

**ASSEMBLY OF STATES PARTIES TO
THE ROME STATUTE OF THE
INTERNATIONAL CRIMINAL COURT**

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Note

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Contents

	<i>Page</i>
Part A	
Proposed Programme Budget for 2011 of the International Criminal Court.....	1
Part B	
Reports of the Committee on Budget and Finance	
1. Report of the Committee on Budget and Finance on the work of its fourteenth session, May 2010	157
2. Report of the Committee on Budget and Finance on the work of its fifteenth session, August 2010	190
Part C	
Related documents	
1. Financial statements for the period 1 January to 31 December 2009	265
2. Trust Fund for Victims financial statements for the period 1 January to 31 December 2009	318

Part A

Proposed Programme Budget for 2011 of the International Criminal Court*

Contents

	<i>Paragraphs</i>	<i>Page</i>
List of abbreviations and acronyms.....		3
I. Introduction.....	1 - 70	7
II. Proposed Programme Budget for 2011	71 - 468	19
A. Major Programme I: Judiciary	71 - 125	19
1. Programme 1100: The Presidency	76 - 85	21
2. Programme 1200: Chambers.....	86 - 109	24
3. Programme 1300: Liaison offices	110 - 125	28
(a) Sub-programme 1310: New York Liaison Office.....	115 - 119	31
(b) Sub-programme 1320: African Union Liaison Office	120 - 125	33
B. Major Programme II: Office of the Prosecutor	126 - 200	35
1. Programme 2100: The Prosecutor.....	138 - 163	39
(a) Sub-programme 2110: Immediate Office of the Prosecutor / Legal Advisory Section	143 - 151	41
(b) Sub-programme 2120: Services Section.....	152 - 163	43
2. Programme 2200: Jurisdiction, Complementarity and Cooperation Division.....	164 - 169	46
3. Programme 2300: Investigation Division	170 - 190	49
(a) Sub-programme 2310: Investigation Teams.....	172 - 183	51
(b) Sub-programme 2320: Planning and Operations Section	184 - 190	54
4. Programme 2400: Prosecution Division	191 - 200	56
C. Major Programme III: Registry.....	201 - 422	59
1. Programme 3100: Office of the Registrar	213 - 290	62
(a) Sub-programme 3110: Immediate Office of the Registrar	225 - 229	65
(b) Sub-programme 3120: Office of Internal Audit	230 - 232	67
(c) Sub-programme 3130: Legal Advisory Services Section	233 - 235	69
(d) Sub-programme 3140: Security and Safety Section	236 - 251	71
(e) Sub-programme 3160: Registry Permanent Premises Office	252 - 254	74
(f) Sub-programme 3180: Field Operations Section.....	255 - 276	76
(g) Sub-programme 3190: Counsel Support Section.....	277 - 279	80
(h) Sub-programme 3191: Office of Public Counsel for the Defence.....	280 - 285	82
(i) Sub-programme 3192: Office of Public Counsel for Victims.....	286 - 290	84
2. Programme 3200: Common Administrative Services Division.....	291 - 339	86
(a) Sub-programme 3210: Office of the Director CASD	297 - 299	89
(b) Sub-programme 3220: Human Resources Section	300 - 309	91

* Previously issued as ICC-ASP/9/10, Corr.1 and Corr.2.

	<i>Paragraphs</i>	<i>Page</i>
(c) Sub-programme 3240: Budget and Finance Section.....	310 - 314	93
(d) Sub-programme 3250: General Services Section	315 - 329	95
(e) Sub-programme 3260: Information and Communication Technologies Section.....	330 - 339	98
3. Programme 3300: Division of Court Services	340 - 406	101
(a) Sub-programme 3310: Office of the Director DCS	346 - 353	104
(b) Sub-programme 3320: Court Management Section.....	354 - 368	106
(c) Sub-programme 3330: Detention Section.....	369 - 373	109
(d) Sub-programme 3340: Court Interpretation and Translation Section...	374 - 384	111
(e) Sub-programme 3350: Victims and Witnesses Unit.....	385 - 398	114
(f) Sub-programme 3360: Victims Participation and Reparations Section	399 - 406	117
4. Programme 3400: Public Information and Documentation Section	407 - 422	119
D. Major Programme IV: Secretariat of the Assembly of States Parties	423 - 436	123
E. Major Programme VI: Secretariat of the Trust Fund for Victims	437 - 457	128
F. Major Programme VII-1: Project Director's Office (permanent premises)	458 - 465	133
G. Major Programme VII-2: Permanent Premises Project – Interest.....	466 - 467	135
H. Major Programme VII-5: Independent Oversight Mechanism	468 - 469	137
Annexes.....		139
I. Draft resolution of the Assembly of States Parties on the proposed programme budget for 2011, the Working Capital Fund for 2011, scale of assessments for the apportionment of expenses of the International Criminal Court, financing appropriations for 2011 and the Contingency Fund.....		139
II. Organizational structure of the Court.....		141
III. Assumptions for the proposed programme budget for 2011		142
IV. List of strategic goals and objectives of the International Criminal Court.....		142
V. Staffing information:		
(a) Proposed Court staffing by major programme		143
(b) Changes to the staffing table		144
(c) List of reclassifications of General Service category posts 2010		145
(d) Posts converted from GTA.....		145
(e) Salary and entitlements for 2011 – judges		146
(f) Standard salary costs for 2011 – Professional and General Service Staff (headquarters)		147
VI. Summary table by object of expenditure.....		148
VII. Income projections 2009-2011		149
VIII. 2011 estimated income statements – Internship and Visiting Professionals Programme / Least Developed Countries Trust Fund		150
IX. Introduction to programme and sub-programme functions.....		151
X. Glossary of budgetary terms		155

List of abbreviations and acronyms

AB	Appeals Board
AD	Appeals Division
AI	Administrative Issuance
AL	Arab League
Arc	Headquarters building at Maanweg, The Hague, Netherlands
ASEAN	Association of Southeast Asian Nations
ASG	Assistant Secretary-General
ASP	Assembly of States Parties
AU	African Union
AULO	African Union Liaison Office
AV	Audio-visual
BI	Business intelligence
CAR	Central African Republic
CARICOM	Caribbean Community and Common Market
CARIN	Camden Asset Recovery Interagency Network
CASD	Common Administrative Services Division
CBF	Committee on Budget and Finance
CITS	Court Interpretation and Translation Section
CMS	Court Management Section
CoCo	Coordination Council
CSS	Counsel Support Section
D	Director
DAB	Disciplinary Advisory Board
DCS	Division of Court Services
DRC	Democratic Republic of the Congo
DS	Detention Section
DSA	Daily subsistence allowance
DSS	Defence Support Section (integrated in Counsel Support Section - 2010)
DVC	Division of Victims and Counsel (abolished 2010 - sections moved to Office of the Registrar)
ECOWAS	Economic Community of West African States
ECOS	e-Court operating system
ERP	Enterprise resource planning
EU	European Union
FMU	Facilities Management Unit
FO	Field office
FOM	Field office manager
FOS	Field Operations Section
FTE	Full-time equivalent
GCDN	Global Communications and Data Network
GS	General Service

GS-OL	General Service (other level)
GS-PL	General Service (principal level)
GSS	General Services Section
GTA	General temporary assistance
H-MOSS	Headquarters Minimum Operating Security Standards
HQ	Headquarters
HR	Human resources
HV-1	Haagse Veste 1
IBA	International Bar Association
ICC	International Criminal Court
ICCPP	International Criminal Court Protection Programme
ICT	Information and communication technologies
ICTS	Information and Communication Technologies Section
ICTY	International Criminal Tribunal for the former Yugoslavia
ID	Investigations Division
IDLO	International Development Law Organization
IEU	Information and Evidence Unit
ILOAT	International Labour Organization Administrative Tribunal
INTERPOL	International Criminal Police Organization
IO	International organization
IOM	Independent Oversight Mechanism
IOP	Immediate Office of the Prosecutor
IOR	Immediate Office of the Registrar
IPSAS	International Public Sector Accounting Standards
IRS	Initial Response Services
ISAU	Investigative Strategies and Analysis Unit
ISO	International Organization for Standardization
IT	Information technologies
JCCD	Jurisdiction, Complementarity and Cooperation Division
KEN	Kenya
LAS	Legal Advisory Section (in OTP)
LASS	Legal Advisory Services Section (in Registry)
LRA	Lord's Resistance Army (Uganda)
LSU	Language Services Unit
LTU	Logistics and Transport Unit
MIS	Management information system
MONUC	United Nations Mission in the Democratic Republic of the Congo (Mission des Nations Unies en République démocratique du Congo)
MORS	Minimum Operating Residential Standards
MORSS	Minimum Operating Residential Security Standards
MOSS	Minimum Operating Security Standards
NGO	Non-governmental organization

NYLO	New York Liaison Office
OAS	Organization of American States
OIA	Office of Internal Audit
OIC	Organization of the Islamic Conference
OIF	International Organization of Francophonie
OPCD	Office of Public Counsel for the Defence
OPCV	Office of Public Counsel for Victims
OSU	Operations Support Unit
OTP	Office of the Prosecutor
P	Professional
PC	Personal computer
PD	Prosecution Division
PIDS	Public Information and Documentation Section
PIU	Public Information Unit
PDO	Project Director's Office (permanent premises)
PSC	Peace and Security Council
PTC	Pre-Trial Chamber
RPPO	Registry Permanent Premises Office
S/ASP	Secretariat of the Assembly of States Parties
S/TFV	Secretariat of the Trust Fund for Victims
SADC	Southern African Development Community
SAP	Systems, Applications and Products (Data processing)
SG	Strategic goal
SLA	Service-level agreement
SO	Strategic objective
SOP	Standard operating procedures
SSS	Security and Safety Section
SSU	Staff Strategy Unit
STIC	Court Interpretation and Translation Section (French acronym)
TFV	Trust Fund for Victims
TRIM	Total records information management
UGA	Uganda
UN	United Nations
UNDSS	United Nations Department of Safety and Security
UNDU	United Nations Detention Unit
UNON	United Nations Office at Nairobi
VPRS	Victims Participation and Reparations Section
VTC	Video conferencing
VWU	Victims and Witnesses Unit
WCF	Working Capital Fund

I. Introduction

1. This proposed programme budget for 2011 is submitted on 8 July 2010 by the Registrar in accordance with financial regulation 3.1 and financial rule 103.2 for approval by the Assembly of States Parties during the ninth session.

2. The budget proposal is for a total of €107.02 million. Of this total:

- (a) €103.93 million (97.1 per cent) is for the Court itself; and
- (b) €3.10 million (2.9 per cent) is for the Secretariat of the Assembly of States Parties.

Within the Court, the budget is apportioned as follows:

- (a) €11.46 million (10.7 per cent) for the Judiciary;
- (b) €26.78 million (25.0 per cent) for the Office of the Prosecutor;
- (c) €63.54 million (59.4 per cent) for the Registry;
- (d) €1.26 million (1.2 per cent) for the Secretariat of the Trust Fund for Victims;
- (e) €0.55 million (0.5 per cent) for the Project Director's Office (permanent premises);
- (f) €0.04 million (0.0 per cent) for the Permanent Premises Project - Interest; and
- (g) €0.31 million (0.3 per cent) for the Independent Oversight Mechanism.

3. This reflects an increase of €4.77 million or 4.7 per cent over 2010. This is mainly due to the cost of running simultaneous trials, the increased cost of detention, certain capital investments and reclassification of posts.

Table 1: Proposed budget 2011 by item.

<i>Item</i>	<i>Basic (thousands of euros)</i>	<i>Situation-related (thousands of euros)</i>	<i>Total (thousands of euros)</i>
Judges	5,852.2		5,852.2
Staff costs	34,319.9	39,080.0	73,399.9
Non-staff costs	12,070.8	15,699.8	27,770.6
Total	52,242.9	54,779.8	107,022.7

The Court today

4. On 31 March 2010, Pre-Trial Chamber II granted the Prosecutor's request to open an investigation into crimes against humanity with regard to the situation in the Republic of Kenya. Thus, at present the Court is faced with five situations.

5. With two cases in simultaneous trials and one case with a trial starting date set, the courtroom has become the centre of activities for most parts of the Court. Important judicial events marked the beginning of 2010 and consequently impacted on the work of the different organs.

6. With regard to *The Prosecutor v. Thomas Lubanga*, the prosecution completed its case on 14 July 2009 and the Defence started to present its case on 7 January 2010. In the case of *The Prosecutor v. Germain Katanga and Mathieu Ngudjolo Chui*, Trial Chamber II opened the trial on 24 November 2009. In the third case, relating to the situation in the Democratic Republic of the Congo, *The Prosecutor v. Bosco Ntaganda*, the accused has not been arrested and remains at large. The Prosecutor continues his activities in the Democratic Republic of the Congo in relation to this third case and other possible cases.

7. In the situation of Darfur, Sudan, the Court has issued so far three arrest warrants against: Ahmad Harun, Ali Kushayb and Omar Hassan Ahmad Al Bashir. Mr. Bahr Idriss Abu Garda, who was summoned to appear before the Court, appeared voluntarily in May 2009. A confirmation of charges hearing was conducted by Pre-Trial Chamber I from 19 to 29 October 2009. The Chamber denied the confirmation of charges with its decision rendered on 8 February 2010. On 17 June 2010, two persons suspected of having committed war crimes in Darfur, Abdallah Banda Abakaer Nourain and Saleh Mohammed Jerbo Jamus, presented themselves voluntarily following summonses to appear first issued under seal in August 2009.

8. In the case against Jean-Pierre Bemba Gombo, the date of the starting of the trial had originally been set for 5 July 2010 and, on 25 June, was postponed to 14 July 2010 for administrative reasons.

Assumptions 2011

9. The Court foresees that simultaneous trials will continue for six months in 2011, for which resources are included in this budget proposal. Simultaneous trials for a longer period cannot be ruled out, but neither can they be confirmed at this point.

10. Appeals and reparations phases of trials are also anticipated.

11. The Prosecutor will conduct six active investigations in four of the situations currently before the Court, including Kenya, and will maintain seven residual investigations. Eight other potential situations will be monitored. All of the Court's Major Programmes have made efforts to absorb the cost of the Kenya situation within the 2010 baseline. No increase in the budget due to the Kenya situation is proposed, besides €0.5 million in relation to witness protection.

Objectives 2011

12. As illustrated below, the Court's objectives for 2011 are linked directly to its strategic plan.

<i>Goal 1: Quality of justice</i>	<i>Goal 2: A well-recognized and adequately supported institution</i>	<i>Goal 3: A model of public administration</i>
Conduct fair, effective and expeditious public proceedings in accordance with the Rome Statute and with high legal standards, ensuring full exercise of the rights of all participants.	Further enhance awareness of, effect a correct understanding of, and increase support for the Court.	Excel in achieving the desired results with minimal resources and through streamlined structures and processes, while maintaining flexibility, guaranteeing accountability and drawing upon sufficient qualified and motivated staff within a caring environment and a non-bureaucratic culture.
1. Conduct 4 to 5 new investigations into cases, within existing or new situations, and at least 4 trials, subject to external cooperation received.	4. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities.	8. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks.
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute.	5. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences.	9. Submit sound, accurate and transparent budget proposals necessitating only minor adjustments to the proposed amount and distribution of resources by the Assembly of States Parties.
3. Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity.	6. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence.	10. Attract, care for, and offer career development and advancement opportunities to a diverse staff of the highest quality.
	7. Ensure publicity of all proceedings for local and global audiences.	11. Continue the development of a common ICC culture.

Priorities 2011

1. Investigations
2. Trials
3. Outreach and public information
4. External support and cooperation
5. Human resources
6. Efficiency
7. Security
8. Corporate governance

13. The Court has identified eight priorities from its list of strategic objectives for 2011. The objectives for 2011 provide the basis for budget preparation in each major programme, programme and sub-programme of the Court.

Activities 2011

Trials and investigations

14. As in 2010, the Court will be operating at full capacity in 2011 with trial proceedings ongoing in at least two cases and in addition proceedings concerning reparations and appeals, both regular and interlocutory. This might be challenging in light of reduced budget increases which do not foresee a significant increase in resources. Furthermore, increased pre-trial activities can be anticipated as a result of new active investigations conducted by the Prosecutor.

Uganda

15. The fact that arrest warrants which were issued five years ago are still not being executed and the suspects remain at large continues to be of great concern for the Court. Efforts to secure cooperation relating to arrest and surrender remain therefore an important task. Investigations in the Uganda situation continue, including all crimes within the Court's jurisdiction, regardless of who is alleged to have perpetrated them.

16. For the cases against top LRA commanders, the level of pre-trial activity will depend on the execution of the arrest warrants which remain outstanding.

Democratic Republic of the Congo

17. In the case of *The Prosecutor v. Thomas Lubanga Dyilo*, the Court expects proceedings with regard to reparations and appeals proceedings related to the judgment of the Trial Chamber which is expected to be delivered in the course of 2010.

18. Ninety-three victims are currently participating in the trial proceedings. Depending on the decision of the Trial Chamber, the judgment could be accompanied by a reparations phase in 2011, during which an international criminal court or tribunal would for the first time ever consider, and possibly award, reparations to victims.

19. Further, there could be appeals against the judgment, which would introduce a new phase of proceedings before the Appeals Chamber in 2011.

20. In the event that the defendant is convicted and sentenced to a term of imprisonment, the sentence would be carried out in a third State, under review of the Presidency.

21. *The Prosecutor v. Germain Katanga and Mathieu Ngudjolo*: This trial commenced at the end of 2009 and it is expected to be finished by the first half of 2011. Reparations proceedings and an appeal are anticipated in this case too in 2011. Investigations may continue as a consequence of the trial proceedings.

22. In the case of *The Prosecutor v. Bosco Ntaganda*, the level of pre-trial activity will depend on the execution of the arrest warrant, which was unsealed in April 2008. The Court will continue its efforts to secure cooperation for the arrest and surrender of the suspect.

23. Investigations in a third case in the region of the Kivus have been advanced in 2010 and will continue until early 2011.

Darfur

24. Execution of the arrest warrants issued against Ahmad Harun and Ali Kushayb and against Omar Hassan Ahmad Al Bashir remains outstanding. The Court will continue and increase its efforts to secure cooperation for the arrest and surrender of these suspects. The level of pre-trial activity will depend on the execution of the arrest warrants.

25. In the case of *The Prosecutor v. Bahr Idriss Abu Garda*, Pre-Trial Chamber I refused to confirm the charges against Bahr Idriss Abu Garda. In April 2010 the Chamber issued a decision rejecting the Prosecutor's application to appeal the decision declining to confirm the charges. No additional developments are expected in this case until the Prosecutor presents additional evidence for a new confirmation of charges.

26. The Prosecution will continue its investigations into the cases of Abdallah Banda Abakaer Nourain and Saleh Mohammed Jerbo Jamus, who are suspected of having committed war crimes in Darfur and who presented themselves voluntarily to the Court on 17 June 2010.

27. Pre-Trial proceedings, following the confirmation of charges hearing set for 22 November 2010, are expected to continue into 2011.

Central African Republic

28. In the case of *The Prosecutor v. Jean-Pierre Bemba Gombo*, the trial before Trial Chamber III will commence in July 2010 and continue throughout 2011. Investigations may continue as a consequence of the trial proceedings.

Kenya

29. In March 2010, Pre Trial Chamber II granted the Prosecutor's request to launch an investigation into crimes against humanity with regard to the situation in the Republic of Kenya. It is the first time the Prosecutor has initiated *proprio motu* investigations. The Prosecutor's activities will continue in 2011.

30. In the event that arrest warrants or summons to appear are issued and suspects are apprehended or appear, pre-trial activities may significantly increase in 2011. Pre-trial proceedings in at least two cases can be expected to take place in the coming year.

Other situations

31. The Prosecutor will analyse up to eight other potential situations.

Outreach and public information

32. During 2011, based on the Communications strategy that will be presented to the Assembly in 2010, the Court will focus on increasing global awareness by implementing innovative communication approaches to engage key groups such as legal communities, academia and journalists. To enhance impact, various means besides traditional media will be used including new digital social networks, organization of seminars, launching of thematic campaigns, and gradual opening of the Court's library services.

33. The Court will optimize its resources in situation-related countries with the aim of increasing its impact in a cost-effective manner through the Outreach Programme. New approaches will be implemented to ensure greater participation of communities engaged and improve responses to contextual factors in accordance with the findings of internal evaluations and studies carried out by third parties.

External Support and cooperation

34. Enhancing the cooperation between the Court, States and intergovernmental organizations remains a priority. Activities range from seeking support for investigations and prosecutions, to requesting and engaging with States to execute Court orders, such as arrest warrants and tracing and freezing of assets orders, as well as seeking voluntary cooperation in the area of witness protection and the enforcement of sentences. Other activities include seeking logistical and substantive support in order to facilitate Court operations.

35. The Court set out its priorities in the area of cooperation in its report to the eighth session of the Assembly of States Parties (ICC-ASP/8/Res.2), as well as its updated report to the Assembly (RC/2). These are: the execution of arrest warrants; diplomatic and public support including through mainstreaming Court issues at the national and international level; the adoption of implementing legislation by States; cooperation in support of preliminary examinations, investigations and prosecutions; agreements with the Court on interim release; enforcement of sentence and witness relocation; and pursuing cooperation with

international and regional organizations. The Court will therefore be engaging with all relevant actors in order to further these priorities.

36. The Court intends to pursue novel methods and arrangements in order to further voluntary cooperation, such as tripartite arrangements in the area of witness protection and the enforcement of sentences whereby States that have the means to offer such cooperation support States that have the willingness to host these. The Court will also further its participation in knowledge-sharing networks, continue to interact with regional judicial cooperation networks, war crimes units and other actors, and contribute to domestic investigations through the Legal Tools Project, funded through voluntary contributions. Within the Law Enforcement Network established by the Prosecutor, professionals from nine national jurisdictions work together on joint projects with the staff of the Prosecutor.

37. Additionally, the Court will continue to seek the cooperation of States not party to the Rome Statute and to develop its relationships with international and regional organizations such as the United Nations, EU, Organization of American States (OAS), the Arab League (AL), the African Union (AU), the Organization of the Islamic Conference (OIC), ASEAN and CARICOM. The Court will also continue to engage with subregional and thematic organizations, such as SADC and ECOWAS, and the Commonwealth Secretariat and the OIF. This will be done through high-level visits, regional seminars and briefings and, as appropriate, relationship agreements. Work will also be carried out with sectoral organizations such as IDLO and INTERPOL, to increase efficiency.

38. It should be emphasized that a lack of cooperation not only delays proceedings and undermines the exercise of the Court's mandate, but also creates additional costs for the Court and for States Parties.

Human Resources

39. Human resources management in the Court in 2011 will continue to be guided by objective 10 of the Court's Strategic Plan, which calls on the institution to "attract, care for and offer career development and advancement opportunities to a diverse staff of the highest quality". Towards this end, recruitment of new staff will be supported by efforts to raise awareness of the Court's employment opportunities, particularly in non-represented and underrepresented countries. With regard to conditions of service, a special focus will be on the establishment of human-resources-related policies and guidelines applicable to all staff across the organs. It is hoped to introduce a new framework for contractual arrangements in 2011, including for appointments of longer duration. The application of the Court's performance appraisal system will continue to be monitored and improved. The Leadership Development Programme, scheduled to be developed in 2010, will be implemented in 2011. Training and learning programmes will be provided in the context of the Court's Strategic Learning Plan, which will include linkages to the risk management project. There will be no increase in resources required for human resources management in 2011.

Efficiencies

40. The goal for 2011 is to identify the cost savings associated with the efficiencies project. Such savings will encompass efficiencies that bring reductions in expenditure as well as those which achieve more work within the existing resources. The latter will be measured by identifying which increases are avoided through efficiency measures throughout the Court.

41. The process reengineering project will continue in 2011 with a further 10 processes to be studied and efficiencies planned. Changes will be implemented to those processes that will have been reengineered in 2010.

42. The Court will continue to examine its structure for the elimination of any duplicate functions and the identification of any further synergies that may be implemented.

Security

43. The Court recognizes its responsibility for the security and safety of staff, witnesses and other persons associated with the Court. The Court is committed to the management of security and safety risks to staff and witnesses, and to the other persons within its mandate and resources, and in cooperation with the host State(s) and other external partners.

44. For 2011, in addition to providing security and safety to witnesses, staff and others involved, the Court will continue to focus on security and safety in the field, especially on developing information collection and analytical capacity, and information security. Changes in the Court's operational environment in Chad and DRC and the opening of a new situation in Kenya create further security challenges to which the Court needs to be able respond.

Corporate governance

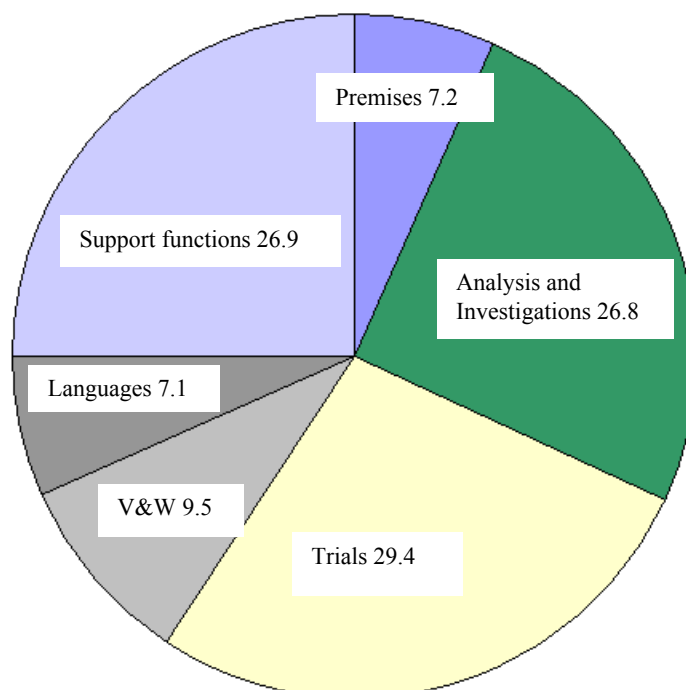
45. In 2010 the Court has clarified and documented the responsibilities and inter-relationship of the organs of the Court. This governance framework, which was shared with the Committee on Budget and Finance at its fourteenth session in May 2010, was created in the context of the Court's goal of becoming a model public administration and of the corollary commitment to achieving excellence with minimal resources through streamlined structures and processes.¹

46. The Court will continue to implement the governance report throughout 2011. A report on the progress of the Court's efforts will be shared with the Committee at its sixteenth session.

Macroanalysis

Activity analysis

Figure 1. 2011 budget breakdown by activity (in millions of euros)



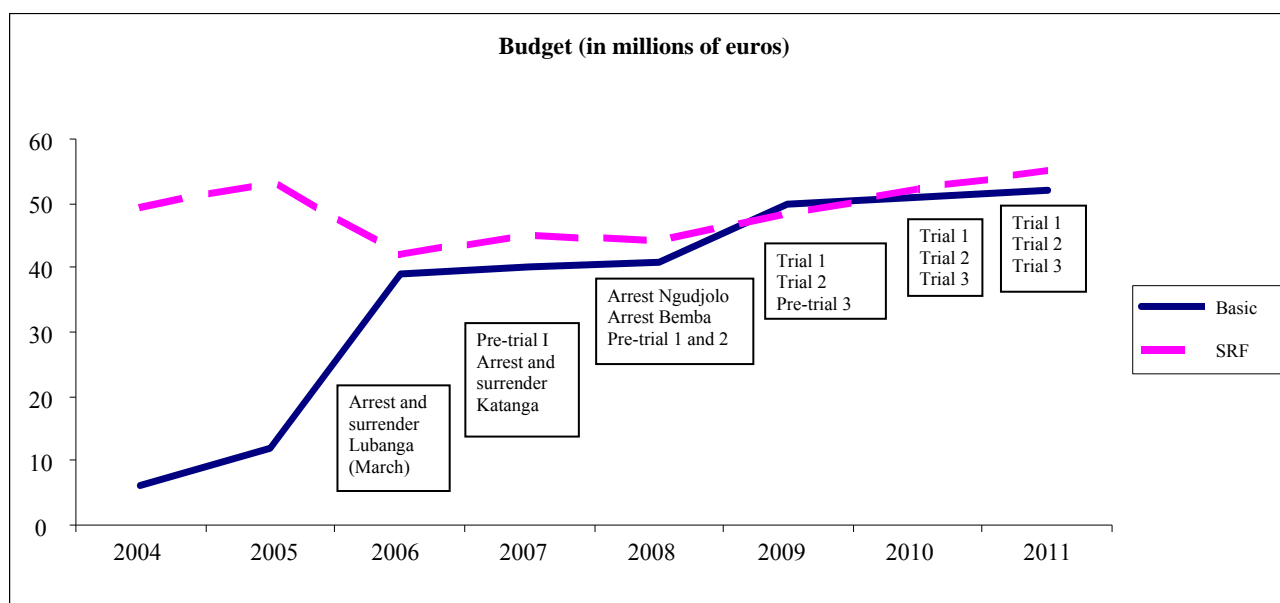
¹ Goal 3 of the Court's Strategic Plan 2009-2018.

47. Provision for analysis and investigation amounts to €26.8 million, to support analysis of situations for possible investigation and the conduct of investigations in accordance with the Rome Statute. In support of trials, costs of €29.4 million are envisioned. Victims and witnesses protection and participation activities amount to €9.5 million.

48. The total costs for resource utilization in the different phases of the judicial process will amount to approximately €29.4 million, or 27 per cent of the budget. Language support in the form of interpretation and translation services amounts to €7.1 million for 2011, the majority of which is related to support of the judicial process. In order to provide all non-judicial support functions to the Court, an amount of €26.9 million is budgeted throughout the different organs. At 25 per cent, the costs for administration represent a reasonable proportion of the total Court budget. Finally, the premises budget reflects the requirements for the interim premises as well as for the Project Director's Office.

49. Figure 2 shows the development of basic costs in comparison to situation-related costs, taking into consideration the occurrence of judicial events. As can be clearly seen, basic costs are largely stabilized and costs for operations develop in line with the start of new investigations, arrests and surrenders or start of pre-trials and trials and the number of victims participating. In this context, the number of trials resulting from each case is decisive for the actual budget.

Figure 2. Basic and situation-related budget lines 2004-2011



50. The situation-related budget components are the field operations and court in session, as summarized in the table below.

Table 2: Budget for court in session and field operations per situation

<i>Item</i>	<i>Proposed budget 2011 in € million</i>
Court in session	
Operational support	8.9
Trial 1 (Lubanga)	3.9
Trial 2 (Katanga/Ngudjolo)	3.9
Trial 3 (Bemba)	3.7
<i>Subtotal court in session</i>	<i>20.4</i>
Field operations	
Operational support	10.7
Situation 1 – Uganda	2.9
Situation 2 – DRC	8.1
Situation 3 – Darfur	5.3
Situation 4 – CAR	3.4
Situation 5 – Kenya	4.0
<i>Subtotal field operations</i>	<i>34.4</i>
Total	54.8

Growth analysis

51. In its 2011 budget proposal, the Court is requesting additional funds of €4.8 million, or 4.7 per cent. This increase has two major components: common system costs and the impact of simultaneous trials.

Table 3: Budget 2011 increase – main cost drivers

<i>Item</i>	<i>Increase in € million</i>
Common system costs	1.0
Kenya	0.5
Simultaneous trials	2.3
Capital investment	0.6
Miscellaneous	0.3
Total	4.7

Common system costs (salary calculation)

52. As for the 2010 budget proposal, the 2011 salary scale was prepared based on the Court's actual March 2010 average net salaries per grade. This calculation has resulted in a net increase of €1.0 million in salary costs, including a minor salary increase for General Services staff, implemented by the International Civil Service Commission in 2009.

Resources net growth*Vacancy rate*

53. In light of the current recruitment, the vacancy rate has been maintained at 8 per cent for the Office of the Prosecutor and 10 per cent for the remainder of the Court.

Restructuring measures

54. Within Registry, a restructuring has taken place whereby the Division of Victims and Counsel was dissolved and the various sections were moved to either the Office of the Registrar or the Division of Court Services, in line with their activities.

Witness protection

55. The main reason for the increase for witness protection is the opening of the new situation, Kenya.

Budget elements*Price adjustments*

56. Gas and electricity prices have been maintained at the same level. The current prices are guaranteed until the end of 2011. A minimum growth strategy has been used for actual price increases. The difference has been absorbed by savings in the 2010 budget. The existing contracts will not affect the Court until 2012.

57. According to industry information, and based on statistical data, travel costs will increase by 3 per cent in 2010 and by a further 4 per cent approximately in 2011. However, the Court has decided not to implement the latter increase.

Efficiency efforts

58. Despite the Court's efficiency efforts described earlier, this budget submission does not reveal major recognizable cost reductions. This is mainly due to the Court's cost structure, which shows that about 86 per cent of its 2011 budget is based on longer-term commitments. Table 4 indicates that 73 per cent of the costs are for judges' salaries, staff salaries and other staff-related contractual expenditures. A further 15 per cent of the Court's budget is related to commitments such as annual or multi-annual contracts, or activities deeply anchored in the Court's judicial process.

Table 4: 2011 budget cost distribution (in %)

<i>Cost distribution 2011 budget</i>	<i>% of costs</i>
Staff costs	73
Annual non-staff commitments	5
Legal aid	3
Witness protection	3
Detention	2
Total	86

59. Because of this substantial percentage and consequent high level of cost remanence, any efficiency gains will be slow to materialize. However, it should be noted that the Court made every effort to absorb additional activities, for instance in relation to the Kenya situation, with its existing resources. This in itself is possible due to various efficiency measures. Furthermore, the Court continues, as a matter of priority, to re-engineer those work processes which currently produce high levels of backlog and would normally require

more resources; the present budget proposal does not contain any additional resources for administrative processes.

Family visits

60. Following a decision of the Presidency of 10 March 2009, the Court has adjusted the budget allocated for visits of the families of detained persons. The present budget comprises provision for one visit per year of the full nuclear family of each detainee, amounting to €81,500.

Reclassification

61. With this budget proposal the Court submits 18 reclassification requests. Application of the principle of “substantive and significant change” implies a change in the role of the position in the Court and/or to the context of the work, i.e. due to restructuring or organizational change. This also includes any significant additions to the responsibilities of an incumbent of a post. Since its 2010 budget submission, the Court has also reclassified 7 GS-OL positions. Further information is provided in annexes V(b) and V(c).

General Temporary Assistance

62. As recommended by the Committee on Budget and Finance and the Assembly of States Parties, the Court continues to strictly review all GTAs, and in its 2011 budget submission it proposes the conversion of 7 GTAs into established posts. Details of its proposals are presented in annex V(d).

Contingency Fund

63. As in the 2010 budget, the Court has proposed expenditures only if they are justified by established facts. In the case of trials, for example, the determinant for requesting funds is the arrest and surrender to the seat of the Court of individuals at the date of submission of the proposal to the Assembly of States Parties. Any arrest and surrender after this date will trigger a request to utilize the Contingency Fund.

64. In 2010, the Court has made three requests to utilize the Contingency Fund in the event the Court would not be able to reallocate resources within the approved budget. These requests concern simultaneous trials (first half-year and second half-year 2010) and the commencement of investigations by the Prosecutor in the Kenya situation.

65. Should the Contingency Fund be utilized in whole or in part in the course of 2010, replenishment of the Fund for the following year may need to be considered.

Working Capital Fund

66. Taking into consideration the decision by the Assembly on the Working Capital Fund² and the pending discussion on both the Contingency Fund and the Working Capital Fund, the Court has maintained the Working Capital Fund for 2011 frozen at the 2007 level until an appropriate policy concerning this Fund has been established.

Annexes

67. As vacancy rates have been maintained at the same level as for 2010, there is only a negligible impact on the 2011 salary costs. Furthermore, with conversion from GTA requested for only seven posts, there is no real impact on the 2011 budget. The annexes “Breakdown of vacancy rate and salary adjustment costs from 2010 to 2011” and “Estimated impact of new posts on 2012 budget (vacancy rate)” have therefore not been included.

² *Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Sixth session, New York, 30 November to 14 December 2007* (ICC-ASP/6/20), vol. I, part II.E.3(c).

68. The activities of the Special Court for Sierra Leone will be finalized in the current year. There is no estimated income for 2011.

Future commitments

Implications for 2012

69. In 2012, various contracts will come up for renegotiation, in particular for utilities and postal services.

Other investment projects

70. For the thirteenth session of the Committee on Budget and Finance and the eighth session of the Assembly of States Parties, the Court submitted reports on the projected implementation of International Public Sector Accounting Standards and on the budgetary implications of replacements of capital investments and interim rent. Although both reports contain specific information on significant costs that the Court will have to cover in the coming years, the decision has been taken to postpone several capital investment projects. In particular, projects relating to information technology, amounting to approximately €2.5 million, have not been included in the 2011 proposed budget.

II. Proposed Programme Budget for 2011

A. Major Programme I: Judiciary

Introduction

71. Having entered into its fully operational phase, the Judiciary has seen a substantial increase in its activities, and this is reflected in proceedings before the Pre-Trial Chambers and in the ongoing trials at Trial Division level. Growth is expected to continue in 2011, resulting in an intensified workload both for Chambers and for the Presidency.

72. In order to continue effectively to fulfil its mandate, while at the same time ensuring that public funds are used conscientiously and efficiently, the Judiciary will retain sufficient internal flexibility so as to be able to adapt to the changing circumstances of the Court's judicial activity.

73. Judiciary's proposed budget for 2011 is divided into three programmes and two sub-programmes:

- (a) Presidency
- (b) Chambers
- (c) Liaison offices
 - (i) New York Liaison Office (hereinafter "NYLO")
 - (ii) African Union Liaison Office (hereinafter "AULO")

74. By virtue of article 34 of the Rome Statute, Presidency and Chambers are established organs of the Court.

75. Provisionally accommodated within Major Programme I, the liaison offices fulfil common representative functions of all organs of the Court.

Table 5: Major Programme I: Proposed budget for 2011

Judiciary	Expenditure 2009 (thousands of euros)			Approved budget 2010 (thousands of euros)					Proposed budget 2011 (thousands of euros)			Resource Growth	
	Basic	Situation-related	Total	Basic excl. RC	Situation-related	Total excl. RC	Basic RC	Total incl. RC	Basic	Situation-related	Total	Amount excl. RC	% excl. RC
Judges	5,516.6		5,516.6	5,634.0		5,634.0		5,634.0	5,852.2		5,852.2	218.2	3.9
Professional staff	No breakdown available			2,883.9	454.5	3,338.4		3,338.4	3,012.4	452.0	3,464.4	126.0	3.8
General Service staff				857.7	180.0	1,037.7		1,037.7	864.9	181.8	1,046.7	9.0	0.9
Subtotal staff	2,549.5	395.9	2,945.4	3,741.6	634.5	4,376.1		4,376.1	3,877.3	633.8	4,511.1	135.0	3.1
General temporary assistance	935.3	111.8	1,047.1	258.7		258.7		258.7	320.6	270.8	591.4	332.7	128.6
Consultants				16.2		16.2		16.2	15.4		15.4	-0.8	-4.9
Subtotal other staff	935.3	111.8	1,047.1	274.9		274.9		274.9	336.0	270.8	606.8	331.9	120.7
Travel	234.9		234.9	188.8	28.1	216.9	24.5	241.4	244.3	29.9	274.2	57.3	26.4
Hospitality	5.5		5.5	18.0		18.0		18.0	18.0		18.0		
Contractual services	4.1		4.1	15.6		15.6		15.6	15.6		15.6		
Training	0.5		0.5	20.5		20.5		20.5	21.3		21.3	0.8	3.9
General operating expenses	33.8		33.8	105.5		105.5		105.5	105.5		105.5		
Supplies and materials	6.8		6.8	10.0		10.0		10.0	10.0		10.0		
Equipment including furniture				47.7		47.7		47.7	47.7		47.7		
Subtotal non-staff	285.6		285.6	406.1	28.1	434.2	24.5	458.7	462.4	29.9	492.3	58.1	13.4
Total	9,287.0	507.7	9,794.7	10,056.6	662.6	10,719.2	24.5	10,743.7	10,527.9	934.5	11,462.4	743.2	6.9
Distributed maintenance	143.6	17.6	161.2	108.3	30.9	139.2		139.2	120.0	28.4	148.5	9.1	6.6

Table 6: Major Programme I: Proposed staffing for 2011

Judiciary		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic				1	2	1	21	4		29	1	13	14	43
	Situation-related					1	2		1		4		3	3	7
	Subtotal				1	3	3	21	5		33	1	16	17	50
New/ Converted	Basic							1			1				1
	Situation-related														
	Subtotal							1			1				1
Redeployed/ Reclassified	Basic														
	Situation-related														
	Subtotal														
Total					1	3	3	22	5		34	1	16	17	51

1. Programme 1100: The Presidency

Introduction

76. In 2011, the Presidency will continue to exercise its three major functions.

77. In its legal and judicial capacity, the Presidency contributes to the quality of justice (Strategic Goal 1) in supporting Chambers in their conduct of fair, effective and expeditious public proceedings. The Presidency assigns situations to Chambers and constitutes Chambers for the purpose of hearing specific cases. The Presidency further organizes and provides legal support to plenary sessions of judges and oversees the Registry in its administrative functions pursuant to article 43 of the Rome Statute. In addition, the Presidency is charged with its own legal and judicial functions, including disciplinary proceedings, excusal of judges and judicial review of certain decisions of the Registrar, as well as the conclusion of bilateral agreements with States and international organizations and supervisory activities under part 10 of the Rome Statute in relation to the enforcement of sentences, of fines and forfeitures and of conditions of imprisonment.

78. With a view to a well-recognized and adequately supported institution (Strategic Goal 2), the Presidency, in its external relations capacity, raises awareness and understanding of the work and role of the Court by fostering relationships with States, international organizations and civil society.

79. As the organ responsible for the proper administration of the Court under article 38 of the Rome Statute, the Presidency oversees the Registrar and supports the Registry's aims of becoming a model of public administration (Strategic Goal 3). It further coordinates with the Prosecutor on all administrative matters of mutual concern. The Presidency is additionally charged with overseeing the proper internal administration of the Presidency and Chambers, including financial, budgetary and human resources issues.

Objectives

1. Conduct fair, effective and expeditious public proceedings in accordance with the Rome Statute and with high legal standards, ensuring full exercise of the rights of all participants. (SG 1)
2. Further enhance awareness of, effect a correct understanding of, and increase support for the Court. (SG 2)
3. Excel in achieving the desired results with minimal resources and through streamlined structures and processes, while maintaining flexibility, guaranteeing accountability and drawing upon sufficient qualified and motivated staff within a caring environment and a non-bureaucratic culture. (SG 3)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1	- Provide legal and logistical support to all plenaries of the judges.	100%
- A model of international criminal justice	- Issue high quality decisions on Presidency appeals and adjudications in a timely manner.	All decisions issued within agreed upon timeframe
	- Negotiate bilateral enforcement strategies.	2
Objective 2	- Host official visits.	50
- Well-recognized and adequately supported institution	- Conduct foreign visits.	10
	- Hold diplomatic briefings.	3
	- Participate in NGO briefings.	2
	- Grant interviews and press conferences.	12 + 3
Objective 3	- Well-designed, targeted staff trainings within the Judiciary.	1
- Model of public administration	- Annual meeting with all Court staff.	1
	- Court-wide communications from the President.	3

Staff resources*Judges' salaries and allowances*

80. The proposed 2011 budget allocates funds to cover remuneration for the three judges serving in the Presidency. The allocation provides coverage for the judges' salaries, as well as benefits, allowances and pensions.

Current staffing

81. A Strategic Planning Coordinator (P-3) was brought on staff in 2010. Although provided for in the budget of the Presidency, the incumbent fulfils Court-wide functions in driving the strategic planning and implementation process forward. Reflecting its independent status, the position of Strategic Planning Coordinator is regarded as formally separate from the general Presidency staff.

New staff resources

82. Converted from GTA. One P-3 Legal Officer was provided in the previous budget through GTA funding. The Presidency has entered into the phase in which it is required to exercise its enforcement functions under part 10 of the Rome Statute and chapter 12 of the Rules of Procedure and Evidence with the assistance of the Enforcement Unit established within the Presidency pursuant to regulation 113 of the Regulations of the Court. The unit oversees the enforcement of sentences and conditions of imprisonment, the enforcement of fines and the supervision of forfeiture and reparations measures, as well as being responsible for the ongoing monitoring of the financial situation of sentenced persons. Given the heightened judicial activity relating to these enforcement issues, the legal and judicial staff of the Presidency will also have to focus additional efforts on the negotiation and conclusion of further cooperation agreements with States and organizations, including with States willing to receive convicted persons and able to assist in the tracing and freezing of the assets of accused or sentenced persons. The volume and complexity of the issues with which the legal and judicial staff of the Presidency has to deal require that the P-3 Legal Officer continues to provide material support to the legal and judicial staff of the Presidency.

Consultants

83. Recurrent. Given the Presidency's varied workload, the temporary need for expert advice on a range of specialist topics will remain unchanged in the proposed budget.

Non-staff resources*Travel*

84. Recurrent. A provision of €118,200 has been included in the Presidency's budget to cover the travel of the three judges of the Presidency. The indicated increase reflects the need to cover the costs of the judges' attendance at the sessions of the Assembly in New York. The increase also reflects the costs estimated for meeting the expenses associated with the President's external relations functions. It includes a provision to cover the cost of staff travelling with the President in the discharge of his external relations functions.

Training

85. Recurrent. Recognizing that training provided with a view to enhancing the specialist expertise of its staff will directly contribute to the achievement of SG1 and SG3, the Presidency provides funding for specialized training opportunities for its staff.

Table 7: Programme 1100: Proposed budget for 2011

<i>The Presidency</i>	<i>Expenditure 2009</i> (thousands of euros)			<i>Approved budget 2010</i> (thousands of euros)					<i>Proposed budget 2011</i> (thousands of euros)			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic excl. RC</i>	<i>Situation-related</i>	<i>Total excl. RC</i>	<i>Basic RC</i>	<i>Total incl. RC</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount excl. RC</i>	<i>% excl. RC</i>
<i>Judges</i>	923.4		923.4	1,037.5		1,037.5		1,037.5	1,049.9		1,049.9	12.4	1.2
Professional staff	No breakdown available			707.2		707.2		707.2	804.9		804.9	97.7	13.8
General Service staff				257.7		257.7		257.7	258.9		258.9	1.2	0.5
<i>Subtotal staff</i>	720.3		720.3	964.9		964.9		964.9	1,063.8		1,063.8	98.9	10.2
General temporary assistance	299.5		299.5	161.3		161.3		161.3	67.5		67.5	-93.8	-58.2
Consultants				16.2		16.2		16.2	15.4		15.4	-0.8	-4.9
<i>Subtotal other staff</i>	299.5		299.5	177.5		177.5		177.5	82.9		82.9	-94.6	-53.3
Travel	167.1		167.1	93.2		93.2	24.5	117.7	124.3		124.3	31.1	33.4
Hospitality	3.3		3.3	15.0		15.0		15.0	15.0		15.0		
Contractual services	1.6		1.6										
Training	0.5		0.5	5.3		5.3		5.3	5.5		5.5	0.2	3.8
<i>Subtotal non-staff</i>	172.5		172.5	113.5		113.5	24.5	138.0	144.8		144.8	31.3	27.6
Total	2,115.7		2,115.7	2,293.4		2,293.4	24.5	2,317.9	2,341.4		2,341.4	48.0	2.1
Distributed maintenance	42.7		42.7	27.7		27.7		27.7	32.7		32.7	5.0	18.0

Table 8: Programme 1100: Proposed staffing for 2011

<i>The Presidency</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	1	3	2		7	1	3	4	11
	Situation-related														
	<i>Subtotal</i>					1	1	3	2		7	1	3	4	11
New/ Converted	Basic							1			1				1
	Situation-related														
	<i>Subtotal</i>							1			1				1
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	1	4	2		8	1	3	4	12

2. Programme 1200: Chambers

Introduction

86. Chambers consists of three divisions, namely the Pre-Trial Division, the Trial Division and the Appeals Division, as set out in article 34(b) of the Rome Statute. The Presidency assigns situations and cases to the Pre-Trial and Trial Divisions, while the Appeals Division deals with interlocutory and final appeals from decisions taken in the other divisions.

87. Judicial activity in the Pre-Trial Division is expected to remain at a level comparable to that of previous years. The Trial Division activity is expected to continue at a comparable level to that reached by the end of 2010. The Appeals Division will potentially be seized of two appeals against final judgments.

Pre-Trial Division

88. A minimum of six judges are to be assigned to the Pre-Trial Division at all times.

89. Division staff consists of twelve FTEs, namely: one P-5 Senior Legal Adviser, six P-3 Legal Officers, one P-2 Associate Legal Officer, one GS-OL Legal Research Assistant and three GS-OL Administrative Assistants.

90. At the time of the preparation of this budget proposal, the Pre-Trial Chambers were seized of five situations, namely Uganda, the Democratic Republic of the Congo (DRC), Darfur/Sudan, the Central African Republic (CAR) and Kenya.

91. Given the nature of the judicial activity within the Pre-Trial Division, it is difficult to predict precisely the level of such activity in 2011. In March 2010, Pre-Trial Chamber II authorized a request to open an investigation into the situation in Kenya, submitted to it by the Prosecutor pursuant to article 15 of the Rome Statute. Having authorized investigations into the situation, the Pre-Trial Division will potentially be seized with a number of applications for warrants of arrest or summons to appear. It cannot be excluded that suspects from this situation may make an initial appearance before the Court during 2011. Such activities would result in a heightened workload within the Pre-Trial Division. The Pre-Trial Chamber will also have to address issues in relation to the representation of victims within this situation.

