

Annexes

Annex I

Draft Resolution of the Assembly of States Parties on the proposed programme budget for 2014, the Working Capital Fund for 2014, scale of assessment for the apportionment of expenses of the International Criminal Court, financing appropriation for 2014 and the Contingency Fund

The Assembly of States Parties,

Having considered the proposed programme budget for 2014 of the International Criminal Court and the related conclusions and recommendations contained in the Report of the Committee on Budget and Finance on the work of its twenty first session,

I. Programme budget for 2014

The Assembly of States Parties,

2. *Approves* appropriations totalling €126,071,700 for the following appropriation sections:

<i>Appropriation section</i>	<i>Thousands of euros</i>
Major Programme I - Judiciary	10,214.9
Major Programme II - Office of the Prosecutor	35,744.5
Major Programme III - Registry	68,111.6
Major Programme IV - Secretariat of the Assembly of States Parties	2,836.3
Major Programme V - Interim Premises	5,900.7
Major Programme VI - Secretariat of the Trust Fund for Victims	1,595.2
Major Programme VII-1 - Project Director's Office (permanent premises)	1,289.1
Major Programme VII-2 - Permanent Premises Project Interest	170.3
Major Programme VII-5 - Independent Oversight Mechanism	209.1
Total	126,071.7

3. *Further approves* the following staffing tables for each of the above appropriation sections:

	<i>Judiciary</i>	<i>Office of the Prosecutor</i>	<i>Registry</i>	<i>Secretariat Assembly of States Parties</i>	<i>Secretariat Trust Fund for Victims</i>	<i>Project Director's Office</i>	<i>Independent Oversight Mechanism</i>	<i>Total</i>
USG		1						1
ASG		1	1					2
D-2								
D-1		3	4	1	1	1		10
P-5	3	12	16	1	1	1		34
P-4	3	29	39	1		1	1	74
P-3	21	44	66	1	3			135
P-2	5	47	62	1		1	1	117
P-1		16	6					22
<i>Sub-total</i>	<i>32</i>	<i>153</i>	<i>194</i>	<i>5</i>	<i>5</i>	<i>4</i>	<i>2</i>	<i>395</i>

	Judiciary	Office of the Prosecutor	Registry	Secretariat Assembly of States Parties	Secretariat Trust Fund for Victims	Project Director's Office	Independent Oversight Mechanism	Total
GS-PL	1	1	16	2				20
GS-OL	15	63	268	2	2	1		351
<i>Sub-total</i>	<i>16</i>	<i>64</i>	<i>284</i>	<i>4</i>	<i>2</i>	<i>1</i>		<i>371</i>
Total	48	217	478	9	7	5	2	766

II. Working Capital Fund for 2014

The Assembly of States Parties,

Resolves that the Working Capital Fund for 2014 shall be established in the amount of €7,405,983, and *authorizes* the Registrar to make advances from the Fund in accordance with the relevant provisions of the Financial Regulations and Rules of the Court.

III. Scale of assessment for the apportionment of expenses of the International Criminal Court

The Assembly of States Parties,

Decides that, for 2014, the contributions of States Parties shall be assessed in accordance with an agreed scale of assessment, based on the scale adopted by the United Nations for its regular budget applied for 2013 and adjusted in accordance with the principles on which that scale is based.¹

Notes that, in addition, any maximum assessment rate for the largest contributors applicable for the United Nations regular budget will apply to the International Criminal Court's scale of assessments.

IV. Financing appropriations for 2014

The Assembly of States Parties,

Resolves that, for 2014, budget appropriations amounting to €126,061,700 and the amount for the Working Capital Fund of €7,405,983, approved by the Assembly under part I, paragraph 1, and part II, respectively, of the present resolution, be financed in accordance with regulations 5.1, 5.2 and 6.6 of the Financial Regulations and Rules of the Court.

V. Contingency Fund

The Assembly of States Parties,

Recalling its resolutions ICC-ASP/3/Res. 4 establishing the Contingency Fund in the amount of €10,000,000 and ICC-ASP/7/Res. 4 that requested the Bureau to consider options for replenishing both the Contingency Fund and the Working Capital Fund,

Taking note of the advice of the Committee on Budget and Finance in the reports on the work of its eleventh and thirteenth sessions,

Decides to maintain the Contingency Fund at its current level for 2014;

