</li> <li>• All requests for assistance processed in the RFA-Database.</li> </ul>
	<ul style="list-style-type: none"> <li>• Expanded range of providers of information and other support (through general and situation-specific agreements) including assistance for investigative/trial purposes.</li> </ul>	<ul style="list-style-type: none"> <li>• Range available in 2008 vs. range in 2007.</li> </ul>	>10% increase	<ul style="list-style-type: none"> <li>• Range increased in 2008 (in particular African, Latin-American, Arab and European partners, providing technical support, sharing information, facilitating access to witnesses and/or authorization to host interviews on their territory)</li> <li>• Agreements with information providers on the disclosure of protected information reached; continued consultations with Organisations exploring areas for cooperation; finalized MoU with IDLO.</li> </ul>
	<ul style="list-style-type: none"> <li>• Conclusion of expected 2008 cooperation agreements.</li> </ul>	<ul style="list-style-type: none"> <li>• Proportion of expected cooperation agreements established.</li> </ul>	100%	<ul style="list-style-type: none"> <li>• Expected cooperation agreements concluded</li> </ul>
Develop situation-specific mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons. (SO 7)	<ul style="list-style-type: none"> <li>• Adequate progress made in the implementation of cooperation and arrest strategies developed for each situation.</li> </ul>	<ul style="list-style-type: none"> <li>• Actual implementation vs. planned implementation.</li> </ul>	100%	<ul style="list-style-type: none"> <li>• Implementation of strategies completed as planned in all situations.</li> <li>• Coordination and cooperation mechanisms and strategies implemented through consultation with Registry and coordinated interaction with partners (NGOs and States).</li> </ul>
Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 8)	<ul style="list-style-type: none"> <li>• At least 85% implementation of annual objectives contained within cooperation and external relations strategies directly involving OTP.</li> </ul>	<ul style="list-style-type: none"> <li>• Actual implementation rate of annual objectives.</li> </ul>	>85%	<ul style="list-style-type: none"> <li>• Dialogue with NGOs, the diplomatic community and international organizations conducted as planned.</li> <li>• Public information related to relevant developments in the situations or activities of the Office disseminated to States, NGOs, international organizations and media.</li> </ul>

### 3. Programme 2300: Investigation Division

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Conduct five investigations into cases and one trial, subject to external cooperation received (SO 1)	<ul style="list-style-type: none"> <li>• Collection and analysis objectives set out in the joint team investigation plan reached for the five investigations.</li> <li>• Support to trial teams provided with satisfactory timeliness and quality.</li> </ul>	<ul style="list-style-type: none"> <li>• Planned collection vs. actual collection.</li> <li>• Half-yearly survey of satisfaction (Prosecution Division): number of collection products requested vs. number of products delivered in time and with required quality.</li> </ul>	<p>0% deviation</p> <p>&gt;90% satisfaction</p>	<ul style="list-style-type: none"> <li>• Investigations executed in line with updated planning <ul style="list-style-type: none"> <li>○ 97 investigative missions performed</li> <li>○ 104 interviews conducted</li> </ul> </li> <li>• Based on a survey of Senior Trial Lawyers, the products and services provided by ID in support to prosecution presented a level of satisfaction within the target.</li> </ul>

### 4. Programme 2400: Prosecution Division

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Conduct five investigations into cases and one trial, subject to external cooperation received (SO 1)	<ul style="list-style-type: none"> <li>• High-quality and compact applications delivered within the stipulated time-frames (on time and approved by peer review/Deputy Prosecutor).</li> </ul>	<ul style="list-style-type: none"> <li>• Proportion of draft submissions approved by a peer review board and delivered on time.</li> </ul>	100%	<ul style="list-style-type: none"> <li>• During 2008, applications for warrants of arrest were internally reviewed and subsequently filed in the Darfur (2 and 3) and in the CAR (1) situations. The CAR application has already led to a warrant of arrest being executed. The Darfur applications, which include an application against the President of Sudan, are still pending.</li> </ul>
	<ul style="list-style-type: none"> <li>• Efficient presentation of evidence before the Pre-Trial and Trial Chambers.</li> </ul>	<ul style="list-style-type: none"> <li>• . Proportion of bi-monthly reviews of case progress and updates to case-approach approved by the Prosecutor and Deputy Prosecutor</li> </ul>	100%	<ul style="list-style-type: none"> <li>• Evidence was efficiently presented for the purposes of the Katanga/Ngudjolo (DRC2) and Bemba (CAR) confirmation hearings, as well as the Lubanga trial, with full use of the E-Court functionalities and adherence to the relevant protocols.</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
	<ul style="list-style-type: none"> <li>• Efficient communication of incriminatory, PEXO and Rule 77 material to the defence.</li> </ul>	<ul style="list-style-type: none"> <li>• Litigation arising of, or adverse rulings related to the manner in which disclosure is effected.</li> </ul>	100%	<ul style="list-style-type: none"> <li>• In all cases involving persons detained before the Court, disclosure requirements have been strictly complied with, and all efforts have been made to ensure timely and efficient transmission of material to the defence.</li> </ul>
	<ul style="list-style-type: none"> <li>• Efficient presentation of legal arguments before Pre-Trial, Trial and Appeals Chambers of the Court.</li> </ul>	<ul style="list-style-type: none"> <li>• Proportion of draft submissions reviewed internally and delivered on time.</li> </ul>	100%	<ul style="list-style-type: none"> <li>• Numerous briefs were filed before Pre-Trial, Trial and Appeals Chambers on a broad range of issues. In all cases, deadlines were complied with and the briefs presented amply met the internal quality standards. The filings include the presentation of charges in the Katanga/Ngudjolo and Bemba cases. Favourable rulings were entered, inter alia, after the Katanga/Ngudjolo confirmation hearing (charges confirmed) and during the Bemba Confirmation hearing Lubanga pre-trial proceedings (stay of proceedings lifted and date for trial set). In turn, 13 rulings were received from the Appeals Chamber during 2008 on matters of fundamental importance, the vast majority of which were favourable to OTP</li> </ul>