92. Furthermore, investigations in the DRC situation, the CAR situation and the Darfur situation are still ongoing and applications for warrants of arrest may be presented at any time. Pre-trial proceedings following the decision on the confirmation of charges in *The Prosecutor v Bahr Idriss Abu Garda* are likely to lead to a new decision on the confirmation of charges to be rendered in mid-2011. A significant increase in the workload of the Division may be expected in the event of further requests for authorization to open investigations pursuant to article 15 of the Statute in several other countries. Moreover, a number of suspects in current situations still remain at large and may be surrendered to the Court at any time.

93. While it is envisaged that the workload of the Pre-Trial Chambers will at least remain at the same level as that for 2010, the nature of the work of the Pre-Trial Division is such that the exact extent of its workload can never be predicted with certainty. A flexible use of the resources available in previous years has helped the Division to cope with these additional demands. It has, however, also become apparent that there is a generic recurring need for additional resources during periods of peak activity.

Trial Division

94. The Trial Division is currently composed of eight judges serving on a full-time basis, including one judge whose mandate has been extended in accordance with article 36(10) of the Rome Statute in order to complete the trial in *The Prosecutor v Thomas Lubanga Dyilo* (hereinafter "*Lubanga*").

95. Staffing of the Trial Division comprises one P-4 Legal Adviser, seven P-3 Legal Officers assigned to the judges, one P-2 Associate Legal Officer and one GS-OL Research Assistant, as well as three GS-OL Administrative Assistants.

96. Within the Trial Division, three Trial Chambers have been constituted to hear the cases of *Lubanga*, *The Prosecutor v Germain Katanga and Mathieu Ngudjolo Chui* (hereinafter “*Katanga/Ngudjolo*”) and *The Prosecutor v Jean-Pierre Bemba Gombo* (hereinafter “*Bemba*”). It is expected that a final judgment will be handed down in the *Lubanga* case during 2010. It is further anticipated that Trial Chamber II, being seized of *Katanga/Ngudjolo*, will render a judgment in 2011. While the hearings in *Bemba* may conclude in 2011, it is, however, considered unlikely that Trial Chamber III will reach a final judgment that same year. Furthermore, both the *Lubanga* and *Katanga/Ngudjolo* cases may result in reparations orders pursuant to article 75 of the Rome Statute. In that event, activities in *Lubanga* in relation to reparations are likely to take place in 2011. As reparations issues have yet to be considered by the Court, it is not possible at this stage to predict how long they might last.

97. Given that many of the issues that the Court has to address are novel ones, for which no precedent exists, a substantial amount of time has to be devoted to their resolution, during both the trial phase and its preparatory phase. Chambers can therefore never predict whether the case management schedule set at the first status conference can be adhered to. Substantive motions filed by the parties, possible appeals with suspensive effect and other events outside the Chamber’s control may impact on the progress of the case. This has been illustrated not only in *Lubanga* and *Katanga/Ngudjolo*, ultimately resulting in simultaneous trials, but equally in *Bemba*, where substantive issues led to the commencement of the trial having to be unexpectedly rescheduled.

98. Given that the *Lubanga* case did not conclude as anticipated before hearings commenced in the *Katanga/Ngudjolo* cases, the Trial Division was faced with an unexpected surge in the workload of its Chambers when *Bemba* reached the Trial Division. A request was made to the Contingency Fund in early 2010 for two P-3 Legal Officer positions and two legal positions at P-1/P-2 level in order to address the situation.

Appeals Division

99. The Appeals Division is composed of five judges, one of whom is the President of the Court. The principal statutory function of the Appeals Chamber is to hear both interlocutory appeals and appeals against final decisions of the Pre-Trial and Trial Chambers. Pursuant to article 81 of the Rome Statute, the Appeals Chamber may be seized of appeals against sentence, conviction or acquittal. Final appeals will result in a considerably higher workload than interlocutory appeals, as the entirety of the trial proceedings may have to be reviewed, and new evidence may have to be heard.

100. The Division’s staff is comprised of one P-4 Legal Adviser, five P-3 Legal Officers, one P-2 Associate Legal Officer, one GS-OL Research Assistant and two GS-OL Administrative Assistants.

101. In 2009, the Appeals Chamber was seized of seven interlocutory appeals. At this stage, it is difficult to predict the number of interlocutory appeals reaching the Appeals Chamber in 2011, as their number depends both on the number of situations and cases before the Pre-Trial Chambers and Trial Chambers as well as on the parties in the ongoing pre-trial and trial proceedings. It is nevertheless anticipated that the number of interlocutory appeals will remain at approximately the same level as that of previous years.

102. It is expected that in 2011 the Appeals Chamber will be seized of appeals against decisions of acquittal, conviction or sentence in the *Lubanga* and *Katanga/Ngudjolo* cases. Given that, on conviction, Trial Chambers may render a decision on reparations to victims, it may be assumed that the cases before the Trial Chambers could result in two to six final appeals. Having regard to the cases’ current progress, it is anticipated that these appeals will reach the Appeals Chamber consecutively. It cannot, however, be excluded that they may reach the Appeals Chamber concurrently, or at least partly overlapping in time, given the unpredictable nature of the judicial activity within the Trial Division.

Objectives

1. Conduct fair, effective, and expeditious public proceedings in accordance with the Rome Statute and high legal standards, ensuring full exercise of the rights of all participants. (SG 1)
2. Attract, care for, and offer career development and advancement opportunities to a diverse staff of the highest quality. (SO 10)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1	- Staffing in Chambers recruited.	100%
- A model of international criminal justice	- Specialized training for legal staff in Judiciary.	1

Staff resources

Judges

103. The proposed 2011 budget allocates funds to cover remuneration for 15 of the 18 judges serving in Chambers. The allocation provides coverage for the judges' salaries, as well as benefits, allowances and pensions.

Current staffing

104. Having addressed the need for a flexible staffing structure reflective of the changing workload scenarios within the divisions, the Court has revised the staffing structure for the legal support of the judicial divisions, as set out in the Report of the Presidency on the revised staffing structure of Chambers (ICC-ASP/8/29). However, given the need for continuity inherent in a judicial institution, structural changes are currently being accomplished through the staged implementation of the inter-divisional pooling of resources. In the year 2011, the implementation of these structural changes will be continued, while guaranteeing the best possible utilization of resources and ensuring the maintenance of the high-quality legal support required by the judges. The efficient use of available resources will ensure that additional demands for resources are first addressed within the existing structures.

General temporary assistance

105. The Pre-Trial Chambers have shown that there is a generic recurring need for additional resources during periods of peak activity. As a result, Pre-Trial Division is requesting 10 months of GTA funding at a P-1 level to cover immediate short-term needs.

106. Due to the occurrence of simultaneous trials and the impossibility of projecting the length of potential reparations proceedings in the *Lubanga* case, the Court requests that the Trial Division's two P-3 positions, as well as the P-2 and P-1 positions, currently funded by the 2010 Contingency Fund, be funded by GTA for a minimum of six months in 2011.

107. Should any other unexpected need arise every effort will be made to address this out of the 2011 budget, before resorting to alternative funding.

Non-staff resources

Travel

108. Recurrent. Judges play an important role in educating the public about the Court. A provision of €125,900 has been included in the proposed budget to cover travel costs of the judges, including funds for one *in situ* trip for judges accompanied by a minimum number of Chambers' staff.

Training

109. Recurrent. Recognizing that training provided with a view to enhancing the specialist expertise of its staff will directly contribute to the achievement of SG1 and SO10, Chambers provides funding for specialized training opportunities for its staff.

Table 9: Programme 1200: Proposed budget for 2011

<i>Chambers</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
<i>Judges</i>	4,593.2		4,593.2	4,596.5		4,596.5	4,802.3		4,802.3	205.8	4.5
Professional staff	No breakdown available			1,902.2	454.5	2,356.7	1,908.4	452.0	2,360.4	3.7	0.2
General Service staff				480.0	180.0	660.0	484.8	181.8	666.6	6.6	1.0
<i>Subtotal staff</i>	1,627.7	395.9	2,023.6	2,382.2	634.5	3,016.7	2,393.2	633.8	3,027.0	10.3	0.3
General temporary assistance	635.8	111.8	747.6	53.8		53.8	67.5	270.8	338.3	284.5	528.8
<i>Subtotal other staff</i>	635.8	111.8	747.6	53.8		53.8	67.5	270.8	338.3	284.5	528.8
Travel	52.6		52.6	71.3	28.1	99.4	96.0	29.9	125.9	26.5	26.7
Hospitality	2.2		2.2	1.0		1.0	1.0		1.0		
Contractual services	2.5		2.5								
Training				15.2		15.2	15.8		15.8	0.6	3.9
Supplies and materials	4.6		4.6								
<i>Subtotal non-staff</i>	61.9		61.9	87.5	28.1	115.6	112.8	29.9	142.7	27.1	23.4
Total	6,918.6	507.7	7,426.3	7,120.0	662.6	7,782.6	7,375.8	934.5	8,310.3	527.7	6.8
Distributed maintenance	93.1	17.6	110.7	70.6	30.9	101.5	76.4	28.4	104.8	3.3	3.3

Table 10: Programme 1200: Proposed staffing for 2011

<i>Chambers</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic							18	2		20		8	8	28
	Situation-related					1	2		1		4		3	3	7
	<i>Subtotal</i>					1	2	18	3		24		11	11	35
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	2	18	3		24		11	11	35

3. Programme 1300: Liaison offices

Introduction

110. The liaison offices fulfil common representative functions of all organs of the Court. Accommodated within Major Programme I, they report to the Presidency. They have been established in order to facilitate the Court's interaction with the United Nations in the case of the New York Liaison Office (NYLO), and regional cooperation with African States in the case of the African Union Liaison Office (AULO). The liaison offices have, further, been established to raise the profile of the Court and to report back to the Court on daily developments of interest to the Court in their respective contexts.

111. The NYLO is fully operational in its representative and liaison functions. Apart from serving the Court as a representative body, it also exercises secretariat functions for the Assembly of States Parties, its Bureau and the Bureau's New York Working Group. It operates within the framework of a relationship agreement between the Court and the United Nations, devoting a significant amount of its activities to operational cooperation between the two institutions.

112. The Court's relationship with States and international organizations is critical to its success. The Assembly established the NYLO in order to provide active and direct support to the Court's investigations, field operations and general functions through enhancing interaction and facilitating cooperation between the Court and the United Nations. The NYLO is material to the effective representation of the Court at United Nations headquarters. It plays a vital role in the resolution of issues of operational cooperation. The Office further monitors and reports on developments of relevance to the Court, intervening where necessary. The International Criminal Court has increasingly gained prominence on the United Nations agenda, where its work is discussed in diverse contexts by the United Nations General Assembly, the Security Council or other bodies on an almost daily basis. Although formally attached to the Presidency, the NYLO serves all organs of the Court. Apart from exercising substantial representational functions, the NYLO further provides considerable logistical and substantive support to the meetings of the General Assembly of States Parties, its Bureau and the Bureau's New York Working Group.

113. The AULO has not yet entered into its fully operational phase, but is expected to do so during 2011. Unlike the NYLO, the AULO will not be fulfilling secretariat functions for the Assembly. A relationship agreement between the Court and the African Union (AU) is still pending. In contrast to the Court's field offices in situation countries, the Office will not be involved in operational activities of the Court.

114. The Court's relationship with African States, which constitutes the largest regional group of States Parties to the Rome Statute, is particularly important in order to foster dialogue and an accurate understanding of the Court within the AU. Upon recommendation of the Assembly, the AULO was established in order to build strategic, sustainable relations with African States. It thus plays a material role in the effective representation of the Court before the AU at its headquarters in Addis Ababa. The office will keep the Court informed and will report back on matters before the AU that are of interest to the Court's activities within the region, such as matters relating to the Peace and Security Council (PSC). Apart from exercising substantial liaison and representational functions aimed at raising the Court's profile in the region, the AULO further provides logistical and substantive support to visiting officials from The Hague.

Objectives

1. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities. (SO 4)
2. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 6)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objectives 1 and 2		
- Well-recognized and adequately supported institution	- Pursue all requests of the Court for cooperation with relevant interlocutors at United Nations headquarters until completion of requests.	100%
	- Hold briefings for States in New York.	3
	- Provide support to visiting Court officials.	6-10 visits
	- Monitor and participate in relevant United Nations meetings, follow-up on items bilaterally and provide weekly reports to the Court.	100%
	- Provide input for United Nations reports and resolutions on Court-related subjects.	100%
	- Provide logistical support to the Assembly, its Bureau and the New York Working Group.	100% of requests received delivered in the requested time frame

Table 11: Programme 1300: Proposed budget for 2011

<i>Liaison offices</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			274.5		274.5	299.1		299.1	24.6	9.0
General Service staff				120.0		120.0	121.2		121.2	1.2	1.0
<i>Subtotal staff</i>	201.5		201.5	394.5		394.5	420.3		420.3	25.8	6.5
General temporary assistance				43.6		43.6	185.6		185.6	142.0	325.7
<i>Subtotal other staff</i>				43.6		43.6	185.6		185.6	142.0	325.7
Travel	15.2		15.2	24.3		24.3	24.0		24.0	-0.3	-1.2
Hospitality				2.0		2.0	2.0		2.0		
Contractual services				15.6		15.6	15.6		15.6		
General operating expenses	33.8		33.8	105.5		105.5	105.5		105.5		
Supplies and materials	2.2		2.2	10.0		10.0	10.0		10.0		
Equipment including furniture				47.7		47.7	47.7		47.7		
<i>Subtotal non-staff</i>	51.2		51.2	205.1		205.1	204.8		204.8	-0.3	-0.1
Total	252.7		252.7	643.2		643.2	810.7		810.7	167.5	26.0
Distributed maintenance	7.8		7.8	10.0		10.0	10.9		10.9	0.8	8.2

Table 12: Programme 1300: Proposed staffing for 2011

<i>Liaison offices</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1	1					2		2	2	4
	Situation-related														
	<i>Subtotal</i>				1	1					2		2	2	2
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	1					2		2	2	4

a) **Sub-programme 1310: New York Liaison Office**

Staff resources

Current staffing

115. All NYLO activities are carried out by the Head of Office (P-5) and one Administrative Assistant (GS-OL), who provide support on all administrative and logistical matters. Due to its limited size, the NYLO is only in a position to focus on a limited number of the priorities for which it was established by the Assembly.³ The time of the Head of Office is largely occupied with the essential tasks of establishing and maintaining formal contacts and informal networks with the United Nations Secretariat and Permanent Missions, monitoring and reporting to the Court on daily meetings and reports of concern to the Court, arranging visits of Court officials to the United Nations and servicing meetings of the Assembly and its subsidiary bodies. The NYLO is therefore only able to participate in those discussions which will have the most substantial operational impact on the Court. Equally, only the most urgent requests for cooperation with the United Nations are being addressed by the Office. When the Head of Office is on leave, there is no Court representation in New York.

General temporary assistance

116. Funding is requested at the level of P-2 Associate Legal Officer (new, 12 months). As most functions assumed by the Head of Office are operational functions in cooperation with the United Nations Secretariat, assistance with substantive functions would enable the Head of Office to dedicate more time to proactively pursuing operational cooperation within the United Nations Secretariat. Thus, a P-2 is required to prepare background material, assist in the compilation of reports, brief the Head of Office and monitor United Nations developments on a daily basis. The Associate Legal Officer would further contribute to the continuous and efficient running of the NYLO by ensuring a skeleton presence in the absence of the Head of Office.

Non-staff resources

Travel

117. Recurrent. For the Head of Office to liaise with headquarters in The Hague.

General operating expenses

118. Recurrent. Provision is made for the rental and running costs of the office space in New York.

Supplies

119. Recurrent. For basic office supplies and other expendable materials required for the Office.

³ ICC-ASP/4/6, Option Paper by the Bureau on the establishment of a New York Liaison Office.

Table 13: Sub-programme 1310: Proposed budget for 2011

<i>New York Liaison Office</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			139.4		139.4	140.1		140.1	0.7	0.5
General Service staff				60.0		60.0	60.6		60.6	0.6	1.0
<i>Subtotal staff</i>	<i>201.5</i>		<i>201.5</i>	<i>199.4</i>		<i>199.4</i>	<i>200.7</i>		<i>200.7</i>	<i>1.3</i>	<i>0.7</i>
General temporary assistance				26.9		26.9	118.4		118.4	91.5	340.1
<i>Subtotal other staff</i>				<i>26.9</i>		<i>26.9</i>	<i>118.4</i>		<i>118.4</i>	<i>91.5</i>	<i>340.1</i>
Travel	15.2		15.2	8.4		8.4	8.8		8.8	0.4	4.8
Hospitality				1.0		1.0	1.0		1.0		
Training											
General operating expenses	33.8		33.8	55.9		55.9	55.9		55.9		
Supplies and materials	2.2		2.2	5.0		5.0	5.0		5.0		
<i>Subtotal non-staff</i>	<i>51.2</i>		<i>51.2</i>	<i>70.3</i>		<i>70.3</i>	<i>70.7</i>		<i>70.7</i>	<i>0.4</i>	<i>0.6</i>
Total	252.7		252.7	296.6		296.6	389.8		389.8	93.2	31.4
Distributed maintenance	7.8		7.8	5.0		5.0	5.5		5.5	0.4	8.2

Table 14: Sub-programme 1310: Proposed staffing for 2011

<i>New York Liaison Office</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1					1		1	1	2
	Situation-related														
	<i>Subtotal</i>					<i>1</i>					<i>1</i>		<i>1</i>	<i>1</i>	<i>2</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1					1		1	1	2

b) Sub-programme 1320: African Union Liaison Office

Staff resources

120. Resources will remain at the same level until the Office has become fully functional and the Head of Office is in a position to evaluate the established structures.

Current staffing

121. The Head of Office (D-1)⁴ is responsible for the management of the Office in Addis Ababa and has overall responsibility for the functioning of the AULO. His/her representational functions remain constant throughout the year with peak times during the preparatory meetings for the Heads of State summits, during the summits, during the debates of the PSC and during the visits of Court officials.

122. The Administrative and Technical Assistant (GS-OL) provides administrative and logistical support to the Office and visiting officials from The Hague. The Assistant further provides general support, arranging appointments and facilitating meetings.

Non-staff resources

Travel

123. Recurrent. In order to fulfil his/her representational functions, the Head of Office will have to attend policy formulation meetings with various bodies of the AU. Such meetings take place in a number of capitals across the African continent. Effectively representing the Court before the AU further requires that the Head of Office be in close and efficient contact with the Court. This in turn requires that he/she travels to The Hague twice a year in order to report back to the Court and liaise with all organs of the Court on these occasions.

General operating expenses

124. At the time of compilation of the budget proposal, no information is available regarding the general operating expenses of the AULO. Thus, no new resources are being requested.

Supplies and materials

125. Recurrent. For basic office supplies and other expendable materials required for the Office.

⁴ As has been stressed by the interlocutors for the Court in Addis Ababa, access to ambassadors and AU Commissioners will only be granted to a senior level official. See ICC-ASP/8/CBF.2/12, Report on the establishment of an office for the International Criminal Court at the African Union headquarters in Addis Ababa.

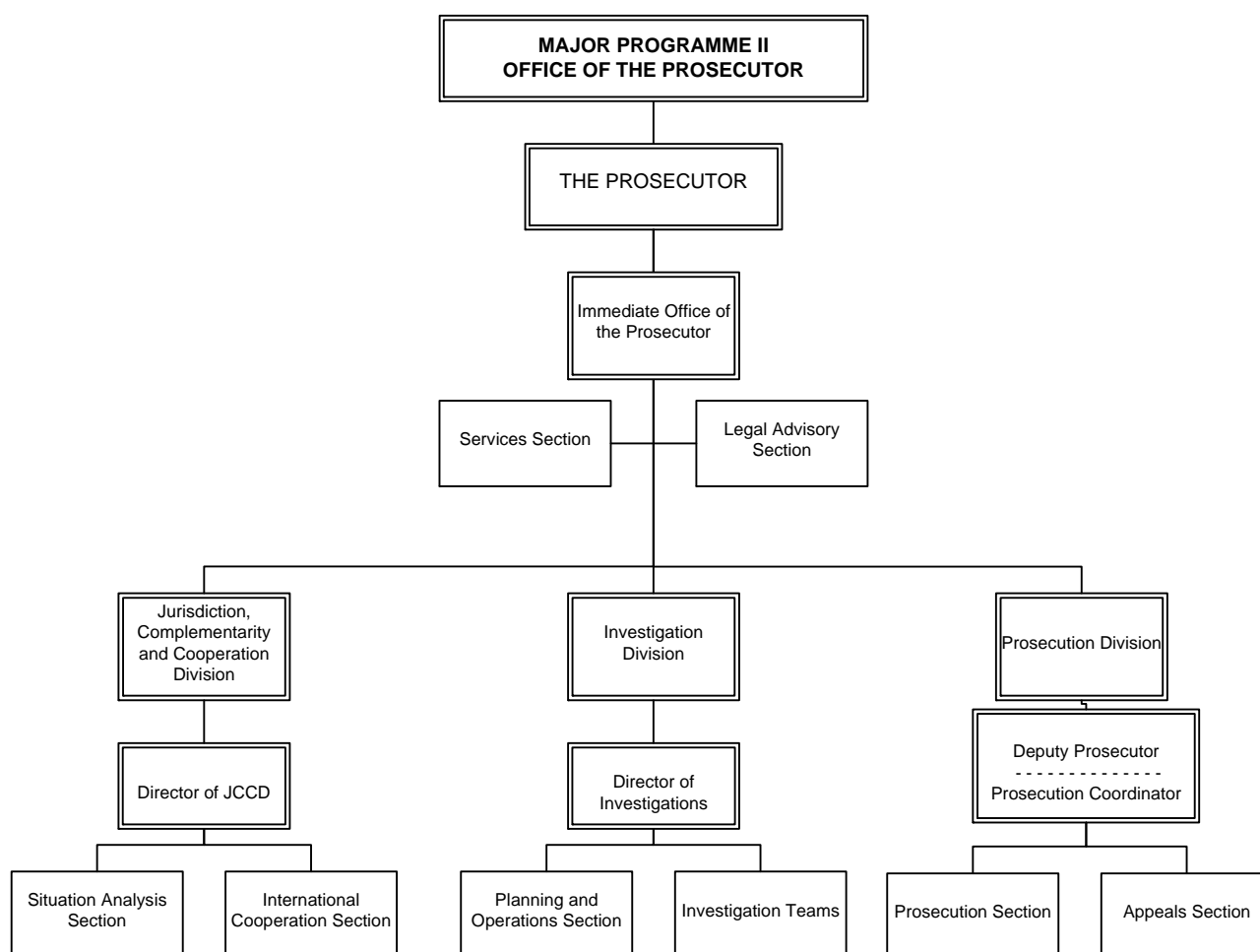
Table 15: Sub-programme 1320: Proposed budget for 2011

<i>African Union Liaison Office</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			135.1		135.1	159.0		159.0	23.9	17.7
General Service staff				60.0		60.0	60.6		60.6	0.6	1.0
<i>Subtotal staff</i>				<i>195.1</i>		<i>195.1</i>	<i>219.6</i>		<i>219.6</i>	<i>24.5</i>	<i>12.6</i>
General temporary assistance				16.7		16.7	67.2		67.2	50.5	302.4
<i>Subtotal other staff</i>				<i>16.7</i>		<i>16.7</i>	<i>67.2</i>		<i>67.2</i>	<i>50.5</i>	<i>302.4</i>
Travel				15.9		15.9	15.2		15.2	-0.7	-4.4
Hospitality				1.0		1.0	1.0		1.0		
Contractual services				15.6		15.6	15.6		15.6		
General operating expenses				49.6		49.6	49.6		49.6		
Supplies and materials				5.0		5.0	5.0		5.0		
Equipment including furniture				47.7		47.7	47.7		47.7		
<i>Subtotal non-staff</i>				<i>134.8</i>		<i>134.8</i>	<i>134.1</i>		<i>134.1</i>	<i>-0.7</i>	<i>-0.5</i>
Total				346.6		346.6	420.9		420.9	74.3	21.4
Distributed maintenance				5.0		5.0	5.5		5.5	0.4	8.2

Table 16: Sub-programme 1320: Proposed staffing for 2011

<i>African Union Liaison Office</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1						1		1	1	2
	Situation-related														
	<i>Subtotal</i>				<i>1</i>						<i>1</i>		<i>1</i>	<i>1</i>	<i>2</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1						1		1	1	2

B. Major Programme II: Office of the Prosecutor



Introduction

126. The budget of the Office of the Prosecutor (“the Office”) for 2011 contains no request for additional resources over those of 2010. The final amount is reduced by 0.2 per cent compared with the previous approved budget. The Office will continue to seek to increase its productivity so as to face the challenges presented by new investigations and prosecutions, with the aim of increasing output without any increase in resources.

127. The 2011 budget proposal for the OTP shows an absolute decrease of €50,300 by comparison with the approved budget for 2010. Compared to actual expenditure for 2009, the overall budgetary increase is 12 per cent, while the number of active and residual cases has grown from 2009 to 2011 by 44 per cent (from 9 to 13 cases, see table 17 below). Moreover, all additional and unforeseen activities in 2009 and 2010 (Abu Garda, Kenya) are covered. No application to access the Contingency Fund has been made by the Office, either for the unforeseen initial appearance and confirmation of charges hearing in the case of *The Prosecutor v. Abu Garda* (Darfur III) in 2009 or for the unforeseen investigation of cases in the situation in Kenya in 2010.

128. Increases over 2009 expenditure in the area of established posts, which is the largest portion of the OTP budget, are in part attributable to the salary scale adjustments in the previous year, as well as to the conversion of five GTA posts to permanent situation-related posts (which is offset by a corresponding decrease in GTA funds for 2011). Moreover, the average vacancy rate projected for 2011 in the OTP will be lower than the actual rate for 2009, resulting in a significantly higher rate of implementation than in 2009.

129. Travel needs have been carefully reassessed and the duration of missions has been reduced in light of experience to date. This has led not only to a decrease of €106,300 (compared to the approved 2010 budget) in the OTP travel budget despite the absorption of the price increases for travel in general, but has also given the Office the possibility of increasing the overall number of missions by 25 per cent, thus allowing for coverage of all new investigations and cases in 2011, as well as for additional needs in respect of cooperation-related travel.

130. In accordance with article 42(9) of the Rome Statute, the Prosecutor has appointed legal advisers on gender crimes and other specific issues, who do not generate any additional cost because they provide their services *pro bono* to the Prosecutor.

131. The Office continues to base its activities and strategy on three pillars. The first key component of the OTP cost-efficiency approach is a careful policy of focused investigations and prosecutions, in accordance with the Statute. The Office is protecting the rights of some 1.8 million victims displaced in northern Uganda, 3 million victims displaced in Darfur, more than 2 million victims displaced in the DRC, 350,000 victims displaced or facing displacement in Kenya, and several thousand victims affected by pillaging in the Central African Republic, while prosecuting only those most responsible for the crimes under investigation. Focusing prosecutions in this way (on those who bear the greatest responsibility) results in a wider impact, with the preventive effect extending at least to the population of the 111 States Parties—some 1.76 billion people—and beyond. Any evaluation of cost needs to take these aspects into consideration.

132. The second key factor is increased cooperation through a network of cooperation with States, intergovernmental and non-governmental entities while respecting the principle of complementarity. During 2009 and 2010 the Office has worked closely with several countries in different regions, including sharing information to assist in the investigation of crimes committed in the Kivus.

133. The third key element is the flexible use of resources through expanding or reducing joint teams in accordance with needs. This rotation and efficient use of resources has been facilitated by the increased standardization of the Office through its Operational Manual, which came into official use at the beginning of 2010. Staff are able to move on a needs basis from one team to another without encountering any problems of adaptation.

134. The structure of the Office remains stable. A number of steps have been taken to increase efficiency further without expanding resources. Firstly, the position of Deputy Prosecutor for Investigations, which has remained vacant for more than three years, has been removed. Operational experience since 2006 confirms that the way the Office currently manages its investigations and trials, and the current structure of the Investigation Division, are adequate. As a consequence, the Investigation Division is now permanently headed by the Director.

135. Secondly, five translator posts have been converted from GTA to situation-related posts. When the Office began its operations, it was not then clear how long these resources would be needed. However, experience has shown that most of the functions performed by the GTA translators will in fact continue to be required over a significant time frame. Converting these five posts, which have been filled for over three years, and will certainly be needed for at least another two years, will lead to greater stability in this critical area and avoid efficiency losses through increased turnover and loss of institutional memory. As a result of this conversion, the 2011 GTA budget is reduced by €537,500. The corresponding increase in the budget for situation-related posts represents a net saving of €43,000, because established posts (unlike GTA positions) carry a vacancy rate of 8 per cent.

136. Two positions are proposed for reclassification in the 2011 budget: the Prosecution Coordinator (Programme 2400) from P-5 to D-1, reflecting the increased management and oversight functions that allow the remaining Deputy Prosecutor to perform more in-court duties, as well as other representative functions; and the Forensic Coordinator in the Investigation Division (Programme 2300), from P-4 to P-5.

Table 17: Changes in OTP budget and staff allocation per situation

<i>Budget in euros (# staff)* and (# cases)</i>	<i>Operational Support</i>	<i>Uganda</i>	<i>DRC</i>	<i>Darfur</i>	<i>CAR</i>	<i>Kenya</i>
2006 approved	3,428,100 (29) (total 3 cases)	3,549,700 (27) (1 case)	4,770,900 (28) (1 case)	4,055,500 (15) (1 case)	N/A	N/A
2007 approved	3,788,400 (41) (total 5 cases)	1,109,500 (4) (1 case)	5,851,400 (51) (2 cases)	4,532,500 (35) (1 case)	2,553,800 (21) (1 case)	N/A
2008 approved	4,709,100 (51) (total 8 cases)	1,033,700 (4) (1 case)	5,835,200 (48) (3 cases)	4,417,800 (31) (3 cases)	3,393,400 (24) (1 case)	N/A
2009 approved	5,012,700 (59) (9 cases)	898,900 (3) (1 case)	6,124,300 (53) (4 cases)	4,590,500 (32) (3 cases)	4,206,300 (24) (1 case)	N/A
2010 approved	5,539,200 (61) (11 cases)	903,800 (3) (1 case)	6,655,400 (54) (5-6 cases)	4,121,400 (24) (3 cases)	4,794,700 (1-2 cases)	N/A
2011 proposed	6,349,900 (69) (13 cases)	345,800 (1) (1 case)	5,414,800 (43) (5 cases)	2,201,200 (16) (4 cases)	2,138,300 (10) (1 case)	5,194,600 (36) (2 cases)

* The staffing component indicated in this table reflects established and situation-related posts only and not GTA.

137. Applying the rotational model, resources approved in the context of the supplementary budget request for 2009 (for the case against Jean-Pierre Bemba) remain as GTA resources in the budget for 2011 to cover work on the Bemba trial. This allows for the rotation to the Kenya situation of established post resources that will become available from the Lubanga case without the need to apply for additional resources.

Table 18: Major Programme II: Proposed budget for 2011

<i>Office of the Prosecutor</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			3,704.2	11,806.4	15,510.6	3,727.4	12,300.9	16,028.3	517.7	3.3
General Service staff				1,000.4	2,947.2	3,947.6	1,000.4	2,947.2	3,947.6		
<i>Subtotal staff</i>	<i>4,246.3</i>	<i>13,137.4</i>	<i>17,383.7</i>	<i>4,704.6</i>	<i>14,753.6</i>	<i>19,458.2</i>	<i>4,727.8</i>	<i>15,248.1</i>	<i>19,975.9</i>	<i>517.7</i>	<i>2.7</i>
General temporary assistance	259.6	3,614.3	3,873.9	37.0	4,185.7	4,222.7	37.0	3,648.2	3,685.2	-537.5	-12.7
Temporary assistance for meetings		6.7	6.7								
Overtime	0.5		0.5	15.0		15.0	15.0		15.0		
Consultants		65.0	65.0		105.2	105.2		106.0	106.0	0.8	0.8
<i>Subtotal other staff</i>	<i>260.1</i>	<i>3,686.0</i>	<i>3,946.1</i>	<i>52.0</i>	<i>4,290.9</i>	<i>4,342.9</i>	<i>52.0</i>	<i>3,754.2</i>	<i>3,806.2</i>	<i>-536.7</i>	<i>-12.4</i>
Travel	171.4	1,777.4	1,948.8	182.2	2,072.5	2,254.7	241.8	1,906.6	2,148.4	-106.3	-4.7
Hospitality	7.1		7.1	10.0		10.0	10.0		10.0		
Contractual services	16.1	85.9	102.0	25.0	252.5	277.5	25.0	252.5	277.5		
Training	13.5	141.4	154.9	23.6	55.8	79.4	23.9	55.5	79.4		
General operating expenses		263.9	263.9		274.6	274.6		349.6	349.6	75.0	27.3
Supplies and materials	13.8	20.8	34.6	53.0	48.0	101.0	53.0	48.0	101.0		
Equipment including furniture		68.1	68.1		30.0	30.0		30.0	30.0		
<i>Subtotal non-staff</i>	<i>221.9</i>	<i>2,357.5</i>	<i>2,579.4</i>	<i>293.8</i>	<i>2,733.4</i>	<i>3,027.2</i>	<i>353.7</i>	<i>2,642.2</i>	<i>2,995.9</i>	<i>-31.3</i>	<i>-1.0</i>
Total	4,728.3	19,180.9	23,909.2	5,050.4	21,777.9	26,828.3	5,133.5	21,644.5	26,778.0	-50.3	-0.2
Distributed maintenance	186.3	498.6	684.9	113.4	764.6	878.0	128.2	710.8	839.0	-39.0	-4.4

Table 19: Major Programme II: Proposed staffing for 2011

<i>Office of the Prosecutor</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic	1	2		2	5	9	4	7	2	32	1	15	16	48
	Situation-related					6	21	40	40	15	122		48	48	170
	<i>Subtotal</i>	<i>1</i>	<i>2</i>		<i>2</i>	<i>11</i>	<i>30</i>	<i>44</i>	<i>47</i>	<i>17</i>	<i>154</i>	<i>1</i>	<i>63</i>	<i>64</i>	<i>218</i>
New/ Converted	Basic														
	Situation-related							5			5				5
	<i>Subtotal</i>							5			5				5
Redeployed/ Reclassified	Basic		-1		1		-1				-1				-1
	Situation-related														
	<i>Subtotal</i>		<i>-1</i>		<i>1</i>		<i>-1</i>				<i>-1</i>				<i>-1</i>
Total		1	1		3	11	29	49	47	17	158	1	63	64	222

1. Programme 2100: The Prosecutor

Introduction

138. The Programme of the Prosecutor comprises the Immediate Office, the Legal Advisory Section (LAS) and the Services Section, which all assist the Prosecutor with the coordination of, and provision of services to, the operational divisions and joint teams. It is here that the policies of the Office are evaluated and consolidated. The Executive Committee (regulation 4.2 of the Regulations of the Office of the Prosecutor) advises the Prosecutor on strategic aspects of all operations and activities of the Office, and the Immediate Office acts as its secretariat.

139. Through the Executive Committee, the Prosecutor directs the major activities for achieving the objectives of the Prosecutorial Strategy with a minimum of resources and maximum accountability.

140. In this regard, the Immediate Office of the Prosecutor coordinates internal and inter-organ activities, ensuring a well-qualified and motivated staff, effective information sharing and the development of a common Court culture.

141. The Legal Advisory Section provides responses to requests for legal advice from the Prosecutor and all operational divisions.

142. The Services Section provides high quality and timely OTP-specific administrative, linguistic and technical services, by adopting a flexible approach to meeting clients' needs with minimum resources and by interfacing with the Registry to coordinate seamless common services.

Objectives

1. Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity. (SO 3)
2. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights and minimize risks. (SO 8)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Delivery of the annual training plan supporting the full implementation of the Operations Manual	- Proportion of annual training plan implemented	≥ 95%
	- Percentage of the target groups reached by training	100% compulsory training elements; 60% optional training elements
Objective 2		
- All OTP-specific language, administrative, budgetary and technical development and improvement objectives for 2011 implemented.	- Actual proportion of improvements/developments implemented.	> 90%

Table 20: Programme 2100: Proposed budget for 2011

<i>The Prosecutor</i>	<i>Expenditure 2009</i> (thousands of euros)			<i>Approved budget 2010</i> (thousands of euros)			<i>Proposed budget 2011</i> (thousands of euros)			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			2,063.4	425.7	2,489.1	2,063.4	920.2	2,983.6	494.5	19.9
General Service staff				632.0	675.4	1,307.4	632.0	675.4	1,307.4		
<i>Subtotal staff</i>	<i>2,427.3</i>	<i>909.9</i>	<i>3,337.2</i>	<i>2,695.4</i>	<i>1,101.1</i>	<i>3,796.5</i>	<i>2,695.4</i>	<i>1,595.6</i>	<i>4,291.0</i>	<i>494.5</i>	<i>13.0</i>
General temporary assistance	149.4	1,163.1	1,312.5	37.0	1,533.8	1,570.8	37.0	996.1	1,033.4	-537.5	-34.2
Temporary assistance for meetings		6.7	6.7								
Overtime	0.5		0.5	15.0		15.0	15.0		15.0		
Consultants		65.0	65.0		105.2	105.2		106.0	106.0	0.8	0.8
<i>Subtotal other staff</i>	<i>149.9</i>	<i>1,234.8</i>	<i>1,384.7</i>	<i>52.0</i>	<i>1,639.0</i>	<i>1,691.0</i>	<i>52.0</i>	<i>1,102.4</i>	<i>1,154.4</i>	<i>-536.7</i>	<i>-31.7</i>
Travel	97.9	302.4	400.3	96.9	402.0	498.9	76.6	467.1	543.7	44.8	9.0
Hospitality	7.1		7.1	10.0		10.0	10.0		10.0		
Contractual services	16.1	54.0	70.1	25.0	192.5	217.5	25.0	192.5	217.5		
Training	13.5	100.1	113.6	23.6	55.8	79.4	23.9	55.5	79.4		
General operating expenses		3.6	3.6		10.0	10.0		10.0	10.0		
Supplies and materials	13.8	17.6	31.4	53.0	28.0	81.0	53.0	28.0	81.0		
Equipment including furniture		66.3	66.3		30.0	30.0		30.0	30.0		
<i>Subtotal non-staff</i>	<i>148.4</i>	<i>544.0</i>	<i>692.4</i>	<i>208.5</i>	<i>718.3</i>	<i>926.8</i>	<i>188.5</i>	<i>783.1</i>	<i>971.6</i>	<i>44.8</i>	<i>4.8</i>
Total	2,725.6	2,688.7	5,414.3	2,955.9	3,458.4	6,414.3	2,935.9	3,481.0	6,416.9	2.5	0.0
Distributed maintenance	116.4	46.9	163.3	73.1	70.7	143.8	79.1	85.3	164.4	20.6	14.3

Table 21: Programme 2100: Proposed staffing for 2011

<i>The Prosecutor</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic	1				2	5	3	6	2	19	1	9	10	29
	Situation-related							1	1	3	5		11	11	16
	<i>Subtotal</i>	<i>1</i>				<i>2</i>	<i>5</i>	<i>4</i>	<i>7</i>	<i>5</i>	<i>24</i>	<i>1</i>	<i>20</i>	<i>21</i>	<i>45</i>
New/ Converted	Basic														
	Situation-related							5			5				5
	<i>Subtotal</i>							<i>5</i>			<i>5</i>				<i>5</i>
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total		1				2	5	9	7	5	29	1	20	21	50

a) **Sub-programme 2110: Immediate Office of the Prosecutor / Legal Advisory Section**

Staff resources

143. There are no new posts requested for this sub-programme.

General temporary assistance

144. The request remains for five months of GTA at P-2 level to support ad hoc projects or peaks in workload foreseen in relation to the legal advisory capacity of the Office.

Overtime

145. Provision remains at €15,000 to meet legal requirements to compensate for overtime in the GS category.

Consultancy

146. In 2011 the Office will continue to engage situation-related expert advisers and expert witnesses in support of investigations and trials. For this, the Office requests the amount of €106,000, which represents the equivalent of 8.5 months of consultancy services at P-5 level, although the actual level of the consultants will be determined on the basis of the work required and the experience of the consultants. The budget remains centralized in the Immediate Office.

147. In accordance with article 42(9) of the Rome Statute, the Prosecutor has appointed external legal advisers on gender crimes and other issues. As they are contributing their services on a *pro bono* consultancy basis, their appointment entails no increase in the Office's request in regard to this budget line.

Non-staff resources

Travel

148. In order to raise support and concrete cooperation at the highest levels for the Office's investigations and the arrest of individuals sought by the Court, and to contribute to maximizing the impact of the Rome Statute, it is necessary for the Prosecutor to travel. The provision within the Immediate Office has always incorporated travel projections for the Prosecutor, legal advisory, HR and public information staff, as well as for key stakeholders invited to meet with the Prosecutor, specifically those unable to fund the costs of such travel.

149. In 2011 there is a combined reduction in the number of missions (51 compared to 57 in 2010) and a 30 per cent reduction in the number of mission days compared to 2010. This efficiency measure has enabled the absorption of all inflationary increases and a net decrease in the travel budget of €10,400 compared to 2010.

Contractual services

150. An amount of €25,000 is requested, as approved last year, to support the public information costs of independent and joint outreach missions in the countries of operation. Typical costs incurred relate to radio broadcasts, the rental of appropriate facilities for press conferences, and production and distribution costs for materials in the countries of operation.

Training

151. The training budget remains centralized in the Immediate Office. The amount of €79,400 is requested to support continued professional development for all staff within the Office. More specifically, operational training in the fields of investigation, analysis, advocacy, field safety and witness protection will be organized as relevant to the implementation of the Operational Manual.

Table 22: Sub-programme 2110: Proposed budget for 2011

<i>Immediate Office of the Prosecutor / Legal Advisory Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			997.2		997.2	997.2		997.2		
General Service staff				325.0		325.0	325.0		325.0		
<i>Subtotal staff</i>	<i>1,152.6</i>		<i>1,152.6</i>	<i>1,322.2</i>		<i>1,322.2</i>	<i>1,322.2</i>		<i>1,322.2</i>		
General temporary assistance	149.4	20.1	169.5	37.0		37.0	37.0		37.0		
Overtime	0.5		0.5	15.0		15.0	15.0		15.0		
Consultants		65.0	65.0		105.2	105.2		106.0	106.0	0.8	0.8
<i>Subtotal other staff</i>	<i>149.9</i>	<i>85.1</i>	<i>235.0</i>	<i>52.0</i>	<i>105.2</i>	<i>157.2</i>	<i>52.0</i>	<i>106.0</i>	<i>158.0</i>	<i>0.8</i>	<i>0.5</i>
Travel	84.5	56.3	140.8	83.0	82.3	165.3	58.0	96.9	154.9	-10.4	-6.3
Hospitality	7.1		7.1	10.0		10.0	10.0		10.0		
Contractual services	0.3		0.3		25.0	25.0		25.0	25.0		
Training	13.5	80.1	93.6	23.6	55.8	79.4	23.9	55.5	79.4		
<i>Subtotal non-staff</i>	<i>105.4</i>	<i>136.4</i>	<i>241.8</i>	<i>116.6</i>	<i>163.1</i>	<i>279.7</i>	<i>91.9</i>	<i>177.4</i>	<i>269.3</i>	<i>-10.4</i>	<i>-3.7</i>
Total	1,407.9	221.5	1,629.4	1,490.8	268.3	1,759.1	1,466.1	283.3	1,749.5	-9.8	-0.6
Distributed maintenance	58.2		58.2	35.3		35.3	38.2		38.2	2.9	8.2

Table 23: Sub-programme 2110: Proposed staffing for 2011

<i>Immediate Office of the Prosecutor / Legal Advisory Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic	1				1	1	1	4	1	9	1	4	5	14
	Situation-related														
	<i>Subtotal</i>	<i>1</i>				<i>1</i>	<i>1</i>	<i>1</i>	<i>4</i>	<i>1</i>	<i>9</i>	<i>1</i>	<i>4</i>	<i>5</i>	<i>14</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total		1				1	1	1	4	1	9	1	4	5	14

b) Sub-programme 2120: Services Section

Staff resources

152. Five GTA conversions are requested for 2011. As outlined in the OTP introduction, five translator posts are converted from GTA to situation-related established posts. Following a thorough assessment of the GTA resourcing of translation in OTP, it was determined that a number of posts continue year on year to be required, with incumbents to those posts already on contract for more than three years. The continued need for these posts in relation to the current cases warrants parity with other situation-related positions in the Court. The fact that a vacancy rate of 8 per cent is applied to these situation-related posts results in a net reduction of staff costs for this sub-programme (the GTA costs for same positions is €43,000 greater than that for situation-related positions: €537,500 as opposed to €494,500).

153. Reversion to GTA would be necessary in the case that this conversion is not approved.

General temporary assistance

154. Irrespective of the above conversions, the Language Services Unit has a continuing need for GTA funds (less the 5 FTE converted above) as in previous years. This temporary assistance is required to handle the workload in translation and field interpretation supporting investigative missions.

155. The workforce requirements remain unchanged from previous years, namely 15 FTE provision for all translation, revision and interpretation services in support of the Office's mandate. Of this request, 8 FTE are provided by (situation-related) established posts (including the five conversions requested above) and the remaining 7 FTE through flexible GTA work-month provision.

156. The Language Services Unit remains committed to servicing the shortfall in resources, an estimated 2.65 FTE (2,041 pages, or 770 pages per FTE), through efficiency gains and outsourcing where confidentiality allows.

Table 24: Functional distribution of translators (GTA and existing posts) and resultant translation capacity based on anticipated 2011 workloads

Language	FTE DISTRIBUTION									Total FTE	CAPACITY vs. DEMAND (translation)		
	Translation		Other language services								Anticipated workload in 2011 (pages) based on 2010 figures	Capacity of 2011 resources (pages)	Surplus/ shortfall (pages)
	Translation	Translation & field interpretation management	Field interpretation testing, training & support	Mission interpretation	Telephone interpretation	Document scanning	Transcription support (QC)	Sight translation	Revision & proofreading (external translations)				
English – French	3.0		0.1	0.1					0.8	4	3,199	2,310	-889
French – English	1.9	0.8		0.5					0.8	4	1,928	1,463	-465
English – Arabic	0.2			0.4	0.3				0.1	1	1,159	154	-1,005
Arabic – English	0.3			0.2						0.5	70	231	161
Kinyarwanda/ Swahili/Lingala – French	1.4		0.2	0.8	0.3		0.2		0.1	3	1,005	1,078	73
Swahili – English	0.1	0.1	0.1	0.5		0.1		0.1		1	25	77	52
Sango				0.4	0.2	0.2		0.2		1	-	-	-
Acholi	0.4			0.1						0.5	276	308	32
Total FTE	7.3	0.9	0.4	3	0.8	0.3	0.2	0.3	1.8	15	7,662	5,621	-2,041

Travel

157. The travel budget allows for investigation-related missions solely for technical staff, field interpreters (local and international) and field interpretation recruitment missions. In light of the support nature of the missions, the Section has mirrored the cost-saving approach of more but shorter and more tightly focused missions used by the Investigation Teams. Thus missions increase by 45, but this is offset by a reduction of 102 mission days (13 per cent reduction compared to 2010). The resultant increase in travel costs compared to the 2010 budget is €55,200, which includes the absorption of flight cost and DSA increases.

158. The basic travel budget provides for routine European missions for the technical, language and administrative staff to participate in professional conferences, and in support of the budget presentations (three meetings).

Contractual services

159. Major costs for OTP-specific information management projects for 2011 relate to business-process optimization to gain efficiency, namely: SharePoint 2007 customization and updates of internal sites (€35,000); review tools for potentially relevant information collected electronically (€50,000); contractual services for system updates for situation-contact management software (€55,000).

160. Funds for the outsourcing of translations are required to support the Office's in-house translation capacity during peaks in activity relating to time-constrained, case-specific workloads and for communications received in neither of the working languages of the Court. The amount is unchanged at €40,000.

General operating expenses

161. This remains at €10,000 to meet in part the costs of the projects outlined above.

Supplies and materials / equipment

162. The unchanged amount of €35,000 is requested to maintain annual subscriptions to OTP-specific databases/journals and professional subscriptions, as well as the purchase of key reference books necessary to support the core activities of the Office.

163. €20,000 is required for the licences for system updates for situation-contact management software and for Request for Assistance management software. The recurring amount of €10,000 is required to maintain, replace and upgrade OTP-specific mission equipment (for audio-visual support to investigations).

