Part III
Resolutions and recommendations adopted by the Assembly
of States Parties

A. Resolutions

Resolution ICC-ASP/6/Res.1

Adopted at the 7th plenary meeting, on 14 December 2007, by consensus

ICC-ASP/6/Res.1 Permanent premises

The Assembly of States Parties,

Recalling its resolution ICC-ASP/4/Res.2, which emphasized that "the Court is a permanent judicial institution and as such requires functional permanent premises to enable the Court to discharge its duties effectively and to reflect the significance of the Court for the fight against impunity" and recommended, "bearing in mind the recommendation of the Committee contained in paragraph 86 of its report on the work of its fifth session (ICC-ASP/4/27), that the Bureau of the Assembly and the Committee remain seized of the matter and report to the fifth session of the Assembly of States Parties on the issue of permanent premises of the Court", ¹

Further recalling its resolution ICC-ASP/5/Res.1, which requested that "the International Criminal Court should now focus on option 3 only, purpose-built premises on the Alexanderkazerne site, with a view to allowing the Assembly to take an informed decision at its next session",

Recalling that resolution ICC-ASP/5/Res.1 requested the Court to "finish preparing in the shortest possible time a detailed functional brief that would include its user and security requirements reflecting scalability in terms of staffing levels"; "prepare, in consultation with the host State, cost estimates for the project"; and "prepare, in consultation with the host State, a provisional timetable with key decision points, a summary of planning and permit issues, and a planning strategy for the site showing possible modular approaches to scalability",

Further recalling that resolution ICC-ASP/5/Res.1 requested the host State, "in order to allow a review by the Committee on Budget and Finance at its eighth session in 2007, to provide further information on the financial and land offers contained in the further host State bid, including the possible options and methods for managing the proposed loan, any legal issues concerning the separation of ownership of the land and the proposed buildings and other issues that would be subject to a contract between the host State and the Court" and, "in consultation with the Bureau and the Court, to propose the framework, criteria, legal parameters and modalities for an international architectural concept design competition, including any pre-selection criteria and process",

Recalling that resolution ICC-ASP/5/Res.1 requested the Bureau to "review the information" prepared by the Court and the host State and "identify any gaps or other concerns to the Court and the host State so that the information is completed to the required level" and requested the Bureau, "in consultation with the Court and the host State, to prepare options for a governance structure for the project that would specify the respective roles and responsibilities of the Assembly, the Court and the host State" and to "prepare options for effective participation by the Assembly of States Parties in the project governance and oversight structures",

20

¹ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Fourth session, The Hague, 28 November to 3 December 2005 (International Criminal Court publication, ICC-ASP/4/32), part III.

Noting that the aforementioned documentation has been prepared and reviewed by the Bureau,

Recognizing the important role of the Court throughout the process,

Noting that the number of workstations that may be approved by the Assembly for the permanent premises does not imply that the Assembly has agreed to a specific staffing level for the Court, which will be decided annually by the Assembly,

Mindful of the reports of the Committee on Budget and Finance on the work of its eighth and ninth sessions, and particularly paragraph 92 of the report of the ninth session,

Noting that the construction costs of the project comprising the costs of the materials, labour, fixtures, landscaping and parking are estimated to be no more than €115 million at the 2007 price level and that the overall construction costs, which include a contingency reserve, fees for the consultants and contractors, pre-tender and post-tender inflation, any fees for permits and dues and a fund for integrated, specialized representational features,² are currently estimated to be no more than €190 million at the 2014 price level,

Further noting that these estimates are made on the basis of the permanent premises consisting of three courtrooms with a total gross floor area of up to 46,000 square metres and up to 1,200 workstations,

Noting that the preceding estimate is exclusive of the costs related to the Project Director's Office, costs of financing the project and costs that are related to the project but not related directly to construction, such as the costs of relocating the Court from the temporary premises to the permanent premises (which includes moving, storage, and cleaning of the new site to make it ready for use), moveable items such as furniture and ICT hardware, potted greenery and decorations, costs relating to communications and public relations for the project and costs relating to the interim premises,

Affirming that the Assembly will decide on the ultimate cost envelope to be authorized for the project on the basis of more detailed estimates following the architectural design competition,

Having the firm intention to house the Court in its permanent premises no later than 2014 and earlier if possible,

- 1. *Decides* that the permanent premises of the International Criminal Court should be constructed on the Alexanderkazerne site;
- 2. Further decides that, for the purposes of the architectural design competition, the construction \cos^3 of the permanent premises should not exceed $\bigcirc 03$ million at the 2007 price level;⁴
- 3. Accepts those elements of the offer of the host State contained in the letter dated 25 January 2006 from the Minister of Foreign Affairs of the host State to the President of the Assembly of States Parties⁵ relating to the provision of the land of the Alexanderkazerne site free of charge for

² Such as large sculptures, mosaics or other large pieces integrated into the architecture, facades or landscaping.

³ Comprising the costs of the materials, labour, fixtures, landscaping and parking.

⁴ This figure represents 90 per cent of the estimated construction costs of €115 million. It is standard practice not to provide the total estimated amount when launching the competition.

⁵ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, resumed fourth session, New York, 26-27 January 2006 (International Criminal Court publication, ICC-ASP/4/37), annex IV.

the construction of purpose-built premises; relating to the covering of the costs of preparing the site for construction; and relating to the bearing of the costs associated with the selection of an architect;

- 4. *Authorizes* the host State to launch immediately an architectural design competition in accordance with annex I to this resolution;
- 5. *Decides* to establish an Oversight Committee of States Parties as a subsidiary body of the Assembly to provide strategic oversight for the project in accordance with annex II to this resolution;
- 6. *Requests* the Oversight Committee to:
 - (a) Continue consideration of options for financing the construction of the permanent premises and related costs, including the compatibility of these options with the Financial Regulations and Rules of the Court, with a particular focus on the offer contained in the letter dated 25 January 2006 from the Minister of Foreign Affairs of the host State to the President of the Assembly of States Parties in order to provide recommendations to the Assembly at its next session;
 - (b) Continue identifying and clarifying the estimated overall construction costs of the project with a view to providing recommendations on the cost envelope to the Assembly at its next session;
 - (c) Continue identifying and quantifying the other costs related to the project; and
 - (d) Continuously monitor the functioning and operations of the governance structure for the project and, if necessary, provide recommendations to the Assembly on any adjustments that may be required;
- 7. *Decides* to establish a Project Board to provide a consultative and cooperative tripartite structure with the Project Director having final responsibility for the overall management of the project in accordance with annex III to this resolution;
- 8. *Requests* the Registrar of the International Criminal Court to establish a Project Director's Office in accordance with annex IV to this resolution;
- 9. *Authorizes* the Oversight Committee to identify and hire a Project Director in accordance with annex II to this resolution;
- 10. Decides, as an extraordinary measure, to establish major programme VII (Project Director's Office) with a budget of €208,500 in order to establish the Project Director's Office, hire a Project Director and staff and cover other costs associated with the premises project, identified in annex V to this resolution;
- 11. *Requests* the Registrar to establish a permanent premises construction trust fund for the permanent premises construction project in accordance with annex VI to this resolution;
- 12. *Requests* the Bureau to remain seized of this issue and report back to the Assembly at its next session.
- 13. *Adopts* the current resolution and annexes thereto.

Annex I

Architectural design competition

1. The Assembly of States Parties hereby authorizes the Netherlands as host State to launch an architectural design competition for the permanent premises of the International Criminal Court as follows.

I. Parameters for the architectural design competition

(a) Costs

2. For the purpose of the architectural design competition the construction costs of the permanent premises should not exceed €103 million 2007 prices). Construction costs consist of the costs of materials and labour for the structure, services (technical installations and equipment), ICT cabling (CAT 6), landscaping and parking facilities. This above-mentioned sum does not include a contingency reserve, funds for integrated, specialized representational features, fees for all consultancies such as architects, landscape architects, interior architects, technical engineers, project management, and supervision, permits and dues, price increases to 2014, valued added taxes or financing costs.

(b) Overall area

3. The overall size of the premises should not exceed 46,000 square metres gross and should include three courtrooms and 1,200 workstations as described in the summary of user requirements. This overall figure does not include parking, which should allow for 600 parking spaces on the site.

II. Summary of user requirements

- 4. Five spatial clusters will be predominant at the permanent premises: Judiciary (Presidency and Chambers), Office of the Prosecutor, Registry (including the Secretariat of the Assembly of States Parties and other offices with minor space requirements, e.g. office for the Staff Representative Body), as well as the Entrance and Conference Cluster and the Courtroom Cluster.
- 5. The complexity of the spatial arrangements lies in the fact that the organization is a criminal court with the different organs having distinct responsibilities. In turn, matters concerning the entire organization, such as administration, require close cooperation.
- 6. The spatial arrangement of the clusters to each other is therefore defined by both the required spatial proximities as well as the required spatial separation. Furthermore, security requirements are fulfilled by establishing four zones with different levels of security.
- 7. Activities of the Court during hearings are mainly concentrated in the Courtroom and Entrance Clusters. In addition to those who work at the Court, defendants, counsel, witnesses, victims, States, journalists, non-governmental organizations, visitors and numerous other groups will use the premises.
- 8. The requirements defined in the functional brief for spatial arrangements, separation and qualities aim at ensuring that work processes are efficient and run smoothly for all participants while not compromising the statutory demands.
- 9. As regards the work done before and after hearings, including all other supporting activities, this will largely take place at the desk and in front of computers. For most of the activities the double office represents the ideal office form, since it allows a combination of communication and

work that requires concentration, and meets the requirement concerning the handling of confidential material. Team offices were selected for some areas which demand a high level of teamwork.

- 10. The size of the standard double office is defined as 19 square metres (net). In addition, four different standard sizes for single offices are defined, ranging from 10 to 30 square metres (net). The objective here is to ensure great flexibility in usage by having a limited number of office standards. Meeting rooms are generally assigned to the functional units because they are used as core working areas in the sense of a project room. Larger meeting rooms are pooled in the Conference Cluster and can be reserved.
- 11. A summary of the user requirements is contained in appendix I.
- 12. For the purpose of the architectural design competition a detailed competition brief containing the user requirements and technical specifications will be prepared based on the parameters of this resolution and annex.

III. Legal bases

- 13. The architectural design competition will be based on the World Trade Organization Agreement on Government Procurement, as approved by the European Union.
- 14. The procedure will be based on the general principle of fair, non-discriminatory, equal and transparent treatment, as laid down in the above mentioned World Trade Organization Agreement. The competition will be open to architects from all States.

IV. Structure

15. The competition will be organized with a pre-selection of qualified candidates, followed by a one-phase competition to determine the three best design concepts. Following the selection of the three best design concepts by the jury, the Project Board may invite the prize-winners to revise, if considered necessary, their design concepts and then, either simultaneously or in decreasing order starting with the winner of the first prize, commence negotiation of the terms and conditions of a contract to prepare detailed designs for the permanent premises.

V. Worldwide announcement

- 16. The architectural design competition will have a worldwide dissemination and will be announced by means of:
 - (a) Official press releases via the leading press offices in the five geographical regions of the United Nations;
 - (b) Advertisements in the leading architectural magazines around the world; and
 - (c) A dedicated website of the host State with a link to the website of the International Criminal Court.
- 17. States Parties may also wish to generate publicity for the competition in their respective countries. The host State will provide a template for this purpose.
- 18. Architects from different regions and schools will be encouraged to apply.

VI. Competition procedure

19. The competition consists of two consecutive stages:

(a) Pre-selection stage (Call for candidature)

From the entries in response to the worldwide announcement the jury will select up to 20 candidates based on professional and quality-oriented selection criteria to take part in the competition.

(b) <u>The competition (Award stage)</u>

The selected candidates will receive the competition brief containing all the information necessary to enable the candidates to provide a design concept.

The selected candidates will be asked to produce a design concept for the permanent premises. From the design concepts submitted, the jury will select three prize-winners, based on the best design concepts that are most suitable for this project. The jury may also offer recommendations for changes to designs.

- 20. The criteria for the selection will be laid down in the competition brief, which will be handed out exclusively to the participating candidates. The competition will be anonymous until the completion of the jury's deliberations and selection.
- 21. The official language of the competition will be English.