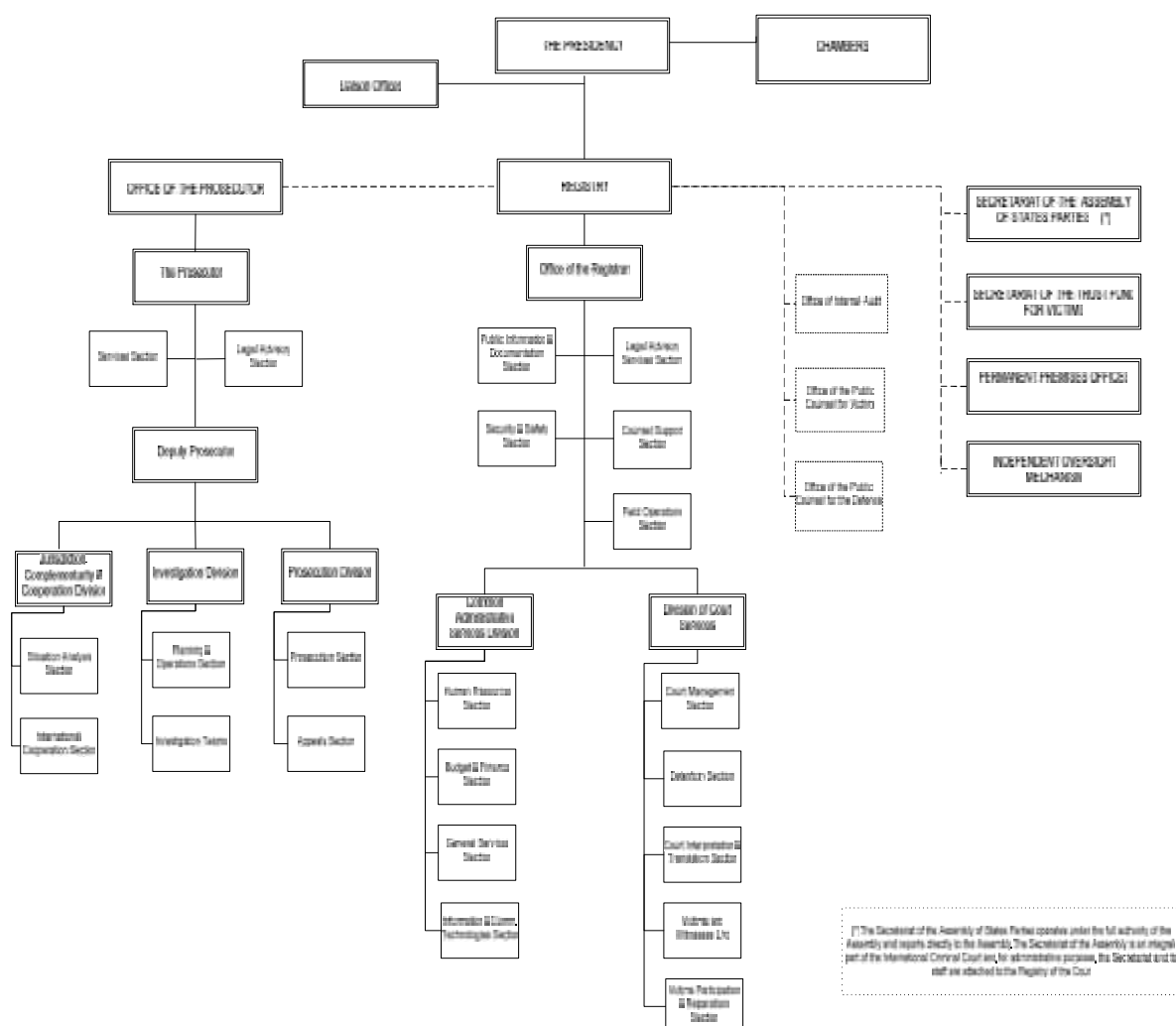
Decides that, should the Fund reach a level below €7 million by the end of the year, the Assembly should decide on its replenishment up to an amount it deems appropriate, but no less than €7 million; and

Requests the Bureau to keep the €7 million threshold under review in light of further experience on the functioning of the Contingency Fund.

¹ Rome Statute of the International Criminal Court, article 117.

Annex II

Organizational structure of the Court



(*) The Secretariat of the Assembly of States Parties operates under the full authority of the Assembly and reports directly to the Assembly. The Secretariat of the Assembly is an integral part of the International Criminal Court and, for administrative purposes, the Secretariat and its staff are attached to the Registry of the Court.

Annex III

Assumptions for the proposed programme budget for 2014

<i>Function</i>	<i>Total</i>
1. Number of planned Court hearings in 12 months	215 ¹
2. Number of situations under investigation	8 ²
3. Number of full investigations	5 ³
4. Number of limited investigations	13 ⁴
5. Number of Trial teams	
– Chambers	4 ⁵
– OTP	6 ⁶
6. Number of Registry Courtroom support teams	1
7. Number of languages supported in Courtroom	5 ⁷ (1 booth per language indicated)
8. Number of other case-related languages supported	+28 ⁸
9. Number of languages supported for correspondence with States Parties	22 ⁹
10. Number of final appeals	4 ¹⁰
11. Number of witnesses appearing for testimony	50
12. Expected maximum duration of stay per witness	15
13. Number of Victims applying for particip./rep.	4,800 ¹¹
14. Number of persons in Protection Programme	24
15. Number of suspects/accused appearing before the Court ¹²	11 ¹³
16. Number of suspects/accused in detention	5 ¹⁴
17. Number of cells required	6
18. Number of defence teams financed by Legal Aid	8 ¹⁵
19. Number of victims' repr. financed by Legal Aid	11 ¹⁶
20. Number of Field offices/presence	6 ¹⁷
21. Number of Field work-days	18,548 ¹⁸

¹ Number of days available for Pre-Trial, Trial and Appeals Divisions (365 - 104 week-ends - 10 official holidays - 12 maintenance days - 40 court recess = 199 days). Maximum capacity for one courtroom and one courtroom team is increased to 215 days considering extended working hours (Ken1 82 days, Ken2 82 days, Banda 46 days, Ntaganda five days = 215 days).

² CIV, CAR, Libya, Uganda, DRC, DAR, Kenya, Mali. In addition, there are eight situations under preliminary examination.

³ CIV [1 & 2], Mali [1 & 2] and DRC 6.

⁴ Libya 1, Libya 2, CAR, Uganda, DRC 1, DRC 2, DRC 3 & 4, DRC 5, DAR1, DAR2 & 4, DAR3 and Kenya 1, Kenya 2.

⁵ Ken1, Ken2, CAR, DAR3. There could be trial activity in all four cases. Provision will also be needed for pre-trial activities in Ntaganda and Gbagbo.

⁶ Ken1, Ken2, CAR, DAR3, DRC1, DRC2. There could be trial activity in all six cases.

⁷ French, English, Swahili, Kalendjin, Kinyarwanda.

⁸ + Arabic, Sango, Lingala, Swahili, Acholi, Teso, Kumam, Lango, Luganda, Zaghawa, Kilendu, Ngiti, Alur, Kinyarwanda, Kalendjin, Gikuyu, Dohluo, Luhya, Dioula, Baoulé, Mòoré, Bété (Daloa), Wobe (Guéré), Bambara, Tamasheq, Songhay, Peul, Fur.