Table 25: Sub-programme 2120: Proposed budget for 2011

<i>Services Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			1,066.2	425.7	1,491.9	1,066.2	920.2	1,986.4	494.5	33.1
General Service staff				307.0	675.4	982.4	307.0	675.4	982.4		
<i>Subtotal staff</i>	<i>1,274.7</i>	<i>909.9</i>	<i>2,184.6</i>	<i>1,373.2</i>	<i>1,101.1</i>	<i>2,474.3</i>	<i>1,373.2</i>	<i>1,595.6</i>	<i>2,968.8</i>	<i>494.5</i>	<i>20.0</i>
General temporary assistance		1,143.0	1,143.0		1,533.8	1,533.8		996.4	996.4	-537.5	-35.0
Temporary assistance for meetings		6.7	6.7								
<i>Subtotal other staff</i>		<i>1,149.7</i>	<i>1,149.7</i>		<i>1,533.8</i>	<i>1,533.8</i>		<i>996.4</i>	<i>996.4</i>	<i>-537.5</i>	<i>-35.0</i>
Travel	13.4	246.1	259.5	13.9	319.7	333.6	18.6	370.2	388.8	55.2	16.5
Contractual services	15.8	54.0	69.8	25.0	167.5	192.5	25.0	167.5	192.5		
Training		20.0	20.0								
General operating expenses		3.6	3.6		10.0	10.0		10.0	10.0		
Supplies and materials	13.8	17.6	31.4	53.0	28.0	81.0	53.0	28.0	81.0		
Equipment including furniture		66.3	66.3		30.0	30.0		30.0	30.0		
<i>Subtotal non-staff</i>	<i>43.0</i>	<i>407.6</i>	<i>450.6</i>	<i>91.9</i>	<i>555.2</i>	<i>647.1</i>	<i>96.6</i>	<i>605.7</i>	<i>702.3</i>	<i>55.2</i>	<i>8.5</i>
Total	1,317.7	2,467.2	3,784.9	1,465.1	3,190.1	4,655.2	1,469.8	3,197.7	4,667.5	12.3	0.3
Distributed maintenance	58.2	46.9	105.1	37.8	70.7	108.5	40.9	85.3	126.2	17.7	16.3

Table 26: Sub-programme 2120: Proposed staffing for 2011

<i>Services Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	4	2	2	1	10		5	5	15
	Situation-related							1	1	3	5		11	11	16
	<i>Subtotal</i>					<i>1</i>	<i>4</i>	<i>3</i>	<i>3</i>	<i>4</i>	<i>15</i>		<i>16</i>	<i>16</i>	<i>31</i>
New/ Converted	Basic														
	Situation-related							5			5				5
	<i>Subtotal</i>							<i>5</i>			<i>5</i>				<i>5</i>
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	4	8	3	4	20		16	16	36

2. Programme 2200: Jurisdiction, Complementarity and Cooperation Division

Introduction

164. The Division contributes primarily to building the strong and extensive network of support and cooperation with States, international organizations and other stakeholders which is necessary in order for the Office to carry out its judicial mandate effectively, and leads within the Office on matters of jurisdiction, admissibility, interests of victims and cooperation. It channels and coordinates all requests for assistance, negotiates cooperation agreements as appropriate, develops information-sharing networks and galvanizes support for arrests. It coordinates all matters of external relations and conducts the preliminary examination of information on crimes within the jurisdiction of the Court.

165. Within the Division, in the context of enhancing fair, effective and expeditious proceedings, the Situation Analysis Section provides advice on complex matters of fact and law regarding complementarity, jurisdiction, cooperation and assessment of interests of justice, especially in terms of interests of victims.

Objectives

1. Conduct four to five new investigations into cases, within existing or new situations, and at least four trials, subject to external cooperation received. (SO 1)
2. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences. (SO 5)
3. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 6)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Provide the Executive Committee with periodic analytical reports on communications received, and issues of jurisdiction, admissibility and/or interests of justice in situations under preliminary examination or investigation.	- Proportion of reports delivered on time and substantiated by the Executive Committee.	100%
- Efficient and timely compliance with internal processes for requests for assistance.	- Proportion of requests processed in accordance with material, formal and timely requirements: rate of compliance.	> 95%
- Further develop range of providers of information and other types of support including in particular cooperation for investigative/trial purposes and conclusion of agreements/arrangements where required.	- Proportion of expected cooperation and support and cooperation arrangements concluded as required.	100%
Objective 2		
- Adequate progress made in the implementation of cooperation and arrest strategies developed for each situation.	- Actual implementation vs. planned implementation.	100%
Objective 3		
- Implementation of annual objectives contained within cooperation and external relations strategies directly involving OTP.	- Actual implementation rate of annual objectives.	100%

Staff resources

General temporary assistance

166. The Division will maintain the GTA resources allocated to it in 2010, namely 10 months of a P-2 Cooperation Adviser/Analyst, for which a continued need exists.

Non-staff resources

Travel

167. It has been determined in 2010 that more frequent but shorter missions are required in respect of preliminary examination and cooperation objectives. The travel provision for 2011 is accordingly based on fewer mission days (reduction of 151 days or 25 per cent) but allowing 16 additional missions, without any significant increase in the budget over 2010. The small increase of €16,700, which includes price increases for flights to areas of operation, is offset by the reduction in travel budgets in other sub-programmes within OTP.

168. Situation-related missions are requested for the purpose of securing cooperation for all situations and conducting ongoing analysis of the situations under investigation. The number of missions is reduced by 12 per cent to 99 missions for situation-specific analysts and cooperation advisers travelling in advance or in support of investigative missions.

169. The basic budget comprises missions for the purpose of preliminary examinations by the Situation Analysis Section for up to eight situations. Additionally, it includes travel for the Director of Division to attend high-level meetings to secure general cooperation from States and international organizations with, or on behalf of, the Prosecutor and also travel for cooperation advisers. The basic budget now provides for an average of 4.5 missions per month compared to 2.5 in 2010, without any significant increase in cost.

Table 27: Programme 2200: Proposed budget for 2011

<i>Jurisdiction, Complementarity and Cooperation Division</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			729.2	902.4	1,631.6	729.2	902.4	1,631.6		
General Service staff				122.8		122.8	122.8		122.8		
<i>Subtotal staff</i>	788.4	783.0	1,571.4	852.0	902.4	1,754.4	852.0	902.4	1,754.4		
General temporary assistance		143.0	143.0		70.3	70.3		70.3	70.3		
<i>Subtotal other staff</i>		143.0	143.0		70.3	70.3		70.3	70.3		
Travel	39.6	348.3	387.9	50.7	338.8	389.5	134.6	271.6	406.2	16.7	4.3
<i>Subtotal non-staff</i>	39.6	348.3	387.9	50.7	338.8	389.5	134.6	271.6	406.2	16.7	4.3
Total	828.0	1,274.3	2,102.3	902.7	1,311.5	2,214.2	986.6	1,244.3	2,230.9	16.7	0.8
Distributed maintenance	31.0	26.4	57.4	20.2	39.8	59.9	21.8	36.6	58.4	-1.6	-2.6

Table 28: Programme 2200: Proposed staffing for 2011

<i>Jurisdiction, Complementarity and Cooperation Division</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1	1	2	1	1		6		2	2	8
	Situation-related						3	3	3		9				9
	<i>Subtotal</i>				1	1	5	4	4		15		2	2	17
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1	1	5	4	4		15		2	2	17

3. Programme 2300: Investigation Division

Introduction

170. The Division contributes to the quality of justice by supporting the OTP in carrying out impartial and expeditious investigations in accordance with the Rome Statute. It is responsible for the preparation of the necessary security plans and protection policies for each case to ensure the safety and well-being of victims, witnesses, Office staff, and persons at risk on account of their interaction with the Court, in adherence with good practices and, when necessary, in cooperation and coordination with the Registry on matters relating to protection and support. It provides investigative expertise and support, and assists in the preparation and coordination of field deployment of Office staff.

171. The Division also provides factual crime analysis of information and evidence, in support of preliminary examinations and evaluations, investigations and prosecutions.

Objectives

1. Conduct up to five active investigations into cases, within existing or new situations, and maintain seven residual investigations (including providing support to three trials, subject to external cooperation received). (SO 1)
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute. (SO 2)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Collection and analysis objectives set out in the joint team investigation plan reached for the five investigations and preliminary examinations.	- Planned collection vs. actual collection.	0% deviation assuming no unforeseen events
	- Half-yearly survey of satisfaction with analytical products (joint team, trial team and Executive Committee).	> 90% satisfaction
- Implement the strategy on victims in relation to investigations.	- Level of implementation of strategy according to plan.	0% deviation assuming no unforeseen events
Objective 2		
- No security incident with witness or staff resulting from inadequate exposure or lack of OTP action.	- Number of security incidents caused by inadequate exposure or lack of OTP action.	zero

Table 29: Programme 2300: Proposed budget for 2011

<i>Investigation Division</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			285.9	7,515.4	7,801.3	285.9	7,515.4	7,801.3		
General Service staff				122.8	1,842.0	1,964.8	122.8	1,842.0	1,964.8		
<i>Subtotal staff</i>	<i>427.7</i>	<i>8,285.8</i>	<i>8,713.5</i>	<i>408.7</i>	<i>9,357.4</i>	<i>9,766.1</i>	<i>408.7</i>	<i>9,357.4</i>	<i>9,766.1</i>		
General temporary assistance		1,321.7	1,321.7		1,822.7	1,822.7		1,822.7	1,822.7		
<i>Subtotal other staff</i>		<i>1,321.7</i>	<i>1,321.7</i>		<i>1,822.7</i>	<i>1,822.7</i>		<i>1,822.7</i>	<i>1,822.7</i>		
Travel	0.9	953.1	954.0	1.3	1,151.0	1,152.3		991.6	991.6	-160.7	-13.9
Contractual services		31.9	31.9		60.0	60.0		60.0	60.0		
Training		41.3	41.3								
General operating expenses		260.3	260.3		264.6	264.6		339.6	339.6	75.0	28.3
Supplies and materials		3.2	3.2		20.0	20.0		20.0	20.0		
Equipment including furniture		1.8	1.8								
<i>Subtotal non-staff</i>	<i>0.9</i>	<i>1,291.6</i>	<i>1,292.5</i>	<i>1.3</i>	<i>1,495.6</i>	<i>1,496.9</i>		<i>1,411.2</i>	<i>1,411.2</i>	<i>-85.7</i>	<i>-5.7</i>
Total	428.6	10,899.1	11,327.7	410.0	12,675.7	13,085.7	408.7	12,591.3	13,000.0	-85.8	-0.7
Distributed maintenance	19.4	319.7	339.1	5.0	495.0	500.0	10.9	442.7	453.6	-46.4	-9.3

Table 30: Programme 2300: Proposed staffing for 2011

<i>Investigation Division</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic		1		1	-1	2				3		2	2	5
	Situation-related					3	10	30	30	6	79		30	30	109
	<i>Subtotal</i>		<i>1</i>		<i>1</i>	<i>2</i>	<i>12</i>	<i>30</i>	<i>30</i>	<i>6</i>	<i>82</i>		<i>32</i>	<i>32</i>	<i>114</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic		-1			1	-1				-1				-1
	Situation-related														
	<i>Subtotal</i>		<i>-1</i>			<i>1</i>	<i>-1</i>				<i>-1</i>				<i>-1</i>
Total					1	3	11	30	30	6	81		32	32	113

a) **Sub-programme 2310: Investigation Teams**

Staff resources

172. There are no requests for new posts within this sub-programme. All resource needs for the new situations are met through the rotation of investigation staff from other investigative cases moving to trial phase; for example, the CAR investigation team members have been redistributed between the Kenya and new DRC investigations.

173. There is an increase in staff costs for this sub-programme, offset by a decrease in sub-programme 2320, arising from the redeployment of four posts within the Division. The results are budget-neutral for Programme 2300.

174. In the case of the three posts of Financial Investigators, the transfers from sub-programme 2320 to 2310 are more of a technical nature, reflecting new reporting lines for the Financial Investigation Unit, namely to the Investigations Coordinator.

175. A reciprocal transfer of one post from the Investigation Teams to the Investigation Planning and Operations Section (2320) is to provide more support to the Scientific Response Unit in the capacity of a Forensic Officer.

176. There is also one reclassification pending for the position of Forensic Coordinator from P-4 to P-5.

177. The position of the Forensic Coordinator was actually reclassified to the P-5 level in 2006. The classification was based on a work survey including all possible functions that the incumbent would be expected to perform. However, given that the forensic activities were low at that time, it was decided to defer granting the P-5 position until such a time as the full breadth of functions was required on an ongoing basis.

178. This stage has been reached. The full range of forensic functions is now performed, including *in situ* exhumations, forensic analysis of DNA and X-rays for age and identity determination, forensic examination of seized hardware, forensic aerial reconstruction and photography. In almost all cases, the evidence generated is being presented in the courtroom.

General temporary assistance

179. The sub-programme continues to be in need of GTA funds for the equivalent of five work-months of a P-3 Investigator and four work-months of a P-2 Associate Investigator to replace the investigation staff supporting the ongoing trials. Additionally, provision is made for the continuing War Crimes Unit exchanges.

Non-staff resources

Travel

180. Following the Office's efforts to make efficiency gains with respect to travel provision, the 2011 proposal represents a reduction in the travel budget of €216,400 compared to 2010. The total number of missions is increased, reflecting the new investigations. However, the duration of missions is reduced by almost 50 per cent, from 2,335 mission days to 1,210.

181. Provision has been made for all investigative and evidence collection duties for the active investigations and trials, as well as for witness management in relation to the residual cases (where arrest warrants are pending), thus safeguarding the investments made and the integrity of the case in the event of subsequent arrest and surrender.

General operating expenses

182. This budget line relates solely to costs necessarily incurred by witnesses attending interviews, and costs relating to the Office's duty of care towards witnesses assisting the cases. In view of the increase in active investigations and the remaining residual cases, the amount is increased by €75,000 to €339,400 for 2011, in line with witness and field operations forecasts for 2010. This increase is offset entirely by the decreases in the travel budget of this sub-programme.

Supplies and materials

183. For replacement and changes in regard to field kit, and the acquisition of communication equipment relating to the management of witnesses in the five situations, the amount of €20,000 is requested as in 2010.

Table 31: Sub-programme 2310: Proposed budget for 2011

<i>Investigation Teams</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available				4,234.7	4,234.7		4,436.6	4,436.6	201.9	4.8
General Service staff					368.4	368.4		368.4	368.4		
<i>Subtotal staff</i>		4,022.7	4,022.7		4,603.1	4,603.1		4,805.0	4,805.0	201.9	4.4
General temporary assistance		69.6	69.6		170.6	170.6		170.6	170.6		
<i>Subtotal other staff</i>		69.6	69.6		170.6	170.6		170.6	170.6		
Travel		651.2	651.2		861.6	861.6		645.2	645.2	-216.4	-25.1
General operating expenses		260.3	260.3		264.6	264.6		339.6	339.6	75.0	28.3
Supplies and materials		3.2	3.2		20.0	20.0		20.0	20.0		
Equipment including furniture		1.8	1.8								
<i>Subtotal non-staff</i>		916.5	916.5		1,146.2	1,146.2		1,004.8	1,004.8	-141.4	-12.3
Total		5,008.8	5,008.8		5,919.9	5,919.9		5,980.4	5,980.4	60.4	1.0
Distributed maintenance	3.9	137.8	141.7	2.5	221.0	223.5		211.2	211.2	-12.3	-5.5

Table 32: Sub-programme 2310: Proposed staffing for 2011

<i>Investigation Teams</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic		1								1				1
	Situation-related					1	7	18	12	6	44		6	6	50
	<i>Subtotal</i>		1			1	7	18	12	6	45		6	6	51
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic		-1								-1				-1
	Situation-related						1		1		2				2
	<i>Subtotal</i>		-1				1		1		1				1
Total						1	8	18	13	6	46		6	6	52

b) Sub-programme 2320: Planning and Operations Section**Staff resources**

184. No new posts are requested for 2011, the staffing level remaining as approved in 2010.

185. As mentioned in paragraphs 173 to 175 above, there is a small reduction in the staff costs for this sub-programme, reflecting the redeployment of three positions from sub-programme 2320 to 2310 and a reciprocal transfer of one post to 2320. Thus there is a net reduction of two posts in this sub-programme.

General temporary assistance

186. General temporary assistance requirements remain as requested in the previous year, namely 21 FTE for working and non-working language transcription, as well as metadata processing and document review for the situations under investigation. In addition, provision continues to be made for two work-months' equivalent of P-2 Psycho-social Experts for pre-interview assessment of children and traumatized witnesses in the field.

187. Five work-months of P-2 Associate Analyst function are also requested as last year to replenish capacity in the investigative teams arising from the assignment of analysts in support of the trials.

Non-staff resources*Travel*

188. There is a small increase of €55,700 in the travel provision for the Investigative Planning and Operations Section, arising from the need for a greater number of missions for the following purposes, and a limited capacity to reduce their duration:

(a) Missions for advanced analysis and ongoing situation analysis in support of investigative work;

(b) Missions for the Associate Victims Expert and/or Psychological Expert from the roster to perform pre-interview assessments and/or assist with the interviewing of highly traumatized victims/witnesses by the investigators;

(c) Missions of Operational Support Unit for the purpose of developing risk assessments, ensuring the compliance and operational effectiveness of the system in place, ensuring the security of field personnel and dealing with emergency situations;

(d) Missions of field personnel supporting the investigations and conducting witness management tasks for all active and residual cases;

(e) Forensic investigation missions in support of the situations in investigation or trial phases.

189. The increase in travel in this sub-programme is offset by the decrease in the travel budget of sub-programme 2310, with a resultant decrease in the Investigation Division's travel budget, after absorption of inflation, of €160,800.

Contractual services

190. The amount of €60,000 is retained to support continued outsourcing of working and non-working language transcription during peak workloads in support of trial preparations, evidence collection and analysis.

Table 33: Sub-programme 2320: Proposed budget for 2011

<i>Planning and Operations Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			285.9	3,280.7	3,566.6	285.9	3,078.8	3,364.7	-201.9	-5.7
General Service staff				122.8	1,473.6	1,596.4	122.8	1,473.6	1,596.4		
<i>Subtotal staff</i>	<i>427.7</i>	<i>4,263.1</i>	<i>4,690.8</i>	<i>408.7</i>	<i>4,754.3</i>	<i>5,163.0</i>	<i>408.7</i>	<i>4,552.4</i>	<i>4,961.1</i>	<i>-201.9</i>	<i>-3.9</i>
General temporary assistance		1,252.1	1,252.1		1,652.1	1,652.1		1,652.1	1,652.1		
<i>Subtotal other staff</i>		<i>1,252.1</i>	<i>1,252.1</i>		<i>1,652.1</i>	<i>1,652.1</i>		<i>1,652.1</i>	<i>1,652.1</i>		
Travel	0.9	301.9	302.8	1.3	289.4	290.7		346.4	346.4	55.7	19.1
Contractual services		31.9	31.9		60.0	60.0		60.0	60.0		
Training		41.3	41.3								
<i>Subtotal non-staff</i>	<i>0.9</i>	<i>375.1</i>	<i>376.0</i>	<i>1.3</i>	<i>349.4</i>	<i>350.7</i>		<i>406.4</i>	<i>406.4</i>	<i>55.7</i>	<i>15.9</i>
Total	428.6	5,890.3	6,318.9	410.0	6,755.8	7,165.8	408.7	6,610.9	7,019.6	-146.3	-2.0
Distributed maintenance	15.5	181.8	197.4	2.5	274.0	276.5	10.9	231.5	242.4	-34.1	-12.3

Table 34: Sub-programme 2320: Proposed staffing for 2011

<i>Planning and Operations Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1	-1	2				2		2	2	4
	Situation-related					2	3	12	18		35		24	24	59
	<i>Subtotal</i>				<i>1</i>	<i>1</i>	<i>5</i>	<i>12</i>	<i>18</i>		<i>37</i>		<i>26</i>	<i>26</i>	<i>63</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic					1	-1								
	Situation-related						-1		-1		-2				-2
	<i>Subtotal</i>					<i>1</i>	<i>-2</i>		<i>-1</i>		<i>-2</i>				<i>-2</i>
Total					1	2	3	12	17		35		26	26	61

4. Programme 2400: Prosecution Division

Introduction

191. The Prosecution Division is central to the core business of the Court, namely the conduct of fair, effective and expeditious public proceedings in accordance with the Rome Statute. It is responsible for litigating cases before the Chambers of all three judicial divisions, preparing all written submissions to the Chambers and participating in investigative and case-preparatory activities in the Joint Teams.

Objectives

1. Conduct four to five investigations into cases, within existing or new situations, and at least four trials, subject to external cooperation received. (SO 1)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- High-quality and compact applications delivered within the stipulated time frames.	- Rate of acceptance of submissions and granting of requests by the Chambers.	≥ 80%
- Efficient presentation of evidence before the Pre-Trial and Trial Chambers.	- Time in which prosecution case is presented (actual court days) for each case.	≤ 6 months
	- Number of witnesses per case maintained at lowest levels to minimize exposure.	Minimum possible - at least comparable to current trial average (30-35)

Staff resources

Redeployment

192. There are no new posts requested for the Prosecution Division in 2011.

193. As outlined in the OTP introduction, one reclassification from P-5 to D-1 is pending approval for the Prosecution Coordinator post, which was redeployed from the Immediate Office of the Prosecutor in the 2010 budget. The increased functions result from the permanent reorganization of the representative functions and in-court duties for the remaining Deputy Prosecutor.

194. The key functions attributed to this post and underpinning the reclassification are outlined below.

In liaison with the Deputy Prosecutor for Prosecutions:

(a) To supervise the Prosecution Team Leaders and other members of the Joint and Trial Teams by formulating and coordinating guidelines/positions to ensure consistency on legal issues and complete optimal development of legal strategy, and by reviewing their filings;

(b) To provide legal advice to the Prosecutor and Deputy Prosecutor on cases, legal issues, office policy and other strategic and legal matters;

(c) To prepare and/or review legal pleadings to ensure high quality and consistency and to best represent the strategic interests of the Office;

(d) To represent the Prosecution Division in court and in external contacts with international organizations, visiting judges, government representatives, NGOs, academics and other outside groups.

195. The acting Coordinator (GTA) has been instrumental in coordinating the Prosecution Teams, improving the quality of submission drafting and enhancing the consistency of filings. The Coordinator oversees the management of all Teams, ensuring the translation of the new Operations Manual into practice uniformly across the Division. The Coordinator is also the channel between the Trial Teams and the Executive Committee, again enhancing standardization and streamlining activities.

General temporary assistance

196. GTA resources continue to be requested at the same level as in 2010, in order to continue to support the case of *The Prosecutor v. Jean Pierre Bemba* and to supplement the pre-trial capacity of the Prosecution Team for the two cases in the Kenya situation (the majority of this team being comprised from resources that are to be released from the trial team in the case of *The Prosecutor v. Thomas Lubanga* later in 2010).

197. These resources continue to be requested as GTA and not established posts, since the rotation of trial resources (established posts) following the close of the proceedings in the case of *The Prosecutor v. Germain Katanga and Mathieu Ngudjolo Chui* (end of 2011) is not clear at this time. Hence the need for these GTA resources beyond 2011 cannot yet be determined.

198. In summary GTA resources are requested as follows:

Kenya Team

- (a) Trial Lawyer (P-4) for 10 months;
- (b) 2 Legal Officers (P-3) for 12 months each;
- (c) Associate Trial Lawyer (P-2) for 9 months.

CAR Team

- (a) 2 Trial Lawyers (P-3) for 10 months each;
- (b) Associate Trial Lawyer (P-2) for 9 months;
- (c) 2 Assistant Trial Lawyers (P-1) for 8 months each.

Non-staff resources

Travel

199. Travel is requested not only for Joint Team missions in support of the investigations, but also for the representative functions performed by the Deputy Prosecutor aimed at broadening understanding of, and cooperation for, the Office's activities.

200. As a result of travel efficiency measures outlined previously, the number of missions requested for the Prosecution Division is slightly reduced at 57, compared to 61 in 2010. The total number of mission days is reduced by a significant 23 per cent, resulting in the absorption of all inflationary increases and a small net reduction of €7,100.

Table 35: Programme 2400: Proposed budget for 2011

<i>Prosecution Division</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			625.7	2,962.9	3,588.6	648.9	2,962.9	3,611.8	23.2	0.6
General Service staff				122.8	429.8	552.6	122.8	429.8	552.6		
<i>Subtotal staff</i>	<i>602.9</i>	<i>3,158.7</i>	<i>3,761.6</i>	<i>748.5</i>	<i>3,392.7</i>	<i>4,141.2</i>	<i>771.7</i>	<i>3,392.7</i>	<i>4,164.4</i>	<i>23.2</i>	<i>0.5</i>
General temporary assistance	110.2	986.5	1,096.7		758.9	758.9		758.9	758.9		
<i>Subtotal other staff</i>	<i>110.2</i>	<i>986.5</i>	<i>1,096.7</i>		<i>758.9</i>	<i>758.9</i>		<i>758.9</i>	<i>758.9</i>		
Travel	33.0	173.6	206.6	33.3	180.7	214.0	30.6	176.3	206.9	-7.1	-3.3
<i>Subtotal non-staff</i>	<i>33.0</i>	<i>173.6</i>	<i>206.6</i>	<i>33.3</i>	<i>180.7</i>	<i>214.0</i>	<i>30.6</i>	<i>176.3</i>	<i>206.9</i>	<i>-7.1</i>	<i>-3.3</i>
Total	746.1	4,318.8	5,064.9	781.8	4,332.3	5,114.1	802.3	4,327.9	5,130.3	16.1	0.3
Distributed maintenance	19.4	105.6	125.0	15.1	159.1	174.2	16.4	146.2	162.6	-11.6	-6.7

Table 36: Programme 2400: Proposed staffing for 2011

<i>Prosecution Division</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic		1			3					4		2	2	6
	Situation-related					3	8	6	6	6	29		7	7	36
	<i>Subtotal</i>		<i>1</i>			<i>6</i>	<i>8</i>	<i>6</i>	<i>6</i>	<i>6</i>	<i>33</i>		<i>9</i>	<i>9</i>	<i>42</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic				1	-1									
	Situation-related														
	<i>Subtotal</i>				<i>1</i>	<i>-1</i>									
Total			1		1	5	8	6	6	6	33		9	9	42

C. Major Programme III: Registry

201. The Registry is responsible for the non-judicial aspects of the administration and servicing of the Court. In addition to its support functions, the Registry also has other responsibilities, such as detention, assistance to counsel for the defence and for victims, and support and protection of victims and witnesses. Further, activities central to the implementation of the Rome Statute, such as the assistance to victims participating in the proceedings and outreach to affected communities are also carried out by the Registry.

202. In order to fulfil this wide array of functions, the Registry has organized itself into two Divisions: the Common Administrative Services Division and the Division of Court Services, as well as a number of sections and offices reporting directly to the Registrar. These are the Legal Advisory Services Section, the Field Operations Section, the Registry Permanent Premises Office, the Security and Safety Section, the Counsel Support Section and the Public Information and Documentation Section. The Immediate Office of the Registrar has a small number of staff supporting the Registrar in her functions, including external relations and cooperation. For administrative purposes, the Offices of Public Counsel for the Defence and for Victims (both through the Counsel Support Section), the Office of Internal Audit, the Independent Oversight Mechanism, the Secretariat of the Assembly of States Parties and the Secretariat of the Trust Fund for Victims also fall under the Registry.

203. The Registry's budget is mainly driven by the level of support required by the different participants in the judicial proceedings, namely the judges, the Prosecutor, the defendants and the victims; as well as by the assumptions underlying the budget and the services and responsibilities mentioned above. As a central provider of services to all organs of the Court and the various structures attached to it as mentioned above, the Registry requires a comparatively high share of the total budget.

204. The Registry embraces the strategy of focusing on its clients' requirements and aims at providing maximum efficiency through a common platform of services available to the entire organization in both headquarters and the field. As a centralized service provider, it supports the different areas of the Court's operations and generates synergies by encouraging interaction and cooperation among its units. As a result, common objectives are identified and a centralized Registry approach is achieved, enabling a flexible pooling and redeployment of resources, which in turn leads to efficiency savings.

205. Throughout 2011 the Registry will continue to support and assist the expeditious conduct of trial proceedings, seeking in particular to facilitate the conduct of simultaneous trials. The current increase in judicial activity will have an impact on the workload of the Registry and a direct effect on the level of Court services required.

206. As a result of the opening of the situation in Kenya, more services are expected to be required to enable participation, protection and support for victims and witnesses. In order to enable it to exercise its statutory functions in relation to the new situation of Kenya, the Registry has undertaken an analysis of the operational needs of its sections, and the most efficient way of fulfilling these. As part of this exercise, the need to open a Registry field presence in that country is under consideration. Discussions with UNON are ongoing with a view to assessing the level of support that such a presence would require in order to operate efficiently. Should the Registrar deem it necessary to establish a presence, prior approval of the President will be sought in accordance with regulation 8 of the Regulations of the Registry.

207. In terms of resources to conduct such operations, priority is being given to covering the needs of the new situation through existing resources, including through redeployments, inter alia from the Kampala field office. For instance, the position of Outreach Coordinator will be redeployed to Kenya, while the Field Office Manager and the paramedic positions will be used to cover both situations. The post of Associate Logistics Officer in the General Services Section at headquarters, recently vacated through resignation, will be redeployed to the Victims Participation and Reparations Section for support to operations in the field. However, notwithstanding the use of existing resources to cover the Registry's work in the Kenya situation, thus keeping budgetary increases to a minimum, it cannot be excluded that future situations, or increased activity in existing situations, may result in the need for further funds.

208. The focus of field operations in 2011 will be placed on ensuring effective coordination of all Registry's field activities in the five countries of situation while striving to achieve further efficiencies at the field offices level. A number of redeployments will come from the closure of the field office in Abéché in 2011, with reallocation of a number of resources both to Kenya and to the forward base in N'Djamena, to support residual activities in relation to protection of witnesses and victims and victims' participation and outreach. A number of redeployments in the area of witness protection will also be carried out, so as to ensure support for the judicial proceedings in the case of *The Prosecutor v. Jean-Pierre Bemba Gombo*.

209. In line with the development of the judicial proceedings, the Registry will continue its analysis of the activities of each field office with a view to preparing strategies specifically tailored to each situation and, where appropriate, preparing the scale-down and/or exit strategy, including dealing with residual functions and legacy.

210. The Registry continues its centralized approach of 2010 enabling minimal budget growth. Redeployments and synergies will be undertaken to absorb as much as possible of the increases in funds required within the baseline of the approved 2010 budget. However, some increases will be required.

211. The overall increase for the Registry is €4.0 million, which represents an increase of 6.7 per cent. The increase is largely caused by two factors: the continuation of simultaneous trials for six months in 2011 will account for €2.1 million, reflecting the costs of GTA to support the appearance of witnesses at trials and the direct support of court services including translation, interpretation and security; and staff costs increases will amount to €0.7 million. In 2011 the Registry will also allocate €0.3 million to capital investment replacements for the benefit of all operations in the field. The cost for the use of the detention centre at Scheveningen will increase by €0.4 million in 2011. The Registry will seek to have 16 posts reclassified, three field posts will be redeployed and the cost of mission subsistence allowance will increase by €162,000 to cover temporary postings of security staff to the field.

Table 37: Breakdown of MP III proposed budget

<i>MP III proposed budget for 2011</i>	<i>Total</i>
2010 baseline (excluding Review Conference)	59,541
Staff costs – mainly reclassifications and conversions	725
GTA, assistance for meetings and overtime (except simultaneous trials)	279
6 months of GTA and assistance for meetings for simultaneous trials	2,134
GTA Kenya	270
Consultants	90
Travel	-35
Contractual services	-154
Training	-12
General operating expenses	298
Supplies and materials	123
Capital investment	278
Total	63,537
Total growth in euros	3,995
Total growth %	6.7

212. In line with the wishes of the Assembly of States Parties⁵ and the Committee on Budget and Finance,⁶ the Registry will continue to embrace efficiency in its business processes and service offerings in 2011, and to lead the Court-wide exercise targeted at improving the Court's business processes. Re-engineering of the Court's administrative processes will drive cost savings and contribute to its strategic objective of becoming a model of public administration.

⁵ *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol. I, part III, ICC-ASP/7/20/Res.4.

⁶ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. II, part B.1, para 48.

Table 38: Major Programme III: Proposed budget for 2011

Registry	Expenditure 2009			Approved budget 2010					Proposed budget 2011			Resource Growth	
	(thousands of euros)			(thousands of euros)					(thousands of euros)				
	Basic	Situation-related	Total	Basic excl. RC	Situation-related	Total excl. RC	Basic RC	Total incl. RC	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available			11,107.8	8,432.1	19,539.9		19,539.9	11,571.2	8,464.0	20,035.2	495.3	2.5
General Service staff	No breakdown available			8,361.6	6,236.6	14,598.2		14,598.2	8,494.8	6,333.1	14,827.9	229.7	1.6
Subtotal staff	17,092.1	11,869.8	28,961.9	19,469.4	14,668.7	34,138.1		34,138.1	20,066.0	14,797.1	34,863.1	725.0	2.1
General temporary assistance	1,957.5	1,554.7	3,512.2	1,568.7	1,237.6	2,806.3		2,806.3	1,629.9	3,154.3	4,784.2	1,977.9	70.5
Temporary assistance for meetings	637.3	284.9	922.2	305.7	71.1	376.8		376.8	435.7	292.5	728.2	351.4	93.3
Overtime	242.3	74.4	316.7	217.4	137.2	354.6	16.6	371.2	235.9	155.3	391.2	36.6	10.3
Consultants	66.9	134.9	201.8	39.0	203.6	242.6		242.6	142.9	189.7	332.6	90.0	37.1
Subtotal other staff	2,904.0	2,048.9	4,952.9	2,130.8	1,649.5	3,780.3	16.6	3,796.9	2,444.4	3,791.8	6,236.2	2,455.9	65.0
Travel	212.3	1,176.8	1,389.1	296.8	1,802.6	2,099.4	73.3	2,172.7	277.4	1,787.3	2,064.7	-34.7	-1.7
Hospitality	8.6		8.6	10.0		10.0		10.0	10.0		10.0		
Contractual services	1,161.7	1,664.1	2,825.8	1,537.8	1,186.5	2,724.3		2,724.3	1,292.7	1,277.3	2,570.0	-154.3	-5.7
Training	512.7	311.9	824.6	423.3	395.6	818.9		818.9	403.5	403.4	806.9	-12.0	-1.5
Counsel		3,130.8	3,130.8		2,711.2	2,711.2		2,711.2		2,711.2	2,711.2		
General operating expenses	5,660.2	4,587.6	10,247.8	5,925.3	5,621.7	11,547.0		11,547.0	6,310.8	5,851.2	12,162.0	615.0	5.3
Supplies and materials	732.1	373.8	1,105.9	665.5	403.8	1,069.3		1,069.3	797.4	394.6	1,192.0	122.7	11.5
Equipment including furniture	912.2	755.5	1,667.7	406.5	236.2	642.7		642.7	547.5	372.9	920.4	277.7	43.2
Subtotal non-staff	9,199.9	12,000.5	21,200.4	9,265.2	12,357.6	21,622.8	73.3	21,696.1	9,639.3	12,797.9	22,437.2	814.4	3.8
Total	29,196.0	25,919.2	55,115.2	30,865.4	28,675.8	59,541.2	89.9	59,631.1	32,149.7	31,386.8	63,536.5	3,995.3	6.7
Distributed maintenance	-399.7	-522.0	-921.7	-259.6	-817.6	-1,077.2		-1,077.2	-294.6	-759.6	-1054.1	23.0	2.1

Table 39: Major Programme III: Proposed staffing for 2011

Registry		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic		1		4	16	25	33	24	1	104	8	129	137	241
	Situation-related					1	13	34	34	8	90	8	140	148	238
	<i>Subtotal</i>		<i>1</i>		<i>4</i>	<i>17</i>	<i>38</i>	<i>67</i>	<i>58</i>	<i>9</i>	<i>194</i>	<i>16</i>	<i>269</i>	<i>285</i>	<i>479</i>
New/ Converted	Basic							1			1				1
	Situation-related														
	<i>Subtotal</i>							<i>1</i>			<i>1</i>				<i>1</i>
Redeployed/ Reclassified	Basic					1		1	1	1	4		1	1	5
	Situation-related						5	-4	1	-4	-2		-3	-3	-5
	<i>Subtotal</i>					<i>1</i>	<i>5</i>	<i>-3</i>	<i>2</i>	<i>-3</i>	<i>2</i>		<i>-2</i>	<i>-2</i>	
Total			1		4	18	43	65	60	6	197	16	267	283	480

1. Programme 3100: Office of the Registrar

Introduction

213. With a view to optimizing support for judicial proceedings and improving efficiency, the Registrar undertook an internal restructuring in 2010. The restructuring affected the former Division of Victims and Counsel (DVC) (3500), which consisted of the Office of the Head (DVC) (3510), the Defence Support Section (DSS) (3520), the Victims' Participation and Reparation Sections (VPRS) (3530), as well as the Office of Public Counsel for the Defence (OPCD) (3540) and the Office of Public Counsel for Victims (OPCV) (3550).

214. Bearing in mind the importance of the Registry's mandate in relation to victims, and the need to ensure a comprehensive and integrated approach in this regard, the Registrar decided to have those sections dealing with victims, namely VPRS and the Victims and Witness Unit (VWU), reporting to the same manager. Therefore, VPRS has been removed from DVC and placed within the Division of Court Services (DCS).

215. DSS and the Office of the Head (DVC) have been merged, renamed Counsel Support Section and moved to the Office of the Registrar as sub-programme 3190. The new section is tasked with managing assistance to counsel, including training, and the legal aid programme for both victims and defence, and reports directly to the Registrar.

216. OPCD and OPCV have likewise been moved to the Office of the Registrar as sub-programmes 3191 and 3192. DVC has been dissolved.

217. The Office of the Registrar now comprises seven sub-programmes: the Immediate Office of the Registrar (IOR); the Office of Internal Audit (OIA); the Legal Advisory Services Section (LASS); the Security and Safety Section (SSS); the Registry Permanent Premises Office (RPPO); the Field Operations Section (FOS); and the Counsel Support Section (CSS).

218. The Immediate Office of the Registrar provides the necessary guidance for an effective management and oversight of the Registry.

219. The Office of Internal Audit ensures that the principles of accountability and efficient use of resources are upheld by all units of the organization.

220. The Legal Advisory Services Section plays an important role in guaranteeing a sound regulatory framework for the Court so that it can operate efficiently, including in its interaction with the various stakeholders.

221. The Security and Safety Section provides essential support for operations both at headquarters and in the field.

222. The Registry Permanent Premises Office contributes to the proper management of the Permanent Premises Project.

223. The Field Operations Section provides support to all organs of the Court and to parties and participants in the Court's proceedings when on field missions.

224. The Counsel Support Section provides support and assistance to counsel for victims and defence and manages the legal aid programme of the Court. The Offices of Public Counsel for Victims and for Defence report to the Registrar on all administrative matters through this section.

Objectives

1. Conduct four to five new investigations into cases, within existing or new situations, and at least four trials, subject to external cooperation received. (SO 1)
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute. (SO 2)

3. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities. (SO 4)
4. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks. (SO 8)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Support of investigations and trials delivered in keeping with the statutory framework.	- Regular assessments with stakeholders conducted as foreseen in the biannual plan.	(*)
- Ensure effective coordination of support and assistance provided in the field to all parties and participants in the proceedings.	- Number of coordinated initiatives with satisfactory outcome.	80%
Objective 2		
- Maintenance of a secure and safe environment at the seat of the Court.	- Screening of all persons and items entering the Court's premises.	100%
	- Response by a security officer to all emergencies within two minutes.	100%
- Field security management system in accordance with UN/international standards.	- Compliance with UN field security training programmes (basic+advanced).	100%
	- Compliance with Minimum Operating Security Standards (MOSS) and Minimum Operating Residential Security Standards (MORSS).	95%
- Controlled and consistent information security management process.	- Conduct of Network penetration test and decrease in level of vulnerability.	(*)
	- Increased maturity score during periodic ISO 27001 assessment.	
Objectives 1 and 3		
- Protection of the Court's legal interests.	- Number of framework agreements concluded in support of the Court's operations.	10
	- Number of cases resolved satisfactorily.	50
- Effective system for legal assistance paid by the Court.	- Number of requests for legal assistance paid by the Court.	
	- Number of lawyers in good standing in their national systems.	300
Objective 4		
- Reduced financial and management risks.	- Percentage of issues identified by internal audit addressed by action plans.	100%
	- Delivery of independent, assurance-based performance audits, objective information and advice.	(*)
	- Provide user requirements to the PDO in a timely manner.	100%

(*) The Court is continuing its work to develop realistic baselines for these objectives.

Table 40: Programme 3100: Proposed budget for 2011

Office of the Registrar	Expenditure 2009 (thousands of euros)			Approved budget 2010 (thousands of euros)					Proposed budget 2011 (thousands of euros)			Resource Growth	
	Basic	Situation-related	Total	Basic excl. RC	Situation-related	Total excl. RC	Basic RC	Total incl. RC	Basic	Situation-related	Total	Amount	%
	No breakdown available			3,302.3	2,515.7	5,818.0		5,818.0	3,370.2	2,725.4	6,095.6	277.6	4.8
Professional staff				2,675.4	1,934.6	4,610.0		4,610.0	2,699.4	2,083.1	4,782.5	172.5	3.7
General Service staff	5,150.4	3,256.9	8,407.3	5,977.7	4,450.3	10,428.0		10,428.0	6,069.6	4,808.5	10,878.1	450.1	4.3
Subtotal staff	1,215.0	525.5	1,740.5	1,129.7	56.2	1,185.9		1,185.9	1,185.9	405.6	1,591.5	405.6	34.2
General temporary assistance	110.4	66.2	176.6	124.4	57.6	182.0	16.6	198.6	124.4	86.4	210.8	28.8	15.8
Overtime	13.1	8.9	22.0						90.0		90.0	90.0	
Consultants	1,338.5	600.6	1,939.1	1,254.1	113.8	1,367.9	16.6	1,384.5	1,400.3	492.0	1,892.3	524.4	38.3
Subtotal other staff	102.4	376.3	478.7	160.6	513.3	673.9	65.9	739.8	83.3	530.0	613.3	-60.6	-9.0
Travel	8.6		8.6	10.0		10.0		10.0	10.0		10.0		
Hospitality	152.2	288.0	440.2	265.6	307.9	573.5		573.5	280.3	315.1	595.4	21.9	3.8
Contractual services	112.5	155.0	267.5	134.1	132.1	266.2		266.2	154.8	136.9	291.7	25.5	9.6
Training		3,130.8	3,130.8		2,711.2	2,711.2		2,711.2		2,711.2	2,711.2		
Counsel	142.0	683.2	825.2	121.5	674.2	795.7		795.7	198.0	647.3	845.3	49.6	6.2
General operating expenses	70.1	268.8	338.9	70.5	272.8	343.3		343.3	84.0	268.8	352.8	9.5	2.8
Supplies and materials	15.9	132.2	148.1	10.0	27.1	37.1		37.1	11.0	163.8	174.8	137.7	371.2
Equipment including furniture	603.7	5,034.3	5,638.0	772.3	4,638.6	5,410.9	65.9	5,476.8	821.4	4,773.1	5,594.5	183.6	3.4
Subtotal non-staff	7,092.6	8,891.8	15,984.4	8,004.1	9,202.7	17,206.8	82.5	17,289.3	8,291.3	10,073.6	18,364.9	1,158.1	6.7
Total	287.2	196.5	483.7	182.0	337.8	519.8		519.8	201.9	308.7	510.6	-9.2	-1.8
Distributed maintenance													

Table 41: Programme 3100: Proposed staffing for 2011

Office of the Registrar		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic		1		2	8	6	6	6		29	2	42	44	73
	Situation-related					1	7	9	5	3	25	1	50	51	76
	Subtotal		1		2	9	13	15	11	3	54	3	92	95	149
New/ Converted	Basic														
	Situation-related														
	Subtotal														
Redeployed/ Reclassified	Basic									1	1				1
	Situation-related						4	-3			1	-1		-1	
	Subtotal						4	-3		1	2	-1		-1	1
Total			1		2	9	17	12	11	4	56	2	92	94	150

a) Sub-programme 3110: Immediate Office of the Registrar

225. The Registrar has a broad range of responsibilities to discharge, ranging from administration to Court servicing, support and assistance to defendants and victims and their counsel, protection, security, public information and outreach.

226. This range of work is executed by the different divisions, sections, offices and units within the Registry. It is crucial, however, that the Registrar has a solid and effective support structure in her immediate office, so that these diverse tasks are well coordinated both within the Registry and with the other organs. Furthermore, the IOR ensures that the Registry receives the necessary support and cooperation from external stakeholders, such as States Parties, its subsidiary bodies, international and regional organizations, civil society and academia.

227. Furthermore, the Assembly has established different offices that are linked to the Registry for administrative purposes, such as the Secretariat of the Assembly of States Parties, the Secretariat of the Trust Fund for Victims, the Project Director's Office (permanent premises) and the Independent Oversight Mechanism. The Immediate Office facilitates regular exchange and a harmonized approach with regard to these Offices.

Staff resources

Basic resources

General temporary assistance

228. Recurrent. General provision under the Immediate Office of the Registrar for ad hoc support as required.

Non-staff resources

Basic resources

Travel

229. Recurrent. Travel for the Registrar in support of her functions, i.e. meetings with United Nations and government officials, donors, NGOs and organizations relevant to cooperation with the Court.

Table 42: Sub-programme 3110: Proposed budget for 2011

Immediate Office of the Registrar	Expenditure 2009			Approved budget 2010					Proposed budget 2011			Resource Growth	
	(thousands of euros)			(thousands of euros)					(thousands of euros)				
	Basic	Situation-related	Total	Basic excl. RC	Situation-related	Total excl. RC	Basic RC	Total incl. RC	Basic	Situation-related	Total	Amount excl. RC	% excl. RC
Professional staff	No breakdown available			908.3		908.3		908.3	902.7		902.7	-5.6	-0.6
General Service staff				137.7		137.7		137.7	198.3		198.3	60.6	44.0
Subtotal staff	776.4	21.3	797.7	1,046.0		1,046.0		1,046.0	1,101.0		1,101.0	55.0	5.3
General temporary assistance	0.1		0.1	98.2		98.2		98.2	95.2		95.2	-3.0	-3.1
Overtime	0.9		0.9										
Consultants	6.3		6.3										
Subtotal other staff	7.3		7.3	98.2		98.2		98.2	95.2		95.2	-3.0	-3.1
Travel	40.2	29.6	69.8	24.2	24.9	49.1	18.5	67.6	28.0	18.6	46.6	-2.5	-5.1
Hospitality	8.6		8.6	10.0		10.0		10.0	10.0		10.0		
Contractual services	25.4		25.4										
Subtotal non-staff	74.2	29.6	103.8	34.2	24.9	59.1	18.5	77.6	38.0	18.6	56.6	-2.5	-5.1
Total	857.9	50.9	908.8	1,178.4	24.9	1,203.3	18.5	1,221.8	1,234.2	18.6	1,252.8	49.5	4.1
Distributed maintenance	31.0		31.0	25.2		25.2		25.2	30.0		30.0	4.8	19.0

Table 43: Sub-programme 3110: Proposed staffing for 2011

Immediate Office of the Registrar		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic		1		1	2		3	1		8	1	1	2	10
	Situation-related														
	<i>Subtotal</i>		1		1	2		3	1		8	1	1	2	10
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic												1	1	1
	Situation-related														
	<i>Subtotal</i>												1	1	1
Total			1		1	2		3	1		8	1	2	3	11

b) Sub-programme 3120: Office of Internal Audit**Staff resources****Basic resources***Consultancy*

230. Recurrent. Concerns provision for the external members of the Audit Committee. Costs include lump sum compensation and travel.

Non-staff resources*Travel*

231. Recurrent. Reduction is due to the transfer of the costs relating to the Audit Committee to “Consultancy”.

Training

232. Recurrent. To meet the minimum professional training requirements as recommended by the external peer review report commissioned by the Court. Resources include one technical training programme per staff member.

Table 44: Sub-programme 3120: Proposed budget for 2011

<i>Office of Internal Audit</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			376.5		376.5	371.9		371.9	-4.6	-1.2
General Service staff				60.0		60.0	60.6		60.6	0.6	1.0
<i>Subtotal staff</i>	397.4		397.4	436.5		436.5	432.5		432.5	-4.0	-0.9
General temporary assistance	23.4		23.4								
Consultants	6.8		6.8				90.0		90.0	90.0	
<i>Subtotal other staff</i>	30.2		30.2				90.0		90.0	90.0	
Travel		3.5	3.5	92.8	25.5	118.3	9.1	22.2	31.3	-87.0	-73.5
Contractual services	20.0		20.0	20.0		20.0	20.0		20.0		
Training	0.7		0.7	20.7		20.7	20.6		20.6	-0.1	-0.5
<i>Subtotal non-staff</i>	20.7	3.5	24.2	133.5	25.5	159.0	49.7	22.2	71.9	-87.1	-54.8
Total	448.3	3.5	451.8	570.0	25.5	595.5	572.2	22.2	594.4	-1.1	-0.2
Distributed maintenance	15.5		15.5	10.1		10.1	10.9		10.9	0.8	8.2

Table 45: Sub-programme 3120: Proposed staffing for 2011

<i>Office of Internal Audit</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1		1	1			3		1	1	4
	Situation-related														
	<i>Subtotal</i>				1		1	1			3		1	1	4
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1		1	1			3		1	1	4

c) **Sub-programme 3130: Legal Advisory Services Section**

Non-staff resources

Travel

233. Recurrent. For legal support provided to the field offices and related issues.

Contractual services

234. Recurrent. Other contractual services have been maintained to cover the costs for consultation and advice on national laws and applicable rules, and assistance with legal proceedings.

Training

235. New. To meet the minimum professional training requirements as required for the performance of the duties of the Section.

Table 46: Sub-programme 3130: Proposed budget for 2011

<i>Legal Advisory Services Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			551.3		551.3	549.1		549.1	-2.2	-0.4
General Service staff				120.0		120.0	121.2		121.2	1.2	1.0
<i>Subtotal staff</i>	<i>615.1</i>		<i>615.1</i>	<i>671.3</i>		<i>671.3</i>	<i>670.3</i>		<i>670.3</i>	<i>-1.0</i>	<i>-0.1</i>
General temporary assistance	17.6		17.6								
<i>Subtotal other staff</i>	<i>17.6</i>		<i>17.6</i>								
Travel	11.0	2.7	13.7		15.6	15.6	1.7	15.4	17.1	1.5	9.6
Contractual services				15.0		15.0	15.0		15.0		
Training							10.9		10.9	10.9	
Supplies and materials	0.4		0.4								
<i>Subtotal non-staff</i>	<i>11.4</i>	<i>2.7</i>	<i>14.1</i>	<i>15.0</i>	<i>15.6</i>	<i>30.6</i>	<i>27.6</i>	<i>15.4</i>	<i>43.0</i>	<i>12.4</i>	<i>40.5</i>
Total	644.1	2.7	646.8	686.3	15.6	701.9	697.9	15.4	713.3	11.4	1.6
Distributed maintenance	27.2		27.2	17.6		17.6	19.1		19.1	1.5	8.2

Table 47: Sub-programme 3130: Proposed staffing for 2011

<i>Legal Advisory Services Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	2	1	1		5		2	2	7
	Situation-related														
	<i>Subtotal</i>					<i>1</i>	<i>2</i>	<i>1</i>	<i>1</i>		<i>5</i>		<i>2</i>	<i>2</i>	<i>7</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	2	1	1		5		2	2	7

d) Sub-programme 3140: Security and Safety Section

Staff resources

Basic resources

General temporary assistance

236. Twenty-two GS-OL Security Support Assistants (12 months each, continued). To undertake security screening, general building patrols, reception at the Arc building and initial emergency response duties.