## Annex III

### Major programme III: Registry

#### 1. Programme 3100: Office of the Registrar

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Conduct five investigations into cases and one trial, subject to external cooperation received. (SO 1)	<ul style="list-style-type: none"> <li>• Support of investigations and trials delivered in keeping with the statutory framework.</li> </ul>	<ul style="list-style-type: none"> <li>• Regular assessments with stakeholders conducted as foreseen in the bi-annual plan.</li> </ul>	(*)	<p>Regular assessments with stakeholders done by the Registrar through:</p> <ul style="list-style-type: none"> <li>➤ Participation in two CBF meetings, the resumed ASP and the annual meeting of the ASP</li> <li>➤ Three diplomatic briefings</li> <li>➤ Participation in The Hague Working Group and on occasions in the New York Working Group</li> <li>➤ More than 30 bilateral meetings with representatives of states and over 15 participations in outside events, e.g. lectures, roundtables</li> <li>➤ 28 meetings with representatives of international organisations, bar associations and other entities</li> <li>➤ Two strategic meetings with NGOs and 10 individual meetings with representatives of NGOs</li> <li>➤ Other events participated in: Friends of the Court, COJUR, seminar on defence counsel, expert meeting on witness protection, seminar on family visit</li> <li>➤ Four missions to the field and three missions to New York (including feasibility study trial in situ)</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Put in place a system to address all security risks, striving for maximum security of all participants consistent with the Rome Statute. (SO 2)	<ul style="list-style-type: none"> <li>Maintenance of a secure and safe environment at the seat of the Court.</li> </ul>	<ul style="list-style-type: none"> <li>Screening of all persons and items entering the Court's premises.</li> </ul>	100%	<ul style="list-style-type: none"> <li>ICC operates 100% screening policy for persons and items entering the Arc premises.</li> <li>Total number of persons entering the premises after security screening has increased by 5.1%. Total number of items screened has increased by 15.3%</li> </ul>
		<ul style="list-style-type: none"> <li>Response by a security officer to all emergencies within two minutes.</li> </ul>	100%	<ul style="list-style-type: none"> <li>SSS has responded within the timeline to qualifying incidents at 98.5% rate.</li> </ul>
	<ul style="list-style-type: none"> <li>Field security management system in accordance with UN / international standards.</li> </ul>	<ul style="list-style-type: none"> <li>Compliance with United Nations Field Security training programmes (both Basic and Advanced Security in the Field).</li> </ul>	100%	<ul style="list-style-type: none"> <li>Completion rate for the Basic Security in the Field training package for all ICC personnel is 66% (536 out of 811).</li> <li>Completion rate for the Advanced Security in the Field training package for all Court personnel is 90% (329 personnel)</li> </ul>
		<ul style="list-style-type: none"> <li>Compliance with minimum operating security standards (MOSS) and minimum operating residential security standards (MORSS).</li> </ul>	100%	<ul style="list-style-type: none"> <li>ICC MOSS 94%</li> <li>MORSS 99%</li> </ul> <p>Note: that these figures are across all ICC Field Offices and situations.</p>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
	<ul style="list-style-type: none"> <li>Controlled and consistent information security management process.</li> </ul>	<ul style="list-style-type: none"> <li>Increased maturity score during periodic ISO 27001 assessment.</li> </ul>	(*)	<ul style="list-style-type: none"> <li>ICC conducted a penetration test in 2008 and as a result of the test an action plan has been developed and in progress of being implemented.</li> <li>There has been no reassessment regarding maturity score in 2008. In 2009 Audit and ICTS will conduct a joint assessment that will also include areas of relevance to the 27001 maturity scale.</li> <li>The 2009 assessment is being fed by the I.S.O. with material of the 2007 maturity assessment for reference.</li> <li>Major issues that come up in the 27001 assessment were absence of Court policies and security controls in the network. Both issues have improved considerably since. Other measure implemented since are the training and awareness program and the forensic capability of the Court.</li> <li>The ISO advises to await the results of the joint assessment of Audit and ICTS for relevant observations. In the absence of such observations a follow up assessment can be procured, subject to funding.</li> </ul>
(Related to the two above objectives)	<ul style="list-style-type: none"> <li>Protection of the Court's legal interests.</li> </ul>	<ul style="list-style-type: none"> <li>Number of framework agreements concluded in support of the Court's operations.</li> </ul>	6	<ul style="list-style-type: none"> <li>One witness protection agreement concluded and advanced progress made with the negotiation of others.</li> </ul>
		<ul style="list-style-type: none"> <li>Number of cases resolved satisfactorily.</li> </ul>	14	<ul style="list-style-type: none"> <li>There have been no commercial contracts related disputes; eighteen administrative review decisions were taken, ten Appeals Board cases were considered five of which were amicably resolved; four Disciplinary cases were filed and resolved amicably. Other six cases of a miscellaneous nature were also dealt with.</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks. (SO 11)	<ul style="list-style-type: none"> <li>Maintenance of an effective system of internal controls with regards to budget implementation.</li> </ul>	<ul style="list-style-type: none"> <li>Expenditure in line with the approved budget.</li> </ul>	Zero overspend	<ul style="list-style-type: none"> <li>Zero overspent</li> </ul>
	<ul style="list-style-type: none"> <li>Reduced financial risk.</li> </ul>	<ul style="list-style-type: none"> <li>Number of issues identified by internal audit.</li> </ul>	Zero	<ul style="list-style-type: none"> <li>28 recommendations made</li> </ul>
		<ul style="list-style-type: none"> <li>Delivery of independent, assurance-based performance audits, objective information and advice.</li> </ul>	(*)	<ul style="list-style-type: none"> <li>Audit of Leave Management</li> <li>Audit of the Victims and Witnesses undercover financial activities</li> <li>Audit of financial controls of FOS</li> <li>Audit of General Procurement</li> <li>Audit of IT Procurement</li> <li>Audit of Individual Consultants and Contractors</li> <li>Audit of the Administration of Trust Fund for Victims</li> <li>Investigation into misappropriation of funds in Kinshasa</li> <li>Investigation into the fraudulent use of SIM cards in Kinshasa</li> </ul>