VII. Negotiations

- 22. Following the selection of the best three designs by the jury, the Project Board may invite the prize-winners to revise, if considered necessary, and taking into account any recommendations from the jury, their design concepts. After having examined and evaluated the (revised) design concepts, the Project Board will commence negotiation of the terms and conditions of a contract to prepare detailed designs for the permanent premises with the prize-winners either simultaneously, or in decreasing order starting with the winner of the first prize.
- 23. The aim of the negotiations is to prepare for signing a contract with the architect as leader of the design team (which will include the work of the expert engineers e.g. structural, civil and building services engineers, energy consultants, landscape architects etc.).

VIII. Approval by the Assembly

24. The selection of the three best design concepts by the jury and the commencement of negotiations with the prize-winners by the Project Board should not be construed as implicit authorization by the Assembly to finalize the general planning or detailed design contract. The Assembly reserves the right not to proceed with the project without penalty or commitment prior to the signing of the contracts. The Assembly or the Oversight Committee must authorize the signing of the contracts.

IX. Jury

- 25. The entries in the pre-selection stage and the design concepts in the competition stage will be examined and judged by an independent jury.
- 26. The jury for the competition will execute the judgement and proofing of the entries, and decide on the final ranking of the design concepts (award of prizes) and make recommendations on the designs.

- 27. The composition of the jury will be as described in appendix II to this annex.
- 28. The jury will have a secretariat and a technical advisory team in specific fields (such as spatial planning, financial and technical issues) at its disposal. The advice of this technical advisory team is not binding on the jury.

X. Schedule

29. The schedule for the architectural design competition is as follows:

(a)	Call for candidature (start)	February 2008
(b)	Pre-selection stage	March-April 2008
(c)	Jury meeting to pre-select a maximum of 20	April 2008
(d)	The competition	May-July 2008
(e)	Pre-examination	August-September 2008
(f)	Jury meeting selection of the top three design concepts	October 2008
(g)	Optional revision/negotiation phase with the prize-winners	November-December 2008
(h)	Negotiation contract terms	January 2009

Appendix I Summary of the user requirements

Cluster	m ²
Office	gross
Judiciary	3746
Office of the Prosecutor	7608
Registry	19095
Secretariat ASP	1149
Internal Audit Section	187
Staff Represent. Body	52
Conference Cluster	1840
Catering Cluster	2234
Courtroom Cluster	2716
Public Court Areas	2402
Holding Cluster	693
Entrance Cluster	698
Warehouse, Central Storage	3132
Total	45552

Appendix II Composition of the jury*

1) Chief Government Architect of the Netherlands (Chair)

Mr. Mels Crouwel

2) Representative of the Assembly, African States

H.E. Ms. Mirjam Blaak

Ambassador, Deputy Head of Mission

Embassy of Uganda, Belgium

3) Representative of the Assembly, Asian States

Mr. Kiyokazu Ota

Minister

Embassy of Japan, the Netherlands

4) Representative of the Assembly, Eastern European States

H.E. Mr. Calin Fabian

Ambassador

Embassy of Romania, the Netherlands

5) Representative of the Assembly, Latin American and Caribbean States

H.E. Mr. Gilbert Chauny de Porturas-Hoyle

Ambassador

Embassy of Peru, the Netherlands

6) Representative of the Assembly, Western European and Other States

H.E. Mr. Mikko Jokela

Ambassador

Embassy of Finland, the Netherlands

7) Representative of the Court (Judiciary)

[To be determined by the Court]

8) Representative of the Court (Office of the Prosecutor)

[To be determined by the Court]

9) Representative of the Court (Registry)

[To be determined by the Court]

10) Representative of the host State

Secretary-General for Foreign Affairs

11) Representative of the Municipality of The Hague

Mayor of The Hague

12) Architect**

[To be determined]

13) Architect**

[To be determined]

^{*} Each individual jury member or group of jury members, other than the architects, shall communicate to the Chief Government Architect of the Netherlands the name of an alternate or alternates prior to the first meeting of the jury.

^{**} The architects and their alternates will be determined by the Chief Government Architect of the Netherlands on the basis of professional qualifications, international experience, regional diversity and gender balance.

14) Architect**

[To be determined]

15) Architect**

[To be determined]

16) Architect**

[To be determined]

17) Architect**

[To be determined]

Annex II

Oversight Committee

Establishment

1. An Oversight Committee of States Parties is hereby established as a subsidiary body of the Assembly of States Parties pursuant to article 112, paragraph 4, of the Rome Statute.

Mandate

- 2. The mandate of the Oversight Committee shall be to provide a standing body to act on behalf of the Assembly in the construction of the permanent premises of the International Criminal Court. The role of the Oversight Committee will be strategic oversight, with routine management of the project resting with the Project Director.
- 3. Specifically, the Oversight Committee shall:
 - (a) Provide overall monitoring and oversight of the project to ensure that project objectives are achieved within budget, and that risks and issues are identified and managed;
 - (b) Prepare information, recommendations and draft resolutions for decision by the Assembly, including issues relating to operationalization of the governance structure:
 - (c) Within the authority delegated by the Assembly, make key strategic decisions including the authorization of changes to the project scope and objectives that are beyond the authority of the Project Director; and
 - (d) Resolve any issue referred by the Project Director, Court or host State.

Membership

4. The Oversight Committee shall be a body consisting of 10 States Parties, with at least one member from each regional group.

Selection

5. Members of the Oversight Committee shall be elected by the Assembly upon recommendation of the Bureau. The duration of each term shall be two years and is renewable. If a State Party withdraws from the Oversight Committee, the Bureau may designate another State Party to fill the position until the next session of the Assembly of States Parties.

Consistency

6. States Parties members should strive to ensure consistency with respect to their representation and attendance at meetings. If an Oversight Committee member fails to attend two consecutive meetings, the Chairperson of the Oversight Committee shall initiate consultations with that member to determine if the member is able to continue its participation on the Oversight Committee.

Voting

7. The Oversight Committee should strive for consensus. In the absence of consensus, decisions shall be taken on the basis of a simple majority of members present and voting. In the case of a tie, the Chairperson's vote shall be decisive. The phrase "members present and voting" means members present and casting an affirmative or negative vote. Members who abstain shall be considered as not voting.

Quorum

8. A quorum shall consist of at least six members.

Chairperson and Vice-Chairperson

9. The Oversight Committee shall elect a Chairperson and Vice-Chairperson for a two-year period. This term is renewable. The Chairperson and Vice-Chairperson shall each have a vote.

Frequency of meetings

10. The Oversight Committee shall meet four times a year or as required by the Chairperson. The Registrar of the Court, the host State or the Project Director can request a meeting of the Oversight Committee to address any urgent matter.

In camera deliberations

11. The Oversight Committee shall receive information from the Project Director, the Court and host State and may invite other experts and participants to provide information or input in open sessions. Deliberations by the Oversight Committee shall be in camera, unless the Chairperson decides otherwise.

Participation by non-members

12. The Court, the host State and other States Parties have the right to be present during the open sessions of the Oversight Committee.

Role of States Parties' experts

13. The Oversight Committee shall be assisted in its work by an ad hoc committee of experts from States Parties.

Role of the Committee on Budget and Finance

14. The Oversight Committee shall provide progress reports to the Committee on Budget and Finance prior to its meetings. The Oversight Committee shall submit to the Committee on Budget and Finance for advice any submissions with financial implications for the Assembly.

Role of the Bureau

15. The Oversight Committee shall provide regular status reports to the Bureau and shall submit any draft resolutions or information to the Assembly through the Bureau.

Delegated authority

- 16. The Oversight Committee shall have the authority delegated from the Assembly to:
 - (a) Conduct a recruitment process for the position of Project Director;
 - (b) Decide on the hiring, renewal, non-renewal, suspension and termination of the Project Director (the Registrar of the Court and a representative of the host State have the right to participate and vote in this decision-making process);
 - (c) Where a decision is required in a time frame that would not allow for a decision by the Assembly, authorize any changes to the project scope, objectives, design or expenditures up to the limit of the contingency fund established as part of the project budget;
 - (d) Hear any serious dispute between the Court, the host State and/or Project Director, with a view to finding an efficient and effective resolution; and
 - (e) Authorize signature of major contracts on the recommendation of the Project Board.
- 17. The Chairperson of the Oversight Committee shall report to the Assembly at its next session on any exercise of this delegated authority.

Support

18. The Oversight Committee shall be assisted by the Secretariat of the Assembly of States Parties.

Annex III

Project Board

- 1. The Assembly of States Parties hereby establishes a Project Board with the mandate to provide a cooperative and consultative structure for the overall management of the permanent premises construction project.
- 2. The Board will be chaired by the Project Director and will include:
 - (a) The Court, and
 - (b) The host State
- 3. The Project Director will share all relevant information on the project with the Court and host State and shall ensure that project information is accessible.
- 4. The Project Director will consult with the Court and the host State and shall strive for consensus on decisions relating to the project. In the absence of consensus, the Project Director has the authority to make decisions. However, the Project Director is not authorized to make decisions that could affect the overall scope or cost envelope of the project.
- 5. Any member of the Project Board may ask for a meeting of the Oversight Committee pursuant to paragraphs 10 and 16(d) of annex II.

Annex IV

Project Director's Office

Establishment

1. The Registrar of the International Criminal Court shall establish a Project Director's Office. The Project Director will be the head of the Office.

Independence

2. The Project Director's Office shall operate under the full authority of the Assembly of States Parties and report directly and be accountable to the Assembly through the Oversight Committee.

Relationship to the International Criminal Court

3. Without prejudice to paragraph 2 above, the Project Director's Office shall be an integral part of the International Criminal Court; for administrative and staff purposes, the Project Director's Office and its staff shall be attached to the Registry of the Court.

Privileges and immunities

4. As part of the staff of the Registry and, as such, of the Court, the staff of the Project Director's Office shall enjoy the same rights, duties, privileges, immunities and benefits.

Mandate

5. The mandate of the Project Director's Office is to ensure that the permanent premises of the Court are built on time, within cost and to specifications and quality. The Project Director shall have the final responsibility for the overall management of the project and shall be responsible for meeting the project's goals, timelines and costs and quality requirements.

Functions

- 6. The functions of the Project Director's Office shall be to manage the entire project, which would include, inter alia:
 - (a) Provision of day-to-day oversight of the preparations and implementation of the permanent premises project;
 - (b) Provision of strategic direction to the project management, construction and design teams;
 - (c) Preparation and implementation of a risk management plan for the project;
 - (d) Assessment and evaluation of the designs, requests for modifications, cost implications, emerging problems, mitigation solutions or any other issues that may affect the cost, quality and/or timeliness of the project;
 - (e) Provision of quarterly (or as required) status reports to the Oversight Committee which will be shared with the Court and the host State and shall be made available to the Bureau;
 - (f) Leading the negotiations of the terms and conditions to retain the architect and the design team;

- (g) Leading the tendering and selection process for the construction team;
- (h) Making decisions within the authority delegated by the Assembly;
- (i) Provision of assessments and advice to the Oversight Committee on any issues requiring decisions within the delegated authority of the Committee; and
- (j) Provision of assessments and advice to the Oversight Committee on any issues requiring decisions by the Assembly.

Composition of the Office

7. The Project Director's Office will consist of the Project Director and support staff.

Annex V

Programme budget implications for the 2008 budget for permanent premises

I. Staff resources

(a) One D-1 Project Director

The Project Director will have the overall responsibility for delivering the permanent premises on time, on costs and with the required quality. Comparisons with the local market in the Netherlands conducted by experts from the host State suggest that a D-1 level (including the tax and other benefits provided to staff of the International Criminal Court) would be competitive and allow for the recruitment of a sufficiently experienced professional. As the recruitment process will only commence in January 2008, a delayed recruitment factor of 50 per cent has been applied.

Cost for 2008: €93,800

(b) One P-4 Deputy Project Director and Financial Controller

The Project Director's Office must be involved in the negotiations with the architect and design teams in late fall 2008 following the decisions by the jury for the architectural design competition. A Deputy Project Director with solid financial experience in evaluating construction and design tenders will be essential. As the recruitment process will only start some time in 2008, a delayed recruitment factor of 75 per cent has been applied.

Cost for 2008: €33,050

(c) One GS-OL Office Assistant

The Project Director's Office will require one general administrative assistant to provide general administrative and secretarial services. As the recruitment process will only start some time in 2008, a delayed recruitment factor of 75 per cent has been applied.

Cost for 2008: €15,675

The Project Director will evaluate the need for further assistance for the 2009 budget. It is expected that the Project Director will work primarily through consultants, to be paid from the consultancy fees provided for in the overall construction cost estimates.