⁹ 1) Working Languages: English, French 2) Judicial Cooperation Languages: Albanian, Bulgarian, Czech, German, Greek, Croatian, Italian, Japanese, Georgian, Korean, Latvian, Polish, Portuguese, Serbian, Slovak, Slovenian 3) Official Languages: Arabic, Chinese, Russian, Spanish.

¹⁰ Lubanga, Katanga, Ngudjolo, Bemba.

¹¹ DRC: 100, Bemba: 1000, Banda & Jerbo: 50, Kenya: 3000 (1500 per case), Libya: 50, Mali: 500, CIV: 100.

¹² The term "accused" includes persons convicted at first instance pending appeal.

¹³ Lubanga, Katanga, Ngudjolo, Bemba, Ruto, Sang, Kenyatta, Gbagbo, Ntaganda and Banda/Jerbo. Charges against M. Muthaura were dropped – see document ICC-01/09-02-696 of 18/03/2013).

¹⁴ Lubanga, Katanga, Gbagbo, Ntaganda and Bemba.

¹⁵ Two in trial: Sang, Banda; four in appeals: Lubanga, Katanga, Ngudjolo, Bemba; two in pre-trial: Gbagbo, Ntaganda.

¹⁶ Three in trial: one in Kenyatta, one in Ruto/Sang, one in Banda/Jerbo; six in appeals: two in Lubanga, two in Katanga/Ngudjolo, two in Bemba; two in pre-trial: Gbagbo, Ntaganda.

¹⁷ Kampala, Kinshasa, Bunia, Nairobi, Bangui, Abidjan.

¹⁸ Provisional figures and subject to review.

Annex IV

List of strategic goals of the International Criminal Court (2013-2017)

<i>Goal 1: Judicial and Prosecutorial</i>	<i>Goal 2: Managerial</i>	<i>Goal 3: Cooperation and Support</i>
1.1 Ensure fair, transparent and expeditious judicial proceedings, while further refining legal standards through well-developed jurisprudence and encouraging the development of standardized processes.	2.1 Deliver coherent governance and efficient, well-planned and cost-effective administration to support the Court's judicial functions, retaining the ability to respond effectively to unexpected developments.	3.1 Assist the Assembly of States Parties ("the Assembly") in exercising its management oversight while fully respecting the independence of the Court.
1.2 Perform independent and impartial preliminary examinations and further increase their impact in relation to positive complementarity and the prevention of crimes.	2.2 Maintain high standards of integrity, professionalism and respect for diversity.	3.2 Further develop the Court's worldwide support by strengthening the trust, cooperation and commitment of States, international and regional organizations, NGOs and other key partners and stakeholders.
1.3 Perform impartial, focused investigations and focused prosecutions.	2.3 Ensure adequate technological support for judicial and administrative activities.	3.3 Encourage compliance with Court orders such as arrest warrants, and identification, tracing and freezing of assets.
1.4 Guarantee the rights of the defence to a fair and impartial trial.	2.4 Ensure adequate human resources to deliver the Court's mandate and respect geographical representation and gender balance.	3.4 Conclude further enforcement agreements, relocation agreements and agreements for interim release/acquittal.
1.5 Ensure adequate and meaningful participation and representation of victims.	2.5 Provide and maintain a healthy, motivating, sustainable and caring environment for staff and others participating in the Court's work and strive to offer career development and mobility opportunities.	3.5 With States Parties, encourage and support further accessions to the Rome Statute, with the ultimate goal of universality.
1.6 Ensure meaningful reparations and a successful implementation of reparation orders, including coherent principles for victim reparations.	2.6 Further develop the Court's systems to plan and budget strategically, including its efficient management of risks and performance.	3.6 With States Parties, encourage and facilitate the development of national capacities to ensure the goals of the Rome Statute.
1.7 Increase awareness of, communication with and understanding amongst victims and affected communities in line with the stages of the proceedings and of the Court and its processes generally.	2.7 Contribute to the timely provision of permanent premises that meet the Court's operational requirements.	
	2.8 Ensure adequate security for staff and other persons at risk because of their interaction with the Court, as well as adequate security of information and assets.	

Annex V (a)

Proposed Court staffing in 2014 by major programme

Total Court	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total Staff
Major Programme I					3	3	21	5		32	1	15	16	48
Major Programme II	1	1		3	12	29	44	47	16	153	1	63	64	217
Major Programme III		1		4	16	39	66	62	6	194	16	268	284	478
Major Programme IV				1	1	1	1	1		5	2	2	4	9
Major Programme VI				1	1		3			5		2	2	7
Major Programme VII.1				1	1	1		1		4		1	1	5
Major Programme VII.5						1		1		2				2
Grand Total	1	2		10	34	74	135	117	22	395	20	351	371	766

Annex V (b)

Changes to the staffing table

Number of posts	Level	From 2013 Approved Budget	To 2014 Proposed Budget
Office of the Prosecutor			
Situation Related			
1	P4	Investigations	Immediate Office of the Prosecutor
1	P3	Planning and Ops FO - UGA	Planning and Ops Section FO - KEN
1	D1	n/a	Prosecution Division (new)
1	ASG	Prosecution Division	returned
4			
Registry			
Basic and Situation Related			
1	GS-OL	Field Operations Section - FO - CAR	Field Operations Section - FO - KEN
1	GS-OL	Field Operations Section - FO - CAR	Field Operations Section - FO - DRC-B
2	GS-OL	Victim & Witnesses Unit – FO - CAR	Victim & Witnesses Unit – FO - KEN
1	P2	Public Information and Documentation Section - FO - CAR	Public Information and Documentation Section - FO - DRC
5			
Grand total = 9			