\* The Court is working to develop baselines for these objectives.

## 2. Programme 3200: Common Administrative Services Division

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks. (SO 11)	<ul style="list-style-type: none"> <li>Implement integrated management systems.</li> </ul>	<ul style="list-style-type: none"> <li>Number of information system modules available.</li> </ul>	38	<ul style="list-style-type: none"> <li>46</li> </ul>
		<ul style="list-style-type: none"> <li>Number of available accepted reports.</li> </ul>	30	<ul style="list-style-type: none"> <li>43 reports available, including SAP and ECOS reports.</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Develop and implement a structure to ensure publicity of all proceedings for local and global audiences. (SO 9)	<ul style="list-style-type: none"> <li>Number of field offices allowing public access and use.</li> </ul>	<ul style="list-style-type: none"> <li>Number of field offices allowing public access and use.</li> </ul>	4	<ul style="list-style-type: none"> <li>4: all field offices are allowing public access and use.</li> </ul>
	<ul style="list-style-type: none"> <li>Number of field offices technically set up for all required communication types.</li> </ul>	<ul style="list-style-type: none"> <li>Number of field offices technically set up for all required communication types.</li> </ul>	4	<ul style="list-style-type: none"> <li>4: all field offices are set-up for the required communication types.</li> </ul>
Offer development and employment advancement opportunities to well-performing staff. (SO 17)	<ul style="list-style-type: none"> <li>Implement strategy for advancement opportunities</li> </ul>	<ul style="list-style-type: none"> <li>Number of sub-objectives implemented with no budgetary implications.</li> </ul>	80% of all sub-objectives	<ul style="list-style-type: none"> <li>A well-functioning performance management system has been institutionalized, as proposed in the HR strategic objectives. (100%)</li> </ul>
		<ul style="list-style-type: none"> <li>Number of sub-objectives implemented with budgetary implications.</li> </ul>	20% of all sub-objectives	<ul style="list-style-type: none"> <li>Of the two sub-objectives with budgetary implications, one has been partially implemented (Provide learning and training opportunities that contribute to the achievement of the ICC goals and address individual development needs). The other sub-objective related to systems for career management and advancement of staff has only very partially been started. Total of about 25% of achievement.</li> </ul>
Formulate options for different geographical locations of the Court's resources and activities, including the requirements for the permanent premises. (SO 5)	<ul style="list-style-type: none"> <li>Secure sufficient workspace to support all activities of the Court.</li> </ul>	<ul style="list-style-type: none"> <li>Number of workspaces provided for.</li> </ul>	960	<ul style="list-style-type: none"> <li>100%: all staff members had workspace provided for, including field staff.</li> </ul>