II. Non-staff resources

(a) Regular IT

The Court estimates that each workstation requires €7,000 for hardware and software.

Cost for 2008: €21,000

(b) Specialized IT

The Project Director's Office may require specialized computer resources for the construction project.

Cost for 2008: €10,000

III. Recruitment

It is expected that a competitive and specialized process may be required to recruit the Project Director. This could include the use of the Court's web page, advertisements in international, specialized journals and/or the use of a professional recruitment agency. The Oversight Committee will determine, in consultation with the Court, the host State and experts, the best means of launching a recruitment process.

Cost for 2008: €35,000

IV. Cost implications

Total costs for 2008: €208,500

Annex VI

Permanent premises construction trust fund

Establishment

1. The Registrar of the International Criminal Court shall establish a trust fund for the purpose of holding funds dedicated to the construction of the permanent premises of the International Criminal Court.

Funds

2. The trust fund shall be funded by voluntary contributions from any governments, international organizations, individuals, corporations or other entities.

Reporting

3. The Project Director shall report to the Oversight Committee on a regular basis on the amount of funds in the trust fund and their provenance as well as on disbursements from the trust fund.

Annex VII

Members of the Oversight Committee

African States

1. South Africa

Asian States

- 2. Japan
- 3. Republic of Korea

Eastern European States

4. Poland

Group of Latin American and Caribbean States

- 5. Brazil
- 6. Mexico

Western European and Other States

- 7. Germany
- 8. Italy
- 9. Switzerland
- 10. United Kingdom

Resolution ICC-ASP/6/Res.2

Adopted at the 7th plenary meeting, on 14 December 2007, by consensus

ICC-ASP/6/Res.2

Strengthening the International Criminal Court and the Assembly of States Parties

The Assembly of States Parties,

Mindful that each individual State has the responsibility to protect its population from genocide, war crimes, and crimes against humanity, that the conscience of humanity continues to be deeply shocked by unimaginable atrocities in various parts of the world, and that the need to prevent the most serious crimes of concern to the international community, and to put an end to the impunity of the perpetrators of such crimes, is now widely acknowledged,

Convinced that the International Criminal Court is an essential means of promoting respect for international humanitarian law and human rights, thus contributing to freedom, security, justice and the rule of law as well as to the prevention of armed conflicts, the preservation of peace and the strengthening of international security and the advancement of post-conflict peacebuilding and reconciliation with a view to achieving sustainable peace, in accordance with the purposes and principles of the Charter of the United Nations,

Convinced also that there can be no lasting peace without justice and that peace and justice are thus complementary requirements,

Convinced further that justice and the fight against impunity are, and must remain, indivisible and that in this regard universal adherence to the Rome Statute of the International Criminal Court is essential,

Welcoming the Court's central role as the only permanent international criminal court within an evolving system of international criminal justice,

Taking note with appreciation of United Nations General Assembly resolution 62/12 of 26 November 2007, concerning the International Criminal Court, and previous relevant United Nations General Assembly resolutions,

Stressing the importance of effective and comprehensive cooperation and assistance by States, international and regional organizations so that the Court can properly fulfil its mandate,

Appreciating the invaluable assistance that has been provided by civil society to the Court,

Conscious of the importance of equitable geographical representation and gender balance in the organs of the Court,

Mindful of the need to encourage the full participation of States Parties, Observers and States not having observer status in the sessions of the Assembly of States Parties and to ensure the broadest visibility of the Court and the Assembly,

Conscious of the risks faced by personnel of the Court in the field,

Desirous of assisting the Court and its organs, notably through management oversight and other appropriate action, in performing the duties assigned to them,

A. Rome Statute of the International Criminal Court and other agreements

- 1. Welcomes the States that have become a party to the Rome Statute of the International Criminal Court since the fifth regular session of the Assembly and *invites* States that are not yet parties to the Rome Statute to become so as soon as possible;
- 2. Decides to keep the status of ratifications under review, and to monitor developments in the field of implementing legislation, inter alia, with a view to facilitating the provision of technical assistance that States Parties to the Rome Statute, or States wishing to become parties thereto, may wish to request from other States Parties or institutions in relevant areas;
- 3. Welcomes the report of the Bureau regarding the implementation of the plan of action for achieving universality and full implementation of the Rome Statute, endorses the recommendations therein, and requests the Bureau to continue to monitor its implementation and to report thereon to the Assembly during its seventh session;
- 4. Stresses that the integrity of the Rome Statute must be preserved and that treaty obligations emanating therefrom must be fully adhered to, encourages States Parties to the Rome Statute to exchange information and to support and assist each other to that end, particularly in situations where its integrity is being challenged, reminds States of the importance of upholding the spirit of the Statute, and also urges those States under an obligation to do so to cooperate with the Court in the fulfilment of its mandate;
- 5. Welcomes the States Parties as well as a non-State Party that have become a party to the Agreement on the Privileges and Immunities of the International Criminal Court, and calls upon those States that have not yet done so to become parties to this Agreement as a matter of priority and to incorporate it in their national legislation as appropriate;
- 6. Recalls that the Agreement on the Privileges and Immunities of the International Criminal Court and international practice exempt salaries, emoluments and allowances paid by the Court to its officials and staff from national taxation and calls upon States that have not yet become parties to this Agreement to take the necessary legislative or other action, pending their ratification or accession, to exempt their nationals employed by the Court from national income taxation with respect to salaries, emoluments and allowances paid to them by the Court, or to grant relief in any other manner from income taxation in respect of such payments to their nationals;
- 7. Welcomes the conclusion on 7 June 2007 of the Headquarters Agreement between the International Criminal Court and the host State,³ and welcomes also the prospects of expeditious ratification by the host State;

B. Institution-building

- 8. *Takes note* of the statements presented to the Assembly of States Parties by the senior representatives of the Court, including the President, the Prosecutor and the Registrar, as well as by the Executive Director on behalf of the Chair of the Board of Directors of the Trust Fund for Victims and the representative of the Committee on Budget and Finance;
- 9. Welcomes the participation of the United Nations Secretary-General at the sixth regular session of the Assembly;

¹ ICC-ASP/6/23.

² Annex I.

³ ICC-ASP/5/Res.3, annex II.

- 10. *Notes with satisfaction* the fact that owing, not least, to the dedication of its staff, considerable progress continues to be made in the Court's analyses, investigations and judicial proceedings in various situations which were referred to the Court by States Parties and the United Nations Security Council;⁴
- 11. Takes note also of the continued operation and further enhancement of the Court's field presence;
- 12. Continues to encourage applications to the list of counsel established as required under rule 21(2) of the Rules of Procedure and Evidence with a particular view to ensuring equitable geographical representation and gender balance;
- 13. *Invites* the Court, taking into account the comments of the Committee on Budget and Finance, ⁵ to present to the Assembly at its next session an updated report on the different mechanisms for legal aid existing before international criminal jurisdictions in order to assess, inter alia, the different budgetary impact of the various mechanisms;
- 14. Also invites the Court, taking into account the comments of the Committee on Budget and Finance, to present to the Assembly at its next session an updated report on family visits, in consultation with relevant organizations, including the International Committee of the Red Cross and the Office of the United Nations High Commissioner for Human Rights, to assess, inter alia, the legal and policy aspects, as well as the human rights dimension and budgetary impact of family visits;
- 15. *Notes* the important work of independent representative bodies of counsel or legal associations, including any international legal association relevant to rule 20, sub-rule 3, of the Rules of Procedure and Evidence;
- 16. Commends the important work of the New York Liaison Office of the Court which enables regular and efficient cooperation and exchange of information between the Court and the United Nations and the effective conduct of the Bureau as well as the New York Working Group, and expresses its full support for the work of the Office;
- 17. *Welcomes* the presentation of the third report of the Court to the General Assembly of the United Nations;⁷
- 18. Recognizes the important work done by the Secretariat of the Assembly of States Parties, reiterates that the relations between the Secretariat and other sections of the Court shall be governed by principles of cooperation and of sharing and pooling of resources and services, as set out in the annex to resolution ICC-ASP/2/Res.3, and welcomes the fact that the Director of the Secretariat of the Assembly of States Parties participates in the meetings of the Coordination Council when matters of mutual concern are considered;
- 19. *Welcomes* the steps undertaken by the Court to implement the One Court principle, including by coordinating the activities of the Court among its organs at all levels, while respecting their necessary independence under the Statute;
- 20. Reiterates the importance for the Court to engage communities in situations under investigation in a process of constructive interaction with the Court, designed to promote understanding and support for its mandate, to manage expectations and to enable those communities

42

⁴ United Nations Security Council resolution 1593 (2005).

⁵ ICC-ASP/6/12, paragraphs 72-74.

⁶ ICC-ASP/6/12, paragraph 67, in fine.

⁷ United Nations document A/62/314.

to follow and understand the international criminal justice process and, to that end, *encourages* the Court to continue such outreach activities, including through the implementation of the Strategic Plan for Outreach of the Court, *encourages also* the Court to continuously update, as appropriate, the Strategic Plan for Outreach in consultation with relevant actors and to strengthen dialogue with States Parties with regard to that matter, and *requests* the Bureau to continue the dialogue with the Court on Outreach through The Hague Working Group;

- 21. Welcomes the efforts of the Court to further develop the Strategic Plan on the basis of the document entitled "Strategic Plan of the International Criminal Court", **recommends* that the Court continue to engage with the Bureau on the strategic planning process and its concrete implementation, including on the priority issues identified in resolution ICC-ASP/5/Res.2, adopted on 1 December 2006, and *requests* the Court to submit to the next session of the Assembly of States Parties an update of the Strategic Plan;
- 22. Reminds the Court of its obligation under the Statute, in the recruitment of staff, to seek equitable geographical representation and gender balance and the highest standards of efficiency, competency and integrity, as well as to seek expertise on specific issues, including, but not limited to, trauma and violence against women or children;
- 23. Stresses the importance of the dialogue between the Court and the Bureau of the Assembly of States Parties with regard to ensuring equitable geographical representation and gender balance in the recruitment of staff members, welcomes the detailed report submitted by the Bureau to the Assembly of States Parties, ¹⁰ and recommends the Bureau to continue to engage with the Court to identify ways to improve equitable geographical representation within the existing model, without prejudice to any future discussions on the suitability, or otherwise, of the current model, as well as to remain seized of the issue of geographical representation and gender balance; ¹¹
- 24. *Renews* its invitation to the Court, in consultation with the Bureau, to continue to consider concrete proposals for the establishment of an independent oversight mechanism to the next regular session of the Assembly of States Parties;
- 25. *Urges* States to take the necessary measures to provide for the protection of the name, abbreviations and emblems of the Court in accordance with their national laws and *recommends* that such measures be similarly undertaken in respect of any emblem, logo, seal, flag or insignia adopted by the Assembly or the Court;
- 26. *Notes* that the Court invited the United Nations General Assembly to consider amending the Pension Scheme Regulations for judges of the International Court of Justice, the International Criminal Tribunal for the Former Yugoslavia and the International Criminal Tribunal for Rwanda in order to ensure that no former judge of any of these courts receives a pension while also serving as a judge of the International Criminal Court;

C. Cooperation and implementation

- 27. Welcomes the efforts undertaken by the Court to foster cooperation with States, international and regional organizations and civil society and *stresses* that effective cooperation remains essential for the Court to carry out its activities;
- 28. *Calls upon* the Court to continue to promote the full implementation of the Relationship Agreement between the International Criminal Court and the United Nations;

⁸ ICC-ASP/5/12.

⁹ ICC-ASP/5/6.

¹⁰ ICC-ASP/6/22.

¹¹ ICC-ASP/6/22, recommendations 15 and 16.