Annex V (c)**Salary and entitlements for 2014 – judges (thousands of euros)**

Presidency:	<i>Costs</i>
Special allowance for President and Vice-Presidents	28.0
<i>Subtotal Presidency</i>	<i>28.0</i>
Chambers: 17 Judges	
Standard salary costs - 16 Judges	2,865.0
Standard salary costs - One extended mandate for 4 months for Bemba case	60.0
Non-full time Judges' annual allowance	21.7
Judges' pensions	411.0
<i>Subtotal Chambers</i>	<i>3,357.7</i>
Accruals for annual leave	120.0
Accruals for relocation	112.6
Estimates of home leave and education grant expenses	71.6
Service incurred injury insurance - Court requirement - 2.12% of remuneration	62.0
Estimated costs of appointment - one judge	60.0
Cost of separation - one judge	23.7
<i>Subtotal Additional Requirements</i>	<i>449.9</i>
Total Judges' Salary and Entitlements 2014	3,835.6

Annex V (d)

Standard salary costs for 2014 - Professional and General Service staff at Headquarters (thousands of euros)

<i>Post level</i>	<i>Net salary</i>	<i>Common staff costs</i>	<i>Representation allowance</i>	<i>Total</i>
	<i>1</i>	<i>2</i>	<i>3</i>	<i>(1)+(2)+(3)=(4)</i>
USG	170.7	75.7	4	250.4
ASG	149.2	66.2	3	218.4
D-1	131.9	58.5		190.4
P-5	114.9	51.0		165.9
P-4	98.3	43.6		141.9
P-3	79.9	35.4		115.3
P-2	64.7	28.7		93.4
P-1	64.7	28.7		93.4
GS-PL	62.3	27.6		89.9
GS-OL	49.6	22.0		71.6

Delayed recruitment factors:

- (a) Existing Professional and General Service posts in MP II: 8%
- (b) Existing Professional and General Service posts in MPIII: 10%

<i>Delayed recruitment factors</i>			
<i>Post level</i>	<i>(0%)</i>	<i>(8%)</i>	<i>(10%)</i>
USG	250.4	230.4	225.4
ASG	218.3	200.9	196.5
D-1	190.3	175.1	171.3
P-5	165.8	152.6	149.3
P-4	142.0	130.6	127.8
P-3	115.4	106.1	103.8
P-2	93.5	86.0	84.1
P-1	93.5	86.0	84.1
GS-PL	89.9	82.7	80.9
GS-OL	71.6	65.9	64.5

Annex VI

Summary table by object of expenditure

Total ICC	Expenditure 2012 (thousands of euro)					Approved Budget 2013 (thousands of euro)			Proposed Budget 2014 (thousands of euro)			Resource growth 2014 vs 2013	
	Basic	Situation- related	Total	Cont. Fund	Total incl.CF	Basic	Situation- related	Total	Basic	Situation- related	Total	Amount	%
Judges	4,879.4		4,879.4	398.6	5,278.0	4,689.4		4,689.4	3,835.6		3,835.6	-853.8	-18.2
Professional staff						20,216.3	21,855.0	42,071.3	20,945.8	21,946.5	42,892.3	821.0	2.0
General Service staff						11,741.6	9,328.7	21,070.3	11,885.6	9,547.4	21,433.0	362.7	1.7
<i>Subtotal staff</i>	<i>29,445.7</i>	<i>29,898.3</i>	<i>59,344.0</i>	<i>93.2</i>	<i>59,437.2</i>	<i>31,957.9</i>	<i>31,183.7</i>	<i>63,141.6</i>	<i>32,831.4</i>	<i>31,493.9</i>	<i>64,325.3</i>	<i>1,183.7</i>	<i>1.9</i>
General temporary assistance	3,452.6	8,824.3	12,276.9	637.0	12,913.9	2,789.2	8,397.1	11,186.3	3,125.3	16,896.7	20,022.0	8,835.7	79.0
Temporary assistance for meetings	337.2	224.7	561.9		561.9	660.0	153.2	813.2	474.7	68.8	543.5	-269.7	-33.2
Overtime	321.6	24.3	345.9		345.9	252.5	138.8	391.3	260.4	141.6	402.0	10.7	2.7
Consultants	119.3	93.1	212.4		212.4	141.0	177.1	318.1	34.6	244.0	278.6	-39.5	-12.4
<i>Subtotal other staff</i>	<i>4,230.7</i>	<i>9,166.4</i>	<i>13,397.1</i>	<i>637.0</i>	<i>14,034.1</i>	<i>3,842.7</i>	<i>8,866.2</i>	<i>12,708.9</i>	<i>3,895.0</i>	<i>17,351.1</i>	<i>21,246.1</i>	<i>8,537.2</i>	<i>67.2</i>
Travel	751.4	3,081.6	3,833.0	52.1	3,885.1	898.2	3,495.9	4,394.1	1,254.1	4,220.1	5,474.2	1,080.1	24.6
Hospitality	27.5	0.2	27.7		27.7	31.0		31.0	40.0		40.0	9.0	29.0
Contractual services	3,065.3	1,414.3	4,479.6	136.5	4,616.1	2,518.7	1,904.4	4,423.1	2,349.3	2,145.1	4,494.4	71.3	1.6
Training	390.6	230.7	621.3		621.3	459.4	232.9	692.3	473.9	255.0	728.9	36.6	5.3
Counsel for Defence		3,284.0	3,284.0	554.7	3,838.7		2,608.4	2,608.4		2,866.4	2,866.4	258.0	9.9
Counsel for Victims		2,174.7	2,174.7	212.5	2,387.2		3,448.2	3,448.2		3,000.7	3,000.7	-447.5	-13.0
General operating expenses	5,648.7	5,407.7	11,056.4	203.7	11,260.1	12,312.5	4,595.5	16,908.0	12,200.6	5,745.3	17,945.9	1,037.9	6.1
Supplies and materials	538.2	314.2	852.4	1.6	854.0	765.4	270.2	1,035.6	721.4	294.0	1,015.4	-20.2	-2.0
Furniture and equipment	1,123.3	35.2	1,158.5	62.7	1,221.2	963.0	76.7	1,039.7	625.9	472.9	1,098.8	59.1	5.7
<i>Subtotal non-staff</i>	<i>11,545.0</i>	<i>15,942.6</i>	<i>27,487.6</i>	<i>1,223.8</i>	<i>28,711.4</i>	<i>17,948.2</i>	<i>16,632.2</i>	<i>34,580.4</i>	<i>17,665.2</i>	<i>18,999.5</i>	<i>36,664.7</i>	<i>2,084.3</i>	<i>6.0</i>
Total	50,100.8	55,007.3	105,108.1	2,352.6	107,460.7	58,438.2	56,682.1	115,120.3	58,227.2	67,844.5	126,071.7	10,951.4	9.5