### 3. Programme 3300: Division of Court Services

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Conduct five investigations and one trial, subject to external cooperation received. (SO 1)	<ul style="list-style-type: none"> <li>Support to Court sessions in accordance with the Regulations of the Court and the Regulations of the Registry.</li> </ul>	<ul style="list-style-type: none"> <li>Number of delays or interruptions attributable to the Division.</li> </ul>	10% maximum	<ul style="list-style-type: none"> <li>100%</li> </ul>
	<ul style="list-style-type: none"> <li>Efficient and effective services to requesting parties and to Chambers.</li> </ul>	<ul style="list-style-type: none"> <li>Requests responded to within a week.</li> </ul>	90%	<ul style="list-style-type: none"> <li>100%</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Put in place a system to address all security risks, striving for maximum security of all participants consistent with the Rome Statute. (SO 2)	• A well-ordered detention community.	• Number of incidents.	(*)	• 1 related to security
		• Number of incidents due to inadequate protection.	(*)	• 0
		• Positive ICRC reviews.	100%	• 100%
	• Efficient and effective support, protection and operational/logistical services to victims, witnesses and others at risk, regardless of location, subject to assessment.	• Number of “good” or “very good” service reviews.	75%	• In the absence of trial, no formal witness feedback received for trial services. However the target was met regarding protection and logical support in the field.
Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court’s activities in a manner that is respectful of diversity. (SO 3)	• Strengthening terminological accuracy and consistency.	• Number of searches performed in language tools per month.	(*)	• 15,686 searches performed per month
	• Effective and accurate translation and interpretation (Court and field) with focus on rare languages	• Number of qualified interpreters for rare languages working for the Court.	(*)	• 2 interpreters Swahili booth • 2 interpreters Lingala booth • Goal: Develop a full Lingala booth • Action Completed: Selection of candidates for P-1 paraprofessional training Lingala; training program started in 2008.
Develop situation-specific mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons. (SO 7)	• Successful arrest operations.	• Number of complaints by participants, Chambers or civil society regarding arrest operations.	(*)	• 2 successful arrest operations ( Mr Ngudjolo and Mr Bemba)
		• Number of agreements with States, organizations and local stakeholders regarding services provided by the DCS.	(*)	• Three agreements with States

\* The Court is working to develop baselines for these objectives.

#### 4. Programme 3400: Public Information and Documentation Section

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities. (SO 6)	<ul style="list-style-type: none"> <li>Increased awareness and understanding at grassroots levels of Court activities.</li> <li>Increased applications by victims to participate in proceedings.</li> <li>Realistic expectations about the scope of the work of the Court.</li> </ul>	<ul style="list-style-type: none"> <li>Number of base surveys.</li> <li>Number of interviews with focus groups.</li> </ul>	4 per situation 24 per situation	<ul style="list-style-type: none"> <li>UG: 3; DRC: 3; SUD-2; CAR: 0</li> <li>UG:44; DRC:157; SUD: 2; CAR: 9</li> </ul>
		<ul style="list-style-type: none"> <li>Estimated population reached via radio and television.</li> </ul>	80% of affected communities	<ul style="list-style-type: none"> <li>UG:89%; DRC:45%; SUD:80%<sup>7</sup>; CAR:3%</li> </ul>
		<ul style="list-style-type: none"> <li>Proportion of properly filled victims' applications submitted.</li> </ul>	75%	<ul style="list-style-type: none"> <li>1,280 applications received : SUD 0%, CAR 83%, UG 66%, DRC88%</li> </ul>
		<ul style="list-style-type: none"> <li>Number of random interviews with typical members of a target group.</li> </ul>	120 per situation	<ul style="list-style-type: none"> <li>UG: 201; DRC: 116; SUD: 16; CAR: 6</li> </ul>
Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 8)	<ul style="list-style-type: none"> <li>Operation of the Court is well known.</li> </ul>	<ul style="list-style-type: none"> <li>Performance indicators used to assess Objective 1 will also serve to measure Objective 2 (cross information).</li> </ul>		<ul style="list-style-type: none"> <li>UG:89%; DRC:45%; SUD:79%; CAR:3%</li> </ul>
		<ul style="list-style-type: none"> <li>Number of visitors briefed.</li> </ul>	10,300	<ul style="list-style-type: none"> <li>6,847 visitors briefed</li> </ul>
Develop and implement a structure to ensure publicity of all proceedings for local and global audiences. (SO 9)	<ul style="list-style-type: none"> <li>Increased access to Court judicial proceedings.</li> </ul>	<ul style="list-style-type: none"> <li>Estimated population reached.</li> </ul>	30%	<ul style="list-style-type: none"> <li>UG:89%; DRC:45%; SUD:79%; CAR:3%</li> </ul>
		<ul style="list-style-type: none"> <li>Number of viewing sites operating.</li> </ul>	2	<ul style="list-style-type: none"> <li>UG: 0; DRC: 2; CAR: 1; SUD: 0</li> </ul>
		<ul style="list-style-type: none"> <li>Increased number of visitors to the Court's website video-streaming service.</li> </ul>	30%	<ul style="list-style-type: none"> <li>1,737.739 achieved</li> </ul>
		<ul style="list-style-type: none"> <li>Number of publications and outreach materials distributed.</li> </ul>	70,000	<ul style="list-style-type: none"> <li>65,310 printing materials in the field; 56 radio and television programmes</li> </ul>
	<ul style="list-style-type: none"> <li>Internal and external clients satisfied with the service provided.</li> </ul>	90%	<ul style="list-style-type: none"> <li>84% of requests received were successfully fulfilled.</li> </ul>	