- 29. Expresses its gratitude for the Secretary-General's efforts to strengthen cooperation between the United Nations and the Court;
- 30. Expresses its appreciation to the Secretary-General and the Secretariat of the United Nations for their support in facilitating the sixth regular session of the Assembly held at the United Nations Headquarters and *looks forward* to continuing such cooperation regarding future sessions of the Assembly;
- 31. Acknowledges with appreciation the expanding cooperation between the Court and the United Nations system, as evidenced by the hosting of a trial by the Special Court for Sierra Leone, and by several supplementary arrangements established within the framework provided by the Relationship Agreement between the Court and the United Nations;
- 32. Welcomes the implementation of the Cooperation Agreement between the Court and the European Union, as well as of the other agreements of the Court and the Office of the Prosecutor, looks forward to the early conclusion of a cooperation agreement with the African Union, and invites other relevant regional organizations to consider concluding such agreements with the Court;
- 33. Appeals to all States in which personnel of the Court are deployed and to all others on which such personnel may rely to ensure the safety of, and to prevent attacks against, personnel of the Court and to provide cooperation and judicial assistance aimed at facilitating the conduct and fulfilling of their mandate;
- 34. *Recalls* that the ratification of the Rome Statute must be matched by national implementation of the obligations emanating therefrom, notably through implementing legislation, in particular in the areas of criminal law, criminal procedural law and judicial cooperation with the Court, and in this regard *urges* States Parties to the Rome Statute that have not yet done so to adopt such implementing legislation as a priority;
- 35. *Takes note* of the ongoing activities of international organizations and agencies, as well as other organizations, in the promotion of international criminal justice;
- 36. *Encourages* States, particularly in view of the fundamental principle of complementarity, to include the crimes set out in articles 6, 7 and 8 of the Rome Statute as punishable offences under their national laws and to ensure effective enforcement of those laws;
- 37. *Emphasizes* the need for States Parties and those States under an obligation to do so to cooperate with the Court in such areas as preserving and providing evidence, sharing information, securing the arrest and surrender to the Court of persons for whom arrest warrants have been issued and protecting victims and witnesses, and *strongly encourages* States, international and regional organizations as well as civil society to intensify their support to the Court in its efforts to that end, as appropriate;
- 38. *Encourages* States Parties to continue to express diplomatic and political support for the Court and for cooperation with the Court;
- 39. *Calls upon* States to enter into arrangements with the Court concerning, inter alia, witness relocation and sentence enforcement;
- 40. Takes note of the report of the Bureau on Cooperation¹²; endorses the recommendations of the report as annexed to this resolution; ¹³ requests the Bureau to appoint a focal point to continue

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¹² ICC-ASP/6/21.

¹³ Annex II.

the work on cooperation in close coordination and dialogue with the Court; *invites* the Bureau to report to the Assembly of States Parties at its seventh regular session on any significant developments with regard to cooperation, as it deems appropriate; and *decides* to revisit the issue of cooperation in full in two to three years, depending, inter alia, on the needs of the Court;

D. Assembly of States Parties

- 41. *Takes note* of the latest report on the activities of the Court to the Assembly of States Parties;¹⁴
- 42. Takes note of the report of the Special Working Group on the Crime of Aggression, ¹⁵ expresses its appreciation to the Liechtenstein Institute on Self-Determination at Princeton University for hosting an informal intersessional meeting of the Special Working Group, recognizes that the Special Working Group needs to conclude its work at least 12 months prior to the Review Conference to be held according to article 123, paragraph 1, of the Rome Statute in order to be in a position to submit proposals for a provision on aggression, in accordance with article 5, paragraph 2, of the Statute and with resolution ICC-ASP/1/Res.1, to the Assembly for its consideration at the Review Conference;
- 43. Recalls its decision to hold a resumed session of the Assembly specifically to discuss proposals as regards the venue of the Review Conference, as well as for meetings of the Special Working Group on the Crime of Aggression from 2 to 6 June 2008 in New York, decides to devote at least two days of the seventh session to be held in The Hague for the work of the Special Working Group, and decides to hold a resumed seventh session of five days, as necessary, in 2009 in New York to conclude the work of the Special Working Group, at a date to be determined by the Bureau and approximately 12 months before the Review Conference;
- 44. *Calls upon* States, international organizations, individuals, corporations and other entities to contribute voluntarily and in good time to the Trust Fund to allow the participation of least developed countries and other developing States in the annual session of the Assembly of States Parties, and *expresses its appreciation* to those that have done so:
- 45. Calls upon States, international organizations, individuals, corporations and other entities to contribute voluntarily to the Trust Fund for Victims, and expresses its appreciation to those that have done so;
- 46. *Emphasizes* the importance of endowing the Court with the necessary financial resources, and *urges* all States Parties to the Rome Statute to transfer their assessed contributions in full and by the deadline for contributions, or, in the event of pre-existing arrears, immediately, in accordance with article 115 of the Statute, rule 105.1 of the Financial Regulations and Rules, and other relevant decisions taken by the Assembly of States Parties;
- 47. *Calls upon* States, international organizations, individuals, corporations and other entities to contribute voluntarily to the Court, and *expresses its appreciation* to those that have done so;
- 48. *Takes note* of the report of the Bureau on the arrears of States Parties, ¹⁶ *endorses* the recommendations of the report as annexed to this resolution, ¹⁷ and *decides* that the Bureau should review on a regular basis the status of payments received throughout the financial year of the Court and consider additional measures to promote payments by States Parties, as appropriate;

¹⁴ ICC-ASP/6/18

¹⁵ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Sixth session, New York, 30 November to 14 December 2007 (International Criminal Court publication, ICC-ASP/6/20), annex II.

¹⁶ ICC-ASP/6/19.

¹⁷ Annex III.

- Requests the Secretariat of the Assembly of States Parties to inform States Parties periodically of States that have recovered their voting rights following payment of their arrears;
- Requests the Secretariat to prepare a consolidated digital version of the Financial Regulations and Rules, in all six official languages of the Assembly, to make it available on the website and to update it as appropriate;
- Welcomes the work by the Bureau and its two informal Working Groups and invites the Bureau to create such mechanisms as it considers appropriate and to report back to the Assembly of States Parties on the result of their work;
- 52. Also welcomes the efforts of the Bureau to ensure communication and cooperation between its subsidiary bodies and invites the Bureau to continue such efforts;
- Decides that a Review Conference shall be held in the first semester of 2010, on the basis of invitations to be issued by the Secretary-General of the United Nations in July 2009, with a duration of between five and ten working days and that proposals for amendments to be considered at the Review Conference should be discussed at the eighth session of the Assembly of States Parties in 2009, with a view to promoting consensus and a well prepared Review Conference;
- 54. Recommends that, in addition to a focus on amendments that may command very broad, preferably consensual support, the Review Conference should be an occasion for a "stocktaking" of international criminal justice in 2010, notes the desirability for the Review Conference to focus on a limited number of key topics, and notes in this regard the progress report of the focal point distributed at the sixth session of the Assembly of States Parties;
- Emphasizes that civil society should be ensured possibilities of participation in the Review Conference in order to provide input;
- 56. Requests the Bureau and the focal point to carry out consultations, on the basis of the discussions that have taken place at the sixth session of the Assembly, also taking into account the non-exhaustive list of objective criteria contained in the annex to the report of the Working Group of the Assembly of States Parties on the Review Conference, with a view to submitting proposals as regards the venue of the Review Conference to the resumed sixth session of the Assembly in June 2008;
- 57. Further requests the Bureau to continue the preparations of the Review Conference including financial and legal implications, as well as practical and organizational issues;
- Welcomes the report of the Bureau on the Review Conference, 19 and endorses the draft rules of procedure of the Review Conference contained therein.²⁰
- Takes note of the important work done by the Committee on Budget and Finance, and reaffirms the independence of the members of the Committee;
- Recalls that, according to its Rules of Procedure, ²¹ the Committee on Budget and Finance shall be responsible for the technical examination of any document submitted to the Assembly that contains financial or budgetary implications, emphasizes the importance of ensuring that the

²⁰ Annex IV.

46

¹⁸ ICC-ASP/6/INF.3.

¹⁹ ICC-ASP/6/17.

²¹ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Second session, New York, 8-12 September 2003 (United Nations publication, Sales No.E.03.V.13, ICC-ASP/2/10), annex III.

Committee on Budget and Finance is represented at all stages of the deliberations of the Assembly of States Parties at which such documents are considered, and *requests* the Secretariat, together with the Committee on Budget and Finance, to make the necessary arrangements;

- 61. *Decides* that the Committee on Budget and Finance shall hold its next session in The Hague, from 21 to 25 April 2008 and a further eight-day session to be determined by the Committee;
- 62. *Recalls* that according to article 112, paragraph 6, of the Rome Statute, the Assembly of States Parties shall meet at the seat of the Court or at the Headquarters of the United Nations;
- 63. *Decides* to hold its eighth, ninth and tenth sessions in The Hague, New York and The Hague, respectively, and *decides also* to continue the consideration of venues of future sessions of the Assembly;
- 64. *Recalls* its decision to hold its seventh session from 14 to 22 November 2008 in The Hague and not less than two days in 2009 in New York for a resumed session for elections;
- 65. *Requests* the Bureau to fix specific dates for a further resumed seventh session and to inform all States Parties accordingly.

Annex I

Recommendations on the Plan of Action for achieving universality and full implementation of the Rome Statute of the International Criminal Court

To States Parties

- 1. To continue their engagement and efforts, through dialogue and activities, in promoting the universality of the Rome Statute and its full implementation.
- 2. To continue to share successful ratification experiences and decisions of national courts and/or constitutional tribunals with States that have similar concerns or legal obstacles.
- 3. To report to the Secretariat of the Assembly on developments on the implementation of the Plan of Action.
- 4. To consider, as a matter of priority, the designation of the national contact point.
- To continue the initiatives carried out both in regional and sub-regional organizations to promote the International Criminal Court through discussion meetings and resolutions, considering the inclusion of new items and action-oriented approaches related to the Plan of Action.

To the Secretariat of the Assembly

- 6. To continue to make use of all its capacity to support the States Parties' efforts in the implementation of the Plan of Action.
- 7. To improve the website on a continual basis to make useful documents more accessible for the purposes of universality and full implementation.

To the Assembly

8. To continue monitoring closely the implementation of the Plan of Action.

Annex II

Recommendations on cooperation

Recommendation 1

All States Parties should secure enactment of implementing legislation, legislation relevant to the investigation and prosecution of crimes under the Statute and ratify the Agreement on Privileges and Immunities of the Court.¹

Recommendation 2

The Assembly of States Parties should consider establishing a mechanism for sharing information between States Parties on drafting and executing implementing legislation. Such a function could be undertaken or supported by the Secretariat. Alternatively, the Court could designate a focal point for implementing legislation and communicate the details of this person to States Parties, subject to statutory requirements.

Recommendation 3

All States Parties should, where appropriate, review their implementing legislation, with a view to improving its functioning. The national focal point could be tasked with this, in cooperation with relevant authorities.

Recommendation 4

States Parties and/or the Assembly, through its subsidiary bodies, could organise regional or global workshops and seminars for national experts and focal points involved in drafting and executing implementing legislation, possibly with the assistance of the Secretariat of the Assembly.

Recommendation 5

States Parties should further consider ways in which support can be given to States who are willing but lack the capacity to enter into witness relocation agreements and sentences enforcement agreements, inter alia through good governance, rule of law and judicial reform programmes, or other forms of cooperation.

Recommendation 6

The Assembly of States Parties should consider tasking the Secretariat with facilitating contact between States Parties interested in providing support and States Parties who would like to receive such support.

Recommendation 7

States Parties may consider designating a national focal point tasked with the coordination and mainstreaming of Court-issues within and across government institutions.

¹ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Fifth session, New York, 23 November - 1 December 2006 (International Criminal Court publication ICC-ASP/5/32), part III, resolution ICC-ASP/5/Res.3, paragraphs 31 to 33.

States Parties may further consider, based on the activities of the focal point, to establish a more permanent coordinating mechanism either through the focal point or through a working group or task force. Such a mechanism could deal with all Court-related issues.

Recommendation 9

States Parties could also designate a contact point at relevant Embassies as an interface for the Court with the national focal point.

Recommendation 10

The Court should continue high-level and working visits to States Parties to contribute to mainstreaming and raising awareness of the International Criminal Court within national administrations of the Court.

Recommendation 11

States Parties should whenever possible express support for the Court and promote its general and situation-specific activities in their bilateral contacts.

Recommendation 12

States Parties should, where possible, in the context of preliminary examinations, provide the Court with relevant background information as requested.

Recommendation 13

The Court should, at the earliest possible stage after opening an investigation, provide States Parties with an overview of what types of information would be useful in that specific case, in a way consistent with statutory and other requirements.

Recommendation 14

States Parties should where relevant – possibly through focal and contact points – engage in an active dialogue with the Court and assess on a case-by-case basis whether they may have background information of value to the Court.