Annex VII

Provisional budget for Independent Oversight Mechanism

Introduction

1. Should the Assembly resolve to add inspection and evaluation to the IOM's present mandate, the following is the minimum staffing complement which would be required in order to perform these tasks adequately.

Staff

P-5 (Chief of IOM)

2. Upon activation of additional mandates, it is necessary to have a Chief of the IOM with the capacity to provide supervision and oversight to Professional staff with both evaluation and investigative portfolios, as well as to organize the overall work programme of the IOM (evaluation planning and supervision, supervision of inspections and investigations, ad hoc requests, and all the administrative and financial activities of the Office). The Chief of the IOM would also be responsible for reporting to the Assembly on the work of the Office and personally undertaking particularly sensitive investigations which require enhanced expertise and/or confidentiality.

P-4 (Senior Evaluations Specialist)

3. The expansion of the mandate of the IOM to include inspection and evaluation would require that the Office be augmented by staff with professional qualifications and expertise in these areas. Additionally, as is the case with the Investigator, the bifurcated nature of the office will necessitate that the evaluation professional act with significant independence. Additionally, as this individual is expected to function as a project manager for externally commissioned experts and high-level peer review panels on a case-by-case basis, the individual should be of sufficient seniority to function adequately in this role.

P-2 (Associate Investigator)

4. The primary responsibilities of the Associate Investigator would be to conduct internal investigations under the supervision of the Chief of the IOM. In addition, should there be periods where investigative duties were light, this post would support the activities of the Senior Evaluations Specialist through internal expertise of the various offices and projects within the Court and research as directed.

GS-OL (Administrative/Investigative Assistant)

5. The IOM has no administrative support staff. Once fully operational with a mandate of investigation, inspection and evaluation, it will be necessary for the Professional staff to be complemented by administrative support. Furthermore, a General Service staff member with skills in investigation and/or evaluation can provide substantive support in the Office's substantive work whilst also handling the general administrative requirements.

Non-staff

Contractual services

6. Additional contractual expenses to support outsourcing of individual programme evaluations as determined by the Assembly on a case-by-case basis. As the IOM would be in its first year of operations, it is not anticipated that additional resources would be required for contractual services.

Training

7. Expenses to provide Professional staff of the Office with training to upgrade substantive skills in the area of programme evaluation. Prices for these courses range from €2500 to €5000 depending on the topic and the number of days involved (three days is an

average). Additional resources in the amount of €7000 would be required, in light of the expanded staffing of the IOM.

Travel

8. Expenses to provide for travel to field offices to undertake elements of programme evaluation, on an as-needs basis, when the programmes evaluated involve field operations. Additional resources in the amount of €5000 would be required to permit travel in relation to programme evaluations of programmes and activities occurring in the field.

Table 1: Major Programme VII-5: Proposed budget for 2014

7500 Independent Oversight Mechanism	Expenditure 2012 (thousands of euro)				Approved Budget 2013 (thousands of euro)			Proposed Budget 2014 (thousands of euro)			Resource growth 2014 vs 2013		
	Situation- Basic	related	Total	Cont. Fund	Total incl.CF	Situation- Basic	related	Total	Situation- Basic	related	Total	Amount	%
Professional staff						124.7		124.7	380.2		380.2	255.5	204.8
General Service staff													
Subtotal staff	0.4		0.4		0.4	124.7		124.7	380.2		380.2	255.5	204.8
General temporary assistance	128.0		128.0		128.0								
Temporary assistance for meetings													
Overtime													
Consultants													
Subtotal other staff	128.0		128.0		128.0								
Travel						4.7		4.7	4.7		4.7		
Hospitality													
Contractual services						40.0		40.0	60.0		60.0		
Training	11.4		11.4		11.4	6.5		6.5	8.5		8.5		
General operating expenses													
Supplies and materials						10.0		10.0	10.0		10.0		
Furniture and equipment						20.0		20.0	20.0		20.0		
Subtotal non-staff	11.4		11.4		11.4	81.2		81.2	81.2		81.2		
Total	139.8		139.8		139.8	205.9		205.9	461.2		461.2	255.5	124.1

Table 2: Major Programme VII-5: Proposed staffing for 2014

Independent Oversight Mechanism											Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1						
Existing	Basic					1		1			2				2
	Situation-related														
	<i>Subtotal</i>					1		1			2				2
New/ Converted	Basic				1						1		1	1	2
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified/ Returned	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	1		1			3		1	1	4

Annex VIII

Proposed budget for 2014 for Advisory Committee on Nominations of Judges

The tentative travel cost for seven members to attend a one-day meeting in The Hague is €22,834.00. The assumption is that the two additional members are based in The Hague on the date of the meeting and thus do not require travel costs.

Annex IX

Proposed budget for 2014 for the African Union Liaison Office (AULO)

In line with resolution ICC-ASP/9/Res.4, IX, of the Assembly of States Parties,¹ no resources have been allocated to the African Union Liaison Office in the 2014 Programme Budget. In the event that the African Union should agree to the Court's request to open a Liaison Office in Addis Ababa, the Court will notify the Committee of the need for access to the Contingency Fund up to the amount in the Court's proposed budget for 2014 of €441,500 for the purpose of proceeding with the establishment of the said Liaison Office.