Overtime, including night differential

237. Recurrent. Maintenance of a full 24-hour security presence requires permanent manning of a number of posts. Night work is compensated according to the Staff Rules. Overtime regularly occurs due to official holidays and staff shortages. Costs have been reduced to compensate for the increase in situation-related overtime costs.

Situation-related resources

Reclassification

238. For the 2008 budget, when three new posts of Field Security Coordination Officer were approved at the P-3 level, it was omitted to reclassify at the same time the one existing post of Field Security Coordination Officer, located in the Uganda field office. As all posts fulfil the same duties and carry the same responsibility, reclassification of one P-2 to P-3 Field Security Coordination Officer is once more requested in order to rectify this omission.

General temporary assistance

239. Ten GS-OL Security Support Assistants (6 months each, following on from contingency request). To undertake security services required in support of simultaneous trials.

Overtime

240. Recurrent. For the maintenance of security services at headquarters, overtime is required in order to cover the extended hours of court hearings.

Non-staff resources

241. Specifically in Security, the conduct of simultaneous trials results in additional activities.

Basic resources

Travel

242. Recurrent. To attend briefings and coordination meetings with partners in other international organizations, such as UNDSS, the Inter-Agency Security Management Network, INTERPOL, etc. Reduction represents the 2010 cost related to the Review Conference in Kampala.

Contractual services

243. Recurrent. Contractual services include key holding and response services for the residences of senior Court officials, membership in the European Corporate Security Association and security vetting. Costs for security vetting have increased due to the increase in Court activities.

Training

244. Recurrent. All Court security staff require both basic and refresher training in first aid, fire and emergency response, and in the use of firearms, in order to maintain qualifications and permits. Costs further include information security and security management training and training in close protection.

General operating expenses

245. Recurrent. To maintain firearms, security screening and training equipment and to test information security infrastructure. This item also includes membership in the United Nations Security Management System, the cost of which has increased significantly.

Supplies and materials

246. Recurrent. Supplies and materials include Pass & ID Office requirements, uniforms and body armour for security personnel and necessary items for firearms training.

Situation-related resources*Travel*

247. Recurrent. Travel requirements include attendance at United Nations field security coordination meetings and training, close protection for senior Court officials in the field, and other security support missions. Each permanent field security staff member is expected to travel to headquarters for briefings, training and maintenance of qualifications.

Contractual services

248. Recurrent. Relates to costs of outsourcing guard functions at the Court's field offices and the costs of local security service providers.

Training

249. Recurrent. Field security staff require the same level of training in first aid, fire-fighting and the use of firearms as security staff at headquarters, as well as specialized training in field-security-related matters, such as four-by-four driving and close-protection training.

General operating expenses

250. Recurrent. For local cost-sharing arrangements with the United Nations security management system and for the Minimum Operating Residential Security Standards (MORSS) for the residences of Court staff members in the field, included in the Section's budget from 2010.

Supplies and materials

251. Recurrent. Includes provision of uniforms and personal protective equipment and other necessary supplies and materials required for the performance of security duties in the field.

Table 48: Sub-programme 3140: Proposed budget for 2011

<i>Security and Safety Section</i>	<i>Expenditure 2009</i>			<i>Approved budget 2010</i>					<i>Proposed budget 2011</i>			<i>Resource Growth</i>	
	<i>(thousands of euros)</i>			<i>(thousands of euros)</i>					<i>(thousands of euros)</i>			<i>Amount</i>	<i>%</i>
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic excl. RC</i>	<i>Situation-related</i>	<i>Total excl. RC</i>	<i>Basic RC</i>	<i>Total incl. RC</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>		
Professional staff	No breakdown available			551.3	487.9	1,039.2		1,039.2	549.1	533.7	1,082.8	43.6	4.2
General Service staff				2,057.7	1,363.7	3,421.4		3,421.4	2,076.9	1,534.1	3,611.0	189.6	5.5
<i>Subtotal staff</i>	<i>2,314.7</i>	<i>1,361.7</i>	<i>3,676.4</i>	<i>2,609.0</i>	<i>1,851.6</i>	<i>4,460.6</i>		<i>4,460.6</i>	<i>2,626.0</i>	<i>2,067.8</i>	<i>4,693.8</i>	<i>233.2</i>	<i>5.2</i>
General temporary assistance	1,134.7	-1.3	1,133.4	1,031.5		1,031.5		1,031.5	1,090.7	336.0	1,426.7	395.2	38.3
Overtime	109.5	66.2	175.7	124.4	57.6	182.0	16.6	198.6	124.4	86.4	210.8	28.8	15.8
<i>Subtotal other staff</i>	<i>1,244.2</i>	<i>64.9</i>	<i>1,309.1</i>	<i>1,155.9</i>	<i>57.6</i>	<i>1,213.5</i>		<i>1,213.5</i>	<i>1,215.1</i>	<i>422.4</i>	<i>1,637.5</i>	<i>424.0</i>	<i>34.9</i>
Travel	28.9	166.6	195.5	14.5	249.1	263.6	47.4	311.0	15.1	276.9	292.0	28.4	10.8
Contractual services	55.2	214.8	270.0	58.8	242.0	300.8		300.8	73.5	255.0	328.5	27.7	9.2
Training	110.7	64.7	175.4	108.6	70.7	179.3		179.3	118.5	78.7	197.2	17.9	10.0
General operating expenses	141.8	44.6	186.4	116.5	69.5	186.0		186.0	193.0	69.5	262.5	76.5	41.1
Supplies and materials	67.3	27.8	95.1	70.5	27.0	97.5		97.5	84.0	50.5	134.5	37.0	37.9
Equipment including furniture	3.8	10.7	14.5	1.0		1.0		1.0	1.0		1.0		
<i>Subtotal non-staff</i>	<i>407.7</i>	<i>529.2</i>	<i>936.9</i>	<i>369.9</i>	<i>658.3</i>	<i>1,028.2</i>	<i>47.4</i>	<i>1,075.6</i>	<i>485.1</i>	<i>730.6</i>	<i>1,215.7</i>	<i>187.5</i>	<i>18.2</i>
Total	3,966.6	1,955.8	5,922.4	4,134.8	2,567.5	6,702.3	47.4	6,749.7	4,326.2	3,220.8	7,547.0	844.7	12.6
Distributed maintenance	163.0	58.7	221.6	98.3	106.1	204.4		204.4	106.4	97.5	203.9	-0.5	-0.2

Table 49: Sub-programme 3140: Proposed staffing for 2011

<i>Security and Safety Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	2	1	1		5	1	33	34	39
	Situation-related						1	3	1		5		19	19	24
	<i>Subtotal</i>					<i>1</i>	<i>3</i>	<i>4</i>	<i>2</i>		<i>10</i>	<i>1</i>	<i>52</i>	<i>53</i>	<i>63</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related							1	-1						
	<i>Subtotal</i>							<i>1</i>	<i>-1</i>						
Total						1	3	5	1		10	1	52	53	63

e) **Sub-programme 3160: Registry Permanent Premises Office**

Non-staff resources

Basic resources

Travel

252. Recurrent. Travel includes working meetings with the architects in Denmark, Conference on Court Design and network exchanges with other international organizations carrying out building works. The budget submission for the Registry Permanent Premises Office has been maintained at the 2010 level.

Contractual services

253. Recurrent. Without prejudice or limitation to the services provided by the main Project Management Team (reporting to the ASP Project Director's Office), the following consultancy/support services are required regarding the user role:

- (a) Continuous monitoring and ensuring that user requirements are being implemented correctly;
- (b) Further update and refine requirements where necessary and identify any that were omitted in the existing briefs, in particular regarding (but not limited to) interior, landscaping, user equipment, ICT strategy, security strategy, kitchen requirements, and other facilities as required;
- (c) Prepare/guide and support the Court as a final user in meetings concerning the project;
- (d) Provide advice on facilities management aspects of the new premises;
- (e) Analysis of the design proposals (as addition to services by main PM team as listed above);
- (f) Provide advice on moving strategies;
- (g) Provide advice and support regarding the handover, testing and acceptance phase;
- (h) Provide expert consultancy as and when needed regarding (but not limited to) facilities management, ICT, security, sustainability, costs (second opinion if needed), tender strategy, kitchen (strategy), maintenance;
- (i) Provide general second opinion on project decisions as and when needed;
- (j) Provide ongoing advice regarding the new premises maintenance strategy and costs;
- (k) Provide advice on setting up facilities management and general services to be most efficient for the new building.

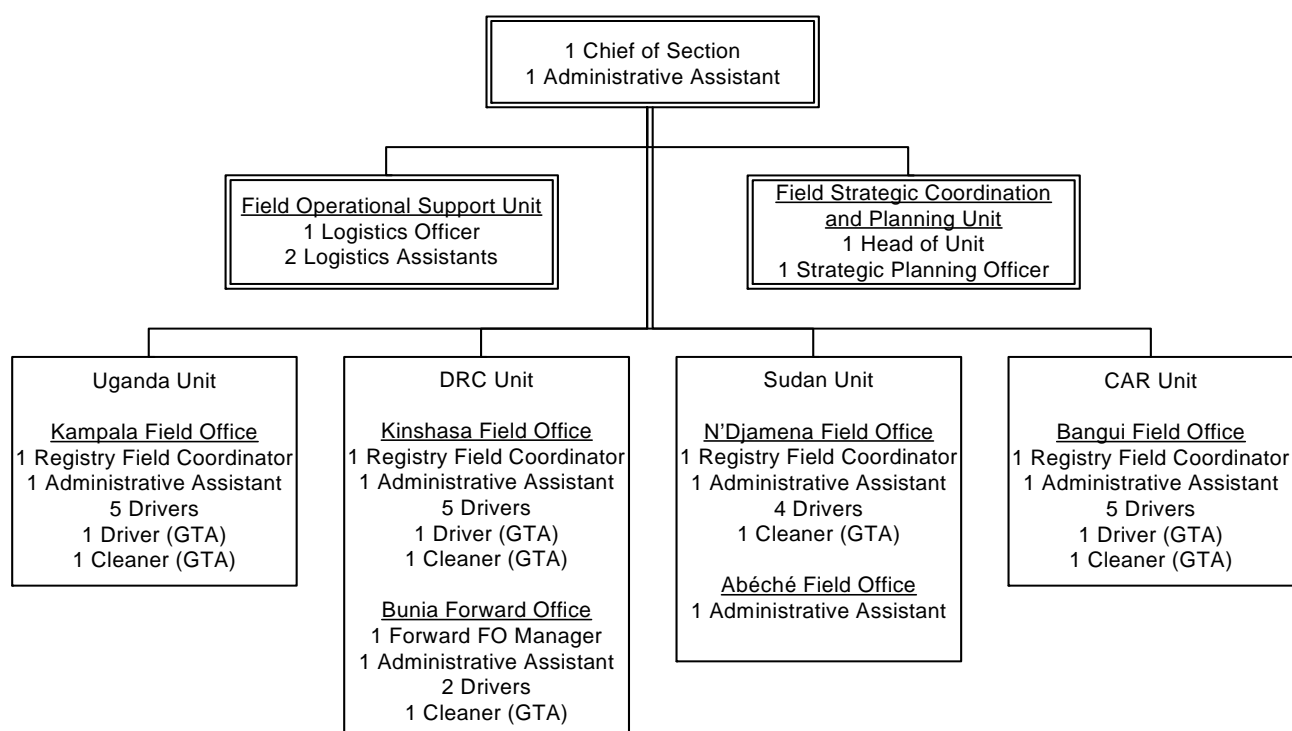
254. These services are to be provided by the Court (user) and are not, or only partly, performed by the ASP Project Director's Office.

Table 50: Sub-programme 3160: Proposed budget for 2011

<i>Registry Permanent Premises Office</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			219.3		219.3	220.4		220.4	1.1	0.5
General Service staff											
<i>Subtotal staff</i>	<i>191.8</i>		<i>191.8</i>	<i>219.3</i>		<i>219.3</i>	<i>220.4</i>		<i>220.4</i>	<i>1.1</i>	<i>0.5</i>
General temporary assistance	2.5		2.5								
<i>Subtotal other staff</i>	<i>2.5</i>		<i>2.5</i>								
Travel	2.3		2.3	13.5		13.5	13.5		13.5		
Contractual services	51.6		51.6	171.8		171.8	171.8		171.8		
General operating expenses	0.2		0.2	5.0		5.0	5.0		5.0		
Supplies and materials	0.4		0.4								
Equipment including furniture	12.1		12.1	9.0		9.0	10.0		10.0	1.0	11.1
<i>Subtotal non-staff</i>	<i>66.6</i>		<i>66.6</i>	<i>199.3</i>		<i>199.3</i>	<i>200.3</i>		<i>200.3</i>	<i>1.0</i>	<i>0.5</i>
Total	260.9		260.9	418.6		418.6	420.7		420.7	2.1	0.5
Distributed maintenance	7.8		7.8	5.0		5.0	5.5		5.5	0.4	8.2

Table 51: Sub-programme 3160: Proposed staffing for 2011

<i>Registry Permanent Premises Office</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1			1		2				2
	Situation-related														
	<i>Subtotal</i>					<i>1</i>			<i>1</i>		<i>2</i>				<i>2</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1			1		2				2

f) **Sub-programme 3180: Field Operations Section**

255. In 2010, the Field Operations Section undertook the first steps in the implementation of the approved headquarters-related enhancements, namely the recruitment of the Head of the Field Operations Section, Head of Field Operational Support Unit and of the Field Strategic Coordination and Planning Unit. 2011 will witness the consolidation of the coordination and planning capacity at headquarters level.

256. In relation to field operations, in 2011 there will be an 8 per cent increase of non-staff costs in relation to the situation of the DRC as a result of inflation and support related to protection of witnesses and their preparation for the ongoing trials. A slight operational increase in non-staff costs amounting to 10 per cent in relation to the situation of the CAR will occur due to ongoing proceedings in the case of *The Prosecutor v. Jean-Pierre Bemba Gombo*. The Kampala field office will continue to ensure the support of the ongoing activities in the country, while its resources will be also used to support operations in the eastern part of the DRC and in relation to the situation of Kenya. Amongst the five situations (four existing and one new), a total of €68,000 decrease in non-staff costs (excluding €150,000 investments) is achieved in 2011.

257. By the end of the first semester of 2011, the closure process of the Abéché field office, one of the two most expensive offices in terms of running costs, will be finalized. As a result of this exercise, 45 per cent savings in non-staff costs will be attained. The savings will be used to reinforce the operations in the Democratic Republic of the Congo in relation to the investigations by the Office of the Prosecutor in the Kivu provinces, the activities in relation to the new situation in Kenya and to ensure the necessary training of staff due to the reorganization of the Field Operations Section.

258. A minimum field presence will be maintained in Abéché while N'Djamena will become the main field support structure to provide assistance and operational support for a very limited number of missions in relation to the Darfur/Sudan situation.

259. The Field Operations Section will continue to support all its operations in relation to the Kenya situation, including the provision of services to all clients (Office of the Prosecutor, Trust Fund for Victims, defence teams when needed), through the redeployment of the majority of its staff resources of the Abéché and Kampala field offices. The costs in relation to the support of these activities will be absorbed within existing resources as a result of efficiencies measures undertaken during 2010 and the closure of the Abéché field office.

Table 52: Sections with representation in the field using FOS support

<i>Sections</i>	<i>Uganda</i>	<i>DRC Kinshasa</i>	<i>DRC Bunia</i>	<i>Chad Abéché</i>	<i>Chad N'Djamena</i>	<i>CAR</i>
OTP	√	√	√			√
Field Operations Section	√	√	√	√	√	√
ICT	√	√				
Medical	√				√	√
Security	√	√	√		√	√
VWU	√	√	√		√	√
PIDS	√	√	√		√	√
VPRS	√	√			√	√
Trust Fund for Victims	√		√			√
Total	9	7	6	1	6	8

Staff resources**Situation-related resources***Reclassifications*

260. During 2009, a review was made of the positions within the Field Operations Section. This resulted in a number of headquarter posts being reclassified in 2010, with the field posts to be reconsidered in the 2011 proposed budget. It is now requested to reclassify the following posts: four P-3 Field Office Managers to P-4 Registry Field Coordinators, and one GS-PL Forward Field Office Manager to P-2 (national professional level).

261. On the basis of a thorough analysis of the risks associated with the Registry's management of its field presences, the focus in the field in 2011 will be placed on ensuring an effective and efficient oversight and management of all Registry's field-based functional units and the material resources through the establishment of a new position, Registry Field Coordinator, to replace the position of Field Office Manager. The Registry Field Coordinators will represent a valuable pool of experts to be drawn upon by the organization over the coming years. They can be readily deployed to assist the Court in the implementation of its mandate outside headquarters, including closure of field offices, support and assistance in relation to new situations and other forms of field operations employed by the Court in the exercise of its mandate in 2011. The efficiencies associated with the establishment of the Registry Field Coordinators are outlined in the "Report on the review of field operations" (ICC-ASP/9/CBF.1/15).

262. The costs amount to €80,000 for all four positions. These costs will be absorbed as a result of the efficiency measures explained above, including closure of one of the most expensive field offices, the Abéché field office, and the effective management of the field investments over the coming years. It should be noted that these positions will enable the Registry to deal effectively with all five existing situations.

263. The Forward Field Office Manager, Bunia field office, will be required to handle the increase in the volume and complexities of field activities in the eastern part of the DRC and to ensure timely and high quality support services to the teams of the Office of the Prosecutor, Defence and various Registry units operating in the area.

264. Overall, in 2011 the Field Operations Section will maintain the same numbers of posts.

Redeployments

265. Due to the closure of the Abéché field office, redeployments of posts and changes of duty station of personnel, in particular drivers, will occur. Bearing in mind that in 2011 the Court will be actively operating in five situations, the current pool of drivers represents the minimum capacity needed to support activities in these countries without taking into consideration leave and other requirements.

266. Four local GS-OL will be redeployed from Chad to support activities related to the Kenya situation. This will have no financial impact.

267. Two local GS-OL will move from Abéché to N'Djamena.

268. One P-4 Registry Field Coordinator will change duty station from Kampala/Uganda to support activities related to the Kenya situation.

General temporary assistance

269. Three GS-OL Drivers (6 months each, continued). To comply with driving safety rules (rest) and to replace driving capacity during leave periods.

270. Five GS-OL Cleaners (4 at 12 months each and 1 at 6 months, continued). The posts of cleaners for the field offices will continue to be required as GTA. The Cleaner for Abéché has been included for six months only and will also be used to ensure support for the activities in relation to the Kenya situation.

Non-staff resources

Situation-related resources

Travel

271. Recurrent. Costs include travel for the Field Office Section, to attend meetings with other international organizations, including network meetings, and for coordination and control meetings with the field offices, including reservation of MONUC special flights for Registry.

Contractual services

272. Recurrent. Costs have been cut significantly. This item now only covers the required anti-malaria vector.

Training

273. Recurrent. In 2011, in view of the new mandate of the Section, training courses will focus on building competencies and skills necessary to establish the operations and situation capacity, an essential tool to the development of its ability to provide a strategic and policy-oriented approach to the Registry's field operations and ensure their effective coordination, including dealing with crisis situations. Additionally, training modules focusing on effective management of the field-related risks will be undertaken. Training is required in professional driving, offensive driving for emergency situations and in maintenance. A reduction has been achieved as a result of the identification of local providers for drivers' training.

General operating expenses

274. Recurrent. Provision includes rental and maintenance of premises and utility costs for field offices, as well as insurance coverage for facilities and vehicles and transit costs for four field offices. The costs associated with the closure of the Abéché office are offset by the reduction of six months lease.

Supplies and materials

275. Recurrent. For fuel and office expendables. The reduction reflects the closure of the Abéché office.

Equipment including furniture

276. To ensure business continuity, three vehicles will need to be replaced. Use of these vehicles includes long-range trips to Kenya, Rwanda and the United Republic of Tanzania (1,000 km one way). These vehicles have been in operation since 2004 and are no longer safe or economical to maintain.

Table 53: Sub-programme 3180: Proposed budget for 2011

<i>Field Operations Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available				884.4	884.4		1,052.5	1,052.5	168.1	19.0
General Service staff					510.9	510.9		488.4	488.4	-22.5	-4.4
<i>Subtotal staff</i>	<i>68.8</i>	<i>1,104.1</i>	<i>1,172.9</i>		<i>1,395.3</i>	<i>1,395.3</i>		<i>1,540.9</i>	<i>1,540.9</i>	<i>145.6</i>	<i>10.4</i>
General temporary assistance		243.5	243.5		56.2	56.2		69.6	69.6	13.4	23.8
Consultants		8.9	8.9								
<i>Subtotal other staff</i>		<i>252.4</i>	<i>252.4</i>		<i>56.2</i>	<i>56.2</i>		<i>69.6</i>	<i>69.6</i>	<i>13.4</i>	<i>23.8</i>
Travel		146.4	146.4		137.9	137.9		132.0	132.0	-5.9	-4.3
Contractual services		33.5	33.5		15.9	15.9		8.1	8.1	-7.8	-49.1
Training		82.2	82.2		61.4	61.4		58.2	58.2	-3.2	-5.2
General operating expenses		638.6	638.6		577.7	577.7		567.8	567.8	-9.9	-1.7
Supplies and materials		239.8	239.8		245.8	245.8		218.3	218.3	-27.5	-11.2
Equipment including furniture		121.5	121.5		27.1	27.1		163.8	163.8	136.7	504.4
<i>Subtotal non-staff</i>		<i>1,262.0</i>	<i>1,262.0</i>		<i>1,065.8</i>	<i>1,065.8</i>		<i>1,148.2</i>	<i>1,148.2</i>	<i>82.4</i>	<i>7.7</i>
Total	68.8	2,618.5	2,687.3		2,517.3	2,517.3		2,758.7	2,758.7	241.4	9.6
Distributed maintenance		105.6	105.6		172.3	172.3		158.4	158.4	13.9	-8.1

Table 54: Sub-programme 3180: Proposed staffing for 2011

<i>Field Operations Section</i>	USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic													
	Situation-related				1	2	4	1		8	1	30	31	39
	<i>Subtotal</i>				<i>1</i>	<i>2</i>	<i>4</i>	<i>1</i>		<i>8</i>	<i>1</i>	<i>30</i>	<i>31</i>	<i>39</i>
New/ Converted	Basic													
	Situation-related													
	<i>Subtotal</i>													
Redeployed/ Reclassified	Basic													
	Situation-related					4	-4	1		1	-1		-1	
	<i>Subtotal</i>					<i>4</i>	<i>-4</i>	<i>1</i>		<i>1</i>	<i>-1</i>		<i>-1</i>	
Total					1	6	0	2		9		30	30	39

g) Sub-programme 3190: Counsel Support Section

277. In 2010, as a result of restructuring, the Division of Victims and Counsel (3500) has been dissolved. The Counsel Support Section (3190) consists of the former Office of the Head DVC (3510) and the former Defence Support Section (3520). The Counsel Support Section, like DVC previously, reports directly to the Registrar. It also acts as interlocutor for OPCD and OPCV. For budgetary purposes the Counsel Support Section has been moved to the Office of the Registrar.

278. All previous years' expenditures and approved budgets of the above sections, including the cost of counsel for victims (previously under 3530 VPRS) have been integrated with the data for the Office of the Registrar.

Non-staff resources

Basic resources

Travel

279. Recurrent. To attend meetings of associations of counsel. Costs have been maintained at the same level.

Table 55: Sub-programme 3190: Proposed budget for 2011

<i>Counsel Support Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			336.9	197.5	534.4	416.5	196.1	612.6	78.2	14.6
General Service staff				180.0	60.0	240.0	121.2	60.6	181.8	-58.2	-24.3
<i>Subtotal staff</i>	<i>350.0</i>	<i>87.7</i>	<i>437.7</i>	<i>516.9</i>	<i>257.5</i>	<i>774.4</i>	<i>537.7</i>	<i>256.7</i>	<i>794.4</i>	<i>20.0</i>	<i>2.6</i>
General temporary assistance	36.7	120.1	156.8								
<i>Subtotal other staff</i>	<i>36.7</i>	<i>120.1</i>	<i>156.8</i>								
Travel	18.9		18.9	8.8		8.8	8.8		8.8		
Contractual services	0.0	2.5	2.5					2.0	2.0	2.0	
Training	0.2		0.2								
Counsel		3,130.8	3,130.8		2,711.2	2,711.2		2,711.2	2,711.2		
General operating expenses					17.0	17.0				-17.0	-100.0
<i>Subtotal non-staff</i>	<i>19.1</i>	<i>3,133.3</i>	<i>3,152.4</i>	<i>8.8</i>	<i>2,728.2</i>	<i>2,737.0</i>	<i>8.8</i>	<i>2,713.2</i>	<i>2,722.0</i>	<i>-15.0</i>	<i>-0.5</i>
Total	405.8	3,341.1	3,746.9	525.7	2,985.7	3,511.4	546.5	2,969.9	3,516.4	5.0	0.1
Distributed maintenance	23.3	5.9	29.2	13.1	15.2	28.3	16.4	12.2	28.6	0.3	0.9

Table 56: Sub-programme 3190: Proposed staffing for 2011

<i>Counsel Support Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	1		1		3		3	3	6
	Situation-related						1		1		2		1	1	3
	<i>Subtotal</i>					<i>1</i>	<i>2</i>		<i>2</i>		<i>5</i>		<i>4</i>	<i>4</i>	<i>9</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic									1	1		-1	-1	
	Situation-related														
	<i>Subtotal</i>									<i>1</i>	<i>1</i>		<i>-1</i>	<i>-1</i>	
Total						1	2		2	1	6		3	3	9

h) Sub-programme 3191: Office of Public Counsel for the Defence

280. Following the restructuring of the Division of Victims and Counsel (3500), the Office of the Public Counsel for the Defence (formerly 3540) has been moved for budgetary purposes to the Office of the Registrar. All previous years' expenditures and approved budget of the OPCD have been integrated with the data for the Office of the Registrar.

281. For 2011, no additional resources have been requested. Experience over 2010 and 2011 will be used for any budgetary review, when additional resource requests may be forthcoming.

Non-staff resources**Basic resources***Travel*

282. Recurrent. This item is required to ensure the OPCD's participation in key external events concerning the rights of the defence before the Court.

Situation-related resources*Travel*

283. Recurrent. Situation-related travel is also required to enable the OPCD to act as duty counsel to represent a suspect in the field or to represent the rights of the defence in relation to a given investigative opportunity in the field.

Contractual services

284. Recurrent. Training is required to ensure that the limited resources of the OPCD are employed effectively, in order for staff to be competent in all aspects of judicial proceedings which are potentially relevant to defence preparation and to the mandate of the OPCD. The OPCD will utilize this training as a form of 'train the trainers', in the sense that the OPCD will henceforth provide ongoing training support in these areas to future defence teams. The OPCD also provides training to list counsel at the ICC Counsel Seminar. Failure to obtain the requested resources would entail additional resources, as the Court would be forced to rely on external trainers to provide a similar level of expertise to defence teams, and at the ICC Counsel Seminar.

285. Recurrent. The OPCD will also conduct training seminars in the field for list counsel and potential duty counsel etc. in two situations, in order to increase awareness of the relevant Court procedures and to ensure that potential counsel are able to immediately assume their responsibilities for protecting the interests of the defendant should they be appointed to represent a suspect in the field.

Table 57: Sub-programme 3191: Proposed budget for 2011

<i>Office of Public Counsel for the Defence</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			139.4	277.4	416.8	140.1	276.4	416.5	-0.3	-0.1
General Service staff				60.0		60.0	60.6		60.6	0.6	1.0
<i>Subtotal staff</i>	<i>204.2</i>	<i>132.7</i>	<i>336.9</i>	<i>199.4</i>	<i>277.4</i>	<i>476.8</i>	<i>200.7</i>	<i>276.4</i>	<i>477.1</i>	<i>0.3</i>	<i>0.1</i>
General temporary assistance		151.1	151.1								
<i>Subtotal other staff</i>		<i>151.1</i>	<i>151.1</i>								
Travel	1.1		1.1	2.3	16.0	18.3	2.3	16.0	18.3		
Contractual services					20.0	20.0		20.0	20.0		
Training	0.9		0.9	4.8		4.8	4.8		4.8		
General operating expenses					4.0	4.0		4.0	4.0		
Supplies and materials		1.2	1.2								
<i>Subtotal non-staff</i>	<i>2.0</i>	<i>1.2</i>	<i>3.2</i>	<i>7.1</i>	<i>40.0</i>	<i>47.1</i>	<i>7.1</i>	<i>40.0</i>	<i>47.1</i>		
Total	206.2	285.0	491.2	206.5	317.4	523.9	207.8	316.4	524.2	0.3	0.1
Distributed maintenance	7.8	5.9	13.6	5.0	13.3	18.3	5.5	12.2	17.6	-0.7	-3.6

Table 58: Sub-programme 3191: Proposed staffing for 2011

<i>Office of Public Counsel for the Defence</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1					1		1	1	2
	Situation-related						1		1	1	3				3
	<i>Subtotal</i>					<i>1</i>	<i>1</i>		<i>1</i>	<i>1</i>	<i>4</i>		<i>1</i>	<i>1</i>	<i>5</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	1		1	1	4		1	1	5

i) Sub-programme 3192: Office of Public Counsel for Victims

286. Following the restructuring of the Division of Victims and Counsel (3500), the Office of the Public Counsel for Victims (formerly 3550) has been moved for budgetary purposes to the Office of the Registrar. All previous years' expenditures and approved budget of the OPCV have been integrated with the data for the Office of the Registrar.

287. For 2011, no additional resources have been requested. Experience over 2010 and 2011 will be used for any budgetary review, when additional resource requests may be forthcoming.

Non-staff resources

Situation-related resources

Travel

288. Recurrent. Travel is required to undertake missions in the field, in order to fulfil the Office's mandate.

Contractual services

289. To finalize the second phase of design of the OPCV's victim-oriented database designed to manage the legal assistance and representation provided by the Office to victims and their legal representatives. The database will enable the Office to collect, catalogue, reference, archive and analyse legal documents, researches, court records, evidence, exhibits, and open source material, access other Court applications (Ringtail, Transcend, TRIM) via a single interface; track all information for each victim; incorporate and streamline major OPCV work processes and keep track of team tasks and deadlines; produce statistics and output reports.

General operating expenses

290. Recurrent. For rental of premises in the field in order to meet with victims. Costs have been maintained at the same level.

Table 59: Sub-programme 3192: Proposed budget for 2011

<i>Office of Public Counsel for Victims</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			219.3	668.5	887.8	220.4	666.7	887.1	-0.7	-0.1
General Service staff				60.0		60.0	60.6		60.6	0.6	1.0
<i>Subtotal staff</i>	<i>232.0</i>	<i>549.4</i>	<i>781.4</i>	<i>279.3</i>	<i>668.5</i>	<i>947.8</i>	<i>281.0</i>	<i>666.7</i>	<i>947.7</i>	<i>-0.1</i>	<i>0.0</i>
General temporary assistance		12.1	12.1								
<i>Subtotal other staff</i>		<i>12.1</i>	<i>12.1</i>								
Travel		27.5	27.5	4.5	44.3	48.8	4.8	48.9	53.7	4.9	10.0
Contractual services		37.2	37.2		30.0	30.0		30.0	30.0		
Training		8.1	8.1								
General operating expenses					6.0	6.0		6.0	6.0		
Supplies and materials	2.0		2.0								
<i>Subtotal non-staff</i>	<i>2.0</i>	<i>72.8</i>	<i>74.8</i>	<i>4.5</i>	<i>80.3</i>	<i>84.8</i>	<i>4.8</i>	<i>84.9</i>	<i>89.7</i>	<i>4.9</i>	<i>5.8</i>
Total	234.0	634.3	868.3	283.8	748.8	1,032.6	285.8	751.6	1,037.4	4.8	0.5
Distributed maintenance	11.6	20.5	32.2	7.6	30.9	38.5	8.2	28.4	36.6	-1.9	-4.9

Table 60: Sub-programme 3192: Proposed staffing for 2011

<i>Office of Public Counsel for Victims</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1			1		2		1	1	3
	Situation-related						2	2	1	2	7				7
	<i>Subtotal</i>					<i>1</i>	<i>2</i>	<i>2</i>	<i>2</i>	<i>2</i>	<i>9</i>		<i>1</i>	<i>1</i>	<i>10</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	2	2	2	2	9		1	1	10

2. Programme 3200: Common Administrative Services Division

Introduction

291. The Common Administrative Services Division (CASD) provides non-judicial services to the entire Court. The ultimate goal of all Division activity is to provide the Court with a “model of public administration” by delivering timely, reliable and effective high-quality services in response to client needs. These needs include dedicated logistics as well as administrative and information infrastructure for both field and headquarter activities. The Division focuses on pursuit of the strategic goals and objectives of the Court and aims at excelling in achieving the desired results with minimal resources and through streamlined structures and processes, while maintaining flexibility and drawing upon sufficient qualified and motivated staff provided with a caring environment that offers career development and advancement opportunities.

292. 2010 has seen the start of simultaneous trials, which are likely to continue in 2011. As a result of simultaneous trials, additional support is being requested from the Information and Communication Technologies Section in particular. The need for such support is expected to remain, in line with the continued high level of judicial activity.

293. Furthermore, as a consequence of the decision of Pre-Trial Chamber II to commence an investigation into crimes against humanity in Kenya in 2010, more services will be required from the Division, particularly in terms of travel and visa services, communications and logistics.

294. As part of the Court’s endeavours to find efficiency savings, CASD has played an important role in the management and implementation of the business process re-engineering project. A pilot exercise was conducted in 2009 after putting in place multi-functional inter-organ working groups; a number of re-engineering proposals for existing processes have been presented and implemented in 2010. CASD expects to replicate the learning experience of these working groups and to put in place similar exercises for new processes in 2011, focusing on the most cost-intensive processes.

295. One of the challenges for the Division, which started in 2010 and will remain in 2011, is the improvement of the Court’s analytic accountability. In 2010 the Division began looking into ways of developing a range of management accounting tools and techniques which would help the Court in planning and making decisions and informed judgments. The Division will continue to assess and analyse the time, materials, training and resources needed to implement the best-suited cost accounting system in the Court’s SAP environment.

296. The Court has also been working towards implementation of the International Public Sector Accounting Standards (IPSAS) in the medium term. This commitment is closely connected to the Court’s strategic objective of becoming a model of public administration. CASD has prepared a detailed project plan and an estimated budget for IPSAS adoption. In 2011 the Division will continue assessing the outcome of the system’s implementation in other organizations and, when approved by the Assembly of States Parties, will start up the required actions to move to IPSAS implementation.

Objectives

1. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks. (SO 8)
2. Submit sound, accurate and transparent budget proposals necessitating only minor adjustments to the proposed amount and distribution of resources by the Assembly of States Parties. (SO 9)
3. Attract, care for, and offer career development and advancement opportunities to a diverse staff of the highest quality. (SO 10)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Continue and widen scope of the business process re-engineering project.	- Number of administrative processes in the Court re-engineered.	10
Objective 2		
- Submit sound, accurate and transparent budget proposals.	- Adjustment to the proposed amount and distribution of resources.	Maximum of 5% reduction or redistribution.
Objective 3		
- Attract, care for and offer career development to a diverse staff.	- Number of staff achieving higher grades through competition.	5

Table 61: Programme 3200: Proposed budget for 2011

<i>Common Administrative Services Division</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			3,478.8	525.6	4,004.4	3,494.4	522.9	4,017.3	12.9	0.3
General Service staff				4,666.2	1,744.6	6,410.8	4,704.6	1,760.2	6,464.8	54.0	0.8
<i>Subtotal staff</i>	<i>7,367.6</i>	<i>2,146.2</i>	<i>9,513.8</i>	<i>8,145.0</i>	<i>2,270.2</i>	<i>10,415.2</i>	<i>8,199.0</i>	<i>2,283.1</i>	<i>10,482.1</i>	<i>66.9</i>	<i>0.6</i>
General temporary assistance	524.3		524.3	350.2	133.4	483.6	336.0	268.8	604.8	121.2	25.1
Temporary assistance for meetings				20.0		20.0	20.0		20.0		
Overtime	123.9	2.0	125.9	93.0		93.0	111.5		111.5	18.5	19.9
Consultants	32.5	2.5	35.0	20.0		20.0	20.0		20.0		
<i>Subtotal other staff</i>	<i>680.7</i>	<i>4.5</i>	<i>685.2</i>	<i>483.2</i>	<i>133.4</i>	<i>616.6</i>	<i>487.5</i>	<i>268.8</i>	<i>756.3</i>	<i>139.7</i>	<i>22.7</i>
Travel	59.2	46.7	105.9	74.3	71.9	146.2	99.9	85.6	185.5	39.3	26.9
Contractual services	718.0	285.4	1,003.4	788.0	80.0	868.0	405.5	60.0	465.5	-402.5	-46.4
Training	343.0	95.2	438.2	262.8	177.3	440.1	225.0	162.4	387.4	-52.7	-12.0
General operating expenses	4,481.0	2,382.0	6,863.0	4,393.3	2,914.2	7,307.5	4,221.1	2,927.5	7,148.6	-158.9	-2.2
Supplies and materials	419.6	7.6	427.2	434.5	10.0	444.5	552.9	10.0	562.9	118.4	26.6
Equipment including furniture	869.5	594.4	1,463.9	365.0	181.1	546.1	505.0	181.1	686.1	140.0	25.6
<i>Subtotal non-staff</i>	<i>6,890.3</i>	<i>3,411.3</i>	<i>10,301.6</i>	<i>6,317.9</i>	<i>3,434.5</i>	<i>9,752.4</i>	<i>6,009.4</i>	<i>3,426.6</i>	<i>9,436.0</i>	<i>-316.4</i>	<i>-3.2</i>
Total	14,938.6	5,562.0	20,500.6	14,946.1	5,838.1	20,784.2	14,695.9	5,978.5	20,674.4	-109.8	-0.5
Distributed maintenance	-904.2	-1,073.4	-1,977.6	-683.6	-1,544.3	-2,227.8	-668.3	-1,559.7	-2,228.0	-0.2	0.0

Table 62: Programme 3200: Proposed staffing for 2011

<i>Common Administrative Services Division</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1	4	5	15	9		34	6	70	76	110
	Situation-related						2	3			5	1	30	31	36
	<i>Subtotal</i>				<i>1</i>	<i>4</i>	<i>7</i>	<i>18</i>	<i>9</i>		<i>39</i>	<i>7</i>	<i>100</i>	<i>107</i>	<i>146</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic							1	-1						
	Situation-related														
	<i>Subtotal</i>							<i>1</i>	<i>-1</i>						
Total					1	4	7	19	8		39	7	100	107	146

a) **Sub-programme 3210: Office of the Director (CASD)**

Non-staff resources

Basic resources

Travel

297. Recurrent. Related to meetings with representatives of States Parties and other external stakeholders with a view to obtaining the necessary support and cooperation.

Contractual services

298. Estimated costs for consultancy relating to the risk management project and efficiency savings exercise started in 2009 and due to continue into 2011. Costs have been reduced consistent with the phase of the project.

Situation-related resources

Travel

299. Recurrent. To cover the Director's travel relating to operations in the field.

Table 63: Sub-programme 3210: Proposed budget for 2011

<i>Office of the Director CASD</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			355.7		355.7	353.2		353.2	-2.5	-0.7
General Service staff				60.0		60.0	60.6		60.6	0.6	1.0
<i>Subtotal staff</i>	336.5		336.5	415.7		415.7	413.8		413.8	-1.9	-0.5
Travel	10.1	1.5	11.6	16.1	7.3	23.4	15.3	8.1	23.4		
Contractual services	72.1		72.1	50.0		50.0	20.0		20.0	-30.0	-60.0
General operating expenses	-1.4		-1.4								
<i>Subtotal non-staff</i>	80.8	1.5	82.3	66.1	7.3	73.4	35.3	8.1	43.4	-30.0	-40.9
Total	417.3	1.5	418.8	481.8	7.3	489.1	449.1	8.1	457.2	-31.9	-6.5
Distributed maintenance	15.5		15.5	10.1		10.1	10.9		10.9	0.8	8.2

Table 64: Sub-programme 3210: Proposed staffing for 2011

<i>Office of the Director (CASD)</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1			2			3		1	1	4
	Situation-related														
	<i>Subtotal</i>				1			2			3		1	1	4
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1			2			3		1	1	4

b) Sub-programme 3220: Human Resources Section

Staff resources

Basic resources

Reclassification

300. The P-2 position of Staffing Officer has undergone a significant change in functions since its initial grading in 2007. This is due to the expanded portfolio of the Staffing Unit as a whole, which now includes many more and diverse functions than the original recruitment-only activities. In 2009, the external expert confirmed the functions of the post at the P-3 level. However, the Committee did not approve it. It is now re-submitted for reclassification.

General temporary assistance

301. One GS-OL Human Resources Assistant (12 months, continued). Experience over the past few years as GTA has determined that this post is required to cope with the administrative workload resulting from the increased staffing of the Court.

302. One GS-OL HR/IT Support Clerk (12 months, continued). With the demands placed on the HR/IT Unit in terms not only of SAP support but of HR reporting and information management, this resource will continue to be required in 2011.

303. One GS-OL Human Resources Assistant (12 months, continued). To support ongoing recruitment efforts, in particular the changeover to e-recruitment. Based on the experience gained once e-recruitment is fully functional, a review of this resource will be made.

Consultants

304. The Court continues to require expert advice on HR projects being implemented as part of the Human Resources Strategy, e.g. policy development, career development.

Non-staff resources

Basic resources

Travel

305. Recurrent. Required for the participation of HR managers in relevant specialized meetings, including the HR Network, the annual career development roundtable, the annual Learning Managers Forum.

Training

306. Recurrent. To cover language and substantive skills training across the Court. Also included is specialist training for medical and welfare staff required for maintaining professional registrations.

Supplies and materials

307. Recurrent. To cover medical and pharmaceutical supplies for the Medical Unit.

Situation-related resources

Travel

308. Recurrent. Required for travel of the Medical Officer and Staff Welfare Officer to the field offices.

Training

309. Recurrent. To cover substantive skills training, including for the field offices. Language training is covered under the basic budget.

Table 65: Sub-programme 3220: Proposed budget for 2011

<i>Human Resources Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			744.9		744.9	760.1		760.1	15.2	2.0
General Service staff				755.4	180.0	935.4	760.2	181.8	942.0	6.6	0.7
<i>Subtotal staff</i>	<i>1,480.1</i>	<i>279.3</i>	<i>1,759.4</i>	<i>1,500.3</i>	<i>180.0</i>	<i>1,680.3</i>	<i>1,520.3</i>	<i>181.8</i>	<i>1,702.1</i>	<i>21.8</i>	<i>1.3</i>
General temporary assistance	231.5		231.5	200.1		200.1	201.6		201.6	1.5	0.7
Consultants	32.5	2.5	35.0	20.0		20.0	20.0		20.0		
<i>Subtotal other staff</i>	<i>264.0</i>	<i>2.5</i>	<i>266.5</i>	<i>220.1</i>		<i>220.1</i>	<i>221.6</i>		<i>221.6</i>	<i>1.5</i>	<i>0.7</i>
Travel	16.9	11.6	28.5	13.6	10.8	24.4	15.2	16.7	31.9	7.5	30.7
Contractual services	70.4	99.6	170.0	50.0	20.0	70.0	11.5		11.5	-58.5	-83.6
Training	248.6	86.6	335.2	147.6	162.4	310.0	147.6	162.4	310.0		
Supplies and materials	4.9		4.9	52.0		52.0	52.0		52.0		
<i>Subtotal non-staff</i>	<i>340.8</i>	<i>197.8</i>	<i>538.6</i>	<i>263.2</i>	<i>193.2</i>	<i>456.4</i>	<i>226.3</i>	<i>179.1</i>	<i>405.4</i>	<i>-51.0</i>	<i>-11.2</i>
Total	2,084.9	479.6	2,564.5	1,983.6	373.2	2,356.8	1,968.2	360.9	2,329.1	-27.7	-1.2
Distributed maintenance	69.9	8.8	78.7	47.9	13.3	61.1	51.8	12.2	64.0	2.9	4.7

Table 66: Sub-programme 3220: Proposed staffing for 2011

<i>Human Resources Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	2	3	1		7	2	10	12	19
	Situation-related												3	3	3
	<i>Subtotal</i>					<i>1</i>	<i>2</i>	<i>3</i>	<i>1</i>		<i>7</i>	<i>2</i>	<i>13</i>	<i>15</i>	<i>22</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic							1	-1						
	Situation-related														
	<i>Subtotal</i>							<i>1</i>	<i>-1</i>						
Total						1	2	4			7	2	13	15	22

c) **Sub-programme 3240: Budget and Finance Section**

Staff resources

General temporary assistance

310. One GS-OL Finance Assistant (12 months, continued). To support the Section during periods of accounting close, external audit, budget preparation and heavy year-end travel processing in the Disbursements Unit. The temporary assistance will be used to maintain efficient support services to the Court in the face of increasing trial activities.

Non-staff resources

Basic resources

Travel

311. To travel to the field offices to train staff in the use of SAP for the travel module and the payroll module implementation.

Contractual services

312. Recurrent. Relates to the cost of the external auditor.

Training

313. Recurrent. To cover specialist training for the section to maintain qualifications.

General operating expenses

314. Recurrent. Based on current development in costs, banking costs have been increased.

Table 67: Sub-programme 3240: Proposed budget for 2011

<i>Budget and Finance Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			707.2		707.2	707.8		707.8	0.6	0.1
General Service staff				720.0	317.7	1,037.7	727.2	319.5	1,046.7	9.0	0.9
<i>Subtotal staff</i>	<i>1,081.0</i>	<i>247.8</i>	<i>1,328.8</i>	<i>1,427.2</i>	<i>317.7</i>	<i>1,744.9</i>	<i>1,435.0</i>	<i>319.5</i>	<i>1,754.5</i>	<i>9.6</i>	<i>0.6</i>
General temporary assistance	108.8		108.8	50.0		50.0	67.2		67.2	17.2	34.4
Overtime	6.3		6.3	2.5		2.5	5.0		5.0	2.5	100.0
<i>Subtotal other staff</i>	<i>115.1</i>		<i>115.1</i>	<i>52.5</i>		<i>52.5</i>	<i>72.2</i>		<i>72.2</i>	<i>19.7</i>	<i>37.5</i>
Travel	4.6	0.1	4.7	9.1		9.1	26.0		26.0	16.9	185.7
Contractual services	63.0		63.0	60.0		60.0	64.0		64.0	4.0	6.7
Training	21.0		21.0	7.6		7.6	12.5		12.5	4.9	64.5
General operating expenses	128.8		128.8	65.0		65.0	100.0		100.0	35.0	53.8
<i>Subtotal non-staff</i>	<i>217.4</i>	<i>0.1</i>	<i>217.5</i>	<i>141.7</i>		<i>141.7</i>	<i>202.5</i>		<i>202.5</i>	<i>60.8</i>	<i>42.9</i>
Total	1,413.5	247.9	1,661.4	1,621.4	317.7	1,939.1	1,709.7	319.5	2,029.2	90.1	4.6
Distributed maintenance	73.7	14.7	88.4	47.9	22.1	70.0	51.8	20.3	72.1	2.1	3.1

Table 68: Sub-programme 3240: Proposed staffing for 2011

<i>Budget and Finance Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	1	3	2		7		12	12	19
	Situation-related											1	4	5	5
	<i>Subtotal</i>					<i>1</i>	<i>1</i>	<i>3</i>	<i>2</i>		<i>7</i>	<i>1</i>	<i>16</i>	<i>17</i>	<i>24</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	1	3	2		7	1	16	17	24

d) Sub-programme 3250: General Services Section

Staff resources

Basic resources

General temporary assistance

315. One GS-OL Handyman (12 months, continued). To take on tasks normally contracted out, such as ad hoc repairs, electrical replacements and light plumbing, and to take on the extra workload of HV1. In 2010, the use of in-house capacity has been very effective in reducing costs of contractual services.

Overtime

316. Recurrent. Efforts are continuing to keep overtime within limits through stringent monitoring and synergies, although some increase has proved to be required based on experience and the needs for simultaneous trial. Even so, the driver requirements of the Victims and Witnesses Unit and for the simultaneous trials may further affect the overtime cost.

Situation-related resources

General temporary assistance

317. Two GS-OL Drivers (6 months each, continued from Contingency Fund). To support simultaneous trials.

Non-staff resources

318. Efforts have been made to maintain the costs for the Arc and HV1 by as far as possible absorbing certain maintenance activities and capital investment within the 2010 budget through revision and reprioritizing. Overall, in respect of the 2011 proposed budget only, non-staff resources have been reduced substantially. For the 2012 proposed budget a thorough review will be made on which the resources then requested will be based. Insofar as possible, some maintenance projects and capital investment may continue to be postponed until further notice.

Basic resources

Travel

319. Recurrent. To attend meetings of the Inter-Agency Network of Facility Managers and of the Logistics Network, and for asset inventory in field offices.

Contractual services

320. Recurrent. Costs have been reduced to the most essential outsourced services, such as deep cleaning for the cafeterias and access to online procurement databases.