Recommendation 15

States Parties should, at the earliest possible stage in an investigation identify relevant parts of their national administrative and judicial systems, and ensure that appropriate and agreed procedures are in place to process judicial requests in a timely manner. Where appropriate, this could be done by creating a procedural manual.

Recommendation 16

States Parties should, where relevant, facilitate access to witnesses for Court officials, inter alia by issuing 'emergency' visas if required.

All States Parties should contribute where appropriate to generating political support and momentum for the timely arrest and surrender of wanted persons both in their bilateral contacts and activities in regional and international organisations.

Recommendation 18

States Parties should consider establishing guidelines or agreements and memoranda of understanding with regard to the provision of logistical support and make the Court aware of the terms and conditions applicable to such assistance.

Recommendation 19

The Court should to the extent possible provide a general checklist of steps to be taken with regard to transfers, as well as a generic model transfer agreement.

Recommendation 20

All States Parties should consider whether it would be possible, on request, to provide a State on whose territory suspects are located with technical assistance and support such as information-sharing and specialised training of law enforcement personnel.

Recommendation 21

States Parties and the Assembly of States Parties should consider ways in which experiences can be shared on issues relating to arrest and transfer, possibly through a general focal point for cooperation appointed by the Assembly of States Parties.

Recommendation 22

States Parties should consider tasking their national focal point/national authorities with ensuring that witness protection issues are dealt with adequately.

Recommendation 23

The Court and the States Parties focal points/national authorities should engage in a dialogue to explore the possibilities for obtaining assistance for witness protection, including practical assistance in the field such as support for extraction.

Recommendation 24

The Assembly of States Parties may wish to further monitor developments regarding witness protection and issues related to victims and defence teams, as an increasingly important part of the cooperation dossier.

Recommendation 25

All States Parties should communicate to the Court the contact details for the relevant contact person at their Embassies and diplomatic missions in The Hague, Brussels and/or New York.

Recommendation 26

States Parties should examine ways in which national procedures and the interface with the Court could be improved with regard to the exchange of confidential information.

In requests for operational assistance the Court should be mindful of possible burden-sharing.

Recommendation 28

All States Parties should, to the extent possible, accommodate requests from the defence teams for operational support – the Court should facilitate this, inter alia, by exploring ways in which the defence teams can benefit from existing agreements between the Court and States Parties.

Recommendation 29

All States Parties should contribute to the extent possible to rosters of experts as well as provide expert assistance on favourable financial terms.

Recommendation 30

All States Parties should re-examine possibilities for allowing government officials to take up short-term positions at the Court as well consider ways in which leave-of-absence can be granted to officials enabling them to take up longer-term positions.

Recommendation 31

The Assembly of States Parties and its appropriate subsidiary bodies should – together with the Court - further examine ways in which cooperation could be established between the Court and the Justice Rapid Response Mechanism, in accordance with the Rome Statute.

Recommendation 32

To ensure mutually sufficient knowledge of and understanding for the mandates and activities of the two organizations, regular contacts between Court officials and United Nations staff should be ensured. Apart from contacts by email and phone, direct contacts, for example in the form of a yearly meeting or workshop, or in the margins of visits, could be envisaged.

Recommendation 33

The practice of regular high-level visits as well as working visits to the United Nations should be continued.

Recommendation 34

In addition to the regular meetings with the Office of Legal Affairs, the Court should jointly with the United Nations assess periodically the status of cooperation, with a view to improve it if necessary and possible.

Recommendation 35

The Court should make better use of the existing possibilities for exchange of personnel with the United Nations.

Recommendation 36

To the extent possible and as far as statutory requirements allow, the Court should keep the relevant entities of the United Nations informed of progress in specific cases and situations.

Without prejudice to operational and statutory requirements, requests for cooperation should be consolidated whenever possible, and be as specific as possible.

Recommendation 38

The Court should continue and, if possible, extend its current practice of making use of specific knowledge within the United Nations system, such as the involvement of children in judicial processes.

Recommendation 39

The Court could in conformity with statutory requirements, also offer its capacity, knowledge and information to the United Nations system, so as to ensure a more mutually beneficial relationship.

Recommendation 40

The Court should continue its practice of sending a yearly report on the work of the Court to the United Nations, as well as the annual address of the President of the Court to the General Assembly.

Recommendation 41

The New York Liaison Office should continue to enable concrete cooperation by making sure that all necessary channels are open between the two institutions, including the Secretariat of the Assembly, by facilitating the exchange of information and by serving as an antenna for issues related to cooperation.

Recommendation 42

In contacts with the Secretary General, other high-level United Nations officials as well as relevant United Nations staff, the interests and mandate of the Court should be explained and actively supported.

Recommendation 43

States Parties should strive to ensure that all relevant staff members of permanent missions have adequate knowledge of the Court and the Rome Statute, including regional and military experts. For example, use could be made of a power point presentation distributed by the Group of Friends of the International Criminal Court.

Recommendation 44

States Parties should encourage the Group of Friends of the International Criminal Court to try to reach beyond the traditional audience of legal advisers in its activities, for example by organizing more specific activities aimed at a larger audience. Legal advisers should encourage their colleagues to participate in such activities.

Recommendation 45

Existing references to the Court should be maintained in General Assembly and other resolutions as much as possible, and when appropriate included in other resolutions.

The yearly International Criminal Court resolution should be continued and strengthened wherever possible.

Recommendation 47

States Parties should include the Court in statements they make in different relevant forums, for example during the general debate of the General Assembly, when appropriate.

Recommendation 48

States Parties should remind States of their duty to cooperate and request in their statements that States fulfil their obligations to cooperate, in particular when it concerns arrest and surrender.

Recommendation 49

States Parties should, when considering candidacies for membership in United Nations organs, where relevant take into account the preparedness and willingness of candidates to fully cooperate with the Court, and if they had not yet done so, to become a State Party to the Rome Statute.

Recommendation 50

Within regional groupings, States Parties should keep the Court's needs, mandate and interests in mind and put these on the table when relevant. The regional groupings could equally be used to share information.

Recommendation 51

States Parties that are members of the Security Council should ensure that the Court's interests, needs for assistance and mandate are taken into account when relevant matters, such as sanctions, peacekeeping mandates, Security Council missions and peace initiatives are being discussed and decided on, while respecting the independence of both.

Recommendation 52

States Parties could in their efforts to assist the Court in fulfilling its mandate, make use of the expertise and knowledge of non-governmental organizations.

Recommendation 53

The Court should strive to share information on concrete needs of the Court with relevant States Parties as early as possible.

Recommendation 54

As much as possible, the organs of the Court should schedule their high-level visits to New York in such a way as to ensure an equal spread throughout the year and coincide with the most significant and relevant United Nations events.

Recommendation 55

High-level Court visitors should continue to be available in the margins of such visits to brief the Group of Friends of the International Criminal Court as well as Court membership of regional groups, including on situations and cases.

Recommendation 56

The practice to schedule the President's annual address to the General Assembly to coincide with the address by the Presidents of other courts and tribunals, preferably during the International Law Week at the United Nations, should be continued.

Recommendation 57

Incoming members of the Security Council should be briefed on the Court and its relevance to their work in the Security Council well in advance of the beginning of their terms.

Recommendation 58

This briefing should not be limited to legal advisers, but could be extended to permanent representatives, sanctions experts, military advisers, regional experts as well as conflict prevention experts, among others.

Recommendation 59

Workshops on practical issues related to cooperation such as arrest and surrender, freezing of assets and financial investigations could be organized, with the participation of relevant United Nations actors.

Recommendation 60

Efforts should be continued to include the Court in relevant courses and seminars organized by the United Nations Institute for Training and Development, as well as the United Nations University.

Recommendation 61

States Parties should through their membership of international and regional organisations work to promote the mainstreaming of Court issues, horizontally and vertically within the organisations.

Recommendation 62

States Parties should, where appropriate, initiate and support joint statements, positions, declarations and resolutions to be issued through regional and international organisations promoting the Court and its general and situational activities.

Recommendation 63

States Parties should promote where appropriate cooperation agreements between relevant organisations and the Court.

Recommendation 64

States Parties should consider, where appropriate, to propose and support the establishment of working groups within regional organisations tasked with issues relating to the Court. Inspiration can be drawn from the working groups of the Organization of American States and the European Union.

Recommendation 65

States Parties should promote regional seminars and workshops within their respective organisations with a view to raise awareness of the Court and to share experiences on various aspects of cooperation.

Recommendation 66

States Parties should endeavour to generate political support for maximum cooperation from relevant actors in relation to specific investigations and trials as well as consider the scope for promoting and implementing further measures in this regard.

Annex III

Recommendations on the arrears of States Parties

Recommendation 1

Calls upon States Parties to fully and without further delay implement the nine recommendations adopted by the Assembly in annex III to its resolution ICC-ASP/5/Res.3.

Recommendation 2

Calls upon States Parties whose outstanding contributions exceed the amount of the contributions due for the preceding full year to address a letter to the Registry of the Court indicating when they plan to settle their outstanding obligations. Such letters would in no way affect the provisions of article 112, paragraph 8, of the Rome Statute.

Annex IV

Draft rules of procedure of the Review Conferences

I. General

Rule 1

Use of terms

For the purposes of these Rules:

"Conference" means any Review Conference convened in accordance with article 121, paragraph 2, and article 123 of the Statute;

"Assembly" means the Assembly of States Parties;

"Bureau" means the Bureau as defined in article 112, paragraph 3 (a), of the Statute, which shall be the Bureau of the Conference;

"the Court" means the International Criminal Court;

"Observer States" means States which have signed the Statute or the Final Act of the Rome Conference;

"Presidency" means the organ composed of the President and the First and Second Vice-Presidents of the Court;

"Prosecutor" means the Prosecutor of the Court;

"Registrar" means the Registrar of the Court;

"Rules" means the Rules of Procedure of the Review Conferences:

"Secretariat" means the Secretariat of the Assembly of States Parties;

"States Parties" means States Parties to the Statute;

"the Statute" means the Rome Statute of the International Criminal Court adopted on 17 July 1998 by the United Nations Diplomatic Conference of Plenipotentiaries on the Establishment of an International Criminal Court.

Rule 2 Application

These Rules shall be applicable to the work of the Conference, its Bureau and subsidiary bodies.

II. Commencement and adjournment of the Conference

Rule 3

Date of commencement and duration

The provisional agenda and the date of commencement and duration of the Conference shall be decided by the Assembly and communicated by the Secretariat to the Secretary-General of the United Nations for the purposes of article 123 of the Statute.

Rule 4

Notification of the Conference

The Secretariat shall, in liaison with the Secretary General of the United Nations, ensure that the States Parties, the Observer States and the Court are informed at least 120 days in advance of the opening of the Conference.

Rule 5

Temporary adjournment of the Conference

The Conference may decide at any meeting to adjourn temporarily and resume its meetings at a later date.

III. Agenda

Rule 6

Communication of the provisional agenda

The provisional agenda for the Conference shall be communicated by the Secretariat to the States Parties, the Observer States, the Court and the United Nations at least 90 days before the opening of the Conference together with any supplementary documentation if necessary.

Rule 7

Drawing up of the provisional agenda

- 1. The provisional agenda shall be drawn up by the Secretariat.
- 2. The provisional agenda shall include, inter alia:
 - (a) Items the inclusion of which has been decided at a previous session of the Assembly;
 - (b) Items relating to the organization of the Conference;
 - (c) Items relating to the adoption of normative texts;
 - (d) Reports from the Bureau;
 - (e) Any report by any organ of the Court on its work;¹
 - (f) Any item proposed by any State Party;
 - (g) Any item proposed by the Court.

¹ Depends on the scope of the Review Conference and the items under consideration.

3. The United Nations may propose items for consideration by the Conference. In such cases, the Secretary-General shall notify the President of the Bureau accordingly, providing any relevant information with a view to the possible inclusion of such item in the provisional agenda of the Conference.

Rule 8

Explanatory memorandum

Any item proposed for inclusion in the agenda shall be accompanied by an explanatory memorandum and, if possible, by basic documents or by a draft decision.

Rule 9

Adoption of the agenda

The provisional agenda shall be adopted by the Conference as soon as possible after its opening.

Rule 10

Amendment and deletion of items

Items on the agenda may be amended or deleted by the Conference by a simple majority of States Parties present and voting.

Rule 11

Debate on the inclusion of items

Debate on the inclusion of an item in the agenda shall be limited to three speakers in favour of, and three against, the inclusion. The President may limit the time to be allowed to speakers under this rule.