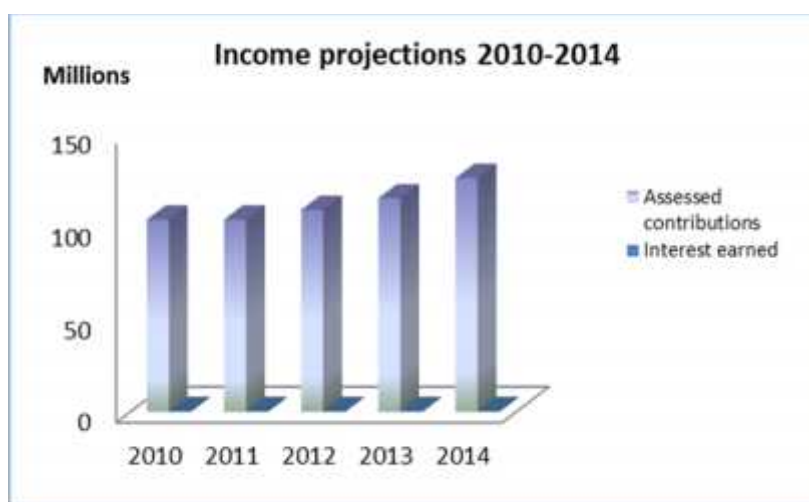
1320 African Union Liaison Office	Expenditure 2012 (thousands of euro)			Approved Budget 2013 (thousands of euro)			Proposed Budget 2014 (thousands of euro)		
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total
<i>Judges</i>									
Professional staff							171.3		171.3
General Service staff							64.5		64.5
<i>Subtotal staff</i>							235.8		235.8
General temporary assistance							71.6		71.6
Temporary assistance for meetings									
Overtime									
Consultants									
<i>Subtotal other staff</i>							71.6		71.6
Travel							15.2		15.2
Hospitality							1.0		1.0
Contractual services							15.6		15.6
Training									
General operating expenses							49.6		49.6
Supplies and materials							5.0		5.0
Furniture and equipment							47.7		47.7
<i>Subtotal non-staff</i>							134.1		134.1
Total							441.5		441.5

¹ Ibid., vol. I, part III, ICC-ASP/9/Res.4.

Annex X

Income projections 2011-2014 (millions of euros)

<i>Description</i>	<i>2011</i>	<i>2012</i>	<i>2013</i>	<i>2014</i>
Assessed contributions	103.6	108.8	0.0	0.0
Interest earned	0.3	0.4	0.3	0.4
Total	103.9	109.2	0.3	0.4



Annex XI

2014 estimated income statements

Least-Developed Countries Trust Fund

<i>Item</i>	<i>Euros</i>
Estimated income 2014	
Donor contributions	50,000
<i>Subtotal income</i>	<i>50,000</i>
Estimated expenditure 2014	
Travel	42,200
Administration costs	7,800
<i>Subtotal expenditure</i>	<i>50,000</i>
Net income 2014	0

Annex XII

Introduction to programme and sub-programme functions

A. Major Programme I: Judiciary

1. Programme 1100: Presidency
 - (a) Administrative function: administration of the Court and coordination among the organs;
 - (b) Judicial function: support to Chambers, enforcement of sentences and other functions conferred upon the Presidency in accordance with the Statute and subsidiary texts; and
 - (c) External relations function: broaden the understanding of the work of the Court, and coordinate the Court's external communication activities.
2. Programme 1200: Chambers
 - (a) Pre-trial Division;
 - (b) Trial Division; and
 - (c) Appeals Division.
3. Programme 1300: Liaison Offices
 - (a) New York Liaison Office: Enhance interaction and facilitate cooperation between the Court and the United Nations.

B. Major Programme II: Office of the Prosecutor

1. Programme 2100: The Prosecutor
 - (a) Sub-programme 2110: Immediate Office of the Prosecutor / Legal Advisory Section
 - (i) Strategic function: directs strategies underpinning the Prosecutorial Strategy; evaluation of legal standards and policy, and quality control on activities and operations performed by the Office; coordination of information management strategies and processes within the OTP; human resource deployment strategy;
 - (ii) Advice function: legal advice to the operational divisions of the Office and coordination of the legal academic network; and
 - (iii) Communication: explaining OTP strategies and policies in preliminary examinations, situation and case selection; explaining and providing updates on investigative activities; defending OTP positions and interests.
 - (b) Sub-programme 2120: Services Section
 - (i) General Administration Unit: budgetary and financial matters, human resources administration and operational support to joint teams and divisions;
 - (ii) Language Services Unit: translation and field interpretation services pertaining to OTP operations;
 - (iii) Knowledge-Base Unit: OTP-specific technology-based services; and
 - (iv) Information and Evidence Unit: physical evidence, potential trial exhibits and referrals management.
2. Programme 2200: Jurisdiction, Complementarity and Cooperation Division
 - (a) Situation Analysis
 - (i) Operational function: analysis of situations of interest (new and existing) in terms of interests of justice, complementarity and admissibility

issues; analyses of incoming communications and referrals under articles 15 and 53 of the Statute. Provides a dedicated situation analysis capacity to the joint teams for each situation; and

(ii) Advice function: prepares substantive reports and recommendations for Executive Committee.

(b) International Cooperation

(i) External relations function: international network building, cooperation agreements negotiations and situation-related cooperation. Coordinates requests for assistance;

(ii) Judicial Cooperation: coordinates judicial assistance;

(iii) International Cooperation and Arrest: implements international cooperation strategies, promotes national efforts and general cooperation on arrest issues; and

(iv) Provides dedicated international cooperation advisory capacity to each of the joint teams.