Training

321. Recurrent. For training required for the various units within General Services.

General operating expenses

322. Recurrent. The main items contributing to the requested provision are set out below.

323. Maintenance of premises includes regular office cleaning, fire alarm testing and the inspection and repair of fire separators and maintenance of installations such as speed gates, uninterrupted power supply and security systems at the Arc and HV1 buildings. In advance of a further review of items currently under this budget heading, some savings are anticipated, and these are reflected in a substantial reduction of resources.

324. Utility costs for the Arc are based on current actual consumption for the Arc, Saturnusstraat and HV1.

325. Maintenance of equipment including furniture includes the maintenance of vehicles, kitchenettes and non-IT office equipment, and miscellaneous repairs. It also includes maintenance of the security systems. A further reduction has been achieved, in part through the in-house use of a GS-OL Handyman.

326. Other miscellaneous operating costs include insurance, freight, e.g. to and from field locations, and cleaning of courtrooms and associated areas. Estimates for the 2011 budget remain at the 2010 level.

Supplies and materials

327. Recurrent. This item includes consumables such as office supplies. Costs have been maintained at the 2010 level.

Equipment including furniture

328. Costs have been maintained at the 2010 level, with expenditure on equipment based on a system of prioritization.

Situation-related resources*General operating expenses*

329. Recurrent. Costs include cleaning requirements as a result of the full-year usage of the courtrooms and associated areas, and freight forwarding to and from the field offices.

Table 69: Sub-programme 3250: Proposed budget for 2011

<i>General Services Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			610.4		610.4	610.7		610.7	0.3	0.0
General Service staff				1,913.1	300.0	2,213.1	1,928.1	303.0	2,231.1	18.0	0.8
<i>Subtotal staff</i>	<i>2,272.3</i>	<i>256.2</i>	<i>2,528.5</i>	<i>2,523.5</i>	<i>300.0</i>	<i>2,823.5</i>	<i>2,538.8</i>	<i>303.0</i>	<i>2,841.8</i>	<i>18.3</i>	<i>0.6</i>
General temporary assistance	6.7		6.7	66.7		66.7	67.2	67.2	134.4	67.7	101.5
Overtime	68.2	2.0	70.2	60.5		60.5	76.5		76.5	16.0	26.4
<i>Subtotal other staff</i>	<i>74.9</i>	<i>2.0</i>	<i>76.9</i>	<i>127.2</i>		<i>127.2</i>	<i>143.7</i>	<i>67.2</i>	<i>210.9</i>	<i>83.7</i>	<i>65.8</i>
Travel	6.0		6.0	8.4		8.4	16.6		16.6	8.2	97.6
Contractual services	277.1	1.0	278.1	217.0		217.0	41.0		41.0	-176.0	-81.1
Training	15.4		15.4	21.6		21.6	24.0		24.0	2.4	11.1
General operating expenses	2,848.0		2,848.0	2,907.9	100.0	3,007.9	2,592.7	101.0	2,693.7	-314.2	-10.4
Supplies and materials	323.6	0.1	323.7	287.5		287.5	290.9		290.9	3.4	1.2
Equipment including furniture	312.6	76.0	388.6	139.6		139.6	139.6		139.6		
<i>Subtotal non-staff</i>	<i>3,782.7</i>	<i>77.1</i>	<i>3,859.8</i>	<i>3,582.0</i>	<i>100.0</i>	<i>3,682.0</i>	<i>3,104.8</i>	<i>101.0</i>	<i>3,205.8</i>	<i>-476.2</i>	<i>-12.9</i>
Total	6,129.9	335.3	6,465.2	6,232.7	400.0	6,632.7	5,787.3	471.2	6,258.5	-374.2	-5.6
Distributed maintenance	143.6	14.7	158.3	93.3	22.1	115.4	100.9	20.3	121.2	5.8	5.1

Table 70: Sub-programme 3250: Proposed staffing for 2011

<i>General Services Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	1	2	2		6	3	28	31	37
	Situation-related												5	5	5
	<i>Subtotal</i>					<i>1</i>	<i>1</i>	<i>2</i>	<i>2</i>		<i>6</i>	<i>3</i>	<i>33</i>	<i>36</i>	<i>42</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	1	2	2		6	3	33	36	42

e) **Sub-programme 3260: Information and Communication Technologies Section**

Staff resources

Situation-related resources

General temporary assistance

330. One GS-OL Service Desk Technician (12 months, continued) and one GS-OL Service Desk Technician (6 months, continued). As the workload for the Service Technicians remains constantly high, GTA support continues to be required. These posts have been in place as GTA for four years. If workload remains at the current level or increases, a conversion to established posts may be requested for 2012.

331. One GS-OL e-Court Technical Assistant (12 months, continued) and one GS-OL e-Court Technical Assistant (6 months, continued from Contingency Fund). The e-Court team is responsible for managing the technology for hearings, ensuring that the various legal participants have secure access to the various hearings, and providing support to case participants in managing their case-related information. This requirement cannot be filled through internal redeployments or efficiency gains. e-Court support for external legal participants continues to be under-resourced, with demands remaining high and urgent.

Non-staff resources

Basic resources

Travel

332. Recurrent. To cover essential meetings and user conferences on the Court's systems.

Contractual services

333. Recurrent. Major cost carriers in these areas are Internet hosting and outsourcing of contracts to companies to support major projects where the ICT staff lack expertise. Estimated costs have been reduced due to prioritizing activities in 2010.

Training

334. Recurrent. Although technical training for ICT staff is important if the investment in ICT technologies is to be maximized, the total cost has been reduced in view of current and anticipated work pressures.

General operating expenses

335. Recurrent. These costs include annual use of application software licences and databases for the electronic administration systems of the Court, comprising e-Court systems, SAP, TRIM, intranets and the office automation suite including e-mail. It also covers local telephone and mobile communications costs, secure connections between the various locations in The Hague and the maintenance of the Court's Global Communications and Data Network (GCDN). The Court also has an extensive satellite network between the field offices and headquarters locations.

Supplies and materials

336. Recurrent. The increase reflects the takeover of responsibilities for the photocopier and high-speed printing service from the General Services Section, as part of the Court's efforts of improving efficiencies. This item further includes peripheral devices, spare parts, network cards, data cables, portable data devices, etc.

Equipment including furniture

337. Costs reflect the upkeep of critical Court equipment both at headquarters and in the field, including network components of the Court's GCDN and office automation solutions consisting of telephones, PCs, printers, fax machines, software and the server equipment replacement programme. The increased requests reflect the need to replace obsolete radio equipment and systems in the field.

Situation-related resources*Travel*

338. Recurrent. This item is related to communication maintenance and coordination visits to the field.

General operating expenses

339. Recurrent. This item covers the annual maintenance of the courtrooms and the hardware and software costs attached to the maintenance of the e-Court systems. Included are the rental of satellite links from the United Nations, internet capability in each field and remote connection in the field offices to e-Court systems at headquarters. The costs of communication through fixed and mobile telephones are also exclusively covered by the Court budget.

Table 71: Sub-programme 3260: Proposed budget for 2011

<i>Information and Communication Technologies Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			1,060.6	525.6	1,586.2	1,062.6	522.9	1,585.5	-0.7	0.0
General Service staff				1,217.7	946.9	2,164.6	1,228.5	955.9	2,184.4	19.8	0.9
<i>Subtotal staff</i>	<i>2,197.7</i>	<i>1,362.9</i>	<i>3,560.6</i>	<i>2,278.3</i>	<i>1,472.5</i>	<i>3,750.8</i>	<i>2,291.1</i>	<i>1,478.8</i>	<i>3,769.9</i>	<i>19.1</i>	<i>0.5</i>
General temporary assistance	177.3		177.3	33.4	133.4	166.8		201.6	201.6	34.8	20.9
Temporary assistance for meetings				20.0		20.0	20.0		20.0		
Overtime	49.4		49.4	30.0		30.0	30.0		30.0		
<i>Subtotal other staff</i>	<i>226.7</i>		<i>226.7</i>	<i>83.4</i>	<i>133.4</i>	<i>216.8</i>	<i>50.0</i>	<i>201.6</i>	<i>251.6</i>	<i>34.8</i>	<i>16.1</i>
Travel	21.6	33.5	55.1	27.1	53.8	80.9	26.8	60.8	87.6	6.7	8.3
Contractual services	235.4	184.8	420.2	411.0	60.0	471.0	269.0	60.0	329.0	-142.0	-30.1
Training	58.0	8.6	66.6	86.0	14.9	100.9	40.9		40.9	-60.0	-59.5
General operating expenses	1,505.6	2,382.0	3,887.6	1,420.4	2,814.2	4,234.6	1,528.4	2,826.5	4,354.9	120.3	2.8
Supplies and materials	91.1	7.5	98.6	95.0	10.0	105.0	210.0	10.0	220.0	115.0	109.5
Equipment including furniture	556.9	518.4	1,075.3	225.4	181.1	406.5	365.4	181.1	546.5	140.0	34.4
<i>Subtotal non-staff</i>	<i>2,468.6</i>	<i>3,134.8</i>	<i>5,603.4</i>	<i>2,264.9</i>	<i>3,134.0</i>	<i>5,398.9</i>	<i>2,440.5</i>	<i>3,138.4</i>	<i>5,578.9</i>	<i>180.0</i>	<i>3.3</i>
Total	4,893.0	4,497.7	9,390.7	4,626.6	4,739.9	9,366.5	4,781.6	4,818.8	9,600.4	233.9	2.5
Distributed maintenance	-1,206.9	-1,111.5	-2,318.4	-882.7	-1,601.7	-2,484.4	-883.8	-1,612.5	-2,496.3	-11.9	-0.5

Table 72: Sub-programme 3260: Proposed staffing for 2011

<i>Information and Communication Technologies Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	1	5	4		11	1	19	20	31
	Situation-related						2	3			5		18	18	23
	<i>Subtotal</i>					<i>1</i>	<i>3</i>	<i>8</i>	<i>4</i>		<i>16</i>	<i>1</i>	<i>37</i>	<i>38</i>	<i>54</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	3	8	4		16	1	37	38	54

3. Programme 3300: Division of Court Services

Introduction

340. Following structural changes within the Registry, the Victims Participation and Reparations Section has formed part of the Division of Court Services since March 2010. The sections working with victims (VWU and VPRS) are now embedded within the same division, thus allowing optimum use of available resources in executing the Registry's mandate.

341. In 2011, the Division of Court Services (DCS) will continue to focus its efforts on supporting the ongoing simultaneous trials.

342. The Division of Court Services will provide the specialized services, such as high quality e-Court provisions, in particular live transcripts in both working languages, an essential contribution to the conduct of fair, effective and expeditious trials. It will continue to support interpretation in French and English, as well as into the languages spoken by witnesses and persons detained or summoned to appear. It will also be responsible for the well-being of detained persons during the critical period of trial.

343. Specific attention will be given to the implementation of the victims' strategy and the smooth processing of all applications for participation submitted.

344. Additionally, continuing attention will be given to supporting witnesses testifying during the trials and to post-testimony follow-up.

345. Finally, the Division will continue to contribute to the Court's objective to conduct investigations, in cooperation with the Office of the Prosecutor, assisting in the coordination of arrest operations for persons named in arrest warrants, or the appearance of persons subject to summonses to appear, by drafting requests for cooperation and arranging diplomatic, operational and judicial support with States Parties, States not party to the Rome Statute and relevant institutional partners, both in the field and in The Hague.

Objectives

1. Conduct four to five new investigations into cases, within existing or new situations, and at least four trials, subject to external cooperation received. (SO 1)⁷
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute. (SO 2)⁸
3. Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity. (SO 3)
4. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences. (SO 5)

⁷ Subject to final confirmation of charges in the *Prosecutor v. Jean-Pierre Bemba* case.

⁸ The Court's operations carry an inherent risk and are conducted under certain constraints, not least the general security situation in its area of operations and the fact that it has no police or army of its own. A degree of risk will therefore always remain. However, the Court can put in place a system to minimize and mitigate security risks to an acceptable degree through a variety of measures, striving for security of all participants consistent with the Rome Statute.

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Support to Court sessions in accordance with the Regulations of the Court and the Regulations of the Registry. Support of 200 court days for 2011.	- Number of trial days successfully completed.	100%
- Provision of efficient and effective services to requesting parties and to Chambers.	- Number of requests responded to within a week.	90%
Objective 2		
- A well-ordered detention community where the detained persons collectively feel safe from harm and are indeed safe.	- Number of incidents involving serious injuries due to negligence of managements.	0%
- Efficient and effective support, protection and operational/logistical services to victims, witnesses and others at risk, regardless of location, subject to assessment.	- Number of successfully protected witnesses and victims.	100%
Objective 3		
- Terminological accuracy and consistency in translated texts and interpreted events in all used languages.	- Number of searches performed in language tools per month by active users.	200 (minimum)
- Comprehensive and resource-effective use of all translation services.	- Number of duplicated requests in the workflow.	0%
- Adequate number of staff and freelance interpreters and field interpreters available for assignments.	- Number of fulfilled interpretation and field interpretation requests.	90%
- High standards regarding conditions of detention and management of the detention centre.	- Number of positive reviews.	100%
Objective 4		
- Successful arrest and transfer operations.	- Number of actions undertaken by States as a result of a Court request.	80%
- Effective systems to process applications from victims.	- Number of applications received from victims registered and acknowledged within seven days of receipt.	95%
	- Number of applications from victims entered into the database within 30 days of receipt.	95%

Table 73: Programme 3300: Proposed budget for 2011

Division of Court Services	Expenditure 2009 (thousands of euros)			Approved budget 2010 (thousands of euros)					Proposed budget 2011 (thousands of euros)			Resource Growth	
	Basic	Situation-related	Total	Basic excl. RC	Situation-related	Total excl. RC	Basic RC	Total incl. RC	Basic	Situation-related	Total	Amount	%
	No breakdown available			3,498.0	4,870.4	8,368.4		8,368.4	3,881.1	4,694.5	8,575.6	207.2	2.5
Professional staff				540.0	2,360.5	2,900.5		2,900.5	606.0	2,282.9	2,888.9	-11.6	-0.4
General Service staff	3,532.0	5,828.8	9,360.8	4,038.0	7,230.9	11,268.9		11,268.9	4,487.1	6,977.4	11,464.5	195.6	1.7
<i>Subtotal staff</i>													
General temporary assistance	79.0	944.1	1,023.1		1,014.6	1,014.6		1,014.6		2,278.3	2,278.3	1,263.7	124.6
Temporary assistance for meetings	637.3	284.9	922.2	285.7	71.1	356.8		356.8	415.7	292.5	708.2	351.4	98.5
Overtime	8.0	6.2	14.2		79.6	79.6		79.6		68.9	68.9	-10.7	-13.4
Consultants	21.3	123.5	144.8	19.0	203.6	222.6		222.6	32.9	189.7	222.6		
<i>Subtotal other staff</i>	745.6	1,358.7	2,104.3	304.7	1,368.9	1,673.6		1,673.6	448.6	2,829.4	3,278.0	1,604.4	95.9
Travel	47.1	672.7	719.8	33.1	1,157.3	1,190.4	3.5	1,193.9	63.0	1,110.0	1,173.0	-17.4	-1.5
Contractual services	234.8	444.8	679.6	275.9	178.8	454.7		454.7	360.9	303.3	664.2	209.5	46.1
Training	24.8	61.7	86.5	24.4	86.2	110.6		110.6	19.9	104.1	124.0	13.4	12.1
General operating expenses	980.4	1,522.4	2,502.8	1,332.5	2,033.3	3,365.8		3,365.8	1,809.7	2,262.9	4,072.6	706.8	21.0
Supplies and materials	26.5	97.4	123.9	30.5	121.0	151.5		151.5	30.5	115.8	146.3	-5.2	-3.4
Equipment including furniture	26.8	28.9	55.7	31.5	28.0	59.5		59.5	31.5	28.0	59.5		
<i>Subtotal non-staff</i>	1,340.4	2,827.9	4,168.3	1,727.9	3,604.6	5,332.5	3.5	5,336.0	2,315.5	3,924.1	6,239.6	907.1	17.0
Total	5,618.0	10,015.4	15,633.4	6,070.6	12,204.4	18,275.0	3.5	18,278.5	7,251.2	13,730.9	20,982.1	2,707.1	14.8
Distributed maintenance	159.1	305.0	464.1	201.7	313.8	515.4		515.4	128.2	422.4	550.6	35.2	6.8

Table 74: Programme 3300: Proposed staffing for 2011

Division of Court Services		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	Total P-staff and above	GS-PL	GS-OL	Total GS-staff	Total staff
Existing	Basic				1	3	11	11	6	1	33		9	9	42
	Situation-related						4	22	23	5	54	3	52	55	109
	<i>Subtotal</i>				1	3	15	33	29	6	87	3	61	64	151
New/ Converted	Basic							1			1				1
	Situation-related														
	<i>Subtotal</i>							1			1				1
Redeployed/ Reclassified	Basic					1			2		3		1	1	4
	Situation-related						1	-1	1	-4	-3	1	-3	-2	-5
	<i>Subtotal</i>					1	1	-1	3	-4		1	-2	-1	-1
Total					1	4	16	33	32	2	88	4	59	63	151

a) Sub-programme 3310: Office of the Director (DCS)

Staff resources

Basic resources

Consultants

346. For a consultant with the necessary knowledge and skills to assess four times per year the applications received from experts.

Situation-related resources

General temporary assistance

347. One P-2 Associate Legal Officer (12 months, continued). In view of the additional judicial activities (with three trials in 2011, of which two simultaneous trials for 6 months), further increase in the number of filings is expected. This post is required in order to review all filings, with a view to achieving an overall improvement in the quality and coherency of filings of the Registry and to cope with the workload within the Office of the Director (DCS).

348. One GS-OL Administrative Assistant (6 months, continued). In order to assist with the additional workload within the Office of the Director (DCS), as explained in the previous paragraph.

Consultants

349. Consultancy is required to provide the Office of the Director (DCS) and, to a larger extent, the Division of Court Services, with the necessary specialized knowledge for executing its mandate, e.g. list of experts, taking into account the characteristics and specifics of every situation currently before the Court. Fees for expert witnesses, appearing before the Court upon request by the judges, must be taken into account also.

Non-staff resources

Basic resources

Travel

350. Recurrent. Regular meetings with representatives of various other international organizations and NGOs to keep abreast of current developments are necessary for the proper management of the Division and require senior-level presence. These travel resources are necessary to execute the Registry's mandate, in particular in areas such as the protection of victims (article 43(6) of the Rome Statute).

Training

351. Training is required in specific areas such as diplomatic language skills (speaking and writing) and negotiating skills (in particular in relation to notifications), such skills being necessary and valuable tools in executing the tasks of the Office of the Director (DCS).

Situation-related resources

Travel

352. Recurrent. Notification, a relatively complex operation, is expanding and requires senior-level management and presence. Travel is also required to meet local authorities, to prepare operations with regard to arrest and voluntary appearance, to follow up on projects being implemented in the field by the different sections of the Division of Court Services, such as support networks for victims and witnesses, and to establish means of communication for contact between detainees and their family members.

General operating expenses

353. Recurrent. For the rental of premises for the activities in the field relating to arrest operations and support of Chambers' witnesses.

Table 75: Sub-programme 3310: Proposed budget for 2011

<i>Office of the Director (DCS)</i>	<i>Expenditure 2009</i>			<i>Approved budget 2010</i>					<i>Proposed budget 2011</i>			<i>Resource Growth</i>	
	<i>(thousands of euros)</i>			<i>(thousands of euros)</i>					<i>(thousands of euros)</i>			<i>Amount</i>	<i>%</i>
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic excl. RC</i>	<i>Situation-related</i>	<i>Total excl. RC</i>	<i>Basic RC</i>	<i>Total incl. RC</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>		
Professional staff	No breakdown available			162.1	273.5	435.6		435.6	159.0	274.5	433.5	-2.1	-0.5
General Service staff				60.0		60.0		60.0	60.6		60.6	0.6	1.0
<i>Subtotal staff</i>	<i>218.0</i>	<i>265.2</i>	<i>483.2</i>	<i>222.1</i>	<i>273.5</i>	<i>495.6</i>		<i>495.6</i>	<i>219.6</i>	<i>274.5</i>	<i>494.1</i>	<i>-1.5</i>	<i>-0.3</i>
General temporary assistance		22.6	22.6		125.5	125.5		125.5		122.4	122.4	-3.1	-2.5
Consultants		42.7	42.7		81.9	81.9		81.9	13.9	68.0	81.9		
<i>Subtotal other staff</i>		<i>65.3</i>	<i>65.3</i>		<i>207.4</i>	<i>207.4</i>		<i>207.4</i>	<i>13.9</i>	<i>190.4</i>	<i>204.3</i>	<i>-3.1</i>	<i>-1.5</i>
Travel	1.3	15.5	16.8	10.1	58.2	68.3	3.5	71.8	6.9	48.6	55.5	-12.8	-18.7
Contractual services		6.4	6.4										
Training	11.1		11.1	15.9		15.9		15.9	18.4		18.4	2.5	15.7
General operating expenses		7.8	7.8		5.0	5.0		5.0		5.0	5.0		
<i>Subtotal non-staff</i>	<i>12.4</i>	<i>29.7</i>	<i>42.1</i>	<i>26.0</i>	<i>63.2</i>	<i>89.2</i>	<i>3.5</i>	<i>92.7</i>	<i>25.3</i>	<i>53.6</i>	<i>78.9</i>	<i>-10.3</i>	<i>-11.5</i>
Total	230.4	360.2	590.6	248.1	544.1	792.2	3.5	795.7	258.8	518.5	777.3	-14.9	-1.9
Distributed maintenance	7.8	8.8	16.6	5.0	13.3	18.3		18.3	5.5	12.2	17.6	-0.7	-3.6

Table 76: Sub-programme 3310: Proposed staffing for 2011

<i>Office of the Director (DCS)</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1						1		1	1	2
	Situation-related							2	1		3				3
	<i>Subtotal</i>				<i>1</i>			<i>2</i>	<i>1</i>		<i>4</i>		<i>1</i>	<i>1</i>	<i>5</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1			2	1		4		1	1	5

b) Sub-programme 3320: Court Management Section**Staff resources****Basic resources***Consultants*

354. Further consultancy is required for the optimization of court reporting processes, especially in view of the plan to migrate to new transcription support software. Similar consultancy has proved very beneficial in recent years.

Situation-related resources*General temporary assistance*

355. Two P-2 Court Reporters (French and English at 12 months each, continued). To complete the minimum required structure for court reporting, i.e. a team of five Court Reporters each for English and French, of which four each are established posts, so as to prevent any problems due to sickness, leave, etc. and to cover additional demands, all hearings for all other cases not at the trial stage, plenary sessions for the judges, seminars, etc.

356. As previously included in the Contingency Fund, a team consisting of one P-2 Associate Legal Officer/Courtroom Officer, one P-2 Court Reporter (French), one GS-OL Court Clerk, six GS-OL French Text Processors, and one GS-OL AV Operations Assistant, each for six months, is required in order to provide continued support for simultaneous trials.

Overtime

357. Recurrent. Occasional extended sitting hours of the Court as well as operations relating to remote witness testimony are expected to require courtroom staff to work overtime. Overtime will also be required for urgent filing of records after office hours. Overtime is re-distributed among the three trials proportionally to the number of court days per trial.

Consultants

358. In view of the anticipated termination of proceedings in the *Lubanga* case in the course of 2011, consultancy will be required in order to study and plan the policy on the management of the migration of the evidence currently stored in the evidence management system to the records keeping system. In addition to migration, proper integration of data is required. Consultancy in this area may be required for around 10 days. Further consultancy will be required in the area of archiving, especially in the domain of archive management policies and long-term preservation plans and procedures. Around 20 days of consultancy may be required for this.

Non-staff resources**Basic resources***Contractual services**Training*

359. Recurrent. This item includes training and participation in educational travel in matters pertaining to contemporary records and court management and e-Court. Keeping current with developments in these core functions of the section is essential to efficient management of the section and its allotted funds.

General operating costs

360. Recurrent. This item includes maintenance of court reporting equipment and customization of keyboards. The number of machines has to be increased to cover the additional two requested Court Reporters, and two additional software licences for real-time transcript.

Supplies and materials

361. Recurrent. For AV tapes and disks for recording courtroom proceedings, copy requests and supplies and consumables for the Court Records Office.

Equipment including furniture

362. Costs include further enhancement of Court Records office equipment, such as additional scanners and additional dual screens, and necessary replacements. This also includes specialized archiving software and equipment (e.g. for bar coding), enabling efficient archiving of evidence and tapes produced during hearings.

Situation-related resources*Travel*

363. Recurrent. In support of remote witness testimony using video teleconferencing (VTC), AV operations support staff and an Associate Legal Officer will have to be present at remote locations. The assumption is for 15 per cent of witness testimony by VTC, namely approximately six witnesses and six missions.

Contractual services

364. Further enhancement and refinement of existing modules within ECOS are needed to incorporate new requirements of the Court. Enhancements and process optimization will be mostly required mainly in the ECOS modules supporting court hearings scheduling and the processing of court records and transcripts (including their notification to relevant proceedings participants in the proceedings).

365. Additional resources will also be required for outsourcing of English court reporting for simultaneous trial (as previously requested under the Contingency Fund).

Training

366. Recurrent. Specialized training is required for French real-time court reporting and audiovisual staff to enable the correct usage of state-of-the-art technology and to ensure that staff are kept abreast of the latest developments in the relevant areas.

Supplies and materials

367. Recurrent. Includes broadcast-quality video tapes, DVDs and other supplies for audiovisual operational support of court hearings, the use of consumables for the provision and distribution of evidence used in court, and provision of courtroom session material to press and public. In addition, three licences are necessary to produce real-time transcripts by the full team of Court Reporters.

Equipment including furniture

368. Funds are requested for optimizing, refining and updating of AV equipment in the courtrooms.

Table 77: Sub-programme 3320: Proposed budget for 2011

<i>Court Management Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			316.1	815.9	1,132.0	397.8	739.5	1,137.3	5.3	0.5
General Service staff				120.0	677.7	797.7	121.2	683.1	804.3	6.6	0.8
<i>Subtotal staff</i>	<i>345.8</i>	<i>1,120.4</i>	<i>1,466.2</i>	<i>436.1</i>	<i>1,493.6</i>	<i>1,929.7</i>	<i>519.0</i>	<i>1,422.6</i>	<i>1,941.6</i>	<i>11.9</i>	<i>0.6</i>
General temporary assistance	38.0	138.5	176.5		177.6	177.6		535.2	535.2	357.6	201.4
Overtime	0.3	6.2	6.5		30.0	30.0		27.5	27.5	-2.5	-8.3
Consultants	3.1		3.1	11.0	30.0	41.0	11.0	30.0	41.0		
<i>Subtotal other staff</i>	<i>41.4</i>	<i>144.7</i>	<i>186.1</i>	<i>11.0</i>	<i>237.6</i>	<i>248.6</i>	<i>11.0</i>	<i>592.7</i>	<i>603.7</i>	<i>355.1</i>	<i>142.8</i>
Travel	2.1	10.6	12.7		43.1	43.1		37.4	37.4	-5.7	-13.2
Contractual services	7.0	157.9	164.9		40.0	40.0		154.5	154.5	114.5	286.3
Training	6.0		6.0	3.9	27.1	31.0		37.4	37.4	6.4	20.6
General operating expenses	2.3	10.4	12.7	15.5		15.5	7.8		7.8	-7.7	-49.7
Supplies and materials	12.4	78.6	91.0	13.0	105.0	118.0	13.0	96.0	109.0	-9.0	-7.6
Equipment including furniture	21.4	10.5	31.9	25.5	28.0	53.5	25.5	28.0	53.5		
<i>Subtotal non-staff</i>	<i>51.2</i>	<i>268.0</i>	<i>319.2</i>	<i>57.9</i>	<i>243.2</i>	<i>301.1</i>	<i>46.3</i>	<i>353.3</i>	<i>399.6</i>	<i>98.5</i>	<i>32.7</i>
Total	438.4	1,533.1	1,971.5	505.0	1,974.4	2,479.4	576.3	2,368.6	2,944.9	465.5	18.8
Distributed maintenance	19.4	52.8	72.2	12.6	92.8	105.4	16.4	81.2	97.6	-7.8	-7.4

Table 78: Sub-programme 3320: Proposed staffing for 2011

<i>Court Management Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1		1	1		3		2	2	5
	Situation-related							1	9		10	1	10	11	21
	<i>Subtotal</i>					<i>1</i>		<i>2</i>	<i>10</i>		<i>13</i>	<i>1</i>	<i>12</i>	<i>13</i>	<i>26</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic								1		1				1
	Situation-related								-1		-1				-1
	<i>Subtotal</i>														
Total						1		2	10		13	1	12	13	26

c) **Sub-programme 3330: Detention Section**

Staff resources

Situation-related resources

Consultants

369. Recurrent. Psychologist and/or psychiatrist services on a case-by-case need basis, to ensure the well-being of detained persons.

Non-staff resources

Basic resources

General operating expenses

370. Recurrent. Rental of cells is based on 12 cells including remand programme and staff. With the departure of the Special Court for Sierra Leone, the full cost of cells will have to be borne by the Court. Due to the downsizing of ICTY UNDU, the Court is expected to take over its part of shared functions, which equates to three FTE staff. These shared functions include manning the 24-hour control centre and entrance to Unit 4 and providing other joint services, every fourth day. Negotiations are still ongoing with the Dutch authorities on the rental cost.

Situation-related resources

Travel

371. Pending a decision by the Assembly of States Parties on the issue of funding of family visits, travel costs for such visits have been included, based on the decision issued by the Presidency on 10 March 2009,⁹ taking into account the personal circumstances of the detained persons and the composition of their respective families.¹⁰ Costs include all travel and accommodation within the country of residence, costs associated with the visa application process, insurance and expenses relating to their stay in The Netherlands.

Training

372. Recurrent. Includes specific training related to detention and prison management as well as human rights in an international context.

General operating expenses

373. Other miscellaneous operating costs cover items specific to the well-being of detainees in relation to respect for religious and cultural background (pursuant to regulation 102 of the Regulations of the Court and regulation 199 of the Regulations of the Registry).

⁹ ICC-RoR-217-02/08, reclassified as public on 24 March 2009.

¹⁰ ICC-ASP/8/9, paragraph 9.

Table 79: Sub-programme 3330: Proposed budget for 2011

<i>Detention Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			197.5	79.9	277.4	196.1	80.3	276.4	-1.0	-0.4
General Service staff				60.0	60.0	120.0	60.6	60.6	121.2	1.2	1.0
<i>Subtotal staff</i>	229.7	112.2	341.9	257.5	139.9	397.4	256.7	140.9	397.6	0.2	0.1
Consultants					6.0	6.0		6.0	6.0		
<i>Subtotal other staff</i>	11.5	6.9	18.4		6.0	6.0		6.0	6.0		
Travel	3.8	23.3	27.1	2.4	81.5	83.9	3.0	81.5	84.5	0.6	0.7
Contractual services	0.6	1.0	1.6	15.0	2.1	17.1		2.1	2.1	-15.0	-87.7
Training	0.9	18.7	19.6	1.4	16.0	17.4	1.5	17.0	18.5	1.1	6.3
General operating expenses	978.1	5.2	983.3	1,317.0	94.0	1,411.0	1,801.9	94.0	1,895.9	484.9	34.4
Supplies and materials	7.3	4.7	12.0	7.5		7.5	7.5		7.5		
Equipment including furniture	5.4		5.4	6.0		6.0	6.0		6.0		
<i>Subtotal non-staff</i>	996.1	52.9	1,049.0	1,349.3	193.6	1,542.9	1,819.9	194.6	2,014.5	471.6	30.6
Total	1,237.3	172.0	1,409.3	1,606.8	339.5	1,946.3	2,076.6	341.5	2,418.1	471.8	24.2
Distributed maintenance	11.6	5.9	17.5	7.6	8.8	16.4	8.2	8.1	16.3	-0.1	-0.6

Table 80: Sub-programme 3330: Proposed staffing for 2011

<i>Detention Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic						1		1		2		1	1	3
	Situation-related								1		1		1	1	2
	<i>Subtotal</i>						1		2		3		2	2	5
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total							1		2		3		2	2	5

d) Sub-programme 3340: Court Interpretation and Translation Section

Staff resources

Reclassifications

374. In view of increased responsibilities and/or higher level of translation requirements, the following posts have been submitted for review of classification and based on the outcome are now submitted for reclassification: One P-3 to P-4 Court Interpreter (Swahili/Lingala), one P-1 to P-2 Associate Translator/Terminologist (Swahili) and two P-1 to P-2 Associate Court Interpreters (Swahili/Lingala).

Basic resources

Temporary assistance for meetings

375. Recurrent. For a total of 250 interpreter days for languages not covered by in-house staff for diplomatic visits, press conferences and any other non-judicial event or judicial event.¹¹ The increased requirement is based on the indication received from in-house clients.

Situation-related resources

General temporary assistance

376. The Section will continue to depend on GTA to cover interpretation and translation services. However, due to the need to cover simultaneous trials, resource requirements have increased substantially in line with the 2010 Contingency Fund request. The total of 155.9 work months required is apportioned as follows:

- (a) One P-4 Reviser (English; 6 months, continued). To conduct specialized training in editing and revision for translators, interpreters and para-professional interpreters; tailor-made training for judges; input for monitoring of transcripts.
- (b) One P-3 Court Interpreter (Swahili; 12 months, continued) and one P-3 Court Interpreter (Swahili; 6 months, continued). To cover interpretation needs for ongoing trials.
- (c) Two P-3 Court Interpreters (French; 6 months each, continued from Contingency Fund). In support of simultaneous trials.
- (d) One P-2 Associate Translator (Arabic; 12 months, continued). Requirement dictated by two situations where Arabic is used.
- (e) One P-2 Associate Field Interpretation Coordinator (12 months, continued). To assist the Operational Interpretation Coordinator with organization, planning and proposals for missions in the field and redesign training of field interpreters for new assignments.
- (f) One P-1 Para-professional Interpreter (Lingala; 12 months continued). In support of ongoing trials.
- (g) Four P-1 Para-professional Interpreters (Sango; 9 months continued from Contingency Fund). In support of ongoing simultaneous trials.
- (h) Total of 28.9 months of GS-PL field interpretation in the DRC situations. Field Interpreters are additionally assigned to interpret as part of witness familiarization¹² and for transcription of audio material.¹³
- (i) Total of 19 months of GS-PL other field interpretation, including 10.2 months for the CAR situation.

¹¹ Interpreter days as defined by regulations 64 and 65 of the Regulations of the Registry.

¹² See Chambers Decisions, Trial Chamber I, Public Decision of 23 May 2008, ICC-01/04-01/06-1351: "Decision regarding the Protocol on the practices to be used to prepare witnesses for trial", paragraph 38.

¹³ Regulations 174 and 175 of the Registry.

Temporary assistance for meetings

377. Recurrent. For interpreter needs that cannot be covered by in-house staff. This includes both interpreter needs for simultaneous trials and for non-judicial events. The increase reflects the needs for simultaneous interpretation, based on the Contingency Fund request 2010.

Consultants

378. Recurrent. For language expert panels to develop legal and judicial terminology for situation/case languages which lack such terminology. Also for testing panels for interpretation.

Non-staff resources**Basic resources***Contractual services*

379. Recurrent. Continued development of ECOS for translation, interpretation and field interpretation modules. The translation module will be upgraded to include a generic reporting mechanism to enable the section to respond to various reporting requests. Start up and testing phase of the interpretation and field interpretation module, under construction since 2009, will take place during 2011, with users testing and identifying required upgrades.

Supplies and materials

380. Recurrent. To purchase of up-to-date dictionaries and reference materials in working and official languages as well as to pay for recurrent online subscriptions to reference databases and dictionaries needed by translators, revisers, interpreters and terminologists.

Situation-related resources*Travel*

381. Recurrent. For operational interpretation, including witness familiarization, monitoring and transcription as well as continuing field interpretation requirements, for which there are very few qualified field interpreters locally. To maintain a sufficient roster of accredited field interpreters with the relevant (situation-related) languages, a number of field interpreter accreditation missions have to be undertaken jointly with the Language Services Unit of the Office of the Prosecutor. A reduction in cost has been achieved.

Contractual services

382. Recurrent. This item includes outsourced translation, outsourced terminology-related services and contractual services for language expertise in Sango for both terminology and monitoring of transcripts.

Training

383. Recurrent. Provision includes specialist training for translators, revisers, interpreters and terminologists.

Supplies and materials

384. Recurrent. To purchase dictionaries and reference materials in situation/case languages which are necessary for translators, revisers, interpreters and terminologists.

Table 81: Sub-programme 3340: Proposed budget for 2011

<i>Court Interpretation and Translation Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			1,720.1	2,368.0	4,088.1	1,710.7	2,386.6	4,097.3	9.2	0.2
General Service staff				180.0	317.7	497.7	242.4	258.9	501.3	3.6	0.7
<i>Subtotal staff</i>	<i>1,745.1</i>	<i>2,040.8</i>	<i>3,785.9</i>	<i>1,900.1</i>	<i>2,685.7</i>	<i>4,585.8</i>	<i>1,953.1</i>	<i>2,645.5</i>	<i>4,598.6</i>	<i>12.8</i>	<i>0.3</i>
General temporary assistance	0.1	593.5	593.6		581.6	581.6		1,158.9	1,158.9	577.3	99.3
Temporary assistance for meetings	637.3	284.9	922.2	285.7	71.1	356.8	415.7	292.5	708.2	351.4	98.5
Consultants		66.1	66.1		64.2	64.2		64.2	64.2		
<i>Subtotal other staff</i>	<i>637.4</i>	<i>944.5</i>	<i>1,581.9</i>	<i>285.7</i>	<i>716.9</i>	<i>1,002.6</i>	<i>415.7</i>	<i>1,515.6</i>	<i>1,931.3</i>	<i>928.7</i>	<i>92.6</i>
Travel	12.2	235.8	248.0	9.3	269.3	278.6	10.8	220.4	231.2	-47.4	-17.0
Contractual services	227.2	207.2	434.4	257.5	83.0	340.5	257.5	93.0	350.5	10.0	2.9
Training	3.8	14.5	18.3		16.2	16.2		17.8	17.8	1.6	9.9
Supplies and materials	6.8	9.0	15.8	10.0	10.0	20.0	10.0	10.0	20.0		
Equipment including furniture		1.4	1.4								
<i>Subtotal non-staff</i>	<i>250.0</i>	<i>467.9</i>	<i>717.9</i>	<i>276.8</i>	<i>378.5</i>	<i>655.3</i>	<i>278.3</i>	<i>341.2</i>	<i>619.5</i>	<i>-35.8</i>	<i>-5.5</i>
Total	2,632.5	3,453.2	6,085.7	2,462.6	3,781.1	6,243.7	2,647.1	4,502.3	7,149.4	905.7	-14.5
Distributed maintenance	73.7	90.9	164.7	47.9	132.6	180.5	54.6	117.8	172.3	-8.2	-4.5

Table 82: Sub-programme 3340: Proposed staffing for 2011

<i>Court Interpretation and Translation Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	7	7	1		16		3	3	19
	Situation-related						4	13	4	4	25	1	4	5	30
	<i>Subtotal</i>					<i>1</i>	<i>11</i>	<i>20</i>	<i>5</i>	<i>4</i>	<i>41</i>	<i>1</i>	<i>7</i>	<i>8</i>	<i>49</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic												1	1	1
	Situation-related						1	-1	3	-3			-1	-1	-1
	<i>Subtotal</i>						<i>1</i>	<i>-1</i>	<i>3</i>	<i>-3</i>					
Total						1	12	19	8	1	41	1	7	8	49

e) **Sub-programme 3350: Victims and Witnesses Unit**

Staff resources

Reclassification

385. One P-3 reclassification to P-4 Head of the Support Unit is proposed in view of the continuing development of the Support Unit and the increased responsibilities and growth in workload. The Support Unit is responding to increased demands at headquarters and in the field, in particular in the areas of witness familiarization and needs of witnesses in particular vulnerable witnesses, as well as cooperation with Chambers and other sections of the Court and with external parties.

386. One P-2 to P-3 Legal Officer. Several duties and objectives have been added to the responsibilities of the incumbent since the initial classification of the post as Associate Legal Officer, which require a high level of independence and problem-solving ability. Furthermore, the incumbent will act as the Unit's focal point for all outreach activities, including representing the unit in meetings with other international courts and tribunals, international organizations and NGOs operating in areas related to the work of the Court.

Situation-related resources

One P-3 Psychologist/Psychological Trauma Expert

387. The psychologist is essential in providing expertise in relation to applicants and participants in the ICC Protection Programme and to witnesses coming to testify before the Court. Activities include undertaking the psycho-social assessments of applicants for the ICCPP; providing strategic direction for the planning and implementation of support-related aspects of ICCPP participants; monitoring and responding to emergency situations in respect of ICCPP participants; administering the Witness/Victim Support Programme of the ICCPP, etc. The psychologist will also be required to conduct psychological assessments on witnesses testifying in Court trials, in particular vulnerable witnesses such as victims of gender-based violence, children, ex-child soldiers and other highly traumatized persons.

General temporary assistance

388. One P-2 Associate Operations Officer (12 months, continued from Contingency Fund). To effectively coordinate and implement trial operations in the field and to oversee the provision of VWU assistance to witnesses travelling to the seat of the Court to testify, to develop a local support network, to advise investigative teams on good practice and to develop support protocols in assisting both OTP and defence investigations.

389. One P-2 Associate Protection Officer (12 months, continued from Contingency Fund). To advise and train staff of the VPRS and the OPCV, as well as victims' legal representatives, on good practices and to develop protection protocols for their interaction with victims. Other activities include taking part in assessments and fact-finding missions prior to and during the Court's interaction with victim applicants, undertaking multiple risk assessment relating to victims referrals before the Court, etc.

390. Two GS-OL Support Assistants (12 months each, continued from Contingency Fund). To attend to the practical needs of witnesses for the duration of their stay in The Netherlands. Duties include meeting witnesses at their respective points of entry into the country, accompanying them at all times, assisting in matters such as visas, customs, medical appointments, performing Court familiarization, etc.

391. Three GS-OL Field Support Assistants (12 months, continued from Contingency Fund). To support trial-related operations in the DRC. Duties include implementing support measures, providing support, logistical and operational assistance, escorting victims/witnesses in the area of operations and during international travel as required, ensuring the physical and psychological needs of witnesses are taken into account and appropriately planned for, etc.

Overtime

392. Recurrent. To cover the overtime hours worked in connection with trials. With the current workload relating to the various trials, staff have incurred a large amount of overtime on a monthly basis. To reduce staff overtime, a policy of flexible working hours has been adopted. However, this will not cover all the overtime required.

Consultants

393. To provide specialized expertise for forensic psychological assessments of specific witnesses to be included in the Court's Witness Protection Programme, and expert assistance to finalize the ICC Strategy in relation to victims, and for the Committee of Witness Protection Experts to review the VWU protection and operation systems currently in place.

Non-staff resources**Basic resources***Travel*

394. Recurrent. To negotiate witness relocation agreements and attend a witness protection conference so as to remain abreast of international best practices in the field.

Situation-related resources*Travel*

395. Recurrent. Costs include operational travel relating to the provision of witness protection and support services, including witness escort travel for trial purposes, and staff travel relating to witness protection and support.

Training

396. Recurrent. Training required for the VWU include training in the handling of vulnerable and traumatized witnesses, witness protection and self-care techniques, and physical and infrastructure security assessment mandatory as per rule 18(d) of the Rules of Procedure and Evidence.

General operating expenses

397. Recurrent. These expenses include trial-related costs including travel of witnesses and victims for testimony, Initial Response Systems (IRS) and other local protection measures in the situations where the Court is operational, and witness resettlement and relocation expenses.

Supplies and materials

398. Recurrent. To cover the cost of supplies and materials, not provided by other sections of the Court, for VWU staff posted in the field or frequently travelling to the field on mission, in order to facilitate operations in demanding field conditions.

Table 83: Sub-programme 3350: Proposed budget for 2011

<i>Victims and Witnesses Unit</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			551.3	1,067.3	1,618.6	762.0	1,027.7	1,789.7	171.1	10.6
General Service staff				60.0	1,072.7	1,132.7	60.6	1,106.7	1,167.3	34.6	3.1
<i>Subtotal staff</i>	<i>474.4</i>	<i>1,920.7</i>	<i>2,395.1</i>	<i>611.3</i>	<i>2,140.0</i>	<i>2,751.3</i>	<i>822.6</i>	<i>2,134.4</i>	<i>2,957.0</i>	<i>205.7</i>	<i>7.5</i>
General temporary assistance	0.4	144.6	145.0		107.5	107.5		455.2	455.2	347.7	323.4
Overtime	7.7		7.7		49.6	49.6		41.4	41.4	-8.2	-16.5
Consultants		7.1	7.1		21.5	21.5		21.5	21.5		
<i>Subtotal other staff</i>	<i>8.1</i>	<i>151.7</i>	<i>159.8</i>		<i>178.6</i>	<i>178.6</i>		<i>518.1</i>	<i>518.1</i>	<i>339.5</i>	<i>190.1</i>
Travel	25.2	320.6	345.8	11.3	623.6	634.9	34.4	607.6	642.0	7.1	1.1
Training		28.5	28.5		26.9	26.9		26.9	26.9		
General operating expenses		1,499.0	1,499.0		1,934.3	1,934.3		2,163.9	2,163.9	229.6	11.9
Supplies and materials		5.1	5.1		6.0	6.0		8.0	8.0	2.0	33.3
Equipment including furniture		17.0	17.0								
<i>Subtotal non-staff</i>	<i>25.2</i>	<i>1,870.2</i>	<i>1,895.4</i>	<i>11.3</i>	<i>2,590.8</i>	<i>2,602.1</i>	<i>34.4</i>	<i>2,806.4</i>	<i>2,840.8</i>	<i>238.7</i>	<i>9.2</i>
Total	507.7	3,942.6	4,450.3	622.6	4,909.4	5,532.0	857.0	5,458.9	6,315.9	783.9	14.2
Distributed maintenance	23.3	120.2	143.5	110.9	26.5	137.4	21.8	174.7	196.5	59.1	43.0

Table 84: Sub-programme 3350: Proposed staffing for 2011

<i>Victims and Witnesses Unit</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	2	1	1		5		1	1	6
	Situation-related							6	6		12	1	31	32	44
	<i>Subtotal</i>					<i>1</i>	<i>2</i>	<i>7</i>	<i>7</i>		<i>17</i>	<i>1</i>	<i>32</i>	<i>33</i>	<i>50</i>
New/ Converted	Basic							1			1				1
	Situation-related														
	<i>Subtotal</i>							<i>1</i>			<i>1</i>				<i>1</i>
Redeployed/ Reclassified	Basic						1				1				1
	Situation-related								-1		-1	1	-1		-1
	<i>Subtotal</i>						<i>1</i>		<i>-1</i>			<i>1</i>	<i>-1</i>		
Total						1	3	8	6		18	2	31	33	51

f) Sub-programme 3360: Victims Participation and Reparations Section

399. Following the restructuring of the Division of Victims and Counsel (3500), the Victims Participation and Reparations Section (formerly 3530) has been moved to the Division of Court Services. All previous years' expenditures and approved budget of the section, excluding the cost of counsel, have been integrated with the data for that Division.

Staff resources

Basic resources

Consultants

400. Experience has shown that hiring consultants to carry out mapping for situations and cases is more efficient and cost-effective than using staff time. Provision for mapping victim communities has been included. Provision is also made for seeking expert advice on the continuing development of the VPRS applications database.

Situation-related resources

General temporary assistance

401. One GS-OL Field Assistant (Chad, six months, continued). To support VPRS activities in the field.

Non-staff resources

Basic resources

Travel

402. Recurrent. For bilateral meetings between the Chief of VPRS and NGOs.

Contractual services

403. Recurrent. Resources are requested for the ECOS database development related to VPRS.

Situation-related resources

Travel

404. Recurrent. The increase in travel costs reflects the increase in judicial activities and the anticipated need for field-based staff to conduct their activities within the field as well as to travel to and from HQ. Experience has shown that travel to HQ is important in the interests of efficiency, to enable planning and briefings to take place. Furthermore, this item includes an element for travel to other areas for the purposes of targeting the Darfur diaspora, as part of a joint project with PIDS to reach refugee communities from Darfur.

Contractual services

405. Recurrent. Provision is made for meetings with victims and training of intermediaries in the field.

Training

406. Recurrent. Provision is made for training on dealing with the impact of interaction with victims of crimes: to enable staff interacting with victims to maximize well-being of victims and of themselves.