IV. Representation and credentials

Rule 12

Representation

- 1. Each State Party shall be represented by one representative, who may be accompanied by alternates and advisers.
- 2. Each Observer State may be represented in the Conference by one designated representative, who may be accompanied by alternates and advisers.
- 3. The representative may designate an alternate or an adviser to act in his/her capacity.

Rule 13

Submission of credentials

The credentials of representatives of States Parties and the names of alternates and advisers shall be submitted to the Secretariat if possible not later than twenty-four hours after the opening of the Conference. The credentials shall be issued by the Head of State or Government or by the Minister for Foreign Affairs or by a person authorized by either of them.

Credentials Committee

A Credentials Committee shall be appointed at the beginning of the Conference. It shall consist of representatives of nine States Parties, which shall be appointed by the Conference on the proposal of the President. The Committee shall elect its own officers. It shall examine the credentials of representatives of States Parties and report to the Conference without delay.

Rule 15

Provisional admission to the Conference

Pending a decision of the Conference upon their credentials, representatives of States Parties shall be entitled to participate provisionally in the Conference.

Rule 16

Objection to the representation

If an objection is raised against a representation of a State Party, such objection shall be considered by the Credentials Committee forthwith. The report thereon shall be submitted to the Conference without delay. Any representative of a State Party to whose admission a State Party has made objection shall be seated provisionally with the same rights as other representatives pending the decision of the Conference.

Rule 17

Notification regarding participation of representatives of Observer States

The names of designated representatives of Observer States and of alternates and advisers who accompany them shall be submitted to the Secretariat.

V. Bureau

Rule 18

Composition and function

The Bureau shall assist the Conference in the discharge of its responsibilities.

VI. President and Vice-Presidents

Rule 19

General powers of the President

1. In addition to exercising the powers conferred upon him/her elsewhere by these Rules, the President shall declare the opening and closing of each plenary meeting of the Conference, direct the discussions in plenary meetings, ensure observance of these Rules, accord the right to speak, put questions and announce decisions. The President shall rule on points of order and, subject to these Rules, shall have complete control of the proceedings at any meeting and over the maintenance of order thereat. The President may, in the course of the discussion of an item, propose to the Conference the limitation of the time to be allowed to speakers, the limitation of the number of times each representative may speak, the closure of the list of speakers or the closure of the debate and the suspension or the adjournment of the meeting or the adjournment of the debate on the item under discussion.

2. The President, in the exercise of his/her functions, remains under the authority of the Conference.

Rule 20

Voting rights of the President

The President, or a Vice-President acting as President, shall not vote but shall designate another member of his/her delegation to vote in his/her place.

Rule 21

Acting President

- 1. If the President finds it necessary to be absent during a meeting or any part thereof, he/she shall designate one of the Vice-Presidents to take his/her place.
- 2. A Vice-President acting as President shall have the same powers and duties as the President.

Rule 22

Replacement of the President

If the President is unable to perform his/her functions, a new President shall be elected for the rest of the Conference.

VII. Participation of the President of the Court, the Prosecutor and the Registrar

Rule 23

Participation

The President of the Court, the Prosecutor and the Registrar or their representatives may participate, as appropriate, in meetings of the Conference and the Bureau in accordance with the provisions of these Rules and may make oral or written statements and provide information on any question under consideration.

VIII. Participation of the United Nations

Rule 24

Participation of the United Nations

- 1. The United Nations shall have a standing invitation to participate, without the right to vote, in the work and deliberations of the Conference.
- 2. When issues of interest to the United Nations are taken up by subsidiary bodies, the Secretary-General, if he/she so desires, or his/her representative, may participate in the work and deliberations of such subsidiary bodies. The Secretary-General or his/her representative may make statements, in oral or written form, in the deliberations.

Participation of the Secretary-General

The Secretary-General of the United Nations may participate in meetings of the Conference and the Bureau. He/She may also designate a member of the United Nations Secretariat to participate on his/her behalf. He/She may make oral or written statements concerning any question under consideration by the Conference which pertains to United Nations activities and provide information as appropriate.

IX. Secretariat

Rule 26

Duties of the Secretariat

The Secretariat shall receive, translate, reproduce and distribute documents, reports and decisions of the Conference, the Bureau and any subsidiary bodies that may be established by the Conference; interpret speeches made at the meetings; prepare, print and circulate, if so decided by the Conference or the Bureau, the records of the session; have the custody and proper preservation of the documents in the archives; distribute all documents of the Conference and the Bureau; and, generally, perform all other work which the Conference or the Bureau may require.

X. Languages

Rule 27

Official and working languages

Arabic, Chinese, English, French, Russian and Spanish, which are both the official and working languages of the General Assembly of the United Nations, shall be the official and working languages of the Conference (hereinafter "languages of the Conference").

Rule 28

Interpretation

- 1. Speeches made in an official and working language of the Conference shall be interpreted into the other languages of the Conference.
- 2. Any representative may make a speech in a language other than the languages of the Conference. In that case the representative shall provide for interpretation into one of the languages of the Conference. Interpretation into the other languages of the Conference by the interpreters of the Secretariat may be based on the interpretation given in the first such language.

Rule 29

Languages of decisions and other documents

All decisions and other official documents shall be published in all languages of the Conference.

XI. Records

Rule 30

Sound recordings

The Secretariat shall make and keep sound recordings of meetings of the Conference and the Bureau and, when so decided, of any subsidiary body.

XII. Public and private meetings

Rule 31

General principles

- 1. The meetings of the Conference shall be held in public unless the Conference decides that exceptional circumstances require that the meetings be held in private.
- 2. As a general rule, meetings of the Bureau and of subsidiary bodies with limited membership shall be held in private unless the body concerned decides otherwise.
- 3. Meetings of subsidiary bodies with general membership shall be held in public unless the body concerned decides otherwise.
- 4. Decisions of the Conference and the Bureau taken at a private meeting shall be announced at the following public meeting. At the close of a private meeting of the Bureau or of any subsidiary body, the President or the presiding officer may issue a communiqué through the Secretariat.

XIII. Minute of silent prayer or meditation

Rule 32

Invitation to silent prayer or meditation

Immediately after the opening of the first plenary meeting and immediately preceding the closing of the final plenary meeting, the President shall invite the representatives to observe one minute of silence dedicated to prayer or meditation.

XIV. Conduct of business

Rule 33 Quorum

- 1. The President may declare a meeting open and permit the debate to proceed when at least one third of the States Parties participating in the Conference are present.
- 2. The presence of an absolute majority of the States Parties constitutes the quorum for voting on matters of substance.

Rule 34 Speeches

No representative may address the Conference without having previously obtained the permission of the President. The President shall call upon speakers in the order in which they signify their desire to speak. The President may call a speaker to order if his/her remarks are not relevant to the question under consideration.

Rule 35 Precedence

The Presiding Officer of a subsidiary body may be given precedence for the purpose of explaining the conclusions arrived at by that organ.

Rule 36

Statements by the President of the Court, the Prosecutor and the Registrar

The President of the Court, the Prosecutor and the Registrar or their representatives may make either written or oral statements to the Conference or the Bureau on any question under their consideration.

Rule 37

Statements by the Secretariat

The chief officer of the Secretariat, or a member of the Secretariat designated by him/her as his/her representative, may make either oral or written statements to the Conference concerning any question under consideration by it.

Rule 38 Points of order

During the discussion of any matter, a representative of a State Party may rise to a point of order, and the point of order shall be immediately decided by the President in accordance with these Rules. A representative of a State Party may appeal against the ruling of the President. The appeal shall be immediately put to the vote, and the President's ruling shall stand unless overruled by a majority of the States Parties present and voting. A representative rising to a point of order may not speak on the substance of the question under consideration.

Rule 39

Time limit on speeches

The Conference may limit the time to be allowed to each speaker and the number of times each representative may speak on any question. Before a decision is taken, two representatives of States Parties may speak in favour of, and two against, a proposal to set such limits. When the debate is limited and a representative exceeds his/her allotted time, the President shall call him/her to order without delay.

Rule 40

Closing of list of speakers and right of reply

During the course of a debate, the President may announce the list of speakers and, with the consent of the Conference, declare the list closed. The President may, however, accord the right of reply to a representative if a speech delivered after he/she has declared the list closed makes this desirable.

Adjournment of debate

During the discussion of any matter, a representative of a State Party may move the adjournment of the debate on the item under discussion. In addition to the proposer of the motion, two representatives of States Parties may speak in favour of, and two against, the motion, after which the motion shall be immediately put to the vote. The President may limit the time to be allowed to speakers under this rule.

Rule 42

Closure of debate

A representative of a State Party may at any time move the closure of the debate on the question under discussion, whether or not any other representative has signified his/her wish to speak. Permission to speak on the motion shall be accorded only to two representatives of States Parties opposing the closure, after which the motion shall be immediately put to the vote. If the Conference is in favour of the closure, the President shall declare the closure of the debate. The President may limit the time to be allowed to speakers under this rule.

Rule 43

Suspension or adjournment of the meeting

During the discussion of any matter, a representative of a State Party may move the suspension or the adjournment of the meeting. Such motion shall not be debated, but shall be immediately put to the vote. The President may limit the time to be allowed to the speakers moving the suspension or adjournment of the meeting.

Rule 44

Order of procedural motions

Subject to rule 37, the following motions shall have precedence in the following order over all other proposals or motions before the meeting:

- (a) To suspend the meeting;
- (b) To adjourn the meeting;
- (c) To adjourn the debate on the question under discussion;
- (d) To close the debate on the question under discussion.

Rule 45

Proposals and amendments

Proposals and amendments shall normally be submitted in writing to the Secretariat, which shall circulate copies to the delegations. As a general rule, no proposal shall be discussed or put to the vote at any meeting unless copies of it have been circulated to all delegations in all languages of the Conference not later than the day preceding the meeting. The President may, however, permit the discussion and consideration of amendments, or of motions as to procedure, even though such amendments and motions have not been circulated or have only been circulated the same day.

Rule 46

Decisions on competence

Subject to rule 44, any motion by a State Party calling for a decision on the competence of the Conference to adopt a proposal submitted to it shall be put to the vote before a decision is taken on the proposal in question.

Withdrawal of motions

A motion may be withdrawn by its proposer at any time before voting on it has commenced, provided that the motion has not been amended. A motion thus withdrawn may be reintroduced by a representative of any State Party.

Rule 48

Reconsideration of proposals

When a proposal has been adopted or rejected, it may not be reconsidered at the same Conference unless the Conference, by a two-thirds majority of the States Parties present and voting, so decides. Permission to speak on a motion to reconsider shall be accorded only to two representatives of States Parties opposing the motion, after which it shall be immediately put to the vote.

XV. Amendments to the Statute

Rule 49

Consideration and adoption of amendments to the Statute

- 1. The Conference may only consider amendments to the Statute presented in accordance with articles 121 and 122 of the Statute.
- 2. Amendments to the Statute, proposed pursuant to articles 121, paragraph 1 and article 122, paragraph 1, of the Statute on which consensus cannot be reached shall be adopted by the Conference, by a two-thirds majority of States Parties.

XVI. Decision-making

Rule 50

Voting rights

Subject to article 112, paragraph 8, of the Statute, each State Party shall have one vote.

Rule 51

Consensus

Every effort shall be made to reach decisions in the Conference and in the Bureau by consensus. If consensus cannot be reached, decisions shall be taken by vote.

Rule 52

Consideration of financial implications

Before the Conference takes a decision having financial implications, it shall receive and consider a report on such implications from the Secretariat or from the Registrar, as appropriate according to the subject matter, for decisions having financial or administrative implications relating to the Court.

Decisions on matters of substance

Subject to rule 51, and except as otherwise provided in the Statute and as reflected in these Rules, decisions on matters of substance must be approved by a two-thirds majority of States Parties present and voting.

Rule 54

Decisions on matters of procedure

- 1. Subject to rule 51 and except as otherwise provided in the Statute and as reflected in these Rules, decisions on matters of procedure shall be taken by a simple majority of States Parties present and voting.
- 2. If the question arises whether a matter is one of procedure or of substance, the President shall rule on the question. An appeal against this ruling shall immediately be put to the vote and the President's ruling shall stand unless the appeal is approved by a simple majority of the States Parties present and voting.

Rule 55

Decisions on amendments to proposals relating to matters of substance

Decisions on amendments to proposals relating to matters of substance, and on parts of such proposals put to the vote separately, shall be made by a two-thirds majority of the States Parties present and voting.