<sup>7</sup> In the situation in Darfur this data refers to Sudanese refugees based in Eastern Chad.

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Put in place a system of programmes to achieve identified optimal levels of quality with maximum efficiency. (SO 12)	<ul style="list-style-type: none"> <li>• Legal and other information resources readily available from the Library.</li> </ul>	<ul style="list-style-type: none"> <li>• Number of users of the Library intranet section.</li> </ul>	3,600	<ul style="list-style-type: none"> <li>• 9,000 sessions on library online catalogue.</li> </ul>
		<ul style="list-style-type: none"> <li>• User satisfaction of Library services.</li> </ul>	90%	<ul style="list-style-type: none"> <li>• Number of users of the library intranet section (still to be provided by ICT Section in consultation with the Library).</li> </ul>

## 5. Programme 3500: Division of Victims and Counsel

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity. (SO 3)	<ul style="list-style-type: none"> <li>• Provision of legal assistance and/or advice for the purpose of proceedings before the Court.</li> </ul>	<ul style="list-style-type: none"> <li>• Number of legal representations provided.</li> </ul>	15	<ul style="list-style-type: none"> <li>• Legal representation was provided by way of 18 appointments of external counsel</li> <li>• Legal representation was also provided by way of 27 appointments of OPCD which resulted in 30 interventions in court proceedings to represent the interests of the defence in connection with 4 interlocutory appeals, 64 victim applications (DRC), two Trust Fund Notifications.</li> <li>• Legal representation was finally provided by way of 7 appointments of OPCV to represent a total of 397 applicants/victims in the proceedings (108 in DRC; 237 in Uganda; 29 in CAR; 23 in Darfur (Sudan), which resulted in 71 interventions in courts proceedings (19 in DRC sit; 13 in Lubanga case; 4 in Katanga and Ngudjolo Chui case; 10 in Uganda sit.; 12 in Kony et al. case; 13 in Bemba case) and 8 representation at hearings in the absence of legal representatives</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
	<ul style="list-style-type: none"> <li>Preparation of suitable material for legal representatives' teams or for defence teams</li> </ul>	<ul style="list-style-type: none"> <li>Number of legal advisory services provided.</li> </ul>	300	<ul style="list-style-type: none"> <li>A total of 423 as follows: <ul style="list-style-type: none"> <li>To suspects/accused by OPCD <ul style="list-style-type: none"> <li>184 legal advisory services in connection with 3 defence teams and 3 ad hoc counsel.</li> <li>Legal assistance to defence teams through access to real time transcripts during 38 hearings.</li> </ul> </li> <li>To legal representatives by OPCV: <ul style="list-style-type: none"> <li>120 legal advices</li> <li>31 legal researches</li> <li>25 draft submissions</li> <li>Legal assistance to legal representatives teams during 25 hearings</li> </ul> </li> </ul> </li> </ul>
		<ul style="list-style-type: none"> <li>Number of reports and other applicable documents.</li> </ul>	40	<ul style="list-style-type: none"> <li>85</li> </ul>
		<ul style="list-style-type: none"> <li>Number of justified claims in relation to actions carried out by the Office on behalf of legal representatives' teams.</li> </ul>	None	<ul style="list-style-type: none"> <li>None</li> </ul>
		<ul style="list-style-type: none"> <li>Policy document prepared.</li> </ul>	95%	<ul style="list-style-type: none"> <li>100%</li> </ul>