3. Programme 2300: Investigation Division

(a) Sub-programme 2320: Planning and Operations Section

(i) Operational and Investigative Support Unit: support and monitor field operations and security procedures. Provide forensic expertise and working and non-working-language transcriptions and metadata entry resources;

(ii) Gender and Children Unit: advice and support in relation to victim/witness issues; and

(iii) Investigative Strategies and Analysis Unit: develops investigative strategies, conducts crime analysis. Develops investigative and analytical operating standards and further develops networks with national agencies and law enforcement bodies.

(b) Sub-programme 2330: Investigation Teams

(i) Operational function: comprises the investigative and field operations capacity of the situation-specific joint teams responsible for evidence collection in the field and implementing the investigative strategies and plans; and

(ii) Dedicated resources collaborate with the Trial Team during the trial and appeals phases.

4. Programme 2400: Prosecution Division

(a) Prosecution

(i) Judicial function: litigates cases before the Pre-Trial and Trial Divisions, drafts documents containing charges under article 61, paragraph (3)(a), of the Statute and prepares legal submissions; and

(ii) Advice function: provides legal guidance to the joint teams in developing investigative strategies and case preparation.

(b) Appeals

(i) Judicial function: litigates in appellate proceedings; prepares legal submissions concerning interlocutory and final appeals and presents oral arguments on appeal; and

(ii) Advice function: provides legal advice to the Office on appeals and potential appeals.

C. Major Programme III: Registry

1. Programme 3100: Office of the Registrar

- (a) Sub-programme 3110: Immediate Office of the Registrar
 - (i) Advice function: legal advice to the Registrar and other Court organs. Prepares, negotiates and reviews legal instruments and internal policies and guidelines; and
 - (ii) External relations function: liaises with host State on implementation of Headquarters Agreement. Monitors States Parties' cooperation and enactment of legislation.
- (b) Sub-programme 3130: Legal Advisory Services Section
 - (i) Operational function: ensure unified and coordinated approach to legal issues common to all organs of the Court; protect the legal interests of the Court and its staff and minimize legal exposure; negotiate and prepare legal instruments including contracts, international agreements and memoranda of understanding; draft and review internal policies and guidelines; represent the Court in legal proceedings; and
 - (ii) Advice function: provide legal advice to the Registrar and the sections and/or units of the Registry, and other officials of the Court, on questions regarding the general legal framework which governs the work of the Court relevant to the duties of the Registrar.
- (c) Sub-programme 3140: Security and Safety Section
 - (i) Operational function: responsible for the Court's physical security; and
 - (ii) Advice function: general safety advice.
- (d) Sub-programme 3180: Field Operations Section
 - (i) Operational function: coordinates field offices' activities and monitors their management; and
 - (ii) Advice function: advice on field operations' activities.
- (e) Sub-programme 3190: Counsel Support Section
 - (i) Operational function: assists persons seeking legal assistance and defence teams and legal teams for victims, ensures independence of defence teams and legal teams for victims and communicates with other organs and interlocutors outside the Court.

2. Programme 3200: Common Administrative Services Division

- (a) Sub-programme 3210: Office of the Director
- (b) Sub-programme 3220: Human Resources Section
 - (i) Recruitment Unit;
 - (ii) Staff Administration and Monitoring Unit;
 - (iii) Training and Development Unit; and
 - (iv) Health and Welfare Unit.
- (c) Sub-programme 3240: Budget and Finance Section
 - (i) Accounts Unit: financial management;
 - (ii) Payroll Unit;
 - (iii) Disbursements Unit;
 - (iv) Treasury Unit;
 - (v) Contributions Unit; and

- (vi) Budget Unit: budget preparation and management.
- (d) Sub-programme 3250: General Services Section
 - (i) Travel Unit;
 - (ii) Facilities Management Unit;
 - (iii) Logistics and Transportation Unit; and
 - (iv) Procurement Unit.
- (e) Sub-programme 3260: Information and Communication Technologies Section
 - (i) Operations Unit: provides hardware, software, applications and communications infrastructure. Ensures customer support; and
 - (ii) Information Service Unit: develops and supports information systems and applications.
- 3. Programme 3300: Division of Court Services
 - (a) Sub-programme 3310: Office of the Director
 - (b) Sub-programme 3320: Court Management Section
 - (i) Operational function: organizes court hearings, provides fully operational courtrooms. Supports video links. Manages court hearing information.
 - (c) Sub-programme 3330: Detention Section
 - (i) Operational function: responsible for safe, secure and humane custody of persons detained under the authority of the Court.
 - (d) Sub-programme 3340: Court Interpretation and Translation Section
 - (i) Operational function: responsible for translation and interpretation for Court hearings and other activities, and for field missions of Registry, Chambers and Presidency.
 - (e) Sub-programme 3350: Victims and Witnesses Unit
 - (i) Operational function: facilitates interaction of victims and witnesses with the Court; and
 - (ii) Advice function: advice to the Court on appropriate protective measures, security arrangements, counselling and assistance.
 - (f) Sub-programme 3360: Victims Participation and Reparations Section
 - (i) Operational function: assists victims and groups of victims. Raises awareness of victims regarding their rights under the Rome Statute. Liaises with the Secretariat of the Trust Fund for Victims regarding the implementation of orders relating to reparation.
- 4. Programme 3400: Public Information and Documentation Section
 - (a) Outreach:
 - (i) Operational function: Promotes better understanding of the Court's principles and maintains dialogue with affected communities where the Court is active.

- (b) Public Affairs
 - (i) Operational function: makes the judicial proceedings public and accessible to general public, academia and legal communities and media. Promotes the work of the Court, with the ultimate goal of increasing international support; and
 - (ii) Advice function: advises on public information strategies to increase public support.
- (c) Protocol and Events:
 - (i) Operational function: organizes and supports high-profile visits, stakeholder visits, general visits, conferences and events; and
 - (ii) Advice function: Advises the four organs on policies and practices in the field of protocol for ceremonies, visits and events.
- (d) Library and Documentation Centre:
 - (i) Operational function: supports the information need of the constituents of the four organs of the Court and independent Court-related bodies such as victims and defence counsel teams and maintains records of the Court's history.