Rule 56

Meaning of the phrase "States Parties present and voting"

For the purposes of these Rules, the phrase "States Parties present and voting" means States Parties present and casting an affirmative or negative vote. States Parties which abstain from the voting shall be considered as not voting.

Rule 57 Method of voting

- 1. The Conference shall, in the absence of mechanical or electronic means for voting, vote by show of hands or by standing, but a representative of any State Party may request a roll-call. The roll-call shall be taken in the English alphabetical order of the names of the States Parties, beginning with the State Party whose name is drawn by lot by the President. The name of each State Party shall be called in any roll-call, and its representative shall reply "yes", "no" or "abstention". The result of the voting shall be inserted in the records in the English alphabetical order of the names of the States Parties.
- 2. When the Conference votes by mechanical or electronic means, a non-recorded vote shall replace a vote by show of hands or by standing and a recorded vote shall replace a roll-call vote. A representative of a State Party may request a recorded vote. In the case of a recorded vote, the Conference shall, unless a representative of a State Party requests otherwise, dispense with the procedure of calling out the names of the States Parties; nevertheless, the result of the voting shall be inserted in the record in the same manner as that of a roll-call vote.

Conduct during voting

After the President has announced the commencement of voting, no representative of a State Party may interrupt the voting, except that representatives of States Parties may interrupt on a point of order in connection with the actual conduct of the voting.

Rule 59

Explanation of vote

Representatives of States Parties may make brief statements consisting solely of explanations of their votes before the voting has commenced or after the voting has been completed. The representative of a State Party sponsoring a proposal or motion shall not speak in explanation of vote thereon, except if it has been amended. The President may limit the time to be allowed for such explanations.

Rule 60

Division of proposals and amendments

A representative of a State Party may move that parts of a proposal or of an amendment be voted on separately. If objection is made to the request for division, the motion for division shall be voted upon. Permission to speak on the motion for division shall be given only to two speakers in favour and two speakers against. If the motion for division is carried, those parts of the proposal or of an amendment which are approved shall then be put to the vote as a whole. If all operative parts of the proposal or of the amendment have been rejected, the proposal or the amendment shall be considered to have been rejected as a whole.

Rule 61

Order of voting on amendments

When an amendment is moved to a proposal, the amendment shall be voted on first. When two or more amendments are moved to a proposal, the Conference shall first vote on the amendment furthest removed in substance from the original proposal and then on the amendment next furthest removed therefrom, and so on until all the amendments have been put to the vote. Where, however, the adoption of one amendment necessarily implies the rejection of another amendment, the latter amendment shall not be put to the vote. If one or more amendments are adopted, the amended proposal shall then be voted upon. A motion is considered an amendment to a proposal if it merely adds to, deletes from or revises part of the proposal.

Rule 62

Order of voting on proposals

If two or more proposals relate to the same question, the Conference shall, unless it decides otherwise, vote on the proposals in the order in which they have been submitted. The Conference may, after each vote on a proposal, decide whether to vote on the next proposal.

Rule 63

Equally divided votes

If a vote is equally divided on matters other than elections, the proposal or motion shall be regarded as rejected.

Elections of officers of the Conference

All elections of officers of the Conference shall be held by secret ballot unless, in the absence of any objection, the Conference decides to proceed without taking a ballot on an agreed candidate or slate.

Rule 65

Restricted balloting for one elective place

When only one person or State Party is to be elected and no candidate obtains in the first ballot the majority required, a second ballot shall be taken, which shall be restricted to the two candidates obtaining the largest number of votes. If in the second ballot the votes are equally divided, and a majority is required, the President shall decide between the candidates by drawing lots. If a two-thirds majority is required, the balloting shall be continued until one candidate secures two thirds of the votes cast; provided that after the third inconclusive ballot, votes may be cast for any eligible person or State Party. If three such unrestricted ballots are inconclusive, the next three ballots shall be restricted to the two candidates who obtained the greatest number of votes in the third of the unrestricted ballots, and the following three ballots thereafter shall be unrestricted, and so on until a person or State Party is elected.

Rule 66

Restricted balloting for two or more elective places

When two or more elective places are to be filled at one time under the same conditions, those candidates obtaining in the first ballot the majority required shall be elected. If the number of candidates obtaining such majority is less than the number of persons or States Parties to be elected, there shall be additional ballots to fill the remaining places, the voting being restricted to the candidates obtaining the greatest number of votes in the previous ballot to a number not more than twice the places remaining to be filled; provided that after the third inconclusive ballot, votes may be cast for any eligible person or State Party. If three such unrestricted ballots are inconclusive, the next three ballots shall be restricted to the candidates who obtained the greatest number of votes in the third of the unrestricted ballots, to a number not more than twice the places remaining to be filled, and the following three ballots thereafter shall be unrestricted, and so on until all the places have been filled.

XVII. Subsidiary bodies

Rule 67

Establishment of subsidiary bodies

The Conference may establish such subsidiary bodies as may be necessary.

Rule 68

Rules of procedure of subsidiary bodies

Unless otherwise decided by the Conference, these rules shall apply, mutatis mutandis, to the proceedings of subsidiary bodies, except that:

- (a) The presiding officer of a subsidiary body may exercise the right of vote;
- (b) The presence of representatives of a majority of the members of a subsidiary body shall be required for any decision to be taken.

XVIII. Participation of observers and other participants

Rule 69 Observers

- 1. Representatives designated by entities, intergovernmental organizations and other entities that have received a standing invitation from the General Assembly of the United Nations pursuant to its relevant resolutions to participate, in the capacity of observers, in its sessions and work have the right to participate as observers, without the right to vote, in the deliberations of the Conference.
- 2. Representatives designated by regional intergovernmental organizations or other international bodies invited to the Rome Conference, accredited to the Preparatory Commission for the International Criminal Court or invited by the Assembly may participate as observers, without the right to vote, in the deliberations of the Conference.
- 3. The representatives referred to in paragraphs 1 and 2 above may also participate in the deliberations of subsidiary bodies under the conditions laid down in rule 31 of the present rules of procedure.

Rule 70 Other participants

Non-governmental organizations invited to the Rome Conference, registered to the Preparatory Commission for the International Criminal Court, or having consultative status with the Economic and Social Council of the United Nations whose activities are relevant to the activities of the Court and other non-governmental organizations invited by the Assembly may, through their designated representatives:

- (a) Attend meetings of the Conference and meetings of its subsidiary bodies under the conditions laid down in rule 31 of the present Rules of Procedure;
- (b) Receive copies of official documents;
- (c) Upon the invitation of the President and subject to the approval of the Conference, make oral statements through a limited number of representatives on questions relating to their activities at the opening and closing meetings of the Conference;
- (d) Make oral statements through a limited number of representatives on questions relating to their activities at the opening and closing meetings of subsidiary bodies, when the subsidiary body concerned deems it appropriate.

Rule 71 States not having observer status

At the beginning of the Conference, the President may, subject to the approval of the Conference, invite a given State which is not a party and does not have observer status to designate a representative to be present during the work of the Conference. A representative who is so designated may be authorized by the Conference to make a statement.

Rule 72 Written statements

Written statements submitted by the designated representatives referred to in rules 69, 70 and 71 shall be made available by the Secretariat to representatives of the States Parties and Observer States in the quantities and in the language or languages in which the statements are made

available to it, provided that a statement submitted on behalf of a non-governmental organization is related to the work of the Conference and is on a subject in which the organization has a special competence. Written statements shall not be made at the expense of the Conference and shall not be issued as official documents.

XIX. Amendments

Rule 73 Method of amendment

These Rules may be amended by a decision of the Conference taken by a two-thirds majority of the States Parties present and voting after the Bureau has reported on the proposed amendment.

Resolution ICC-ASP/6/Res.3

Adopted at the 7th plenary meeting, on 14 December 2007, by consensus

ICC-ASP/6/Res.3 Amendment to the Regulations of the Trust Fund for Victims

The Assembly of States Parties,

Recalling its resolution ICC-ASP/4/Res.3 of 3 December 2005, by which the Regulations of the Trust Fund for Victims were adopted,

Wishing to enhance the functioning of the Trust Fund,

Decides to amend regulation 27 of the Regulations of the Trust Fund for Victims by inserting the following text to the chapeau of the regulation after the phrase "as requested by the donor":

", fulfils the criteria listed in (a) and (b) of this regulation. The above restrictions may, however, be waived when the funds have been raised at the initiative of the members of the Board of Directors and/or the Executive Director, provided that there is full compliance with the following: ".

Resolution ICC-ASP/6/Res.4

Adopted at the 7th plenary meeting, on 14 December 2007, by consensus

ICC-ASP/6/Res.4

Programme budget for 2008, the Working Capital Fund for 2008, scale of assessments for the apportionment of expenses of the International Criminal Court and financing appropriations for the year 2008

The Assembly of States Parties,

Having considered the approved programme budget for 2008 of the International Criminal Court and the related conclusions and recommendations contained in the Report of the Committee on Budget and Finance on the work of its ninth session,

A. Programme budget for 2008

1. Approves appropriations totalling $\leq 90,382,100$ for the following appropriation sections:

Appropriation section		Thousands of euros
Major Programme I	- Judiciary	10,425.9
Major Programme II	- Office of the Prosecutor	23,201.2
Major Programme III	- Registry	51,511.7
Major Programme IV	- Secretariat of the Assembly of States Parties	4,028.8
Major Programme VI	- Secretariat of the Trust Fund for Victims	1,006.0
Major Programme VII	- Permanent Premises Project Office	208.5
Total		90,382.1

2. *Further approves* the following staffing tables for each of the above appropriation sections:

	Judiciary	Office of the Prosecutor	Registry	Secretariat Assembly of States Parties	Secretariat Trust Fund for Victims	Permanent Premises Project Office	Total
USG		1					1
ASG		2	1				3
D-2							
D-1		2	4	1	1	1	9
P-5	3	10	16				29
P-4	2	27	31	3	1	1	65
P-3	19	42	68	1	1		131
P-2	2	43	46				91
P-1	1	14	7				22
Subtotal	27	141	173	5	3	2	351
GS-PL	1	1	18	2			22
GS-OL	15	64	222	2	2	1	306
Subtotal	16	65	240	4	2	1	328
Total	43	206	413	9	5	3	679

B. Working Capital Fund for 2008

The Assembly of States Parties,

Resolves that the Working Capital Fund for 2008 shall be established in the amount of €7,405,983, and authorizes the Registrar to make advances from the Fund in accordance with the relevant provisions of the Financial Regulations and Rules of the Court.

C. Scale of assessment for the apportionment of expenses of the International Criminal Court

The Assembly of States Parties,

Decides that, for the year 2008, the International Criminal Court shall adopt the scale of assessments of the United Nations applicable for the year 2008, with adjustments to take into account the difference in membership between the United Nations and the Assembly of States Parties to the Rome Statute, in accordance with the principles upon which the scale of the United Nations is based.

Notes that, in addition, any maximum assessment rate for the largest contributors applicable for the United Nations regular budget will apply to the International Criminal Court's scale of assessments.

D. Financing appropriations for the year 2008

The Assembly of States Parties,

Resolves that, for the year 2008, budget appropriations amounting to €90,382,100 and the amount for the Working Capital Fund of €7,405,983, approved by the Assembly under part A, paragraph 1, and part B, respectively, of the present resolution, be financed in accordance with regulations 5.1, 5.2 and 6.6 of the Financial Regulations and Rules of the Court.

Resolution ICC-ASP/6/Res.5

Adopted at the 7th plenary meeting, on 14 December 2007, by consensus

ICC-ASP/6/Res.5

Amendments to the Financial Regulations and Rules

The Assembly of States Parties,

Having reference to the Financial Regulation and Rules¹ adopted at its first session on 9 September 2002,

Bearing in mind the recommendation of the Committee on Budget and Finance at its ninth session, $\!\!\!^2$

Decides to amend rule 110.1 of the Financial Regulations and Rules by inserting after subparagraph (a) the following paragraph:

(b) The Internal Auditor shall report annually, and on an ad hoc basis where appropriate, to the Committee on Budget and Finance through the Chair of the Audit Committee. The Committee on Budget and Finance shall refer any matters to the Assembly of States Parties which require the attention of the Assembly.

and decides further that subparagraph (b) shall be renumbered as subparagraph (c).