	<ul style="list-style-type: none"> <li>Compliance with strict confidentiality rules by all staff members of the units within the programme.</li> </ul>	<ul style="list-style-type: none"> <li>Number of incidents of improper handling of confidential information.</li> </ul>	None	<ul style="list-style-type: none"> <li>None</li> </ul>
		<ul style="list-style-type: none"> <li>Number of justified claims in relation to breach of confidentiality.</li> </ul>	None	<ul style="list-style-type: none"> <li>None</li> </ul>
	<ul style="list-style-type: none"> <li>Availability of transparent criteria for providing legal support to legal teams.</li> </ul>	<ul style="list-style-type: none"> <li>Policy document prepared.</li> </ul>	95%	<ul style="list-style-type: none"> <li>75% 3 out of 4 of the major policy documents completed</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities. (SO 6)	<ul style="list-style-type: none"> <li>• Suspects, accused persons, and victims' communities are respectively made aware of their fair trial rights, and their rights to participate and to reparations.</li> </ul>	<ul style="list-style-type: none"> <li>• Number of applications from victims using the standard application forms.</li> </ul>	>75%	<ul style="list-style-type: none"> <li>• 100% all applicants (629 victims applicants) have used the relevant application forms for participation/reparation</li> </ul>
		<ul style="list-style-type: none"> <li>• Number of suspect and accused persons using the relevant application forms for legal assistance paid by the Court.</li> </ul>	>95%	<ul style="list-style-type: none"> <li>• 100% All suspects and accused have used the relevant form</li> </ul>
		<ul style="list-style-type: none"> <li>• Number of intermediaries.</li> </ul>	10	<ul style="list-style-type: none"> <li>• 20</li> </ul>
Put in place a system of programmes to achieve identified optimal levels of quality with maximum efficiency. (SO 12)	<ul style="list-style-type: none"> <li>• Effective system for legal assistance paid by the Court.</li> </ul>	<ul style="list-style-type: none"> <li>• Number of requests for legal assistance paid by the Court.</li> </ul>	(*)	<ul style="list-style-type: none"> <li>• 2 from defendants (1 of them refused)</li> <li>• 131 from victims questionnaires on financial situation and declaration of indigence received</li> </ul>
		<ul style="list-style-type: none"> <li>• Number of lawyers in good standing in their national systems.</li> </ul>	200	<ul style="list-style-type: none"> <li>• 280 (All counsel on the List to date)</li> </ul>
		<ul style="list-style-type: none"> <li>• Proportion of payments made within one month.</li> </ul>	95%	<ul style="list-style-type: none"> <li>• 98 %</li> </ul>
	<ul style="list-style-type: none"> <li>• Effective system to process applications from victims.</li> </ul>	<ul style="list-style-type: none"> <li>• Number of applications from victims registered and acknowledged within seven days of receipt.</li> </ul>	95%	<ul style="list-style-type: none"> <li>• 100% registered within seven days of receipt.</li> </ul>
		<ul style="list-style-type: none"> <li>• Number of applications from victims entered into database within 30 days of receipt.</li> </ul>	95%	<ul style="list-style-type: none"> <li>• 72.5% (457)</li> </ul>
	<ul style="list-style-type: none"> <li>• Training of legal professionals and lawyers in targeted countries on proceedings before the Court.</li> </ul>	<ul style="list-style-type: none"> <li>• Number of lawyers trained per annum.</li> </ul>	>40	<ul style="list-style-type: none"> <li>• Ca 140</li> </ul>