Table 85: Sub-programme 3360: Proposed budget for 2011

<i>Victims Participation and Reparations Section</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			550.9	265.8	816.7	655.5	185.9	841.4	24.7	3.0
General Service staff				60.0	232.4	292.4	60.6	173.6	234.2	-58.2	-19.9
<i>Subtotal staff</i>	<i>519.0</i>	<i>369.5</i>	<i>888.5</i>	<i>610.9</i>	<i>498.2</i>	<i>1,109.1</i>	<i>716.1</i>	<i>359.5</i>	<i>1,075.6</i>	<i>-33.5</i>	<i>-3.0</i>
General temporary assistance	29.0	38.0	67.0		22.4	22.4		6.6	6.6	-15.8	-70.5
Consultants	18.2	7.6	25.8	8.0		8.0	8.0		8.0		
<i>Subtotal other staff</i>	<i>47.2</i>	<i>45.6</i>	<i>92.8</i>	<i>8.0</i>	<i>22.4</i>	<i>30.4</i>	<i>8.0</i>	<i>6.6</i>	<i>14.6</i>	<i>-15.8</i>	<i>-52.0</i>
Travel	2.5	66.9	69.4		81.6	81.6	7.9	114.5	122.4	40.8	50.0
Contractual services		72.3	72.3	3.4	53.7	57.1	103.4	53.7	157.1	100.0	175.1
Training	3.0		3.0	3.2		3.2		5.0	5.0	1.8	56.3
Supplies and materials								1.8	1.8	1.8	
<i>Subtotal non-staff</i>	<i>5.5</i>	<i>139.2</i>	<i>144.7</i>	<i>6.6</i>	<i>135.3</i>	<i>141.9</i>	<i>111.3</i>	<i>175.0</i>	<i>286.3</i>	<i>144.4</i>	<i>101.8</i>
Total	571.7	554.3	1,126.0	625.5	655.9	1,281.4	835.4	541.1	1,376.5	95.1	7.4
Distributed maintenance	23.3	26.4	49.7	17.6	39.8	57.4	21.8	28.4	50.3	-7.1	-12.5

Table 86: Sub-programme 3360: Proposed staffing for 2011

<i>Victims Participation and Reparations Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic						1	2	2	1	6		1	1	7
	Situation-related								2	1	3		6	6	9
	<i>Subtotal</i>						<i>1</i>	<i>2</i>	<i>4</i>	<i>2</i>	<i>9</i>		<i>7</i>	<i>7</i>	<i>16</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic					1	-1		1		1				1
	Situation-related									-1	-1		-1	-1	-2
	<i>Subtotal</i>					<i>1</i>	<i>-1</i>		<i>1</i>	<i>-1</i>			<i>-1</i>	<i>-1</i>	<i>-1</i>
Total						1		2	5	1	9		6	6	15

4. Programme 3400: Public Information and Documentation Section

Introduction

407. As requested by the Assembly of States Parties,¹⁴ the Public Information and Documentation Section (PIDS) will present a Strategic Communications Plan at the ninth session of the Assembly.

408. In line with the Court's goals and objectives, the aim of the Strategic Plan is to continue increasing public awareness of the Court and its mandate and activities. Whilst outreach in situation-related countries will remain key, the focus for PIDS in 2011 will be to use new social networks and digital means to reach out to a broader range of groups at international level and in a cost-effective way, strengthening the relationship with traditional mass media, and developing specific programmes that will engage relevant groups such as the legal community and academia more effectively.

409. With this aim, the Public Affairs Unit and in particular the media relations team will shift from a traditional way of working in order to target a broader audience. Currently, social networks such as Twitter, Flickr and YouTube have proved to be the best way to reach thousands of people with only a single click.

410. Journalists and other key groups for the Court, including the legal community, universities and schools, require specific programmes to engage them to act as partners that will contribute to an increase in visibility and a clearer understanding of the Court. Projects such as the establishment of a moot court in different languages or a journalism award, as well as seminars and specific basic training courses, will be organized in partnership with NGOs and various associations, institutions and organizations, so as to avoid an increase in non-staff resources.

Objectives

1. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities. (SO 4)
2. Ensure publicity of all proceedings for local and global audiences. (SO 7)
3. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 6)

¹⁴ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. I, part II, ICC-ASP/8/Res. 3, para 34.

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Increased awareness and understanding at grass-roots level of Court activities.	- Number of interactive sessions conducted.	60 per situation
	- Number of people reached directly at interactive sessions.	20% more per situation compared to 2010
	- Estimated population reached via radio and television.	80% of affected communities
	- Number of hours of radio broadcast of the interactive programme <i>Ask the Court</i> .	50 hours per situation
- Increased applications by victims to participate in proceedings.	- Proportion of properly filled victims' applications submitted.	80%
Objective 2		
- Increased access to Court judicial proceedings.	In countries concerned:	
	- Number of hours of radio broadcast of audio summaries.	45 hours
	- Number of hours of TV broadcast of video summaries.	35 hours
	- Increased number of interviews given to the media.	20 more than in 2010 per situation
	- Number of publications distributed.	25,000
	- Increased number of visitors to the Court's website video-streaming service.	2,000 more than in 2010
Objective 3		
- Constantly increase global awareness of the Court through media and campaigns.	- Increased number of visitors attending briefings at the seat of the Court.	1,000 more than in 2010
	- Increased number of interviews given to the media by ICC officials based in The Hague.	100 more than in 2010
	- Increased number of female lawyers, especially from Africa, submitting applications to the list of Counsels and list of assistants to Counsel following the ICC-IBA campaign <i>Calling Female Lawyers</i> .	*)

*) On 12 May 2010, the list of Counsels had 335 individuals, of which 61 are female, and the list of assistants had 63 members, of which 30 are female. Less than 4 per cent are African female lawyers.

Staff resources

Basic resources

General temporary assistance

411. One P-2 Audiovisual Producer (12 months, continued). The incumbent will plan and lead operations to develop and disseminate television and radio broadcasts, films and other programmes in order to provide information about the Court and promote a clearer understanding of its judicial activities for outreach and information purposes. With legal and AV expertise the incumbent will conceive, plan and produce programmes. Duties include supervision of staff.

Situation-related resources

General temporary assistance

412. One GS-OL Administrative Assistant (12 months, continued). To support outreach activities.

413. Two GS-OL Audiovisual Production Assistants (one for 12 months, continued; one for 6 months, continued from Contingency Fund). With a legal profile and public information expertise, this position is required to assist the production of radio and television programmes by following and videotaping the Court's proceedings (an average of 5 hours per day), writing daily summaries of judicial activities, preparing weekly highlights for the production of programmes, ensuring the distribution of productions to

international and regional media and Court field offices, and answering to internal and public demands.

414. One GS-OL Protocol and Events Assistant (6 months, continued from Contingency Fund). To support coverage of three trials, of which two simultaneously: manning the information desk in the D-Wing, receiving group visitors, organizing other events and handling document requests.

Non-staff resources

Basic resources

Travel

415. Recurrent. Includes travel of the Chief of Section for consultation meetings and network meetings with NGOs, of the Spokesperson accompanying the Registrar and President twice a year, and of the Librarian to attend the Annual Conference of United Nations Librarians.

Contractual services

416. Recurrent. Costs include satellite broadcasting of key hearings, external printing, other contractual services for inter-library loans and bindings and for a contractual cataloguer to assist with multilingual cataloguing of Asian, Arabic and Russian scripts, media monitoring and broadcasting services, and capacity-building of African journalists in The Hague.

Training

417. Recurrent. Training includes specialized training by the International Association of Law Librarians and the SirsiDynix International Administrators. Training on ArcGIS software to further the knowledge of map design and enable staff to create better digital maps for the website, presentations, video programmes and printed maps for the offices, etc.

General operating expenses

418. Recurrent. Cost for software maintenance for the Library required for access to electronic databases has been reduced. This item further covers databases for Registry and OTP, and subscriptions for Chambers.

Supplies and materials

419. Recurrent. This provision has been redirected reducing the procurement of books to fund digital resources such as electronic books, serials and DVDs.

Situation-related resources

Travel

420. Recurrent. Travel is required for outreach activities in the situations under investigation, including internal travel to areas where affected communities are concentrated and travel related to outreach activities with the Darfur diaspora. This item also includes travel of Field Outreach staff members to headquarters for training.

Contractual services

421. Recurrent. Resources include distribution of weekly video and audio summaries through national television and local radios in the existing situations and in Africa generally. Also, in each situation, existing networks and coalitions are used to enhance outreach and increase the impact of activities. Outreach missions to affected communities are held and experience-sharing seminars are organized. Further included under this item are media monitoring, printing of outreach materials, etc.

Supplies and materials

422. Recurrent. For machines, cables and spare parts for the AV Unit.

Table 87: Programme 3400: Proposed budget for 2011

Public Information and Documentation Section	Expenditure 2009			Approved budget 2010					Proposed budget 2011			Resource Growth	
	(thousands of euros)			(thousands of euros)					(thousands of euros)				
	Basic	Situation-related	Total	Basic excl. RC	Situation-related	Total excl. RC	Basic RC	Total incl. RC	Basic	Situation-related	Total	Amount	%
Professional staff	No breakdown available			828.7	520.4	1,349.1		1,349.1	825.5	521.2	1,346.7	-2.4	-0.2
General Service staff	No breakdown available			480.0	196.9	676.9		676.9	484.8	206.9	691.7	14.8	2.2
Subtotal staff	1,042.1	637.9	1,680.0	1,308.7	717.3	2,026.0		2,026.0	1,310.3	728.1	2,038.4	12.4	0.6
General temporary assistance	139.2	85.1	224.3	88.8	33.4	122.2		122.2	108.0	201.6	309.6	187.4	153.4
Subtotal other staff	139.2	85.1	224.3	88.8	33.4	122.2		122.2	108.0	201.6	309.6	187.4	153.4
Travel	3.6	81.1	84.7	28.8	60.1	88.9	3.9	92.8	31.2	61.7	92.9	4.0	4.5
Contractual services	56.7	645.9	702.6	208.3	619.8	828.1		828.1	246.0	598.9	844.9	16.8	2.0
Training	32.4		32.4	2.0		2.0		2.0	3.8		3.8	1.8	90.0
General operating expenses	56.8		56.8	78.0		78.0		78.0	82.0	13.5	95.5	17.5	22.4
Supplies and materials	215.9		215.9	130.0		130.0		130.0	130.0		130.0		
Subtotal non-staff	365.4	727.0	1,092.4	447.1	679.9	1,127.0	3.9	1,130.9	493.0	674.1	1,167.1	40.1	3.6
Total	1,546.7	1,450.0	2,996.7	1,844.6	1,430.6	3,275.2	3.9	3,279.1	1,911.3	1,603.8	3,515.1	239.9	7.3
Distributed maintenance	58.2	49.9	108.1	40.3	75.1	115.5		115.5	43.6	69.0	112.7	-2.8	-2.4

Table 88: Programme 3400: Proposed staffing for 2011

<i>Public Information and Documentation Section</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic					1	3	1	3		8		8	8	16
	Situation-related								6		6	3	8	11	17
	<i>Subtotal</i>					<i>1</i>	<i>3</i>	<i>1</i>	<i>9</i>		<i>14</i>	<i>3</i>	<i>16</i>	<i>19</i>	<i>33</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total						1	3	1	9		14	3	16	19	33

D. Major Programme IV: Secretariat of the Assembly of States Parties

Introduction

423. The Secretariat provides the Assembly of States Parties and its Bureau and subsidiary bodies with administrative and technical assistance in the discharge of their functions under the Statute. The conference-servicing functions of the Secretariat include the planning, preparation and coordination of the meetings of the Assembly and its subsidiary organs, and receiving, translating, reproducing and distributing documents, reports and decisions of the Assembly and its subsidiary bodies.

424. In addition, it provides substantive servicing of the Assembly and its subsidiary bodies. The substantive servicing functions include providing legal and substantive secretariat services such as the provision of documentation, reports and analytical summaries, and supplying advice within the Secretariat on legal and substantive issues relating to the work of the Assembly. Other functions include advising on the Financial Regulations and Rules and preparing draft resolutions on financial and budgetary needs.

425. After three years of reductions, the proposed budget for major programme IV foresees a minor increase. The proposed budget allows the Secretariat to carry out its mandate via continued efficiency measures, especially in the area of translation and reproduction of documentation, and the flexibility granted by the Assembly in its use of GTA posts to service short-term needs.

Objectives

1. Organize quality conferences for the tenth session of the Assembly in The Hague, and two sessions of the Committee on Budget and Finance in The Hague. In addition, the Secretariat will service meetings of a number of subsidiary bodies of the Assembly, in particular, meetings of The Hague Working Group of the Bureau, and the Oversight Committee for the Permanent Premises.
2. Enable the Assembly and its subsidiary organs to carry out their mandate more effectively by: providing them with quality servicing and support, such as planning and coordinating conference services; preparing, coordinating and submitting documentation; monitoring the compliance of various organs of the Court with regulations governing the timely preparation and submission of documents; identifying and acquiring additional resources to enable the Secretariat to carry out its mandate effectively and efficiently; and ensuring that States Parties have access to conference and documentation services in accordance with the Statute. The Secretariat is also entrusted with assisting the Assembly in attaining the objectives set out in its Plan of action.
3. Research and prepare analytical studies on the application and interpretation of the provisions of the Statute relating to the Assembly and its subsidiary bodies.
4. Enable effective dissemination of documentation and information to States Parties and other interested organizations via, inter alia, the Internet.

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Conference held as planned.	<ul style="list-style-type: none"> - Meetings run smoothly, end on time and adopt reports. - All agenda items are considered. - Participants are supported substantively and logistically at meetings, including with registration, provision of documentation and language services. - Session participants are satisfied with the arrangements and information provided. 	n/a
Objective 2		
- Quality edited and translated documents released for processing, production and distribution in a timely manner.	<ul style="list-style-type: none"> - States are provided and satisfied with quality conference services and with the editing, translation and timely issuance of documents, in the six official languages, which fully support them in their functions. - States are assisted as required, in particular with the provision of information and documentation regarding the Assembly and Court. 	n/a
Objective 3		
- Quality legal advice provided to the Assembly and its subsidiary bodies.	<ul style="list-style-type: none"> - States are provided with substantive legal services, especially in the form of documentation, which facilitate and support their work. - Members of the Assembly and relevant bodies are satisfied with the sessions. 	n/a
Objective 4		
- Effective dissemination of documentation and information to States Parties via, inter alia, the Internet.	<ul style="list-style-type: none"> - Website and Assembly extranet are used frequently. - Information and documentation can be accessed without delays. 	n/a

Staff resources

Basic resources

One P-2 Special Assistant to the Director

426. Experience over the past three years has demonstrated that, with proper supervision and guidance, the essential functions of the P-4 Conference Services and Protocol Officer can be carried out by a staff member at a lower level. In view of this and the need of the Secretariat to have a P-2 Special Assistant to the Director, the Secretariat proposes to return the P-4 post and request instead this P-2 post.

One P-2 Associate Legal Officer

427. The workload of substantive servicing of the Assembly and its subsidiary bodies has increased considerably since the Secretariat was established in 2004. There are new bodies, never envisioned in the original framework considered by the Preparatory Commission in 2003, and the number of meetings of these bodies has increased considerably beyond what had been expected. Such bodies include the Bureau, and its Hague and New York Working Groups, as well as the Oversight Committee on permanent premises. The length of the sessions of the Committee on Budget and Finance has also increased. Furthermore, the Assembly has also held resumed sessions that were not foreseen in the original configuration of the Secretariat. It is worth noting that although it is based in The Hague, the Secretariat provides substantive servicing of the Presidency of the Assembly, the Bureau and its New York Working Group, via the preparation of pre-session and post-session documentation.

Table 89: Official meetings serviced by the Secretariat of the Assembly of States Parties

<i>Number of official meetings serviced in 2009</i>	
Bureau	18
Hague Working Group	27
New York Working Group	9
Oversight Committee	20

428. The establishment of a new P-2 Associate Legal Officer post would allow the Secretariat to provide better support to the Assembly and its bodies. The funding for this post would be offset in large measure by the savings resulting from the conversion of the P-4 Conferences Services and Protocol Officer post proposed above and by increased efficiencies in other areas, in particular documentation. As a result, the overall budget for major programme IV would not increase, while the Secretariat would be strengthened in its core function of substantive servicing of the Assembly.

429. The Secretariat of the Assembly of States Parties expects less documentation for the tenth session of the Assembly. The preparation of the documentation will require the editing, translation and revision of official documents for the Assembly of States Parties and the Committee on Budget and Finance.¹⁵ The number of FTE for translation and editing per language is two (one translator and one reviser).¹⁶ The Secretariat will continue its endeavour to increase efficiencies, inter alia through the outsourcing of translations.

Table 90: Workload indicators for the Secretariat of the Assembly of States Parties

<i>Item</i>	<i>Number of pages in 2009⁽¹⁾</i>	<i>Expected workload 2010</i>	<i>Expected % increase in 2010</i>	<i>Anticipated workload 2011</i>	<i>Expected % increase in 2011</i>	<i>Number of FTE⁽²⁾ 2010</i>	<i>Number of FTE⁽²⁾ 2011</i>
Official documents and papers (English) received for editing, translation and/or revision	2,212	1,600	-27.7	1,440	-10.0	2	2
Official documents and papers edited, revised and/or translated into the five other official languages (Arabic, Chinese, French, Russian, Spanish) ⁽³⁾	9,447	5,100	-46.2	4,590	-10.0	10	10
Other publications ⁽⁴⁾ (English) edited, translated and/or revised	588	150	-74.5	150	0.0	-	-
Other publications ⁽⁴⁾ edited, revised and/or translated into three languages (Arabic, French, Spanish)	1,764	450	-74.5	450	0.0	-	-
Total	14,011	7,300	-47.9	6,630	-9.2	12	12

⁽¹⁾ Number of words per page is 300.

⁽²⁾ Translator and reviser per language - commencing full time from July until November/December each year. From January to June the Secretariat relies on outsourcing.

⁽³⁾ As of 2009 most of the documents are only translated into Arabic, French and Spanish.¹⁷

⁽⁴⁾ In addition to the session documents and official records, a number of publications have been planned and produced: a third edition of the Selected Basic Documents related to the International Criminal Court; the Assembly Newsletter; and the Assembly Fact Sheet.

¹⁵ *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol. II, part B.2, para. 96.

¹⁶ The timely issuance of official documentation by the Secretariat of the Assembly is contingent upon the staggered and orderly submission of draft documents by the Court in accordance with the annual timetable prepared by the Secretariat pursuant to the Manual of Procedures adopted by the Bureau of the Assembly.

¹⁷ *Official Records ... Seventh session ... 2008* (ICC-ASP/7/20), vol. I, part III, ICC-ASP/7/Res.6 and ICC-ASP/7/Res.7.

General temporary assistance

430. The decrease in the budget for GTA mainly results from using the budget line previously allocated to one GTA P-3 Conference Officer for two GTA P-1 Special Assistant posts, thus doubling the number of persons available to work on pre-session and in-session matters which core staff cannot undertake, while reducing budgetary requirements.

431. The Secretariat will require Special Assistants during the months leading up to and including the annual session of the Assembly. Special Assistants will assist the Director with all the logistical aspects of the meetings of the Assembly, including determining space requirements and equipment needs, registration of delegations and NGO representatives and communicating with delegates.

Temporary assistance for meetings

432. This item has been kept at the same level as in 2010.

Overtime

433. Recurrent. Overtime has been kept at the same level as in 2010. Overtime is incurred by all conference support staff and typists.

Non-staff resources**Basic resources***Travel*

434. The changes to the travel budget reflect the diminished amount of travel resulting from holding the tenth session of the Assembly in The Hague, as opposed to United Nations headquarters.

Contractual services

435. Recurrent. The increase of 17 per cent in contractual services mainly reflects the actual cost of organizing the tenth session of the Assembly, which will be held in The Hague. The cost structure is different from the years when the Assembly sessions are held at the United Nations headquarters, where there is no need to pay for the rental of conference rooms or for security and badges. This increase will be partially offset by reductions in various other budget lines.

Supplies and materials

436. There is a reduction of 20 per cent in supplies and materials in comparison to the 2010 approved budget, resulting from increased efficiencies, including a greener approach to conference servicing.

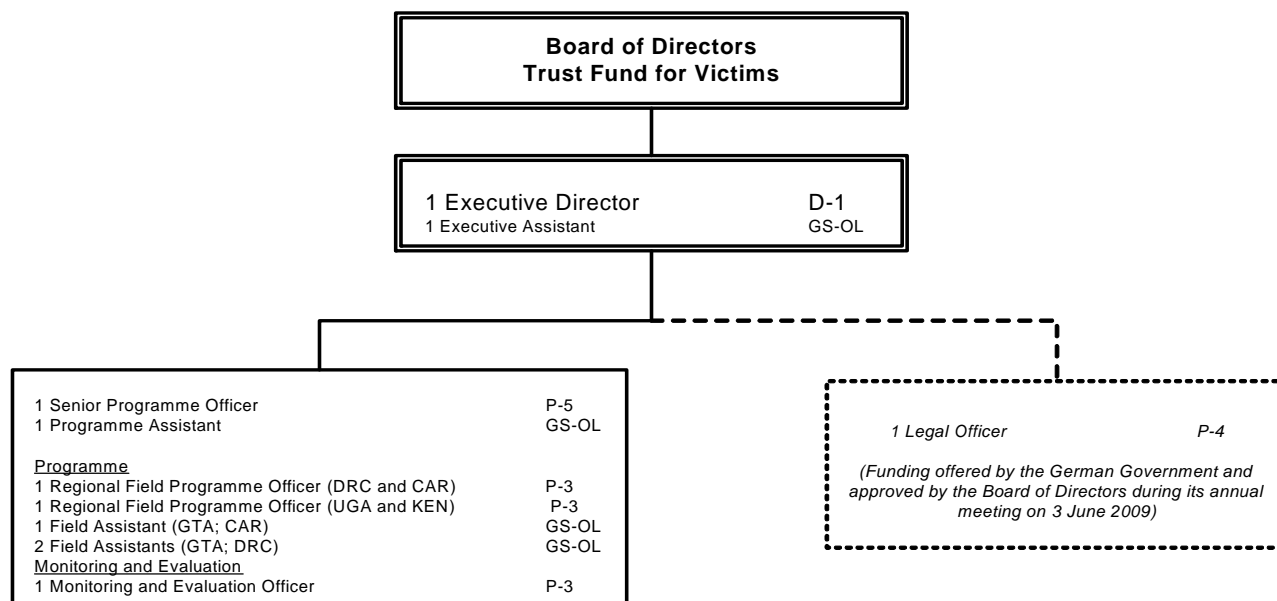
Table 91: Major Programme IV: Proposed budget for 2011

<i>Secretariat of the Assembly of States Parties</i>	<i>Expenditure 2009</i> (thousands of euros)			<i>Approved budget 2010</i> (thousands of euros)					<i>Proposed budget 2011</i> (thousands of euros)			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic excl. RC</i>	<i>Situation-related</i>	<i>Total excl. RC</i>	<i>Basic RC</i>	<i>Total incl. RC</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			611.7		611.7		611.7	648.3		648.3	36.6	6.0
General Service staff				275.4		275.4		275.4	275.4		275.4		
<i>Subtotal staff</i>	<i>410.5</i>		<i>410.5</i>	<i>887.1</i>		<i>887.1</i>		<i>887.1</i>	<i>923.7</i>		<i>923.7</i>	<i>36.6</i>	<i>4.1</i>
General temporary assistance	542.7		542.7	424.3		424.3	670.2	1,094.5	350.0		350.0	-74.3	-17.5
Temporary assistance for meetings	720.4		720.4	774.0		774.0		774.0	700.0		700.0	-74.0	-9.6
Overtime	45.3		45.3	20.0		20.0		20.0	38.0		38.0	18.0	90.0
<i>Subtotal other staff</i>	<i>1,308.4</i>		<i>1,308.4</i>	<i>1,218.3</i>		<i>1,218.3</i>	<i>670.2</i>	<i>1,888.5</i>	<i>1,088.0</i>		<i>1,088.0</i>	<i>-130.3</i>	<i>-10.7</i>
Travel	210.3		210.3	246.9		246.9	151.7	398.6	306.9		306.9	60.0	24.3
Hospitality	11.1		11.1	10.0		10.0		10.0	10.0		10.0		
Contractual services	1,065.9		1,065.9	571.5		571.5	341.0	912.5	693.0		693.0	121.5	21.3
Training				9.0		9.0		9.0	9.0		9.0		
General operating expenses	34.2		34.2	29.0		29.0	76.7	105.7	30.0		30.0	1.0	3.4
Supplies and materials	20.1		20.1	30.0		30.0	11.4	41.4	25.0		25.0	-5.0	-16.7
Equipment including furniture	31.2		31.2	20.0		20.0		20.0	10.0		10.0	-10.0	-50.0
<i>Subtotal non-staff</i>	<i>1,372.8</i>		<i>1,372.8</i>	<i>916.4</i>		<i>916.4</i>	<i>580.8</i>	<i>1,497.2</i>	<i>1,083.9</i>		<i>1,083.9</i>	<i>167.5</i>	<i>18.3</i>
Total	3,091.7		3,091.7	3,021.8		3,021.8	1,251.0	4,272.8	3,095.6		3,095.6	73.8	2.4
Distributed maintenance	34.9		34.9	22.7		22.7		22.7	27.3		27.3	4.6	20.3

Table 92: Major Programme IV: Proposed staffing for 2011

<i>Secretariat of the Assembly of States Parties</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1		3	1			5	2	2	4	9
	Situation-related														
	<i>Subtotal</i>				<i>1</i>		<i>3</i>	<i>1</i>			<i>5</i>	<i>2</i>	<i>2</i>	<i>4</i>	<i>9</i>
New/ Converted	Basic								2		2				2
	Situation-related														
	<i>Subtotal</i>								<i>2</i>		<i>2</i>				<i>2</i>
Redeployed/ Reclassified	Basic						-1				-1				-1
	Situation-related														
	<i>Subtotal</i>						<i>-1</i>				<i>-1</i>				<i>-1</i>
Total					1		2	1	2		6	2	2	4	10

E. Major Programme VI: Secretariat of the Trust Fund for Victims



Introduction

437. The Trust Fund for Victims (the “Fund”) supports activities which address the harm resulting from the crimes under the jurisdiction of the Court by assisting victims to return to a dignified and contributory life within their communities. The Fund fulfils two mandates: (1) administering reparations ordered by the Court against a convicted person,¹⁸ and (2) using other resources for the benefit of victims subject to the provisions of article 79 of the Rome Statute.¹⁹ Both mandates provide support to victims of genocide, crimes against humanity and war crimes committed since 1 July 2002.²⁰

438. The main priorities of the Fund in 2011 include: increasing fund-raising efforts, assessing the Kenya situation, start-up activities in the Central African Republic, and evaluating and extending activities in the Democratic Republic of the Congo and northern Uganda.

439. It is also envisioned that 2011 will be a year for developing strategies and implementing procedures for administering reparations orders by the Court.

440. The newly elected Board of Directors convened its first meeting in New York in March 2010, where it reviewed and approved the 2011 proposed budget and the staffing structure of the Secretariat. Based on the operational realities and lessons learned from implementing support for victims in the field, the Secretariat will maintain seven approved and established posts (five at headquarters and two in the field).

441. In order to fill all seven posts, the Board of Directors is asking that the Secretariat be exempt from the application of any vacancy rate. Failure to accord such an exemption would inevitably have a negative impact on programme implementation as the Secretariat would be obliged to restrict the recruitment of one of the seven posts due to shortage of funds.

¹⁸ Rule 98 (2), (3), (4) of the Rules of Procedure and Evidence.

¹⁹ Rule 98 (5) of the Rules of Procedure and Evidence. For more information on the TFV’s legal basis, please see <http://trustfundforvictims.org/legal-basis>.

²⁰ As defined in articles 6, 7 and 8 of the Rome Statute.

Objectives

442. The Fund's overall objective is to respond to the priority needs of the most vulnerable victims under the jurisdiction of the Court by providing rehabilitation assistance and/or reparations. More specifically, the Fund's overall objective can be subdivided under the Court's strategic objectives for 2011:

1. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences. (SO 5)
2. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence. (SO 6)
3. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks. (SO 8)

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- Individual and collective reparations paid when ordered by Chambers.	- Mechanism in place for timely delivery, control and reporting on reparation payments.	95% implementation rate
Objective 2		
- Continuing enhanced communication with the Board of Directors, States Parties, senior management and other stakeholders.	- Positive feedback received from external stakeholders and staff on quality of communications.	Timely and relevant reports and analysis available to external stakeholders and staff.
- Broader understanding of the role of the Fund, its Board of Directors, the Secretariat and its activities.	- Increased use of communication materials by partner organizations, intermediaries and other stakeholders.	> 10% increase in number of visitors by the end of the year to the Fund's website.
Objective 3		
- Efficient administrative structure and operations in place in conformity with applicable regulations and rules.	- Satisfactory report from the External Auditor and Internal Auditor.	No remarks from the External Auditor or the Internal Auditor concerning the Secretariat's control and management practices.
- Enhanced effectiveness of functions, including grants management, Chamber notifications, and tendering.	- Significant reduction of processing times compared to the previous year.	> 25% reduction

Staff resources**Basic resources***Consultants*

443. Provision of expert advice on a wide range of technical issues, including a professional writer to assist in developing fund-raising materials and the biannual public programme report, and in preparing the Fund's brochure and material for the annual Board meeting.

Situation-related resources*Staffing*

444. The P-3 Field Programme Officer (DRC) (francophone) will be relocated from Bunia to Kampala as P-3 Regional Programme Officer because of the heightened security threat to TFV partners and field staff in eastern Congo and regionalization of MONUC flights from Entebbe. From Kampala, the P-3 Field Programme Officer will act as a regional officer, overseeing activities in francophone countries. This post will provide supervision for the GS-OL Field Assistants in the DRC, as well as in the CAR (see paragraph 446 below), by overseeing the management of a portfolio of projects, reparation activities, intermediaries and donor relations.

445. The function of the P-3 Field Programme Officer (Uganda), based in Kampala, will likewise be changed to P-3 Regional Programme Officer (anglophone). The incumbent will continue to oversee the management of a portfolio of projects, reparation activities, intermediaries and donor relations in northern Uganda, while initiating new programmes in Kenya.

General temporary assistance

446. One GS-OL Field Assistant (CAR; 12 months, continued) in lieu of the approved P-3 Field Programme Officer (CAR). To ensure direct oversight and technical support for the Fund's projects in the Central African Republic, as requested by the Board of Directors.

447. Two GS-OL Field Assistants (DRC; 12 months, continued). To support the development and implementation of the Fund's projects in the DRC, with specific emphasis on project follow-up and logistical and administrative support.

Consultants

448. Consultants will also be used to assist with the launching of the Kenya situation programme, and to support a project management audit in the Democratic Republic of the Congo. It will also be necessary to hire consultants to support the implementation of Court-ordered reparations in the DRC.

Non-staff resources**Basic resources***Travel*

449. Recurrent. For Board member travel, fund-raising activities and meetings with donors and partners.

Hospitality

450. Recurrent. For events and receptions aimed at increasing the visibility of the Fund and for resource mobilization initiatives.

Contractual services

451. Recurrent. Provision includes costs for the annual meeting of the Fund's Board of Directors, the External Auditor's fee, and printing of communication materials for the Board of Directors, States Parties and other stakeholders.

General operating expenses

452. Recurrent. To cover communication and miscellaneous expenses.

Supplies and materials

453. Recurrent. For basic office supplies and other expendable office materials.

Situation-related resources*Travel*

454. Recurrent. Provision is included to support project monitoring, evaluation, and reporting, reparations-related missions, resource mobilization, advocacy and project development in four situations (CAR, DRC, Kenya and Uganda). Provision has increased due to greater resource mobilization activities, especially for reparations, and expansion of activities to Kenya.

Contractual services

455. Recurrent. For the rental of vehicles in remote areas and external printing of materials for resource mobilization activities and victims outreach.

Training

456. Recurrent. For training of staff in programme and project reporting in Management Information System (MIS).

General operating expenses

457. Recurrent. To cover logistical expenditures, as the Fund's staff are operating in remote, high-risk locations, where basic infrastructure is absent or deficient.

Table 93: Major Programme VI: Proposed budget for 2011

<i>Secretariat of the Trust Fund for Victims</i>	<i>Expenditure 2009</i> (thousands of euros)			<i>Approved budget 2010</i> (thousands of euros)					<i>Proposed budget 2011</i> (thousands of euros)			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic excl. RC</i>	<i>Situation-related</i>	<i>Total excl. RC</i>	<i>Basic RC</i>	<i>Total incl. RC</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
	No breakdown available												
Professional staff				162.1	459.4	621.5		621.5	159.0	422.4	581.4	-40.1	-6.5
General Service staff				60.0	60.0	120.0		120.0	60.6	60.6	121.2	1.2	1.0
<i>Subtotal staff</i>	<i>297.7</i>	<i>342.9</i>	<i>640.6</i>	<i>222.1</i>	<i>519.4</i>	<i>741.5</i>		<i>741.5</i>	<i>219.6</i>	<i>483.0</i>	<i>702.6</i>	<i>-38.9</i>	<i>-5.2</i>
General temporary assistance	32.9	363.4	396.3		130.3	130.3		130.3		61.2	61.2	-69.1	-53.0
Overtime	4.1	3.4	7.5										
Consultants		20.5	20.5	28.5		28.5		28.5	20.0	40.0	60.0	31.5	110.5
<i>Subtotal other staff</i>	<i>37.0</i>	<i>387.3</i>	<i>424.3</i>	<i>28.5</i>	<i>130.3</i>	<i>158.8</i>		<i>158.8</i>	<i>20.0</i>	<i>101.2</i>	<i>121.2</i>	<i>-37.6</i>	<i>-23.7</i>
Travel	50.7	31.8	82.5	68.5	82.5	151.0	4.0	155.0	108.4	129.4	237.8	86.8	57.5
Hospitality	1.6		1.6	5.0		5.0		5.0	5.0		5.0		
Contractual services	56.1		56.1	65.5	55.0	120.5		120.5	83.5	62.0	145.5	25.0	20.7
Training	11.8	26.6	38.4	11.4	5.4	16.8		16.8	2.6	24.4	27.0	10.2	60.7
General operating expenses	9.6	0.5	10.1	5.0	14.0	19.0		19.0	5.0	14.0	19.0		
Supplies and materials	0.1		0.1	5.0		5.0		5.0	3.0		3.0	-2.0	-40.0
Equipment including furniture	9.3	1.1	10.4										
<i>Subtotal non-staff</i>	<i>139.2</i>	<i>60.0</i>	<i>199.2</i>	<i>160.4</i>	<i>156.9</i>	<i>317.3</i>	<i>4.0</i>	<i>321.3</i>	<i>207.5</i>	<i>229.8</i>	<i>437.3</i>	<i>120.0</i>	<i>37.8</i>
Total	473.9	790.1	1,264.0	411.0	806.6	1,217.6	4.0	1,221.6	447.1	814.0	1,261.1	43.5	3.6
Distributed maintenance	23.3	5.9	29.1	5.0	22.1	27.1		27.1	5.5	20.3	25.8	-1.3	-5.1

Table 94: Major Programme VI: Proposed staffing for 2011

<i>Secretariat of the Trust Fund for Victims</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1						1		2	2	3
	Situation-related					1		3			4				4
	<i>Subtotal</i>				1	1		3			5		2	2	7
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic												-1	-1	-1
	Situation-related												1	1	1
	<i>Subtotal</i>														
Total					1	1		3			5		2	2	7

F. Major Programme VII-1: Project Director's Office (permanent premises)

Introduction

458. The objective of the Project Director's Office (PDO) is to provide the Court with the necessary permanent premises. In 2009, the activities of the PDO started with the architectural design competition and selection. 2011 will see the continuation of the design phase of the project, namely the final design phase, and the tendering for the general contractor initiated.

<i>Expected results</i>	<i>Performance indicators</i>	<i>Target 2011</i>
Objective 1		
- To provide the Court with the necessary permanent premises to meet the organization's strategic goals and objectives.	- Project performs in line with the agreed budget.	100%
	- Final design of the permanent premises finalized.	100%
	- Tender for general contractor initiated (contract award to follow in 2012).	50%

Staff resources

Basic resources

General temporary assistance

459. One GS-OL Document Assistant (3 months, continued). To provide specialized support to prepare the paper documentation system for the execution phase of the project.

460. One GS-OL Communication Assistant (3 months, new). To prepare communication actions and documents.

Non-staff resources

Basic resources

Travel

461. Recurrent. For meetings with Assembly members, design firm, and material and service vendors.

Contractual services

462. Recurrent. Costs include translation for tender documents and printing requirements for permits, technical documents such as maps and drawings, and reports; and consultancy services.

Training

463. Recurrent. Costs include attendance at a conference on project management, specialist issues.

General operating expenses

464. Recurrent. For miscellaneous expenses, courier costs and exhibition of the design.

Equipment including furniture

465. For specialist project management software such as Autocad, Indesign, Maya, Vector works, Illustrator.

Table 95: Major Programme VII-1: Proposed budget for 2011

<i>Project Director's Office</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			279.7		279.7	274.8		274.8	-4.9	-1.8
General Service staff				60.0		60.0	60.6		60.6	0.6	1.0
<i>Subtotal staff</i>	266.6		266.6	339.7		339.7	335.4		335.4	-4.3	-1.3
General temporary assistance	16.8		16.8	22.2		22.2	33.6		33.6	11.4	51.4
<i>Subtotal other staff</i>	16.8		16.8	22.2		22.2	33.6		33.6	11.4	51.4
Travel	4.4		4.4	18.7		18.7	29.1		29.1	10.4	55.6
Hospitality	5.7		5.7	5.0		5.0	5.0		5.0		
Contractual services	2.1		2.1	81.0		81.0	110.0		110.0	29.0	35.8
Training							12.3		12.3	12.3	
General operating expenses	9.9		9.9	106.6		106.6	10.0		10.0	-96.6	-90.6
Supplies and materials				1.0		1.0	2.0		2.0	1.0	100.0
Equipment including furniture	11.9		11.9	10.0		10.0	10.0		10.0		
<i>Subtotal non-staff</i>	34.0		34.0	222.3		222.3	178.4		178.4	-43.9	-19.7
Total	317.4		317.4	584.2		584.2	547.4		547.4	-36.8	-6.3
Distributed maintenance	11.6		11.6	5.0		5.0	8.2		8.2	3.2	63.7

Table 96: Major Programme VII-1: Proposed staffing for 2011

<i>Project Director's Office</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic				1		1				2		1	1	3
	Situation-related														
	<i>Subtotal</i>				1		1				2		1	1	3
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total					1		1				2		1	1	3

G. Major Programme VII-2: Permanent Premises Project – Interest

Introduction

466. As requested by the Committee on Budget and Finance and the Assembly of States Parties, a new major programme has been set up solely to report on the interest expected to be paid on loans received by the Court for the Permanent Premises Project.

Non-staff resources

Basic resources

General operating expenses

467. At the time of preparing the proposed budget, it is estimated €35,600 will be required for interest payable in 2011 on the loans relating to the Permanent Premises Project.

Table 97: Major Programme VII-2: Proposed budget for 2011

<i>Permanent Premises Project - Interest</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
General operating expenses							35.6		35.6	35.6	
<i>Subtotal non-staff</i>							35.6		35.6	35.6	
Total							35.6		35.6	35.6	
Distributed maintenance											

H. Major Programme VII-5: Independent Oversight Mechanism

Introduction

468. By resolution ICC-ASP/8/Res.1,²¹ the Assembly of States Parties has established the Independent Oversight Mechanism (IOM) as a new major programme. This Independent Oversight Mechanism is co-located with (but not integrated into or subordinated to) the Office of Internal Audit at the seat of the Court in The Hague.

Non-staff resources

Basic resources

469. Provisions have been maintained at the same level as approved for the 2010 budget: travel €10,000; and other costs €100,000 distributed as follows: general operations expenses €40,000, supplies and materials €20,000, equipment including furniture €40,000.

²¹ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. I, part II, ICC-ASP/8/Res.1.

Table 98: Major Programme VII-5: Proposed budget for 2011

<i>Independent Oversight Mechanism</i>	<i>Expenditure 2009 (thousands of euros)</i>			<i>Approved budget 2010 (thousands of euros)</i>			<i>Proposed budget 2011 (thousands of euros)</i>			<i>Resource Growth</i>	
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Amount</i>	<i>%</i>
Professional staff	No breakdown available			231.6		231.6	196.1		196.1	-35.5	-15.3
General Service staff											
<i>Subtotal staff</i>				<i>231.6</i>		<i>231.6</i>	<i>196.1</i>		<i>196.1</i>	<i>-35.5</i>	<i>-15.3</i>
Travel				10.0		10.0	10.0		10.0		
General operating expenses				40.0		40.0	40.0		40.0		
Supplies and materials				20.0		20.0	20.0		20.0		
Equipment including furniture				40.0		40.0	40.0		40.0		
<i>Subtotal non-staff</i>				<i>110.0</i>		<i>110.0</i>	<i>110.0</i>		<i>110.0</i>		
Total				341.6		341.6	306.1		306.1	-35.5	-10.4
Distributed maintenance				5.0		5.0	5.5		5.5	0.5	8.2

Table 99: Major Programme VII-5: Proposed staffing for 2011

<i>Independent Oversight Mechanism</i>		USG	ASG	D-2	D-1	P-5	P-4	P-3	P-2	P-1	<i>Total P-staff and above</i>	GS-PL	GS-OL	<i>Total GS-staff</i>	<i>Total staff</i>
Existing	Basic						1		1		2				2
	Situation-related														
	<i>Subtotal</i>						<i>1</i>		<i>1</i>		<i>2</i>				<i>2</i>
New/ Converted	Basic														
	Situation-related														
	<i>Subtotal</i>														
Redeployed/ Reclassified	Basic														
	Situation-related														
	<i>Subtotal</i>														
Total							1		1		2				2

Annexes

Annex I

Draft resolution of the Assembly of States Parties on the proposed programme budget for 2011, the Working Capital Fund for 2011, scale of assessments for the apportionment of expenses of the International Criminal Court, financing appropriations for 2011 and the Contingency Fund

The Assembly of States Parties,

Having considered the proposed programme budget for 2011 of the International Criminal Court and the related conclusions and recommendations contained in the report of the Committee on Budget and Finance on the work of its fifteenth session,

A. Programme budget for 2011

1. *Approves* appropriations totalling €107,022,700 for the following appropriation sections:

<i>Appropriation section</i>	<i>Thousands of euros</i>
Major Programme I - Judiciary	11,462.4
Major Programme II - Office of the Prosecutor	26,778.0
Major Programme III - Registry	63,536.5
Major Programme IV - Secretariat of the Assembly of States Parties	3,095.6
Major Programme VI - Secretariat of the Trust Fund for Victims	1,261.1
Major Programme VII-1 - Project Director's Officer (permanent premises)	547.4
Major Programme VII-2 - Permanent Premises Project – Interest	35.6
Major Programme VII-5 - Independent Oversight Mechanism	306.1
Total	107,022.7

2. *Further approves* the following staffing tables for each of the above appropriation sections:

	<i>Judiciary</i>	<i>Office of the Prosecutor</i>	<i>Registry</i>	<i>Secretariat Assembly of States Parties</i>	<i>Secretariat Trust Fund for Victims</i>	<i>Project Director's Office (permanent premises)</i>	<i>Independent Oversight Mechanism</i>	<i>Total</i>
USG		1						1
ASG		1	1					2
D-2								0
D-1	1	3	4	1	1	1		11
P-5	3	11	18		1			33
P-4	3	29	43	2		1	1	79
P-3	22	49	65	1	3			140
P-2	5	47	60	2			1	115
P-1		17	6					23
<i>Subtotal</i>	<i>34</i>	<i>158</i>	<i>197</i>	<i>6</i>	<i>5</i>	<i>2</i>	<i>2</i>	<i>404</i>
GS-PL	1	1	16	2				20
GS-OL	16	63	267	2	2	1		351
<i>Subtotal</i>	<i>17</i>	<i>64</i>	<i>283</i>	<i>4</i>	<i>2</i>	<i>1</i>		<i>371</i>
Total	51	222	480	10	7	3	2	775

B. Working Capital Fund for 2011

The Assembly of States Parties,

Resolves that the Working Capital Fund for 2011 shall be established in the amount of €7,405,983, and *authorizes* the Registrar to make advances from the Fund in accordance with the relevant provisions of the Financial Regulations and Rules of the Court.

C. Scale of assessment for the apportionment of expenses of the International Criminal Court

The Assembly of States Parties,

Decides that, for 2011, the contributions of States Parties shall be assessed in accordance with an agreed scale of assessment, based on the scale adopted by the United Nations for its regular budget applied for 2011 and adjusted in accordance with the principles on which that scale is based;¹

Notes that, in addition, any maximum assessment rate for the largest contributors applicable for the United Nations regular budget will apply to the International Criminal Court's scale of assessments.

D. Financing appropriations for 2011

The Assembly of States Parties,

Resolves that, for 2011, budget appropriations amounting to €107,022,700 and the amount for the Working Capital Fund of €7,405,983, approved by the Assembly under part A, paragraph 1, and part B, respectively, of the present resolution, be financed in accordance with regulations 5.1, 5.2 and 6.6 of the Financial Regulations and Rules of the Court.

E. Contingency Fund

The Assembly of States Parties,

Recalling its resolutions ICC-ASP/3/Res. 4 establishing the Contingency Fund in the amount of €10,000,000 and ICC-ASP/7/Res. 4 that requested the Bureau to consider options for replenishing both the Contingency Fund and the Working Capital Fund,

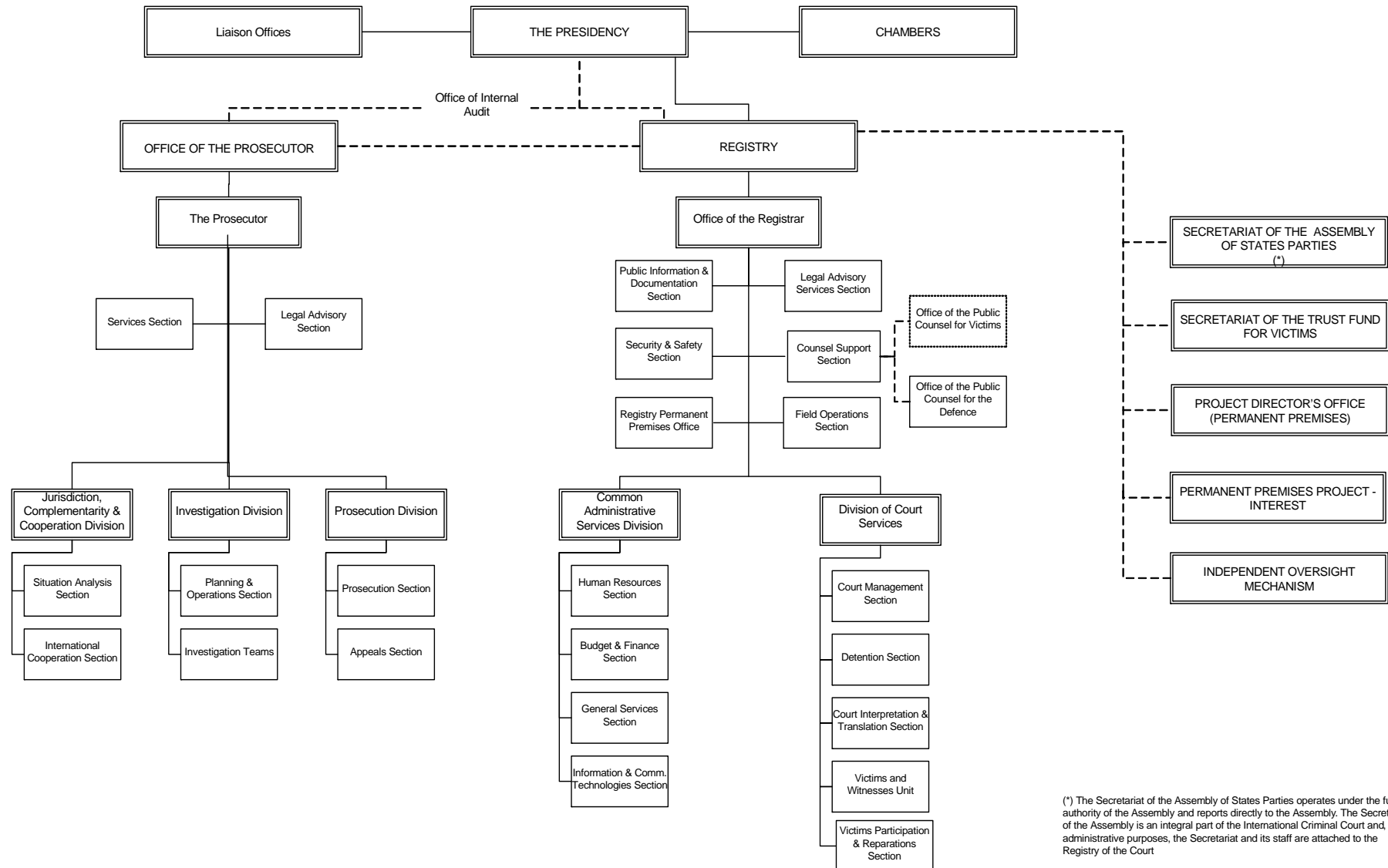
Taking note of the advice of the Committee on Budget and Finance in the reports on the work of its eleventh and thirteenth sessions,

1. *Decides* to maintain the Contingency Fund at its current level for 2011;
2. *Decides* that, should the Fund reach a level below €7 million by the end of the year, the Assembly should decide on its replenishment up to an amount it deems appropriate, but no less than €7 million;
3. *Requests* the Bureau to keep the €7 million threshold under review in light of further experience on the functioning of the Contingency Fund.

¹ Rome Statute of the International Criminal Court, article 117.