Programme 3700 Independent Offices and Special Projects

- (a) Sub-programme 3740: Office of Public Counsel for the Defence
 - (i) Operational function: provides support and assistance to defendants and defence teams, and to Chambers; and
 - (ii) Functions independently of the Registrar.
- (b) Sub-programme 3750: Office of Public Counsel for Victims
 - (i) Operational function: provides support and assistance to victims and legal representatives of victims; and
 - (ii) Functions independently of the Registrar.
- (c) Sub-programme 3760: Office of Internal Audit
 - (i) Operational function: determines if financial transactions are being conducted economically, efficiently, effectively and in compliance with the applicable legislative authority, regulations and rules.

D. Major Programme IV: Secretariat of the Assembly of States Parties

- (a) Operational function: provides administrative and technical assistance and legal and substantive secretariat services to the Assembly of States Parties, its Bureau and subsidiary bodies; and
- (b) Advice function: assists and prepares texts and statements on financial and budgetary matters.

E. Major Programme V: Interim Premises

- (a) Operational function: provide stakeholders with a clear overview of the Court's resource requirements for its interim premises.

F. Major Programme VI: Secretariat of the Trust Fund for Victims

- (a) Operational function: under the supervision of the Board of Directors, ensures the management and implementation of the Fund's mandates and activities; and
- (b) Operates under the full authority of the Board of Directors and serviced by the Registry of the Court in financial, administrative and legal areas.

- G. Major Programme VII-1: Project Director's Office (permanent premises)
- (a) Operational function: to provide the highest quality permanent premises for the Court, on or below budget and in accordance with the agreed timeline, together with the lowest possible cost of ownership into the future; and
 - (b) Since 2013 in addition the operational function has been expanded: to also ensure the smooth transition of the Court from the interim premises to the new permanent premises, including the return of the interim premises to the host State and the full establishment of efficient operations in the new premises.
- H. Major Programme VII-2: Permanent Premises Project - Interest
- (a) Operational function: manages the payment of interest on the host State loan.
- I. Major Programme VII-5: Independent Oversight Mechanism
- (a) Operational function: provides independent, effective and meaningful oversight through investigations, evaluations and inspections.

Annex XIII

Glossary of budgetary terms

Appropriation	Amount voted by the Assembly of States Parties for specified purposes for a financial period, against which obligations may be incurred for those purposes and up to the amounts so voted.
Appropriation section	Largest subdivision of the budget of an organization within which transfers may be made without prior approval by the Assembly of States Parties.
Basic costs	Costs which are required to set up and sustain the Court as an organization with a basic capacity to be ready to react to situations before an investigation is opened. Basic costs include the judges, the elected officials with their support, the essential services for maintaining the Court's basic administrative functions and its premises, and the necessary capacity to perform initial analysis, investigative, prosecutorial and judicial functions before the opening of an investigation.
Budget	A plan in financial terms for the carrying out of a programme of activities for a specific period.
Budgetary control	The control or management of an organization in accordance with an Proposed budget for the purpose of keeping expenditures within the limitations of available appropriations and available revenues.
Common staff costs	Costs, other than salary costs, arising from conditions of employment of the staff.
Contingency Fund	A fund providing for unforeseen expenses.
Extra budgetary resources	All resources, other than those of the regular budget, administered by the organization.
Financial year	The period from 1 January to 31 December inclusive.
Major Programme	Major function of an organization for which one or more objectives may be set.
New requirement	New items incorporated in the budget due to new activities or an increase in existing activities.
Objective	A desired state to be reached or maintained through one or more activities.
Post	An authorization to employ a person, or a succession of persons, for the performance of work required by the organization.
Previous commitment	Commitment where the full impact of a decision with financial implications is not realized until the second or subsequent year.
Programme	A set of activities directed towards the attainment of one or more defined objectives. In the programme structure, the next lower subdivision of a major programme contributing to the objective or objectives of that major programme.
Programme budget	A budget which focuses upon the work to be undertaken and the objectives sought through that work: it emphasizes the ends to be achieved and translates them into the costs required for their implementation; decisions relate both to resource levels and to results to be achieved.
Programme structure	A hierarchical arrangement of programmes (e.g. major programmes, programmes, sub-programmes and programme elements).
Results-based budgeting	A budget process in which: <ul style="list-style-type: none"> (a) organizational units formulate budgets around a set of pre-defined objectives and expected results; (b) expected results justify the resource requirements which are derived from and linked to outputs required to achieve such results; and (c) actual performance in achieving expected results is measured by performance indicators.

Standard costs	Amounts used for budgeting and budgetary control purposes, representing either target or estimated average unit costs.
Situation-related costs	Costs generated by activities when a decision to open an investigation into a situation has been made (either by the Prosecutor under article 53, or by the Pre-Trial Chamber under article 15, paragraph 4, of the Rome Statute).
Sub-programme	In the programme structure, the next lower subdivision of a programme, contributing to the objective or objectives of that programme.
Temporary posts	Posts of limited duration Proposed by the appropriate authority within the budgetary provisions therefore.
Trust fund	Account established with specific terms of reference and under specific agreements to record receipts and expenditure of voluntary contributions for the purpose of financing wholly or in part the cost of activities consistent with the organization's aims and policies.
Working Capital Fund	A fund established by the appropriate legislative organ to finance budgetary appropriations pending receipt of States Parties' contributions and for such other purposes as may be authorized.
Zero growth	As defined by the Committee on Budget and Finance, the numerical value of the item or budget does not change. Inflation is not added. This is sometimes known as zero nominal growth.
Zero growth in real terms	Proposed budget for current year increased only due to inflation or other price increases for next year, the underlying factors having remained constant.