## Annex IV

### Major programme IV: Secretariat of the Assembly of States Parties

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Organize quality conferences by organizing a four-day resumed session of the sixth session of the Assembly in New York, as well as the seventh session of the Assembly in The Hague and two sessions of the Committee on Budget and Finance in The Hague. In addition, the Secretariat will service meetings of a number of subsidiary bodies of the Assembly, in particular the Special Working Group on the Crime of Aggression.	<ul style="list-style-type: none"> <li>• Conference held as planned.</li> </ul>	<ul style="list-style-type: none"> <li>• Meetings run smoothly, end on time and adopt reports.</li> </ul>	n/a	<ul style="list-style-type: none"> <li>• All meetings of the Assembly and its subsidiary bodies were conducted smoothly, with attendance by a high percentage of members. The Assembly concluded its seventh session one day before the scheduled closing date, which was facilitated in part by the efficient servicing by the Secretariat, including the on-time translation and issuance of in-session documentation. The Secretariat also serviced meetings of the following subsidiary bodies:               <ul style="list-style-type: none"> <li>• The Hague Working Group of the Bureau;</li> <li>• The Oversight Committee on permanent premises; and</li> <li>• Two sessions (22 meetings) of the Committee on Budget and Finance</li> </ul> </li> </ul>
		<ul style="list-style-type: none"> <li>• All agenda items are considered.</li> </ul>		<ul style="list-style-type: none"> <li>• The meetings were facilitated in concluding consideration of all agenda items and taking decisions thereon.</li> </ul>
		<ul style="list-style-type: none"> <li>• Participants are supported substantively and logistically at meetings, including with registration, provision of documentation, and language services.</li> </ul>		<ul style="list-style-type: none"> <li>• The Secretariat provided substantive and logistical support to States Parties at meetings. Registration of all participants in the seventh session was successfully completed, all required documentation was processed and available to participants and quality interpretation was provided. Within the scope of its mandate, the Secretariat assisted participants with all queries and logistical arrangements.</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
		<ul style="list-style-type: none"> <li>• Participants of the sessions are satisfied with the arrangements and information provided.</li> </ul>		<ul style="list-style-type: none"> <li>• Participants were satisfied with the arrangements put in place by the Secretariat, including the administration of the Trust Funds for the participation of the least developed countries and other developing States in the meetings of the Assembly, as well as with the arrangements for numerous side-events.</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
<p>Enable the Assembly and its subsidiary organs to carry out its mandate more effectively by: providing it with quality servicing and support, such as planning and coordinating conference services; preparing, coordinating and submitting documentation; monitoring the compliance of various organs of the Court with regulations governing the timely preparation and submission of documents; identifying and acquiring additional resources to enable the Secretariat to carry out its mandate effectively and efficiently; and ensuring that States Parties have access to conference and documentation services in accordance with the Statute.</p>	<ul style="list-style-type: none"> <li>Quality edited and translated documents released for processing, production and distribution in a timely manner.</li> </ul>	<ul style="list-style-type: none"> <li>States are provided and satisfied with quality conference services and with the editing, translation and timely issuance of documents in the six official languages, which fully support them in their functions.</li> </ul>	n/a	<ul style="list-style-type: none"> <li>High-quality conference services were provided to the Assembly and its subsidiary bodies. All pre-session documents, in-session and post-session documents were edited, translated and made available to States in a timely manner, despite delays in receipt of some documents.</li> <li>For the tenth and eleventh sessions of the Committee on Budget and Finance, the Secretariat processed, in the six languages of the Assembly, 66 documents (726 pages) and 72 documents (498 pages), respectively.</li> <li>For the resumed sixth session, the Secretariat processed, in the six official languages of the Assembly, the following documentation: <ul style="list-style-type: none"> <li>Pre-session: 18 documents (66 pages);</li> <li>In-session: 48 documents (288 pages); and</li> <li>Post-session: 6 documents (174 pages).</li> </ul> </li> <li>For the seventh session of the Assembly, the Secretariat processed, in the six official languages, the following documentation: <ul style="list-style-type: none"> <li>Pre-session: 264 documents (4920 pages);</li> <li>In-session: 102 documents (4920 pages); and</li> <li>Post-session: 12 documents (2484 pages).</li> </ul> </li> </ul>
		<ul style="list-style-type: none"> <li>States are assisted as required, in particular with the provision of information and documentation regarding the Assembly and Court.</li> </ul>		<ul style="list-style-type: none"> <li>All available information and documentation requested regarding the work of the Assembly and the Court were provided upon request. States were thus facilitated in fulfilling their role.</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
<p>Research and prepare analytical studies on the application and interpretation of the provisions of the Statute related to the Assembly and its subsidiary bodies.</p>	<ul style="list-style-type: none"> <li>• Quality legal advice provided to the Assembly and its subsidiary bodies.</li> </ul>	<ul style="list-style-type: none"> <li>• States are provided with substantive legal services, especially in the form of documentation, thereby facilitating and supporting their work.</li> <li>• Members of the Assembly and relevant bodies are satisfied with the sessions.</li> </ul>	<p>n/a</p>	<ul style="list-style-type: none"> <li>• Legal and analytical studies were prepared for the Assembly and its subsidiary bodies, which facilitated and supported their work.</li> </ul>
<p>Enable effective dissemination of documentation and information to States Parties and other interested organizations via, inter alia, the internet.</p>	<ul style="list-style-type: none"> <li>• Effective dissemination of documentation and information to States Parties via, <i>inter alia</i>, the internet.</li> </ul>	<ul style="list-style-type: none"> <li>• Web site and the Assembly extranet are used frequently.</li> </ul>	<p>n/a</p>	<ul style="list-style-type: none"> <li>• Information regarding the Assembly and the issues before it was regularly made available to States and interested parties, where appropriate, via the internet web site and the Assembly extranet. States were satisfied with the provision of this service. The Secretariat, on a regular basis, disseminated to States the relevant documentation for all meetings.</li> </ul>
		<ul style="list-style-type: none"> <li>• Information and documentation can be accessed without delays.</li> </ul>		<ul style="list-style-type: none"> <li>• Information and documentation were posted on the website of the Assembly upon issuance, including information on the nominations for the election of judges and members of the Committee on Budget and Finance, thus making them accessible without delay.</li> </ul>