Annex II

Organizational structure of the Court



(*) The Secretariat of the Assembly of States Parties operates under the full authority of the Assembly and reports directly to the Assembly. The Secretariat of the Assembly is an integral part of the International Criminal Court and, for administrative purposes, the Secretariat and its staff are attached to the Registry of the Court

Annex III

Assumptions for the proposed programme budget for 2011

<i>Function</i>	<i>Total</i>
Number of court days in 12 months.....	200
Number of witnesses.....	42
Number of expert witnesses.....	5
Number of support persons.....	4
Maximum duration of stay per witness...	15
Number of accused	4
Number of defence teams	4
Number of victims' representatives	8
Number of cells required	12
Number of site visits by judges.....	0
Number of field offices.....	7

Annex IV

List of strategic goals and one-to-three years strategic objectives of the International Criminal Court

<i>Goal 1: A model of international criminal justice</i>	<i>Goal 2: A well-recognized and adequately supported institution</i>	<i>Goal 3: A model of public administration</i>
Conduct fair, effective and expeditious public proceedings in accordance with the Rome Statute and with high legal standards, ensuring full exercise of the rights of all participants.	Further enhance awareness of, effect a correct understanding of, and increase support for the Court.	Excel in achieving the desired results with minimal resources and through streamlined structures and processes, while maintaining flexibility, guaranteeing accountability and drawing upon sufficient qualified and motivated staff within a caring environment and a non-bureaucratic culture.
1. Conduct 4 to 5 new investigations into cases, within existing or new situations, and at least 4 trials, subject to external cooperation received.	4. Further cultivate a level of awareness and understanding of the Court appropriate to the stage of the Court's activities in affected communities.	8. Become a non-bureaucratic administration focused on results rather than processes, relying on rules where necessary to guarantee rights or minimize risks.
2. Maintain and further develop the system to address all security risks, striving for maximum security of all participants and staff consistent with the Rome Statute.	5. Develop mechanisms to provide for all necessary cooperation, in particular the arrest and surrender of persons, witness protection and the enforcement of sentences.	9. Submit sound, accurate and transparent budget proposals necessitating only minor adjustments to the proposed amount and distribution of resources by the Assembly of States Parties.
3. Develop policies for implementing the quality standards specified in the Statute and the Rules of Procedure and Evidence with respect to all participants in proceedings and persons otherwise affected by the Court's activities, in a manner that is respectful of diversity.	6. Constantly increase support for the Court through enhancing communication and mutual understanding with stakeholders, stressing the Court's role and its independence.	10. Attract, care for, and offer career development and advancement opportunities to a diverse staff of the highest quality.
	7. Ensure publicity of all proceedings for local and global audiences.	11. Continue the development of a common ICC culture.

Annex V(a)**Proposed Court staffing by major programme**

<i>Total Court</i>	<i>USG</i>	<i>ASG</i>	<i>D-2</i>	<i>D-1</i>	<i>P-5</i>	<i>P-4</i>	<i>P-3</i>	<i>P-2</i>	<i>P-1</i>	<i>Total P-staff and above</i>	<i>GS- PL</i>	<i>GS- OL</i>	<i>Total GS- staff</i>	<i>Total staff</i>
Major Programme I				1	3	3	22	5		34	1	16	17	51
Major Programme II	1	1		3	11	29	49	47	17	158	1	63	64	222
Major Programme III		1		4	18	43	65	60	6	197	16	267	283	480
Major Programme IV				1		2	1	2		6	2	2	4	10
Major Programme VI				1	1		3			5		2	2	7
Major Programme VII-1				1		1				2		1	1	3
Major Programme VII-5						1		1		2				2
Grand total	1	2	0	11	33	79	140	115	23	404	20	351	371	775

Annex V(b)

Changes to the staffing table

<i>Number of posts</i>	<i>Level</i>	<i>From 2010 Approved Budget</i>	<i>To 2011 Proposed Budget</i>
Office of the Prosecutor			
Basic			
1	ASG	Investigation Teams	Abolished
1			
Situation -related			
1	P-5 to D-1	Prosecution Coordinator (basic)	Prosecution Coordinator
1	P-4 to P-5	Planning and Operations Section	Investigation Teams
1	P-4	Planning and Operations Section	Investigation Teams
1	P-3	Planning and Operations Section	Investigation Teams
1	P-2	Planning and Operations Section	Investigation Teams
1	P-3	Investigation Teams	Planning and Operations Section
6			
7			
Registry			
Basic			
1	P-2 to P-3	Human Resources Section	Human Resources Section
1	P-3 to P-4	Victims and Witnesses Unit	Victims and Witnesses Unit
1	P-1	Victims Participation and Reparation Section	Counsel Support Section
1	P-4 to P-5	Victims Participation and Reparation Section	Victims Participation and Reparations Section
1	P-1 to P-2	Victims Participation and Reparation Section	Victims Participation and Reparations Section
1	GS-OL to P-1	Victims Participation and Reparation Section	Victims Participation and Reparations Section
1	GS-OL	Office of the Head DVC	Immediate Office of the Registrar
1	P-5	Office of the Head DVC	Counsel Support Section
8			
Situation-related			
1	P-2 to P-3	Security and Safety Section	Security and Safety Section
4	P-3 to P-4	Field Operations Section	Field Operations Section
1	GS-PL to P-2	Field Operations Section	Field Operations Section
1	P-3 to P-4	Court Interpretation and Translation Section	Court Interpretation and Translation Section
3	P-1 to P-2	Court Interpretation and Translation Section	Court Interpretation and Translation Section
1	P-2 to P-3	Victims and Witnesses Unit	Victims and Witnesses Unit
1	P-4	Office of the Head DVC	Counsel Support Section
1	GS-OL	Office of the Head DVC	Counsel Support Section
13			
21			
Secretariat of the Assembly of States Parties			
Basic			
1	P-4	Secretariat of the Assembly of States Parties	Abolished
1			
Total = 29			

Annex V(c)**List of reclassifications of General Service category posts 2010**

Number of posts	Level		Section	Title
	Previous	New, approved		
Registry				
1	G-5	G-6	Security and Safety Section	Planning and Coordination Officer
1	G-4	G-5	Security and Safety Section	Personnel Security Support Officer
1	G-4	G-5	Human Resources Section	Administrative Assistant
2	G-3	G-4	Court Management Section	Court Clerk
Total = 5				

Annex V(d)**Posts converted from GTA**

<i>Number of posts</i>	<i>Level</i>	<i>Section</i>	<i>Post Title</i>
Judiciary			
Basic			
1	P-3	The Presidency	Legal Officer
1			
Office of the Prosecutor			
Situation -related			
5	P-3	Services Section	Translator
5			
Registry			
Basic			
1	P-3	Victims and Witnesses Unit	Psychologist
1			
Total = 7			

Annex V(e)**Salary and entitlements for 2011 – judges** (*thousands of euros*)

Presidency: 3 judges	<i>Costs</i>
Standard salary costs	540.0
Special allowance President and Vice-Presidents	28.0
Common costs (10% of salary)	54.0
Judges pension	427.9
<i>Subtotal Presidency</i>	<i>1,049.9</i>
Chambers: 15 judges	
Standard salary costs	2,700.0
Common costs (10% of salary)	270.0
Judges pension	1,727.8
Provision for 1 judge end of term	104.5
<i>Subtotal Chambers</i>	<i>4,802.3</i>
Total Judiciary	5,852.2

Annex V(f)

Standard salary costs for 2011
Professional and General Service staff (headquarters)
(thousands of euros)

<i>Post level</i>	<i>Net salary</i>	<i>Common staff costs</i>	<i>Representation allowance</i>	<i>Total</i>
	[1]	[2]	[3]	[1]+[2]+[3]=[4]
USG	160.6	76.3	4.0	240.9
ASG	140.4	66.7	3.0	210.0
D-1	119.8	56.9		176.7
P-5	105.5	50.1		155.6
P-4	87.3	41.4		128.7
P-3	73.1	34.7		107.9
P-2	60.5	28.7		89.2
P-1	60.5	28.7		89.2
GS-PL	58.1	27.6		85.7
GS-OL	45.6	21.7		67.3

Delayed recruitment factors:

- (a) Existing Professional and General Service posts in MP II: 8%
- (b) Existing Professional and General Service posts in all other MPs: 10%

<i>Delayed recruitment factors</i>			
<i>Post level</i>	[0%]	[8%]	[10%]
USG	240.9	221.7	216.8
ASG	210.0	193.2	189.0
D-1	176.7	162.5	159.0
P-5	155.6	143.2	140.1
P-4	128.7	118.4	115.8
P-3	107.9	99.2	97.1
P-2	89.2	82.1	80.3
P-1	89.2	82.1	80.3
GS-PL	85.7	78.9	77.1
GS-OL	67.3	61.9	60.6

Annex VI

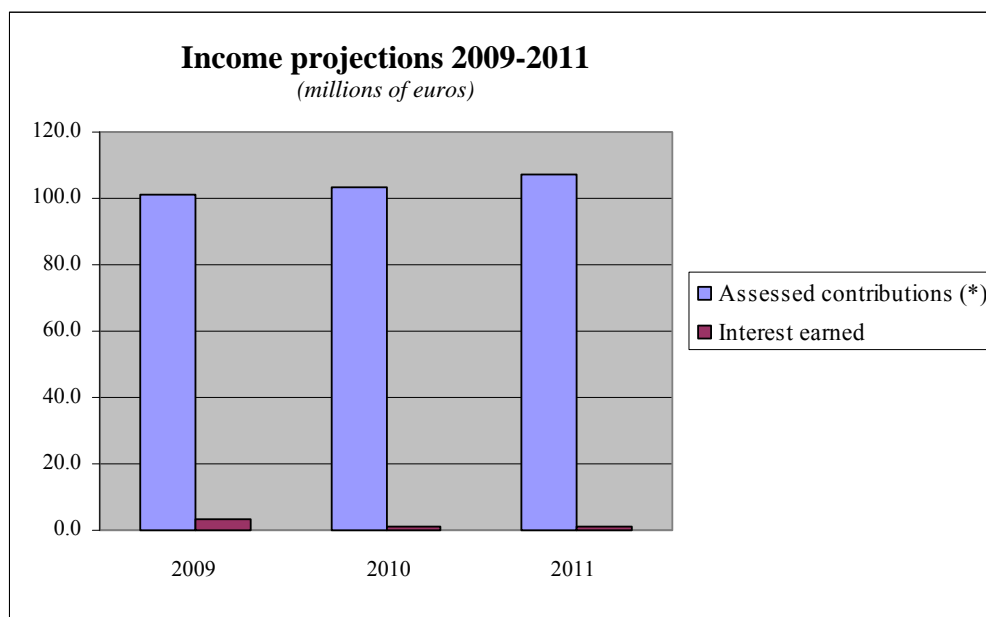
Summary by object of expenditure

ICC	Expenditure 2009 (thousands of euros)			Approved budget 2010 (thousands of euros)				Proposed budget 2011 (thousands of euros)			Resource Growth		
	Basic	Situation- related	Total	Basic excl. RC	Situation- related	Total excl. RC	Basic RC	Total incl. RC	Basic	Situation- related	Total	Amount excl. RC	% excl. RC
Judges	5,516.6		5,516.6	5,634.0		5,634.0		5,634.0	5,852.2		5,852.2	218.2	3.9
Professional staff	No breakdown available			18,981.0	21,152.4	40,133.4		40,133.4	19,563.1	21,665.4	41,228.5	1,095.1	2.7
General Service staff				10,615.1	9,423.8	20,038.9		20,038.9	10,763.7	9,515.7	20,279.4	240.5	1.2
Subtotal staff	24,862.7	25,746.0	50,608.7	29,596.1	30,576.2	60,172.3		60,172.3	30,326.8	31,181.1	61,507.9	1,335.6	2.2
General temporary assistance	3,744.8	5,644.2	9,389.0	2,310.9	5,553.6	7,864.5	670.2	8,534.7	2,371.1	7,134.5	9,505.6	1,641.1	20.9
Temporary assistance for meetings	1,357.7	291.6	1,649.3	1,079.7	71.1	1,150.8		1,150.8	1,135.7	292.5	1,428.2	277.4	24.1
Overtime	292.2	77.8	370.0	252.4	137.2	389.6	16.6	406.2	288.9	155.3	444.2	54.6	14.0
Consultants	66.9	220.4	287.3	83.7	308.8	392.5		392.5	178.3	335.7	514.0	121.5	31.0
Subtotal other staff	5,461.6	6,234.0	11,695.6	3,726.7	6,070.7	9,797.4	686.8	10,484.2	3,974.0	7,918.0	11,892.0	2,094.6	21.4
Travel	884.0	2,986.0	3,870.0	1,011.9	3,985.7	4,997.6	253.5	5,251.1	1,217.9	3,853.2	5,071.1	73.5	1.5
Hospitality	39.6		39.6	58.0		58.0		58.0	58.0		58.0		
Contractual services	2,306.0	1,750.0	4,056.0	2,296.4	1,494.0	3,790.4	341.0	4,131.4	2,219.8	1,591.8	3,811.6	21.2	0.6
Training	538.5	479.9	1,018.4	487.8	456.8	944.6		944.6	472.6	483.3	955.9	11.3	1.2
Counsel		3,130.8	3,130.8		2,711.2	2,711.2		2,711.2		2,711.2	2,711.2		
General operating expenses	5,747.7	4,852.0	10,599.7	6,211.4	5,910.3	12,121.7	76.7	12,198.4	6,536.9	6,214.8	12,751.7	630.0	5.2
Supplies and materials	772.9	394.6	1,167.5	784.5	451.8	1,236.3	11.4	1,247.7	910.4	442.6	1,353.0	116.7	9.4
Equipment including furniture	964.6	824.7	1,789.3	524.2	266.2	790.4		790.4	655.2	402.9	1,058.1	267.7	33.9
Subtotal non-staff	11,253.3	14,418.0	25,671.4	11,374.2	15,276.0	26,650.2	682.6	27,332.8	12,070.8	15,699.8	27,770.6	1,120.4	4.2
Total	47,094.2	46,398.0	93,492.2	50,331.0	51,922.9	102,253.9	1,369.4	103,623.3	52,223.8	54,798.9	107,022.7	4,768.8	4.7

Annex VII

Income projections 2009-2011 (millions of euros)

Description	2009	2010	2011
Assessed contributions (*)	101.2	103.6	107.0
Interest earned	3.5	1.0	1.0
Total	104.7	104.6	108.0



(*) The appropriated budget for 2009 amounted to €101.2 million, the assessed contributions for 2009 were based on €96.2 million.

Annex VIII

2011 estimated income statements

Internship and Visiting Professionals Programme

<i>Item</i>	<i>Euros</i>
Estimated income 2011	
Donor contributions	1,096,700
<i>Subtotal income</i>	<i>1,096,700</i>
Estimated expenditure 2011	
Interns and visiting professionals	756,150
Travel	141,000
General operating expenses	105,500
Supplies and materials	22,300
Administration costs	71,750
<i>Subtotal expenditure</i>	<i>1,096,700</i>
Net income 2011	0

Least Developed Countries Trust Fund

<i>Item</i>	<i>Euros</i>
Estimated income 2011	
Donor contributions	50,000
<i>Subtotal income</i>	<i>50,000</i>
Estimated expenditure 2011	
Travel	43,500
Administration costs	6,500
<i>Subtotal expenditure</i>	<i>50,000</i>
Net income 2011	0

Annex IX

Introduction to programme and sub-programme functions

- A. Major Programme I: Judiciary
 - 1. Programme 1100: Presidency
 - (a) Administrative function: administration of the Court and coordination among the organs.
 - (b) Judicial function: support to Chambers, enforcement of sentences and other functions conferred upon the Presidency in accordance with the Statute and subsidiary texts.
 - (c) External relations function: broaden the understanding of the work of the Court, and coordinate the Court's external communication activities.
 - 2. Programme 1200: Chambers
 - (a) Pre-Trial Division
 - (b) Trial Division
 - (c) Appeals Division
 - 3. Programme 1300: Liaison Offices
 - (a) New York Liaison Office: Enhance interaction and facilitate cooperation between the Court and the United Nations.
 - (b) African Union Liaison Office: Enhance interaction and facilitate cooperation between the Court and the African Union.
- B. Major Programme II: Office of the Prosecutor
 - 1. Programme 2100: The Prosecutor
 - (a) Sub-programme 2110: Immediate Office of the Prosecutor
 - (i) Strategic function: directs strategies underpinning the Prosecutorial Strategy; evaluation of legal standards and policy; human resource deployment strategy.
 - (ii) Advice function: legal advice to the operational divisions of the Office and coordination of the legal academic network.
 - (b) Sub-programme 2120: Services Section
 - (i) General Administration Unit: budgetary and financial matters, human resources administration and operational support to joint teams and divisions.
 - (ii) Language Services Unit: translation and field interpretation services pertaining to OTP operations.
 - (iii) Knowledge-Base Unit: OTP-specific technology-based services.
 - (iv) Information and Evidence Unit: physical evidence, potential trial exhibits and referrals management.
 - 2. Programme 2200: Jurisdiction, Complementarity and Cooperation Division
 - (a) Situation Analysis
 - (i) Operational function: analysis of situations of interest (new and existing) in terms of interests of justice, complementarity and admissibility issues; analyses of incoming communications and referrals under articles 15 and 53 of the Statute. Provides a dedicated situation analysis capacity to the joint teams for each situation.
 - (ii) Advice function: prepares substantive reports and recommendations for Executive Committee.

- (b) International Cooperation
 - (i) External relations function: international network building, cooperation agreements negotiations and situation-related cooperation. Coordinates requests for assistance.
 - (ii) Judicial Cooperation: coordinates judicial assistance.
 - (iii) International Cooperation and Arrest: implements international cooperation strategies, promotes national efforts and general cooperation on arrest issues.
 - (iv) Provides dedicated international cooperation advisory capacity to each of the joint teams.
- 3. Programme 2300: Investigation Division
 - (a) Sub-programme 2310: Investigation Teams
 - (i) Operational function: comprises the investigative and field operations capacity of the situation-specific joint teams responsible for evidence collection in the field and implementing the investigative strategies and plans.
 - (ii) Dedicated resources collaborate with the Trial Team during the trial and appeals phases.
 - (b) Sub-programme 2320: Planning and Operations Section
 - (i) Operational and Investigative Support Unit: support and monitor field operations and security procedures. Provide forensic expertise and working and non-working-language transcriptions and metadata entry resources.
 - (ii) Gender and Children Unit: advice and support in relation to victim/witness issues.
 - (iii) Investigative Strategies and Analysis Unit: develops investigative strategies, conducts crime analysis. Develops investigative and analytical operating standards and further develops networks with national agencies and law enforcement bodies.
- 4. Programme 2400: Prosecution Division
 - (a) Prosecution
 - (i) Judicial function: litigates cases before the Pre-Trial and Trial Divisions, drafts documents containing charges under article 61, paragraph (3) (a) of the Statute and prepares legal submissions.
 - (ii) Advice function: provides legal guidance to the joint teams in developing investigative strategies and case preparation.
 - (b) Appeals
 - (i) Judicial function: litigates in appellate proceedings; prepares legal submissions concerning interlocutory and final appeals and presents oral arguments on appeal.
 - (ii) Advice function: provides legal advice to the Office on appeals and potential appeals.
- C. Major Programme III: Registry
 - 1. Programme 3100: Office of the Registrar
 - (a) Sub-programme 3110: Immediate Office of the Registrar
 - (i) Advice function: legal advice to the Registrar and other Court organs. Prepares, negotiates and reviews legal instruments and internal policies and guidelines.
 - (ii) External relations function: liaises with host State on implementation of headquarters agreement. Monitors States Parties' cooperation and enactment of legislation.
 - (b) Sub-programme 3120: Office of Internal Audit
 - (i) Operational function: determines if financial transactions are being used economically, efficiently, effectively and in compliance with the applicable legislative authority, regulations and rules.

- (c) Sub-programme 3140: Security and Safety Section
 - (i) Operational function: responsible for the Court's physical security.
 - (ii) Advice function: general safety advice.
 - (d) Sub-programme 3160: Registry Permanent Premises Office
 - (i) Operational function: ensuring that the Court's requirements are met, including quality, schedule and financial control.
 - (ii) Advice function: architectural, functional and technical aspects of new premises.
 - (e) Sub-programme 3180: Field Operations Section
 - (i) Operational function: coordinates field offices' activities and monitors their management.
 - (ii) Advice function: advice on field operations' activities.
 - (f) Sub-programme 3190: Counsel Support Section
 - (i) Operational function: assists persons seeking legal assistance and defence teams and legal teams for victims, ensures independence of defence teams and legal teams for victims and communicates with other organs and interlocutors outside the Court.
 - (g) Sub-programme 3191: Office of Public Counsel for the Defence
 - (i) Operational function: provides support and assistance to the defendants and defence teams, and to the Chambers.
 - (ii) Office independent of the Registrar.
 - (h) Sub-programme 3192: Office of Public Counsel for Victims
 - (i) Operational function: provides support and assistance to the victims and legal representatives of the victims.
 - (ii) Office independent of the Registrar.
2. Programme 3200: Common Administrative Services Division
- (a) Sub-programme 3210: Office of the Director
 - (b) Sub-programme 3220: Human Resources Section
 - (i) Recruitment Unit
 - (ii) Staff Administration and Monitoring Unit
 - (iii) Training and Development Unit
 - (iv) Health and Welfare Unit
 - (c) Sub-programme 3240: Budget and Finance Section
 - (i) Accounts Unit: financial management
 - (ii) Payroll Unit
 - (iii) Disbursements Unit
 - (iv) Treasury Unit
 - (v) Contributions Unit
 - (vi) Budget Unit: budget preparation and management
 - (d) Sub-programme 3250: General Services Section
 - (i) Travel Unit
 - (ii) Facilities Management Unit
 - (iii) Logistics and Transportation Unit
 - (iv) Procurement Unit
 - (e) Sub-programme 3260: Information and Communication Technologies Section
 - (i) Operations Unit: provides hardware, software, applications and communications infrastructure. Ensures customer support.
 - (ii) Information Service Unit: develops and supports information systems and applications.

3. Programme 3300: Division of Court Services
 - (a) Sub-programme 3320: Court Management Section
 - (i) Operational function: organizes court hearings, provides fully operational courtrooms. Supports video links. Manages court hearing information.
 - (b) Sub-programme 3330: Detention Section
 - (i) Operational function: responsible for safe, secure and humane custody of persons detained under the authority of the Court.
 - (c) Sub-programme 3340: Court Interpretation and Translation Section
 - (i) Operational function: responsible for translation and interpretation for court hearings, Court activities, field missions of Registry, Chambers and Presidency.
 - (d) Sub-programme 3350: Victims and Witnesses Unit
 - (i) Operational function: facilitates interaction of victims and witnesses with the Court.
 - (ii) Advice function: advice to the Court on appropriate protective measures, security arrangements, counselling and assistance.
 - (e) Sub-programme 3360: Victims Participation and Reparations Section
 - (i) Operational function: assists victims and groups of victims. Raises awareness of victims on their rights under the Rome Statute. Liaises with the Secretariat of the Trust Fund for Victims regarding the implementation of orders relating to reparation.
4. Programme 3400: Public Information and Documentation Section
 - (a) Library and Documentation Centre
 - (i) Operational function: print, non-print and electronic legal information resources.
 - (b) Public Information
 - (i) Operational function: publicizes activities of the Court. Promotes better understanding of the Court's principles. Maintains dialogue with communities where the Court is active.
- D. Major Programme IV: Secretariat of the Assembly of States Parties
 - (a) Operational function: provides administrative and technical assistance and legal and substantive secretariat services to the Assembly of States Parties, its Bureau and subsidiary bodies.
 - (b) Advice function: assists and prepares texts and statements on financial and budgetary matters.
- E. Major Programme VI: Secretariat of the Trust Fund for Victims
 - (a) Operational function: provides assistance to the Board of Directors of the Trust Fund for Victims.
 - (b) Office under full authority of the Board of Directors and attached to the Registry of the Court for administrative purposes.
- F. Major Programme VII-1: Project Director's Office (permanent premises)
 - (a) Operational function: provides the best possible long-term accommodation for the Court at the lowest possible costs.

Annex X

Glossary of budgetary terms

Appropriation	Amount voted by the Assembly of States Parties for specified purposes for a financial period, against which obligations may be incurred for those purposes and up to the amounts so voted.
Appropriation section	Largest subdivision of the budget of an organization within which transfers may be made without prior approval by the Assembly of States Parties.
Basic costs	Costs which are required to set up and sustain the Court as an organization with a basic capacity to be ready to react to situations before an investigation is opened. Basic costs include the judges, the elected officials with their support, the essential services for maintaining the Court's basic administrative functions and its premises, and the necessary capacity to perform initial analysis, investigative, prosecutorial and judicial functions before the opening of an investigation.
Budget	A plan in financial terms for the carrying out of a programme of activities for a specific period.
Budgetary control	The control or management of an organization in accordance with an approved budget for the purpose of keeping expenditures within the limitations of available appropriations and available revenues.
Common staff costs	Costs, other than salary costs, arising from conditions of employment of the staff.
Contingency Fund	A fund providing for unforeseen expenses.
Extrabudgetary resources	All resources, other than those of the regular budget, administered by the organization.
Financial year	The period from 1 January to 31 December inclusive.
Major programme	Major function of an organization for which one or more objectives may be set.
New requirement	New items incorporated in the budget due to new activities or an increase in existing activities.
Objective	A desired state to be reached or maintained through one or more activities.
Post	An authorization to employ a person, or a succession of persons, for the performance of work required by the organization.
Previous commitment	Commitment where the full impact of a decision with financial implications is not realized until the second or subsequent year.
Programme	A set of activities directed towards the attainment of one or more defined objectives. In the programme structure, the next lower subdivision of a major programme contributing to the objective or objectives of that major programme.
Programme budget	A budget which focuses upon the work to be undertaken and the objectives sought through that work: it emphasizes the ends to be achieved and translates them into the costs required for their implementation; decisions relate both to resource levels and to results to be achieved.

Programme structure	A hierarchical arrangement of programmes (e.g. major programmes, programmes, sub-programmes and programme elements).
Results-based budgeting	<p>A budget process in which:</p> <ul style="list-style-type: none">(a) organizational units formulate budgets around a set of pre-defined objectives and expected results;(b) expected results justify the resource requirements which are derived from and linked to outputs required to achieve such results; and(c) actual performance in achieving expected results is measured by performance indicators.
Standard costs	Amounts used for budgeting and budgetary control purposes, representing either target or estimated average unit costs.
Situation-related costs	Costs generated by activities when a decision to open an investigation into a situation has been made (either by the Prosecutor under article 53, or by the Pre-Trial Chamber under article 15, paragraph 4, of the Rome Statute).
Sub-programme	In the programme structure, the next lower subdivision of a programme, contributing to the objective or objectives of that programme.
Temporary posts	Posts of limited duration approved by the appropriate authority within the budgetary provisions therefor.
Trust fund	Account established with specific terms of reference and under specific agreements to record receipts and expenditure of voluntary contributions for the purpose of financing wholly or in part the cost of activities consistent with the organization's aims and policies.
Working Capital Fund	A fund established by the appropriate legislative organ to finance budgetary appropriations pending receipt of States Parties' contributions and for such other purposes as may be authorized.
Zero growth	As defined by the Committee on Budget and Finance, the numerical value of the item or budget does not change. Inflation is not added. This is sometimes known as zero nominal growth.
Zero growth in real terms	Approved budget for current year increased only due to inflation or other price increases for next year, the underlying factors having remained constant.

Part B

Reports of the Committee on Budget and Finance

1. Report of the Committee on Budget and Finance on the work of its fourteenth session, May 2010*

Contents

	<i>Paragraphs</i>	<i>Page</i>
I. Introduction.....	1-7	158
A. Opening of the session, election of officers and adoption of the agenda.....	1-6	158
B. Participation of observers	7	159
II. Consideration of issues on the agenda of the Committee at its fourteenth session.....	8-94	159
A. Review of financial issues	8-13	159
1. Status of contributions.....	8-9	159
2. Cash holdings	10	159
3. Investment of liquid funds.....	11-13	159
B. Audit matters	14	160
C. Budgetary matters.....	15-34	160
1. Programme performance of the 2009 budget	15-27	160
2. Performance of the 2010 budget (first quarter)	28-30	161
3. Budget assumptions for 2011 and beyond.....	31-34	162
D. Administrative matters.....	35-45	162
1. Efficiency measures.....	35-36	162
2. Analytic accountability.....	37-38	162
3. International Public Sector Accounting Standards	39-42	163
4. Procurement.....	43-45	163
E. Governance.....	46-48	163
F. Human resources	49-66	164
G. Field offices and the Kampala field office.....	67-74	165
H. Legal aid	75-77	166
I. Family visits	78-81	167
J. Review Conference.....	82	167
K. Premises of the Court	83-90	167
1. Permanent premises.....	83-87	167
2. Interim premises	88-90	168
L. Other matters	91-94	168
1. Addis Ababa Liaison Office.....	91	168
2. Documentation of the Committee	92-93	168
3. Dates for the fifteenth session of the Committee.....	94	168
Annex I Status of contributions as at 31 March 2010.....		169
Annex II Human resources tables		172
Annex III List of documents		189

* Previously issued as ICC-ASP/9/5.

I. Introduction

A. Opening of the session, election of officers and adoption of the agenda

1. The fourteenth session of the Committee on Budget and Finance (“the Committee”), comprising twelve meetings, was held at the seat of the Court in The Hague, from 3 to 8 May 2010.¹ The President of the Court, Mr. Sang-Hyun Song, delivered welcoming remarks at the opening of the session.

2. For the fourteenth session, the Committee re-elected Mr. Santiago Wins (Uruguay) as Chairperson, and elected Ms. Rossette Nyirinkindi Katungye (Uganda) as Vice-Chairperson by consensus, in accordance with rule 10 of its Rules of Procedure. The Committee expressed its appreciation to the former Vice-Chairperson, Mr. Ugo Sessi (Italy). In accordance with rule 13, the Committee appointed Mr. Masud Husain (Canada) as Rapporteur.

3. The Secretariat of the Assembly of States Parties (“the Secretariat”) provided the substantive servicing for the Committee, and its Director, Mr. Renan Villacis, acted as Secretary of the Committee.

4. At its 1st meeting, the Committee adopted the following agenda (ICC-ASP/9/CBF.1/L.1):

1. Opening of the session
2. Election of officers
3. Adoption of the agenda
4. Participation of observers
5. Organization of work
6. Programme performance of the 2009 budget
7. Programme performance of the 2010 budget: first quarter
8. Audit matters
9. Human resources
10. Premises of the Court
11. Legal aid
12. Family visits
13. Review Conference
14. Other matters

5. The following members attended the fourteenth session of the Committee:

1. David Banyanka (Burundi)
2. Carolina María Fernández Opazo (Mexico)
3. Gilles Finkelstein (France)
4. Fawzi A. Gharaibeh (Jordan)
5. Masud Husain (Canada)
6. Shinichi Iida (Japan)
7. Juhani Lemmik (Estonia)
8. Rossette Nyirinkindi Katungye (Uganda)
9. Gerd Saupe (Germany)

¹ Due to the closure of European airspace in mid-April 2010, the Committee had to reschedule its fourteenth session, initially scheduled to take place from 19 to 23 April 2010 as decided by the Assembly of States Parties at its eighth session held in November 2009.

10. Ugo Sessi (Italy)
11. Elena Sopková (Slovakia)
12. Santiago Wins (Uruguay)

6. The following organs of the Court were invited to participate in the meetings of the Committee to introduce the reports: the Presidency, the Office of the Prosecutor and the Registry.

B. Participation of observers

7. The Committee accepted the request of the Coalition for the International Criminal Court to make a presentation to the Committee. The Committee invited the Coalition for the International Criminal Court to make a similar presentation at its next session. In addition, the Committee invited the Staff Council of the Court to make a presentation.

II. Consideration of issues on the agenda of the Committee at its fourteenth session

A. Review of financial issues

1. Status of contributions

8. The Committee reviewed the status of contributions as at 31 March 2010 (annex I). The Committee noted that the outstanding contributions from the previous financial periods had increased to a total of €615,000, compared to €484,000 in March 2009. In addition, the Committee expressed concern that, as at 31 March 2010, only 48 per cent of the 2010 contributions had been paid, compared to 55.8 per cent in 2009, and that only 21 States had fully paid all their contributions. The Committee encouraged all States Parties to make best efforts to ensure that the Court had sufficient funds throughout the year, in accordance with regulation 5.6 of the Financial Regulations and Rules.

9. In light of the forthcoming Rome Statute Review Conference, the Committee agreed to consider the status of the arrears of States Parties. The Committee observed that, as at 8 May 2010, 10 States Parties were in arrears and would therefore not be able to vote at the Conference, in accordance with article 112, paragraph 8, of the Rome Statute. The Committee further observed that the Secretariat had informed States Parties in arrears twice, in December 2009 and January 2010, of the minimum payment required to avoid application of article 112, paragraph 8, of the Statute and of the procedure for requesting an exemption from the loss of voting rights. The Committee requested the Secretariat to again notify States Parties in arrears. Moreover, given the importance of ensuring a wide participation in the Review Conference, the Committee recommended that all States in arrears settle their accounts with the Court as soon as possible.

2. Cash holdings

10. The Committee was informed that, as at 29 April 2010, the Court held approximately €62.8 million. This included cash for the Working Capital Fund (€7.4 million) and the Contingency Fund (€9.2 million).

3. Investment of liquid funds

11. The Committee had before it the Report of the Court on its investments of liquid funds.² The Committee observed that the funds of the Court, in the value of €62.8 million, were currently held in four banks located in three different countries, which contributed to effective diversification of risks. Furthermore, considering that the financial situation remained fragile, the Committee welcomed the Court's continued concentration on preserving capital, rather than pursuing high returns.

² ICC-ASP/9/CBF.1/2.

12. The Committee recommended that the Court revitalize and make operational as soon as possible the Investments Review Committee, consisting of the Director of the Common Administrative Services Division, the Chief of the Budget and Finance Section and the Treasurer. The Committee on Budget and Finance welcomed the opportunity to continue to participate in the capacity of observer, along the lines agreed to at the twelfth session.³ The Committee further recommended that the Court consider limiting the routine involvement of external consultants to seeking advice on an ad-hoc basis, in particular as the Court was pursuing a conservative investment strategy.

13. The Committee further observed that administrative instruction ICC/AI/2004/007, governing the investment of surplus funds, dated back to the year 2004. The Committee recommended that the Court conduct a review of the administrative instruction to ensure that it corresponded to the evolving financial market and was in line with the development of the Court.

B. Audit matters

14. The Committee welcomed the information, provided by the Internal Auditor, that the Audit Committee had become fully operational and included four external members. In line with observations made at its thirteenth session,⁴ the Committee requested the Court to provide the revised terms of reference of the Audit Committee,⁵ which would include information on the remuneration of external members, for the Committee's next session.

C. Budgetary matters

1. Programme performance of the 2009 budget

15. The Committee considered the Report on programme performance of the International Criminal Court for the year 2009.⁶ The Committee noted that the overall implementation rate had been 92.5 per cent or a total of €93.6 million,⁷ against an approved budget of €101.23 million and assessed contributions of €96.3 million.

16. The Court explained that the major factors influencing its ability to implement fully the 2009 budget included a delay in trials and a reduction in the number of detention cells rented.

17. The Committee generally welcomed the improved rate of expenditure and the level of detail provided in the report. The Committee provided recommendations and observations to help guide the Court in the preparation of future submissions.

18. The Committee observed that the expenditures for legal aid for the defence, legal aid for victims, and training were still grouped under the item "contractual services, including training". The Committee recalled that it had recommended that these items be listed separately to allow for more careful review of these expenditures, and recommended that the Court adopt this format for all future reports.⁸

19. The Committee also welcomed the inclusion of the section on achievements in the annexes of the report. However, the Committee recommended that in some instances, such as the diplomatic briefing, enhanced measuring of qualitative impacts be considered.

20. The Committee noted with some concern that the Court had transferred €250,000 from staff costs to general temporary assistance within the budget of the Court's Interpretation and Translation Section to cover the cost of freelance interpreters who were required because vacancies for in-house English interpreters remained unfilled. The Committee recommended that the Court give priority to filling those positions.

21. The Committee also noted that the post of P-3 Legal Officer in the Secretariat of the Assembly of States Parties was still under recruitment. The Committee requested that the

³ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. II, part B.1, para. 35.

⁴ *Ibid.*, part B.2, para. 23.

⁵ Presidential Directive ICC/PRES/D/G/2009/1, dated 11 August 2009.

⁶ ICC-ASP/9/7.

⁷ Subject to final revision by the External Auditor.

⁸ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. II, part B.2, paras. 36-37.

Secretariat provide an update on the recruitment status at the Committee's next session in the context of consideration of the 2011 budget submission.

22. The Committee observed that a write-off due to obsolescence, damage, loss or theft was included in the report to the amount of €0.4 million. The Committee requested that further information on the write-off be provided at its next session.

23. The Committee noted that the Court had overspent on furniture and equipment due to purchases of ICT equipment and office furniture. The Committee recalled that, in its report on its thirteenth session, it had recommended that the Court continue to refine the report on capital investment replacements and prepare procurement, amortization, cash management and financial plans. As the Court was purchasing equipment and furniture, as evidenced by the 2009 budget, the Committee recommended that the Court update the report on capital investment replacements to take into account current purchases in the development of its procurement and amortization plans and report to the Committee at its next session.

24. With respect to detention cells, the Committee welcomed the reduction in rental costs achieved between the Court and the host State. However, the Committee noted that the costs of detention cells over time had been inconsistent and seemed to vary according to several factors such as the number of cells that the Court was required to rent in a block and the services provided with each cell. The Committee observed that it would be important that a consistent price for detention cells be established along predictable parameters. The Committee requested that the Court provide further information on the pricing of detention cells and the status of negotiations with the host State over the product price agreement for its next session.

25. The Committee noted that the expenditure for general temporary assistance (GTA) for the Court stood at 113 per cent of the approved budget, with the exception of the Office of the Prosecutor, which had an implementation rate of 94 per cent. The Committee asked for further clarification as to whether any of the seven GTAs were unforeseen and, if so, whether they had been approved according to the procedures described in the report on human resources.⁹

26. With respect to the budget for legal aid, the Committee noted that there was an overall underspend of €441,346 for legal aid in 2009.

27. The Committee further noted that the amount of €271,350 had been transferred from the legal aid budget for victims to the legal aid budget for the defence, in order to cover expenses related to unforeseen circumstances. The Committee recommended that the Court take into account spending trends in the legal aid budgets when preparing the 2011 proposed programme budget.

2. Performance of the 2010 budget (first quarter)

28. The Committee had before it the Report on budget performance of the International Criminal Court as at 31 March 2010.¹⁰

29. The Court observed that while the 2010 budget was based on the assumption of three consecutive trials, it already included a notification that it might need to access the Contingency Fund should a parallel trial become necessary.

30. The Committee noted that 30 posts in the Court were either advertised but not under recruitment, or vacant but not advertised, and sought information on the Court's plans for the latter category of posts, i.e. whether the posts would be relinquished. The Court indicated that this did not necessarily imply that the posts would not be filled, as a number of posts had been recently vacated. The Office of the Prosecutor indicated that there were three vacant field posts that it was considering redeploying to another situation to avoid any increase of staff in the 2011 budget.

⁹ ICC-ASP/9/8.

¹⁰ ICC-ASP/9/6.

3. Budget assumptions 2011 and beyond

31. The Committee received an oral presentation on the budget assumptions for 2011. The Committee was informed that the Office of the Prosecutor was not intending to open any investigations in new situations in the year 2011 and that, should the need arise, a request to access the Contingency Fund would be submitted. The Court foresaw the continuation of two trials, with the possibility of a third trial being held in parallel for a period of approximately six months.

32. The Court informed the Committee that, in addition to the cost of holding parallel trials, the proposed programme budget would reflect an increase in staff costs due to the annual step increments in salaries and the adjustment of the vacancy rates. Furthermore, an inflation rate of approximately 1 per cent would be taken into account for non-staff costs.

33. The Committee regretted that the Court was not in a position to provide estimates for the 2011 proposed programme budget, as was customary at the Committee's April session. The Committee requested the Court to provide the information to the Committee as soon as possible. The Committee further reminded the Court to ensure that it removed the budget for the Rome Statute Review Conference from its 2010 baseline as this was not a regular event, and to take into account its actual expenditure in 2009.

34. The Committee noted that the Court was able to absorb the immediate costs of conditions of service related to enhanced benefits during the budget year in which the decision was made to enhance those benefits. The Committee noted that such enhancements would have long-term budgetary implications beyond the year of decision (such as in the case of salary increases, social security provisions and conditions of service for staff in the field). The Committee reiterated its view that any decisions with long-term financial implications should be considered by the Committee and approved by the Assembly prior to implementation.

D. Administrative matters

1. Efficiency measures

35. The Committee considered the Third Status Report on the Court's progress regarding efficiency measures.¹¹ The Committee welcomed the sincere efforts of the Court to review its administrative and other processes in order to develop efficiency, and strongly encouraged the Court to continue in this vein.

36. In order to give further positive guidance to the Court, the Committee made the following recommendations:

(a) The Committee recommended that the Court continue to provide status reports on its efforts to the Committee. In this regard, now that the Court had provided the overall context in the Third Status Report, the Committee was of the view that future reports should be considerably shorter and focus more precisely on the actual measures taken;

(b) As requested in its report on the work of its twelfth session, the Committee recommended that the Court should, as much as possible, quantify the savings achieved through its efficiency measures and, in that regard, consider developing indicators to measure productivity gains in the context of the preparation of staffing levels for the annual budget submission.

2. Analytic accountability

37. Pursuant to a request of the Committee that the Court seek to track costs by trial rather than by situation, the Court provided a report on analytic accountability.¹² The Court drew attention to the inherent difficulty of tracking costs per trial given the limitations of its current software system, the different functions that might be related to a trial and the fact that many individual staff members worked on multiple cases. The Court also pointed out

¹¹ ICC-ASP/9/CBF.1/13.

¹² CBF/15/12.

that there were a number of judicial processes and procedures that were not trials per se. The Court advised that it was currently approaching other international judicial institutions to determine how they allocated trial costs.

38. The Committee stressed the importance for the Court to be able progressively to track more accurately its trial and process costs as part of its budget forecasting. The Committee encouraged the Court to continue its discussions with other judicial institutions and to report back to the Committee at its fifteenth session.

3. International Public Sector Accounting Standards

39. The Committee considered the Report of the Court on its assessment of the implementation of International Public Sector Accounting Standards (IPSAS).¹³ The report was provided pursuant to the request of the Committee at its thirteenth session that the Court consider the experience of other international organizations before making a final decision on a schedule for IPSAS implementation.

40. The Committee noted that the costs of IPSAS implementation seemed to vary among the various United Nations organizations and were in some cases considerably lower than the Court's estimates. Some organizations had lowered IPSAS training costs by providing online training.

41. The Court pointed out that, given its unique structure of basic and situation-related costs with significant field presence, it had a more complex staffing structure and capital flow, which impacted on IPSAS implementation.

42. The Committee recommended that the Court review its cost estimate for IPSAS implementation following further consideration of the experience of other organizations, and provide a more comprehensive report, to include a proposed implementation schedule along with its budget proposal, for consideration by the Committee at its fifteenth session.

4. Procurement

43. The Committee welcomed the Report of the Court on procurement¹⁴ and observed that Court had made considerable progress in enhancing the efficiency and transparency of its procurement practices, inter alia by cooperating with other international organizations and by posting relevant information on the website of the Court. As regards the latter, the Committee invited the Court to further improve the visibility of its procurement activities by adding additional information and by featuring such information more prominently on its website so as to ensure wider and easier access for suppliers.

44. The Committee recommended that the Court implement concrete measures to ensure that suppliers were properly vetted and adopt guidelines for governing the activities of staff and former staff in the field of procurement. The Committee further recommended that, as an additional control measure, the Court consider requesting all certifying officers to continue to make a declaration of assets and holdings.

45. In general, the Committee recommended that the Court ensure that procurement procedures and practices, including for the permanent premises project, were applied in a transparent manner.

E. Governance

46. The Committee had before it the Report of the Court on measures to increase clarity on the responsibilities of the different organs.¹⁵ At the outset, the Committee recalled that two areas of risks in the administration of the Court had been identified at the thirteenth session, namely the existing divisions among the organs and a lack of clarity of roles.

47. The report contained information on measures taken by the Court and identified areas for further improvement. The Committee welcomed the work undertaken to

¹³ ICC-ASP/9/3.

¹⁴ ICC-ASP/9/CBF.1/3.

¹⁵ ICC-ASP/9/CBF.1/12.

strengthen the Court's corporate governance framework, inter alia through the adoption of a formal "corporate governance statement", which aimed at clarifying the roles and responsibilities of the different organs at a general level.

48. Recalling that, under the terms of article 112, paragraph 2 (b), of the Rome Statute, the Assembly of States Parties was tasked with providing management oversight to the Presidency, the Prosecutor and the Registrar regarding the administration of the Court, the Committee encouraged the Court to continue its efforts to strengthen the governance arrangements and to report on their implementation and operation at the sixteenth session of the Committee.

F. Human resources

49. The Committee had before it the Report of the Court on human resources management.¹⁶

50. The Committee welcomed the progress made in the area of human resources, particularly with respect to the performance appraisal system.

51. To better assist the Court and the Committee in its review of human resources, the Committee requested that the Court provide in future reports, as a matter of course, the estimated cost of staff salary increases, further information on approved and unapproved GTAs, as well as detailed information on the use of consultants.

52. The Committee was of the view that, as the establishment phase of the Court was ending and given that the Court was acquiring more experience with its needs in terms of work volume and staff requirements, the Court should be better able to identify core needs and corresponding staff with more predictability.

53. In this regard, the Committee was informed that the Court had not been able to submit its proposed reclassifications for the fourteenth session as requested by the Committee. For the future, the Committee reiterated its request that the Court provide its reclassification proposals for the April session of the Committee to allow careful consideration given the budgetary impact.

54. The Court provided an update on the progress made in accelerating its recruitment and the corresponding reduction in vacant posts. The Court reported that it had a turnover rate of 9.8 per cent, which reflected important progress in staff retention while allowing for a healthy renewal of personnel.

55. The Committee noted the improved recruitment rate and recommended that the Court consider the costs and benefits of mechanisms to ensure the transparency of its recruitment processes, such as a confirmation board that included staff representatives, as was the practice in other international organizations. The Committee also recommended that the Court take appropriate steps to provide for equitable geographical representation on recruitment boards, to the extent possible.

56. The Committee recommended that, where established posts had been vacant for two years or more, the Court should provide a renewed justification for the positions to the Committee as part of its annual budget submission.

57. The Committee welcomed the initiative of the Court to conduct missions to non-represented or underrepresented countries to increase awareness of employment opportunities, and encouraged the Court to continue its efforts in that regard.

58. On the proposed junior professional officer (JPO) programme, the Committee generally welcomed the Court's intention to establish this programme, as it would provide a good opportunity for young and capable professionals and assist in the Court's outreach activities.

59. However, the Committee pointed out that, under the terms of article 44, paragraph 4, of the Rome Statute, the Court might employ gratis personnel only in accordance with guidelines to be established by the Assembly. In the view of the Committee, the existing

¹⁶ ICC-ASP/9/8.

guidelines did not seem to be applicable to JPOs, as they only applied to “specialized functions”.

60. The Committee therefore recommended that the Court prepare a special proposal on the JPO programme, including new guidelines, to be submitted to the Committee at its sixteenth session. The Committee emphasized that implementation of the JPO programme should not in any way have a negative effect on the geographical representation of regular professional posts.

61. The Committee recommended that the conditions of service applicable to staff were clearly established and applied evenly in all organs. The Committee requested that the Court establish clear guidelines in order to ensure appropriate application of service benefits.

62. On contractual arrangements, the Court informed the Committee that it was considering the issue of longer term contracts for its staff than the current three-year maximum renewable contracts. The Committee took note but recommended that clear criteria and safeguards be developed, including linkages with the appraisal system, before implementation.

63. On performance appraisals, the Committee noted the considerable progress achieved by the Court. The Committee recommended that, to further build on this progress, the generic appraisal criteria be more broadly circulated, that systems be reinforced to ensure consistent and uniform application of the appraisal system throughout the Court, that the appraisal review process be reinforced and that appraisals be further linked to the strategic objectives and the contract renewal process.

64. On training, the Court informed the Committee that it had prepared strategic learning plans. The Committee welcomed that the Court was able to absorb the training budget reductions for the 2010 budget by taking a more focused and strategic approach to training.

65. The Committee recalled that the Assembly, at its eighth session, by adoption of resolution ICC-ASP/8/Res.7, had endorsed the Committee’s recommendation not to convert the post of a P-3 Psychologist/Psychological Trauma Expert from GTA to an established post. The Assembly had requested the Registrar to provide all pertinent information to the Committee and tasked the Committee with examining the justifications for the conversion.

66. The Committee considered the Report of the Court on conversion of a GTA psychologist post to a permanent post,¹⁷ and concluded that there was a need for additional information, inter alia, on the approach taken by, and practice of other international judicial institutions. The Committee requested the Court to examine the advantages and disadvantages of other options, such as establishing a roster of experts, and to report on its findings in advance of its next session in the context of its budget submission.

G. Field offices and the Kampala field office

67. The Committee had before it the Report of the Court on the Kampala field office¹⁸ and the Report on the review of field operations.¹⁹

68. The Court provided an update on progress in developing a strategic vision for the field offices. The Court advised the Committee that the recruitment of the P-4 Head of Field Coordination and Planning was ongoing and that the Registrar had seconded a P-3 officer to assist in the planning phase. The Court indicated that a number of issues were under consideration, such as the forms of a field office during the different phases of a case, and that further issues, such as an exit strategy and residual issues, would have to be addressed.

69. The Committee noted that the Court had made progress in focusing the work of the field offices in a more strategic direction, including at headquarters, and encouraged the

¹⁷ ICC-ASP/9/CBF.1/16.

¹⁸ Report of the Court on the Kampala Field Office: activities, challenges and review of staffing levels; and on memoranda of understanding with situation countries (ICC-ASP/9/11).

¹⁹ ICC-ASP/9/12.

Court to proceed rapidly with the staffing of the headquarters positions in order to be able to continue the preparation of its strategic direction.

70. The Committee observed that a number of important policy issues concerning field offices that had been identified in its earlier report were still under consideration.²⁰ These included the nature of a field office (whether it was to be operational, representational and/or symbolic), the duration of an office and how residual issues would be addressed when a situation closed, the relationship of field offices to the situation or host country, and whether a field office should become a regional hub. The Committee considered that many of these issues were of a policy nature and would benefit from guidance by the Assembly. The Committee also noted that there were potentially important cost considerations associated with options for addressing residual issues or the creation of regional hubs.