¹ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, First session, New York, 3-10 September 2002 (United Nations publication, Sales No. E.03.V.2 and corrigendum), part II. D.

² Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Sixth session, New York, 30 November-14 December 2007 (International Criminal Court publication, ICC-ASP/6/20), vol. II, part B.2, paragraph 22.

Resolution ICC-ASP/6/Res.6

Adopted at the 7th plenary meeting, on 14 December 2007, by consensus

ICC-ASP/6/Res.6

Amendments to the pension scheme regulations for judges of the International Criminal Court

The Assembly of States Parties,

Recalling its resolution ICC-ASP/3/Res.3 of 10 September 2004¹, by which the Pension scheme regulations for judges of the International Criminal Court² were adopted,

Bearing in mind the recommendation of the Committee on Budget and Finance contained in the report on the work of its ninth session³ that the Assembly approve the draft amendments to the pension scheme regulations for judges of the International Criminal Court,

Decides to amend articles I, III and IV of the pension scheme regulations for judges of the International Criminal Court by replacing them with the following texts:

Article I Retirement pension

- 1. A judge who has ceased to hold office and who has reached the age of **sixty-two (62)** shall be entitled during the remainder of his or her life, subject to paragraph 5 below, to a retirement pension payable monthly, provided that he or she has not been required to relinquish his or her appointment for reasons other than the state of his or her health.
- 2. The amount of the retirement pension shall be determined as follows:

For each year of service, the amount of the annual pension shall be 1/72nd (one seventy-second) of the annual salary.

- 3. No additional pension shall be paid if the judge has completed more than a full nine-year term.
- 4. A judge who ceases to hold office before attaining the age of **sixty-two** (62) and who would be entitled to a retirement pension when he or she reaches that age may elect to receive a pension from any date after the date on which he or she ceases to hold office. Should he or she so elect, the amount of such pension shall be that amount which has the same actuarial value as the retirement pension that would have been paid to him or her at the age of **sixty-two** (62).
- 5. No retirement pension shall be payable to a former judge who has been re-elected to office until he or she again ceases to hold office. At that time, the amount of his or her pension shall be calculated on the basis of his or her total period of service and shall be subject to a reduction equal

¹ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Third session, The Hague, 6-10 September 2004 (International Criminal Court publication, ICC-ASP/3/25), part III, resolution ICC-ASP/3/Res.3, paragraph 22.

² Ibid., appendix 2.

³ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Sixth session, New York, 30 November-14 December 2007 (International Criminal Court publication, ICC-ASP/6/20), vol. II, part B.2, paragraph 100, annex III.

in actuarial value to the amount of any retirement pension paid to him or her before he or she reached the age of sixty-two (62).

Article III Surviving spouse's pension

- 1. Upon the death of a married judge who was entitled to a retirement pension, the surviving spouse, provided he or she was the spouse at the date that the former judge's service ended, shall be entitled to a surviving spouse's pension calculated as follows:
 - (a) If the judge had not begun, at the date of his or her death, to receive his or her retirement pension, the surviving spouse's pension shall amount to one half of the pension that would have been payable to the judge under article I, paragraph 4, above, had the judge commenced receiving such pension on the date of his or her death, provided that the surviving spouse's pension shall not be less than **one forty-eighth** of the annual salary;
 - (b) If the judge had begun to receive his or her retirement pension under article I, paragraph 4, above, before he or she reached the age of sixty-two (62), the surviving spouse's pension shall amount to one half of the amount of such pension, but shall not be less than one forty-eighth of the annual salary;
 - (c) If the judge had reached the age of **sixty-two** (62) when he or she began to receive his or her retirement pension, the surviving spouse's pension shall amount to one half of the judge's pension, but shall not be less than **one twenty-fourth** of the annual salary.
- 2. Upon the death of a married judge, his or her surviving spouse shall be entitled to a surviving spouse's pension amounting to one half of the pension which the judge would have received had the judge become entitled to a disability pension at the time of his or her death, provided that the surviving spouse's pension shall not be less than **one twenty-fourth** of the annual salary.
- 3. Upon the death of a married former judge who was in receipt of a disability pension, the surviving spouse, provided that he or she was the spouse at the date that the former judge's service ended, shall be entitled to a surviving spouse's pension amounting to one half of the pension which the former judge was receiving, provided that the surviving spouse's pension shall not be less than **one twenty-fourth** of the annual salary.
- 4. Upon remarriage, the surviving spouse's pension shall cease and the surviving spouse shall be granted a lump sum equal to twice the amount of his or her current annual benefit as final settlement.

Article IV Child benefit

- 1. Upon the death of a judge or a former judge, his or her natural or legally adopted child shall be entitled, while unmarried and under the age of twenty-one (21), to a benefit calculated as follows:
 - (a) Where there is a surviving spouse entitled to a pension under article III above, the annual amount of the child benefit shall be:
 - (i) The equivalent of ten (10) per cent of the retirement pension that the judge was receiving; or,

- (ii) If the judge had not begun, at the date of his or her death, to receive his or her retirement pension, ten (10) per cent of the pension that would have been payable to him or her under article I, paragraph 4, had he or she commenced to receive such pension at the date of his or her death; or,
- (iii) In the case of the death of a judge in office, ten (10) per cent of the pension that the judge would have received had he or she qualified for a disability pension at the date of his or her death;

Provided, in all cases, that the amount of the child's benefit shall not exceed one thirty-sixth of the annual base salary;

- (b) Where there is no surviving spouse entitled to a pension under article III, or upon the death of the surviving spouse, the total amount of the child benefit payable under subparagraph (a) above shall be increased by the following amount:
 - (i) If there is only one eligible child, by one half of the amount of the pension that was being paid or would have been paid to the surviving spouse;
 - (ii) If there are two or more eligible children, by the amount of the pension that was being paid or would have been paid to the surviving spouse;
- (c) The total child benefit payable under subparagraph (b) above shall be divided equally among all of the eligible children to determine the amount of any one child's benefit; as and when a child ceases to be eligible, the total benefit payable to the remainder shall be recalculated in accordance with subparagraph (b).
- 2. The total amount of child benefit, when added to the amount of any surviving spouse's benefit in payment, shall not exceed the pension that the judge or former judge received or would have received had he or she survived.
- 3. The age-limit noted in paragraph 1 above shall be waived if the child is incapacitated by illness or injury, and the benefit shall continue to be paid for as long as the child remains incapacitated.

B. Recommendations

Recommendation ICC-ASP/6/Recommendation 1

Adopted at the 7th plenary meeting on 14 December, 2007, by consensus

ICC-ASP/6/Recommendation 1

Recommendation concerning the election of the Registrar of the International Criminal Court

The Assembly of States Parties,

Bearing in mind article 43, paragraph 4, of the Rome Statute of the International Criminal Court, ¹

Having received a list of candidates² from the Presidency in accordance with rule 12 of the Rules of Procedure and Evidence,³

Taking into account the recommendations of the Bureau of the Assembly,

Recommends that the judges proceed to elect the Registrar on the basis of the list submitted by the Presidency in accordance with rule 12 of the Rules of Procedure and Evidence;

Recommends also that, in considering the list of candidates with a view to electing the Registrar, the judges take into account the following elements, which include criteria governing the employment of staff of the Court provided in the Rome Statute:

- (a) the highest standards of efficiency, competency and integrity;⁴
- (b) the criteria set forth in article 36, paragraph 8, on the election of judges which apply <u>mutatis mutandis</u> to the employment of staff,⁵ namely,
 - (i) the representation of the principal legal systems of the world;
 - (ii) equitable geographical representation;
 - (iii) a fair representation of female and male persons;
 - (iv) the need for a candidate with legal expertise on specific issues, including, but not limited to, violence against women, will be considered an asset.
- (c) proven managerial skills, whether acquired within relevant international or national organizations;
- (d) familiarity with both governmental and intergovernmental processes and possession of requisite diplomatic skills;

80

¹ Official Records of the United Nations Diplomatic Conference of Plenipotentiaries on the Establishment of an International Criminal Court, Rome, 15 June-17 July, 1998, vol. I: Final documents (United Nations publication, Sales No. E. 02.1.5), sect. A.

² ICC-ASP/6/16 and Add.1.

³ Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, First session, New York, 3-10 September 2002 (United Nations publication, Sales No. E.03.V.2 and corrigendum), part II. A.

⁴ Rome Statute of the International Criminal Court, article 44, paragraph 2.

⁵ Ibid.

- (e) the candidate should be a national of a State Party and, in the case of a candidate with dual or multiple nationalities, application of the principle set out in resolution ICC-ASP/1/Res.10, as amended by resolution ICC-ASP/4/Res.4;⁶
- (f) the Registrar and Deputy should not be from the same regional group, neither should the Registrar and Deputy Registrar be of the same nationality;
- (g) qualifications of the candidate, including relevant experience, and especially with respect to the duties contained in the annexed vacancy announcement No. 07-ADM-112-RE; and
- (h) ability to co-operate well with others, as well as work within and have the ability to lead a team.

81

 $^{^{6}}$ Paragraph 31 and annex I.

Annex

Vacancy Announcement No. 07-ADM-112-RE

Registrar (ASG)

Applications must be accompanied by a fully completed ICC Personal History Form. The ICC will not accept other than the ICC Personal History Form.

Female candidates are particularly encouraged to apply.

Vacancy Announcement No. 07-ADM-112-RE

Deadline for Applications 09.09.2007

Post number ICC-3110-E-ASG-9466

Duty StationThe HagueOrganizational UnitRegistryType of Appointment and durationFive years

Minimum net annual salary (single rate) € 126,416 (subject to change) tax-free

DUTIES AND RESPONSIBILITIES

The International Criminal Court (ICC) is the world's first permanent, treaty-based criminal court. It has been established to ensure that the gravest international crimes do not go unpunished, to deter the commission of crimes and to further respect for international law and justice. The Court is a new international organisation with a diverse staff drawn from around the world.

The Court is currently soliciting candidates for the position of Registrar for a term of office of 5 years beginning in July 2008. The Registrar's functions are diverse and encompass responsibilities related to both judicial proceedings and the administration of an international organization.

As the ICC is at an early stage of activities, a substantial component of the work of the incoming Registrar will be related to the further development of the institution, including its policies and procedures.

Under the authority of the President of the Court, the Registrar shall be responsible primarily for:

- Management of the different sections and divisions of the Registry including Legal Advisory Services, Security and Safety, Common Administrative Services (including budget, finance, procurement, human resources, general services, information and communications technologies, and field operations), Court Services (including Court management, detention, interpretation and translation, and victims and witnesses), Public Information and Documentation (including the Court's outreach programme), and Victims and Counsel (including defence support and victims participation and reparations);
- Organizing support of the Registry to judicial proceedings, including extensive responsibilities with respect to the defence, witnesses and victims;
- Ensuring provision of high-quality administrative services within the Registry and from the Registry to the other organs of the Court;
- Consulting and coordinating with the Office of the Prosecutor (OTP) on matters of mutual concern;

- Contributing to the further development and implementation of the Court's strategic plan;
- Leading the Court's involvement in the development of permanent premises for the Court;
- Acting as a channel of communication between the Court, States Parties (in particular the host State), international and regional organizations, non-governmental organizations and civil society.

QUALIFICATIONS AND EXPERIENCE

- Extensive relevant professional experience in the management of a national or international court or tribunal;
- Experience in institution-building, preferably of a judicial system or institution, would be a strong asset;
- Strong managerial skills and experience in all relevant management areas;
- Understanding of different legal systems;
- Advanced knowledge of information technology would be an asset;
- Excellent and effective communication (verbal and written) skills;
- Excellent inter-personal skills with an ability to work and foster teamwork, encourage initiative and inspire and supervise staff in a multi-cultural, multi-ethnic environment with sensitivity and respect for diversity; highly developed negotiating skills and ability to work with and persuade others to reach agreements.

KNOWLEDGE OF LANGUAGES

- Excellent knowledge of and fluency in at least one of the working languages of the Court (English or French). Working knowledge of the other language would be highly desirable.
- Knowledge of another official language of the Court would be considered an asset (Arabic, Chinese, Russian, Spanish).

DETAILS ON APPOINTMENT

• The Registrar shall be elected by the judges of the Court, taking into account any recommendations from the Assembly of States Parties, for a term of 5 years beginning in July 2008.

NB:

The Court reserves the right not to make any appointment to this vacancy, to make an appointment at a lower grade, or to make an appointment with a modified job description.