## Annex V

### Major programme VI: Secretariat of the Trust Fund for Victims

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Formulate options for different geographical allocations of the Court's resources and activities, including the requirements for the permanent premises. (SO 5)	<ul style="list-style-type: none"> <li>TFV staff in place both in the field and at HQ.</li> </ul>	<ul style="list-style-type: none"> <li>All staff on board.</li> </ul>	100%	<ul style="list-style-type: none"> <li>50%</li> </ul>
	<ul style="list-style-type: none"> <li>Programmatic and financial frameworks approved and in place.</li> </ul>	<ul style="list-style-type: none"> <li>Frameworks approved, disseminated and in use.</li> </ul>	2	<ul style="list-style-type: none"> <li>2 (100%)</li> </ul>
	<ul style="list-style-type: none"> <li>Agreements reached with partners on specific donations to the TFV or on project implementation modalities.</li> </ul>	<ul style="list-style-type: none"> <li>Proposal turnaround (approval/rejection) time.</li> </ul>	<60 days	<ul style="list-style-type: none"> <li>On average 4 months</li> </ul>
	<ul style="list-style-type: none"> <li>Resources allocated to projects based on the above frameworks.</li> </ul>	<ul style="list-style-type: none"> <li>Level of funding earmarked for approved projects.</li> </ul>	(*)	<ul style="list-style-type: none"> <li>Projects with a value of €1.2 million are currently under implementation</li> </ul>
Develop situation-specific mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons. (SO 7)	<ul style="list-style-type: none"> <li>Regular forums with partners.</li> </ul>	<ul style="list-style-type: none"> <li>Number of forums/participants.</li> </ul>	(*)	<ul style="list-style-type: none"> <li>4 meetings with the Friends of the Court with an average of 40 participants each time plus regular meetings with partners in the fields</li> </ul>
	<ul style="list-style-type: none"> <li>Expansion of the network of partners.</li> </ul>	<ul style="list-style-type: none"> <li>Number of partners.</li> </ul>	(*)	<ul style="list-style-type: none"> <li>87</li> </ul>
	<ul style="list-style-type: none"> <li>Increased resource mobilization levels.</li> </ul>	<ul style="list-style-type: none"> <li>Amount of contributions received.</li> </ul>	Double funds value	<ul style="list-style-type: none"> <li>The TFV received €980,600 in cash contributions plus the equivalent of about €100,000 in-kind donations which were implemented in 2008. In addition, the Government of Denmark pledged €500,000 for the TFV.</li> </ul>
	<ul style="list-style-type: none"> <li>Approved/implemented Communication Strategy.</li> </ul>	<ul style="list-style-type: none"> <li>Proportion of strategy implemented/disseminated.</li> </ul>	(*)	<ul style="list-style-type: none"> <li>15%</li> </ul>

<i>Objectives</i>	<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2008</i>	<i>Achievements</i>
Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 8)	• Advocacy for the Fund, the Court and the victims through electronic, traditional, political and other forums.	• Number of forums.	(*)	• 10 per year
		• Number of individuals reached.	(*)	• Approximately 5000
		• Effective use of the TFV communication strategy.	(*)	• 15%
Develop situation-specific mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons. (SO 7)	• Direct participation of victims in the determination of their needs and in the implementation of the solutions.	• Number of forums with victims.	(*)	• 32
		• Projects elaborated with victims.	(*)	• 34 projects reaching 340,000 direct/indirect beneficiaries
	• Targeted but systematic support and project oversight.	• Number of monthly visits by TFV staff/partners.	(*)	• 12 visits per year in each country (Uganda/ DRC)
	• Close coordination with other partners intervening in the area/sector.	• Number of meetings attended by the TFV of sector coordination meetings.	(*)	• The staff of the Secretariat of the TFV attends all sector coordination meetings in the fields
• Compliance with budget and programme objectives/milestones.	• Annual compliance with budget limits, programme objectives and donor agreements.	100%	• 99.8%	

(\*) As the TFV is in a start-up phase it is not currently possible to estimate the level of activities.

## **Annex VI**

### **Major programme VII: Project office for the Permanent premises**

N/A

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