71. The Committee reiterated its request that the Court prepare better cost-benefit analyses of its operations, demonstrating and quantifying the efficiencies achieved (such as a lowering of the central travel budget) as a result of the field offices, and provide a comparative analysis of different options for achieving results in the field. For example, the Committee suggested that the Court might provide a notional allocation of administrative costs to each section present in the field office so that these sections could make a better analysis of the value of the field office versus other possible arrangements. The Committee also suggested that the Court consider whether it could not pool certain activities within the Registry's activities in the field office to enhance efficiency and savings.

72. The Committee also recommended that an in-depth review of the Court's memoranda of understanding with situation countries be undertaken to determine the required content and steps for proper implementation.

73. With respect to the Kampala office, the Committee recalled that it had expressed concern at the size of the office in relation to the amount of judicial and prosecutorial activity. The Committee had requested that the different sections of the Court present in the field office review their resources in relation to the amount of activity. The Court informed the Committee that, due to operational requirements and its strategic location, the Kampala office was being used to help assist the activities in other situations. For this reason, the Court had not proceeded with the redeployment of drivers and staff to other field offices as recommended by the Committee and approved by the Assembly. The Court pointed out, however, that it had not increased staff in the other field offices.

74. The Committee took note of the explanation and expressed concern that the Kampala office seemed to be used as a regional hub in the absence of any clear strategy in that regard. The Committee advised the Court that some members would visit the Kampala office during the Review Conference.

H. Legal aid

Legal aid (victims)

75. The Committee had before it the document entitled "Updated Report of the Court on legal aid: Legal and financial aspects of funding victims' legal representation before the Court, the comparison between internal and external counsel".²¹

76. The Committee welcomed the revised cost estimates for victims' legal representation which had been prepared on the basis of common parameters.

77. The Committee observed that the Court currently had in place mixed teams, consisting of internal and external counsel for the representation of victims, and that it suggested continuing this practice until after the conclusion of a full cycle of trial proceedings. While acknowledging that the use of external counsel might have benefits in terms of expertise, the Committee observed that opting for a system in which victims would be represented by internal counsel only appeared to be more cost efficient.

²⁰ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. II, part B.2, paras. 80-83.

²¹ ICC-ASP/9/9.

I. Family visits

78. The Court presented the Report of the Registry on the feasibility and the conditions for the establishment of a voluntary system of funding family visits.²² The Court laid out a number of principles that had guided its consideration, such as the sustainability of funding, administrative costs and equal treatment of detainees, and proposed a twofold system that could access the regular budget.

79. It was the understanding of the Committee that the mandate from the Assembly was for the Court to report on the feasibility and conditions for a voluntary system. In the view of the Committee, the report did not go far enough in exploring possible solutions within a voluntary system to the various challenges identified. For example, it was unclear to the Committee why this special fund could not be exempt from the 13 per cent administrative fee, as was the Victim's Trust Fund, and what activities would merit such a fee.

80. Given the fact that the Assembly had clearly stated that there was no legal right to funded visits, and a voluntary fund might indeed lead to a situation where there might not be sufficient funds for all indigent detainees in a year, the Committee would have expected to see different options explored for addressing the issue of equal treatment, such as through a roster that would allow for visits in a priority list as funds become available over a longer period, or working through other institutions so that the Court would not be responsible for deciding on the allocation of the voluntary funds.

81. The Committee recommended that the Court explore these issues further, including the experience of other international tribunals in addressing equal treatment, and propose possible solutions for a purely voluntary system, for consideration at its next session.

J. Review Conference

82. The Committee indicated that a number of its members would attend the Review Conference in Kampala.

K. Premises of the Court

1. Permanent premises

83. The Committee had before it the Interim report on the activities of the Oversight Committee²³ and heard a presentation by the Chairperson of the Committee, Mr. Martin Strub (Switzerland), wherein he referred to some of the key developments since the eighth session of the Assembly, which included the selection of an architect for the project.

84. The Committee recalled that, under the terms of resolution ICC-ASP/6/Res.1, annex II, paragraph 14, the Oversight Committee shall provide progress reports to the Committee on Budget and Finance prior to the latter's sessions; and that the Oversight Committee shall submit to the Committee on Budget and Finance for advice any submissions with financial implications for the Assembly.

85. The Committee observed in this regard that the Oversight Committee had conveyed for consideration by the Committee two financial reports, covering 2009 and the first quarter of 2010, respectively. The Committee was requested to provide advice on the level of detail required in the financial reports and to assist in identifying any missing elements that merited inclusion in future reports.

86. The Committee observed that the financial reports were difficult to understand given the state of the information provided. In its advisory capacity, the Committee recommended that the financial reports contain more background information, be forward-looking and make reference to the risks of the project, as well as the measures that had been taken to overcome them. The Committee further recommended that the reports contain information on financial savings, for example resulting from a lower inflation rate than initially anticipated.

²² ICC-ASP/9/CBF.1/9.

²³ ICC-ASP/9/CBF.1/5.

87. The Committee acknowledged the importance of having a risk register for the project and recommended that the Oversight Committee, as a matter of priority, continue its consideration thereof.

2. Interim premises

88. The Committee received an update from the Court on the status of the interim premises. The Court indicated that a report detailing the financial implications of the expiration of the rent-free period for the interim premises in June 2012 would be submitted to the Committee at its next session. The Committee recommended that the Court, in consultation with the host State, explore all possible avenues, including the extension of the rent-free period, for reducing the financial implications for States Parties.

89. The Committee noted with concern that the Court was not in a position to guarantee the availability of office space in the Haagse Veste building for the translation teams of the Secretariat of the Assembly of States Parties in the year 2012. The Committee recalled that at its thirteenth session it had voiced the expectation that the Court would continue to provide office space until the Secretariat had moved to the permanent premises.

90. The Committee expressed its expectation that uniform criteria were being applied in the allocation of office space for the staff of the three organs of the Court, including the Secretariat of the Assembly, in accordance with the commonly accepted standards applicable to international organizations based in the Netherlands.

L. Other matters

1. Addis Ababa Liaison Office

91. The Committee recalled the decision of the Assembly at its eighth session that the Addis Ababa Liaison Office would be headed at the D-1 level.²⁴ The Court informed the Committee that it was undertaking measures to secure accreditation for the liaison office from the African Union and that, in this regard, it intended to send a Senior Legal Officer on mission on a monthly basis. The financing of the mission would come from the funds allocated for the D-1 Head of Office, which would permit the Registrar to fill the position of the Senior Legal Officer while he was on mission, if necessary. The Committee requested the Court to provide it with a progress update at its fifteenth session, including details on the funds used.

2. Documentation of the Committee on Budget and Finance

92. While noting an improvement in the timeliness of the preparation and delivery of documentation, the Committee expressed concern about the fact that its requests for additional information prior to the session had not been met in a timely manner.

93. The Committee considered a request by the Coalition for the International Criminal Court to have access to documents prepared by the Court for consideration by the Committee prior to its meetings. While understanding the request, the Committee recalled that it was a body of independent technical experts that met in closed session. The Committee deemed it inappropriate that States and other organizations and individuals should have prior access to the documents. The Committee referred to the practice of the Advisory Committee on Administrative and Budgetary Questions of the United Nations General Assembly, which made available only select documents to the Administrative and Budgetary Committee (Fifth Committee), the Committee through which it advised the General Assembly on any administrative and budgetary matters referred to it. In these circumstances, the Committee decided that the documents prepared for its meetings should not be made accessible to non-members of the Committee prior to the sessions.

3. Dates for the fifteenth session of the Committee

94. The Committee decided to hold its fifteenth session in The Hague from 23 to 31 August 2010.

²⁴ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. I, part II, ICC-ASP/8/Res.7, section H.

Annex I

Status of contributions as at 31 March 2010

<i>States Parties</i>	<i>Prior years' assessed contributions</i>	<i>Prior years' receipts</i>	<i>Prior years' outstanding contributions</i>	<i>2010 assessed contributions</i>	<i>2010 contributions received</i>	<i>2010 outstanding contributions</i>	<i>Total outstanding contributions</i>
1 Afghanistan	12,842	10,421	2,421	6,155	-	6,155	8,576
2 Albania	47,698	47,698	-	15,388	1,266	14,122	14,122
3 Andorra	57,892	57,892	-	10,771	2,392	8,379	8,379
4 Antigua and Barbuda	22,230	22,230	-	3,078	571	2,507	2,507
5 Argentina	5,951,594	5,951,594	-	441,625	246,645	194,980	194,980
6 Australia	15,324,869	15,324,869	-	2,974,427	2,974,427	-	-
7 Austria	7,973,552	7,973,552	-	1,309,486	1,309,486	-	-
8 Barbados	83,640	83,640	-	12,310	2,676	9,634	9,634
9 Belgium	9,884,226	9,884,226	-	1,654,169	317,309	1,336,860	1,336,860
10 Belize	9,075	8,658	417	1,539	-	1,539	1,956
11 Benin	13,772	13,772	-	4,616	4,616	-	-
12 Bolivia (Plurinational State of)	67,925	60,578	7,347	10,771	-	10,771	18,118
13 Bosnia & Herzegovina	40,977	40,977	-	21,543	831	20,712	20,712
14 Botswana	116,422	116,422	-	27,698	3,552	24,146	24,146
15 Brazil	11,477,597	11,477,597	-	2,478,945	100,763	2,378,182	2,378,182
16 Bulgaria	164,937	164,937	-	58,473	58,473	-	-
17 Burkina Faso	15,816	15,816	-	4,616	718	3,898	3,898
18 Burundi	7,451	2,038	5,413	1,539	-	1,539	6,952
19 Cambodia	13,772	13,772	-	4,616	64	4,552	4,552
20 Canada	26,091,929	26,091,929	-	4,934,808	4,934,808	-	-
21 Central African Republic	9,075	2,874	6,201	1,539	-	1,539	7,740
22 Chad	4,378	1,605	2,773	3,078	-	3,078	5,851
23 Chile	76,698	76,698	-	363,147	-	363,147	363,147
24 Colombia	1,197,872	1,197,872	-	221,582	106,807	114,775	114,775
25 Comoros	4,644	516	4,128	1,539	-	1,539	5,667
26 Congo	7,817	6,055	1,762	4,616	-	4,616	6,378
27 Cook Islands	1,766	-	1,766	1,539	-	1,539	3,305
28 Costa Rica	274,829	274,829	-	52,318	461	51,857	51,857
29 Croatia	393,923	393,923	-	149,260	9,092	140,168	140,168
30 Cyprus	375,198	375,198	-	70,783	8,902	61,881	61,881
31 Czech Republic	100,398	100,398	-	537,028	537,028	-	-
32 Democratic Republic of the Congo	27,844	27,844	-	4,616	609	4,007	4,007
33 Denmark	6,627,946	6,627,946	-	1,132,529	211,129	921,400	921,400
34 Djibouti	8,879	5,158	3,721	1,539	-	1,539	5,260
35 Dominica	9,075	9,075	-	1,539	203	1,336	1,336

<i>States Parties</i>	<i>Prior years' assessed contributions</i>	<i>Prior years' receipts</i>	<i>Prior years' outstanding contributions</i>	<i>2010 assessed contributions</i>	<i>2010 contributions received</i>	<i>2010 outstanding contributions</i>	<i>Total outstanding contributions</i>
36 Dominican Republic	181,203	117,560	63,643	64,628	-	64,628	128,271
37 Ecuador	184,889	166,444	18,445	61,550	-	61,550	79,995
38 Estonia	125,177	125,177	-	61,550	40,300	21,250	21,250
39 Fiji	31,923	22,986	8,937	6,155	-	6,155	15,092
40 Finland	4,966,565	4,966,565	-	870,939	870,939	-	-
41 France	56,186,417	56,186,417	-	9,421,839	5,816,908	3,604,931	3,604,931
42 Gabon	80,386	50,608	29,778	21,543	-	21,543	51,321
43 Gambia	9,075	9,075	-	1,539	207	1,332	1,332
44 Georgia	25,563	25,563	-	9,233	526	8,707	8,707
45 Germany	78,932,275	78,932,275	-	12,337,792	7,508,032	4,829,760	4,829,760
46 Ghana	36,918	36,918	-	9,233	3,401	5,832	5,832
47 Greece	5,104,917	5,104,917	-	1,063,284	159,558	903,726	903,726
48 Guinea	17,764	4,308	13,456	3,078	-	3,078	16,534
49 Guyana	7,451	7,451	-	1,539	1,539	-	-
50 Honduras	45,218	31,961	13,257	12,310	-	12,310	25,567
51 Hungary	1,656,481	1,656,481	-	447,780	44,362	403,418	403,418
52 Iceland	321,068	321,068	-	64,628	6,961	57,667	57,667
53 Ireland	3,558,035	3,558,035	-	766,303	766,303	-	-
54 Italy	45,298,335	45,298,335	-	7,692,270	5,425,535	2,266,735	2,266,735
55 Japan	45,942,588	45,942,588	-	19,280,686	4,316,444	14,964,242	14,964,242
56 Jordan	102,350	102,350	-	21,543	3,205	18,338	18,338
57 Kenya	65,429	65,429	-	18,465	5,315	13,150	13,150
58 Latvia	146,171	146,171	-	58,473	24,269	34,204	34,204
59 Lesotho	9,075	7,579	1,496	1,539	-	1,539	3,035
60 Liberia	7,451	5,689	1,762	1,539	-	1,539	3,301
61 Liechtenstein	67,882	67,882	-	13,849	2,960	10,889	10,889
62 Lithuania	236,871	236,871	-	100,020	5,104	94,916	94,916
63 Luxembourg	735,657	735,657	-	138,489	138,489	-	-
64 Madagascar	4,428	1,766	2,662	4,616	-	4,616	7,278
65 Malawi	9,456	9,359	97	1,539	-	1,539	1,636
66 Mali	13,772	13,772	-	4,616	4,616	-	-
67 Malta	137,851	137,851	-	26,159	26,159	-	-
68 Marshall Islands	9,075	5,306	3,769	1,539	-	1,539	5,308
69 Mauritius	99,826	99,826	-	16,926	3,138	13,788	13,788
70 Mexico	12,891,808	12,891,808	-	3,625,323	447,278	3,178,045	3,178,045
71 Mongolia	9,075	9,075	-	3,078	175	2,903	2,903
72 Montenegro	5,311	5,311	-	6,155	6,134	21	21
73 Namibia	55,068	55,068	-	12,310	1,493	10,817	10,817
74 Nauru	9,075	5,267	3,808	1,539	-	1,539	5,347
75 Netherlands	16,169,726	16,169,726	-	2,854,403	2,854,403	-	-

<i>States Parties</i>	<i>Prior years' assessed contributions</i>	<i>Prior years' receipts</i>	<i>Prior years' outstanding contributions</i>	<i>2010 assessed contributions</i>	<i>2010 contributions received</i>	<i>2010 outstanding contributions</i>	<i>Total outstanding contributions</i>
76 New Zealand	2,171,487	2,171,487	-	420,082	420,082	-	-
77 Niger	9,075	7,901	1,174	3,078	-	3,078	4,252
78 Nigeria	421,582	370,903	50,679	120,023	-	120,023	170,702
79 Norway	6,593,446	6,593,446	-	1,340,262	213,274	1,126,988	1,126,988
80 Panama	189,320	189,320	-	33,853	8,611	25,242	25,242
81 Paraguay	80,728	75,918	4,810	10,771	-	10,771	15,581
82 Peru	789,843	604,505	185,338	138,489	-	138,489	323,827
83 Poland	4,298,091	4,298,091	-	1,274,094	850,145	423,949	423,949
84 Portugal	4,510,509	4,510,509	-	786,307	786,307	-	-
85 Republic of Korea	17,619,055	17,619,055	-	3,477,602	440,895	3,036,707	3,036,707
86 Romania	587,205	587,205	-	272,361	8,200	264,161	264,161
87 Saint Kitts and Nevis	4,644	4,644	-	1,539	285	1,254	1,254
88 Saint Vincent and the Grenadines	8,879	8,879	-	1,539	189	1,350	1,350
89 Samoa	8,957	8,957	-	1,539	283	1,256	1,256
90 San Marino	26,607	26,607	-	4,616	4,615	1	1
91 Senegal	40,998	39,659	1,339	9,233	-	9,233	10,572
92 Serbia	181,800	181,800	-	56,934	4,231	52,703	52,703
93 Sierra Leone	9,075	3,279	5,796	1,539	-	1,539	7,335
94 Slovakia	510,418	510,418	-	218,504	218,504	-	-
95 Slovenia	804,827	804,827	-	158,492	26,614	131,878	131,878
96 South Africa	2,713,316	2,713,316	-	592,423	592,423	-	-
97 Spain	24,832,857	24,832,857	-	4,888,645	823,628	4,065,017	4,065,017
98 Suriname	1,766	1,766	-	4,616	-	4,616	4,616
99 Sweden	9,395,575	9,395,575	-	1,637,243	1,637,243	-	-
100 Switzerland	10,993,626	10,993,626	-	1,738,801	1,738,801	-	-
101 Tajikistan	9,075	9,075	-	3,078	1,623	1,455	1,455
102 The Former Yugoslav Rep. of Macedonia	50,072	50,072	-	10,771	1,207	9,564	9,564
103 Timor-Leste	8,957	8,957	-	1,539	61	1,478	1,478
104 Trinidad and Tobago	217,833	217,833	-	67,706	67,705	1	1
105 Uganda	40,699	40,699	-	9,233	4,158	5,075	5,075
106 United Kingdom	57,499,218	57,499,218	-	10,161,982	2,540,404	7,621,578	7,621,578
107 United Republic of Tanzania	52,898	47,648	5,250	12,310	-	12,310	17,560
108 Uruguay	363,602	363,602	-	41,547	18,048	23,499	23,499
109 Venezuela (Bolivarian Republic of)	1,701,970	1,537,810	164,160	483,170	-	483,170	647,330
110 Zambia	13,378	13,378	-	6,155	-	6,155	6,155
Total	506,757,546	506,141,941	615,605	103,623,300	49,724,116	53,899,184	54,514,789

Annex II

Human resources tables

Table 1: Geographical representation of ICC Professional staff
Status as at 31 March 2010

Total number of professionals: 318*

Total number of nationalities: 74

Distribution per region:

<i>Region</i>	<i>Nationality</i>	<i>Total</i>
Africa	Benin	1
	Burkina Faso	1
	Cameroon	1
	Chad	1
	Congo, Democratic Republic of the	2
	Egypt	3
	Gambia	3
	Ghana	2
	Guinea	1
	Kenya	3
	Lesotho	1
	Mali	2
	Niger	2
	Nigeria	7
	Rwanda	1
	Senegal	3
	Sierra Leone	4
	South Africa	7
	Togo	1
	Tunisia	1
	Uganda	1
	United Republic of Tanzania	2
Africa Total		50
Asia	Cyprus	1
	Iran (Islamic Republic of)	4
	Japan	5
	Jordan	1
	Lebanon	2
	Mongolia	1
	Palestinian Territory, Occupied	1
	Philippines	1
	Republic of Korea	2
	Singapore	2
	Sri Lanka	1
Asia Total		21

* Excluding 33 language staff.

<i>Region</i>	<i>Nationality</i>	<i>Total</i>
Eastern Europe	Albania	1
	Belarus	1
	Bosnia and Herzegovina	1
	Bulgaria	2
	Croatia	5
	Georgia	1
	Poland	1
	Romania	6
	Russian Federation	1
	Serbia	3
	The former Yugoslav Republic of Macedonia	1
	Ukraine	1
Eastern Europe Total		24
<i>Region</i>	<i>Nationality</i>	<i>Total</i>
GRULAC	Argentina	3
	Brazil	3
	Chile	1
	Colombia	5
	Costa Rica	3
	Ecuador	2
	Mexico	2
	Peru	3
	Trinidad and Tobago	4
	Venezuela (Bolivarian Republic of)	2
GRULAC Total		28
<i>Region</i>	<i>Nationality</i>	<i>Total</i>
WEOG	Australia	18
	Austria	3
	Belgium	10
	Canada	14
	Denmark	1
	Finland	3
	France	45
	Germany	16
	Greece	2
	Ireland	4
	Italy	9
	Netherlands	17
	New Zealand	3
	Portugal	2
	Spain	9
	Sweden	2
	Switzerland	2
	United Kingdom	24
	United States of America	11
WEOG Total		195

Table 2: Geographical representation of Professional staff per post, per region*
Status as at 31 March 2010

<i>Grade</i>	<i>Region</i>	<i>Nationality</i>	<i>Total</i>
D-1	GRULAC	Ecuador	1
		GRULAC Total	1
	WEOG	Belgium	2
		France	2
		Netherlands	1
		WEOG Total	5
	D-1 Total		6
<i>Grade</i>	<i>Region</i>	<i>Nationality</i>	<i>Total</i>
P-5	Africa	Gambia	1
		Kenya	1
		Lesotho	1
		Mali	1
		Senegal	1
		South Africa	2
		Africa Total	7
	Asia	Philippines	1
		Asia Total	1
	Eastern Europe	Serbia	1
		Eastern Europe Total	1
	GRULAC	Argentina	1
		GRULAC Total	1
	WEOG	Australia	1
		Canada	1
		Finland	1
		France	1
		Germany	5
		Ireland	1
		Italy	2
		Netherlands	1
		Spain	1
		United Kingdom	1
		United States of America	1
		WEOG Total	16
	P-5 Total		26

* Excluding 33 language staff.

<i>Grade</i>	<i>Region</i>	<i>Nationality</i>	<i>Total</i>
P-4	Africa	Congo, Democratic Republic of the	1
		Nigeria	1
		Sierra Leone	1
		Africa Total	3
	Asia	Iran (Islamic Republic of)	2
		Japan	1
		Jordan	1
		Asia Total	4
	Eastern Europe	Croatia	1
		Eastern Europe Total	1
	GRULAC	Colombia	1
		Ecuador	1
		Peru	1
		Trinidad and Tobago	3
		GRULAC Total	6
	WEOG	Australia	4
		Belgium	1
		Canada	4
		Denmark	1
		Finland	2
		France	7
		Germany	4
		Italy	2
		Netherlands	5
		Spain	2
		Sweden	1
		United Kingdom	6
		United States of America	2
		WEOG Total	41
P-4 Total			55

Grade	Region	Nationality	Total
P-3	Africa	Benin	1
		Burkina Faso	1
		Chad	1
		Congo, Democratic Republic of the	1
		Egypt	1
		Kenya	1
		Mali	1
		Niger	2
		Nigeria	4
		Sierra Leone	1
		South Africa	4
		United Republic of Tanzania	1
		Africa Total	19
		Asia	Iran (Islamic Republic of)
	Japan		1
	Republic of Korea		1
	Singapore		2
	Asia Total		5
	Eastern Europe	Albania	1
		Belarus	1
		Poland	1
		Romania	1
		Serbia	1
		Ukraine	1
		Eastern Europe Total	6
	GRULAC	Argentina	1
		Brazil	1
		Colombia	4
		Costa Rica	2
		Mexico	1
		Trinidad and Tobago	1
		Venezuela (Bolivarian Republic of)	1
		GRULAC Total	11
	WEOG	Australia	8
		Austria	2
		Belgium	5
		Canada	3
		France	11
		Germany	3
		Greece	1
		Ireland	3
		Italy	3
		Netherlands	3
		New Zealand	3
		Portugal	1
		Spain	4
		Switzerland	2
United Kingdom		7	
United States of America		3	
WEOG Total		62	
P-3 Total			103

Grade	Region	Nationality	Total	
P-2	Africa	Egypt	1	
		Gambia	2	
		Ghana	1	
		Kenya	2	
		Nigeria	1	
		Rwanda	1	
		Senegal	2	
		Sierra Leone	2	
		South Africa	1	
		Togo	1	
		Tunisia	1	
		United Republic of Tanzania	1	
		Africa Total		16
		Asia	Cyprus	1
	Iran (Islamic Republic of)		1	
	Japan		2	
	Lebanon		2	
	Mongolia		1	
	Palestinian Territory, Occupied		1	
	Republic of Korea		1	
	Sri Lanka		1	
	Asia Total		10	
	Eastern Europe	Bulgaria	1	
		Croatia	3	
		Georgia	1	
		Romania	3	
		Serbia	1	
	Eastern Europe Total		9	
	GRULAC	Argentina	1	
		Brazil	1	
		Costa Rica	1	
		Mexico	1	
		Peru	1	
GRULAC Total		5		
WEOG	Australia	5		
	Austria	1		
	Belgium	1		
	Canada	5		
	France	21		
	Germany	4		
	Greece	1		
	Italy	2		
	Netherlands	6		
	Portugal	1		
	Spain	1		
	Sweden	1		
	United Kingdom	9		
	United States of America	5		
	WEOG Total		63	
P-2 Total		103		

<i>Grade</i>	<i>Region</i>	<i>Nationality</i>	<i>Total</i>
P-1	Africa	Cameroon	1
		Gambia	1
		Guinea	1
		Nigeria	1
		Uganda	1
		Africa Total	5
	Asia	Japan	1
		Asia Total	1
	Eastern Europe	Bosnia and Herzegovina	1
		Bulgaria	1
		Croatia	1
		Romania	2
		Russian Federation	1
		The former Yugoslav Republic of Macedonia	1
		Eastern Europe Total	7
	GRULAC	Brazil	1
		Chile	1
		Peru	1
		Venezuela (Bolivarian Republic of)	1
		GRULAC Total	4
	WEOG	Belgium	1
		Canada	1
		France	3
		Netherlands	1
		Spain	1
		United Kingdom	1
		WEOG Total	8
	P-1 Total		25
	Grand Total		318

Percentage of staff per post, per region

Chart 1: Percentage – D-1 posts

Due to the limited number of only 6 positions concerned, statistical and graphic representations could be misleading; please refer to the exact numbers in table above.

Chart 2: Percentage P-5 posts

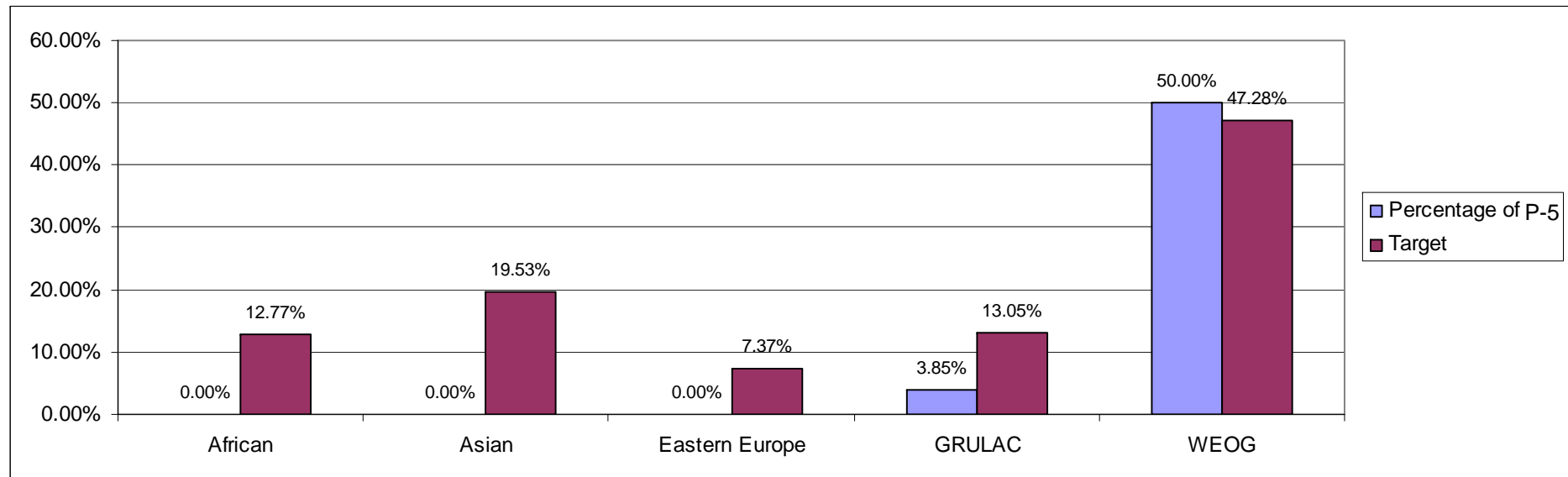


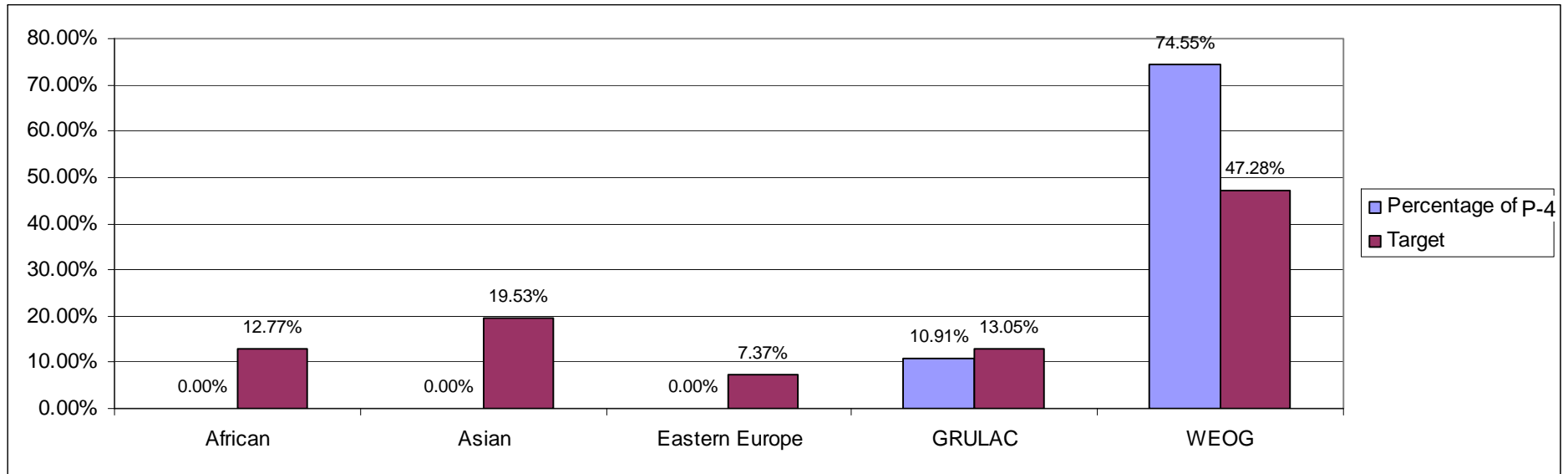
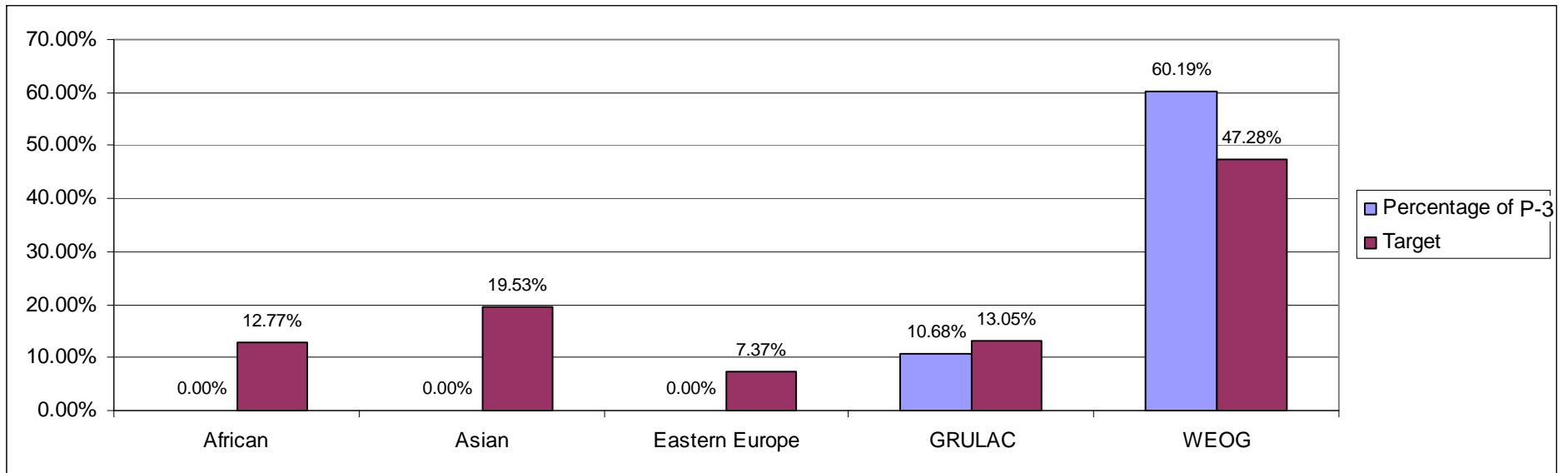
Chart 3: Percentage – P-4 posts**Chart 4: Percentage – P-3 posts**

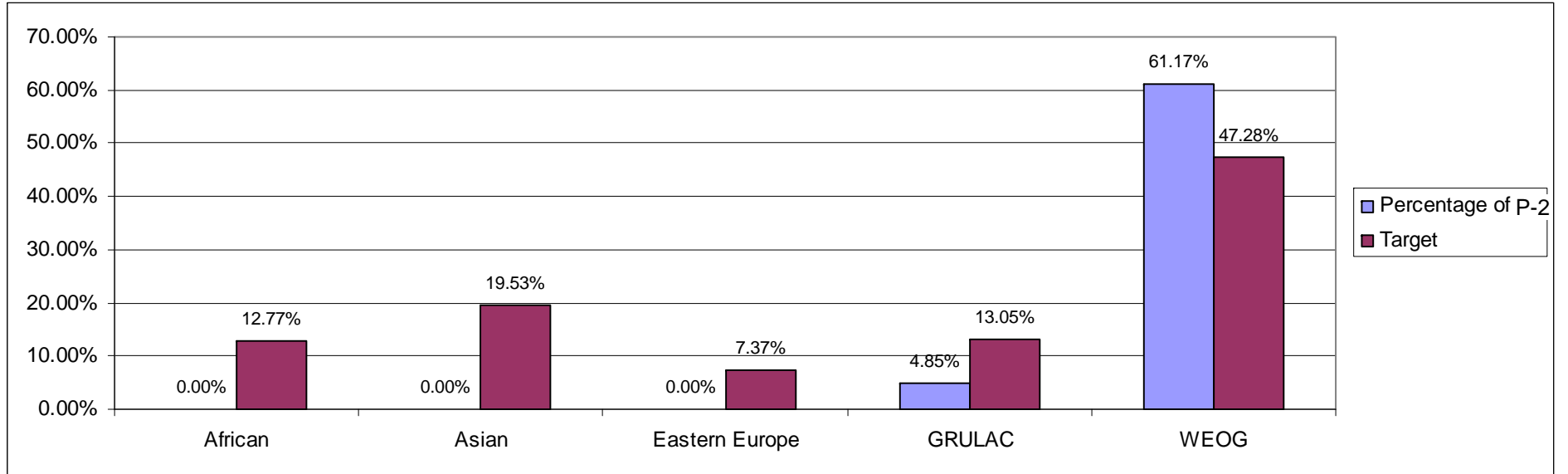
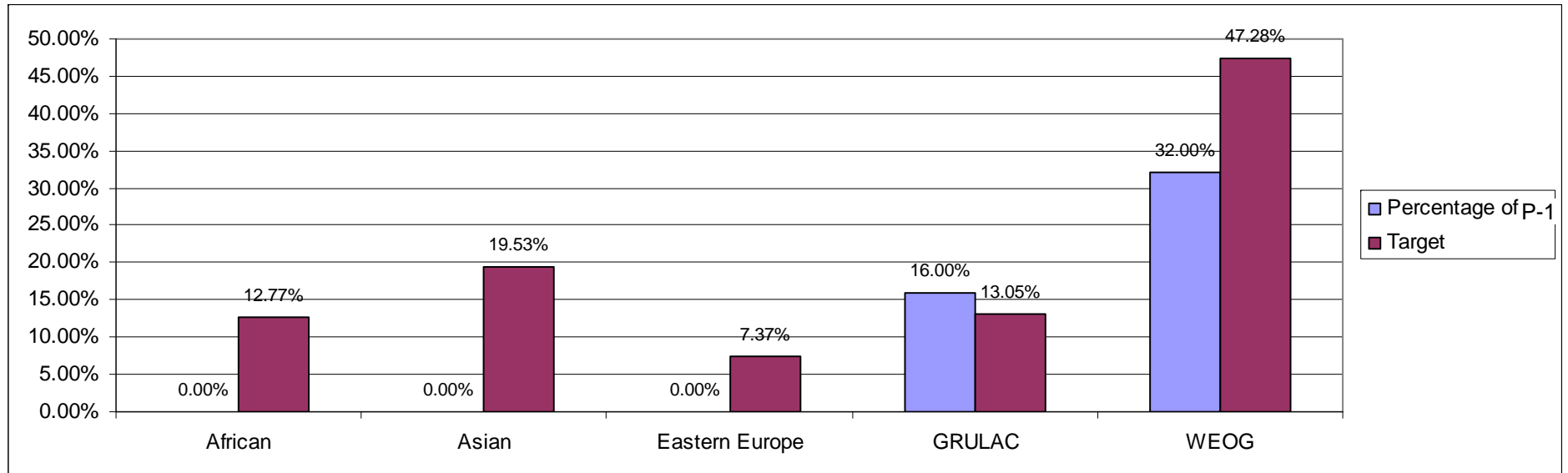
Chart 5: Percentage – P-2 posts**Chart 6: Percentage – P-1 posts**

Table 3: Geographical representation of Professional staff

Situation effective 31 March 2010. Established Professional posts, excluding elected officials and language staff. Thirty-two other Professional staff members are nationals of States not party to the Rome Statute.

<i>Region</i>	<i>Country</i>	<i>Assessment 2009</i>	<i>Desirable range</i>	<i>Midpoint</i>	<i>No. of staff</i>
African	Benin	0.00148%	1.10 - 1.49	1.30	1
African	Botswana	0.02067%	1.08 - 1.46	1.27	
African	Burkina Faso	0.00295%	1.15 - 1.55	1.35	1
African	Burundi	0.00148%	1.10 - 1.49	1.29	
African	Central African Republic	0.00148%	1.07 - 1.44	1.26	
African	Chad	0.00148%	1.12 - 1.51	1.31	1
African	Comoros	0.00148%	1.04 - 1.41	1.22	
African	Congo	0.00148%	1.06 - 1.44	1.25	
African	Democratic Republic of the Congo	0.00443%	1.05 - 1.41	1.23	2
African	Djibouti	0.00148%	1.51 - 2.04	1.78	
African	Gabon	0.01181%	1.06 - 1.44	1.25	
African	Gambia	0.00148%	1.05 - 1.42	1.23	3
African	Ghana	0.00590%	1.22 - 1.65	1.43	2
African	Guinea	0.00148%	1.11 - 1.50	1.30	1
African	Kenya	0.01476%	1.06 - 1.43	1.24	3
African	Lesotho	0.00148%	1.05 - 1.42	1.24	1
African	Liberia	0.00148%	1.06 - 1.44	1.25	
African	Madagascar	0.00295%	1.19 - 1.60	1.40	
African	Malawi	0.00148%	1.14 - 1.54	1.34	
African	Mali	0.00148%	1.13 - 1.53	1.33	2
African	Mauritius	0.01624%	1.07 - 1.44	1.26	
African	Namibia	0.00886%	1.06 - 1.44	1.25	
African	Niger	0.00148%	1.14 - 1.55	1.34	2
African	Nigeria	0.07086%	2.27 - 3.07	2.67	7
African	Senegal	0.00590%	1.14 - 1.54	1.34	3
African	Sierra Leone	0.00148%	1.08 - 1.46	1.27	4
African	South Africa	0.42810%	2.07 - 2.80	2.43	7
African	Uganda	0.00443%	1.27 - 1.72	1.50	1
African	United Republic of Tanzania	0.00886%	1.35 - 1.83	1.59	2
African	Zambia	0.00148%	1.13 - 1.52	1.32	
Asian	Afghanistan	0.00148%	1.24 - 1.68	1.46	
Asian	Cambodia	0.00148%	1.14 - 1.55	1.35	
Asian	Cook Islands	0.00148%	1.03 - 1.40	1.22	
Asian	Cyprus	0.06495%	1.14 - 1.54	1.34	1
Asian	Fiji	0.00443%	1.05 - 1.41	1.23	
Asian	Japan	22.00000%	36.35 - 49.19	42.77	5
Asian	Jordan	0.01771%	1.10 - 1.49	1.30	1
Asian	Marshall Islands	0.00148%	1.04 - 1.40	1.22	
Asian	Mongolia	0.00148%	1.05 - 1.43	1.24	1
Asian	Nauru	0.00148%	1.03 - 1.40	1.22	
Asian	Republic of Korea	3.20780%	6.41 - 8.67	7.54	2
Asian	Samoa	0.00148%	1.04 - 1.40	1.22	
Asian	Tajikistan	0.00148%	1.09 - 1.47	1.28	
Asian	Timor-Leste	0.00148%	1.04 - 1.41	1.23	

<i>Region</i>	<i>Country</i>	<i>Assessment 2009</i>	<i>Desirable range</i>	<i>Midpoint</i>	<i>No. of staff</i>
Eastern European	Albania	0.00886%	1.07 - 1.45	1.26	1
Eastern European	Bosnia & Herzegovina	0.00886%	1.08 - 1.46	1.27	1
Eastern European	Bulgaria	0.02952%	1.14 - 1.54	1.34	2
Eastern European	Croatia	0.07381%	1.18 - 1.60	1.39	5
Eastern European	Czech Republic	0.41482%	1.76 - 2.38	2.07	
Eastern European	Estonia	0.02362%	1.08 - 1.46	1.27	
Eastern European	Georgia	0.00443%	1.07 - 1.45	1.26	1
Eastern European	Hungary	0.36020%	1.67 - 2.26	1.97	
Eastern European	Latvia	0.02657%	1.09 - 1.48	1.28	
Eastern European	Lithuania	0.04576%	1.13 - 1.53	1.33	
Eastern European	Montenegro	0.00148%	1.04 - 1.41	1.22	
Eastern European	Poland	0.73958%	2.48 - 3.35	2.91	1
Eastern European	Romania	0.10333%	1.36 - 1.84	1.60	6
Eastern European	Serbia	0.03100%	1.16 - 1.56	1.36	3
Eastern European	Slovakia	0.09300%	1.22 - 1.65	1.43	
Eastern European	Slovenia	0.14172%	1.27 - 1.72	1.49	
Eastern European	The former Yugoslav Rep. of Macedonia	0.00738%	1.06 - 1.43	1.25	1
GRULAC	Antigua and Barbuda	0.00295%	1.04 - 1.40	1.22	
GRULAC	Argentina	0.47977%	2.08 - 2.82	2.45	3
GRULAC	Barbados	0.01329%	1.06 - 1.43	1.24	
GRULAC	Belize	0.00148%	1.04 - 1.40	1.22	
GRULAC	Bolivia (Plurinational State of)	0.00886%	1.12 - 1.51	1.32	
GRULAC	Brazil	1.29316%	4.51 - 6.10	5.30	3
GRULAC	Chile	0.23767%	1.53 - 2.07	1.80	1
GRULAC	Colombia	0.15500%	1.62 - 2.20	1.91	5
GRULAC	Costa Rica	0.04724%	1.14 - 1.54	1.34	3
GRULAC	Dominica	0.00148%	1.04 - 1.40	1.22	
GRULAC	Dominican Republic	0.03543%	1.16 - 1.56	1.36	
GRULAC	Ecuador	0.03100%	1.18 - 1.60	1.39	2
GRULAC	Guyana	0.00148%	1.04 - 1.41	1.22	
GRULAC	Honduras	0.00738%	1.10 - 1.49	1.29	
GRULAC	Mexico	3.33181%	7.04 - 9.53	8.29	2
GRULAC	Panama	0.03395%	1.11 - 1.50	1.31	
GRULAC	Paraguay	0.00738%	1.09 - 1.48	1.28	
GRULAC	Peru	0.11514%	1.42 - 1.93	1.68	3
GRULAC	Saint Kitts and Nevis	0.00148%	1.04 - 1.40	1.22	
GRULAC	Saint Vincent and the Grenadines	0.00148%	1.04 - 1.40	1.22	
GRULAC	Suriname	0.00148%	1.04 - 1.40	1.22	
GRULAC	Trinidad and Tobago	0.03986%	1.10 - 1.49	1.30	4
GRULAC	Uruguay	0.03986%	1.12 - 1.52	1.32	
GRULAC	Venezuela (Bolivarian Republic of)	0.29524%	1.70 - 2.30	2.00	2

<i>Region</i>	<i>Country</i>	<i>Assessment 2009</i>	<i>Desirable range</i>	<i>Midpoint</i>	<i>No. of staff</i>
WEOG	Andorra	0.01181%	1.05 - 1.42	1.24	
WEOG	Australia	2.63799%	5.33 - 7.22	6.28	18
WEOG	Austria	1.30940%	3.14 - 4.25	3.69	3
WEOG	Belgium	1.62678%	3.65 - 4.94	4.30	10
WEOG	Canada	4.39468%	8.14 - 11.02	9.58	14
WEOG	Denmark	1.09092%	2.81 - 3.81	3.31	1
WEOG	Finland	0.83258%	2.37 - 3.21	2.79	3
WEOG	France	9.30160%	16.02 - 21.68	18.85	45
WEOG	Germany	12.66146%	21.43 - 28.99	25.21	16
WEOG	Greece	0.87982%	2.49 - 3.37	2.93	2
WEOG	Iceland	0.05462%	1.12 - 1.52	1.32	
WEOG	Ireland	0.65691%	2.09 - 2.83	2.46	4
WEOG	Italy	7.49767%	13.19 - 17.84	15.51	9
WEOG	Liechtenstein	0.01476%	1.06 - 1.43	1.24	
WEOG	Luxembourg	0.12548%	1.23 - 1.67	1.45	
WEOG	Malta	0.02510%	1.07 - 1.45	1.26	
WEOG	Netherlands	2.76494%	5.47 - 7.41	6.44	17
WEOG	New Zealand	0.37791%	1.65 - 2.24	1.95	3
WEOG	Norway	1.15440%	2.87 - 3.88	3.38	
WEOG	Portugal	0.77796%	2.33 - 3.15	2.74	2
WEOG	San Marino	0.00443%	1.04 - 1.41	1.22	
WEOG	Spain	4.38139%	8.20 - 11.09	9.65	9
WEOG	Sweden	1.58102%	3.57 - 4.83	4.20	2
WEOG	Switzerland	1.79507%	3.89 - 5.27	4.58	2
WEOG	United Kingdom	9.80499%	16.80 - 22.73	19.77	24
TOTAL		100.00%		334.00	286

Table 4: Gender balance of Professional staff by gender*
Status as at 31/03/2010

Judiciary

<i>Grade</i>	<i>F</i>	<i>M</i>	<i>Total</i>
P-5	2	1	3
P-4	1	2	3
P-3	9	9	18
P-2	6	0	6

Office of the Prosecutor

<i>Grade</i>	<i>F</i>	<i>M</i>	<i>Total</i>
USG		1	1
ASG	1		1
D-1	1	1	2
P-5	3	6	9
P-4	11	16	27
P-3	17	24	41
P-2	25	19	44
P-1	13	7	20

Registry

<i>Grade</i>	<i>F</i>	<i>M</i>	<i>Total</i>
ASG	1		1
D-1	1	2	3
P-5	6	7	13
P-4	17	14	31
P-3	24	33	57
P-2	33	23	56
P-1	5	6	11

Secretariat of the Assembly of States Parties

<i>Grade</i>	<i>F</i>	<i>M</i>	<i>Total</i>
D-1		1	1
P-4	1	1	2

Secretariat of the Trust Fund for Victims

<i>Grade</i>	<i>F</i>	<i>M</i>	<i>Total</i>
P-5	1		1
P-3	1	1	2

Project Office for the Permanent Premises

<i>Grade</i>	<i>F</i>	<i>M</i>	<i>Total</i>
D-1		1	1
P-4	1		1

Grand total

<i>F</i>	<i>M</i>	<i>Grand Total</i>
180	175	355

* Including elected officials and language staff.

Table 5: Staff count, actual

As at 31 March 2010, the actual situation regarding the Court's staff count is as follows:

<i>Staff count</i>	
Established posts	686
Approved GTA	165
Interns	90
Visiting professionals	1
Consultants	62
Elected officials / judges	23
Total	1027

Table 6: Staff count based on the approved budget 2010

Based on the approved budget 2010, and on averages of interns, visiting professionals and consultants in the previous years, the Court's headcount at the end of 2010 will be as follows:

<i>Staff count</i>	
Established posts	763
Approved GTA	172
Interns ¹	90
Visiting professionals	12
Consultants	40
Elected officials / judges	23
Total	1100

¹ The number of interns fluctuates and comprises EU funded internships as well as unpaid internships.

Table 7: Vacant posts - Established posts
Status as at 7 April 2010

Major programme	Programme	Sub-programme	Post level	Post title	Total	Occupied by GTA
MP I	Liaison Offices	Addis Ababa Liaison Office	GS-OL	Administrative Assistant ¹	1	
MP II	Investigation Division	Planning & Operations Section	GS-OL	Field Operations Assistant ²	1	
			GS-OL	Field Operations Coordinator ²	2	
MP III	Immediate Office of the Registrar	Immediate Office of the Registrar	P-3	Staff Council Officer ¹	1	
		Security and Safety Section	GS-OL	Local Security Assistant ¹	5	
	Common Administrative Services Division	General Services Section	P-2	Property Control Inventory & Claims Officer ³	1	
	Division of Court Services	Information Technology and Communications Section	GS-OL	Records Management Support Technician ²	1	
		Victims and Witnesses Unit	P-3	Field Witness Officer ⁴	1	
			GS-OL	Field Protection / Operations Assistant ¹	1	
			GS-OL	Field Support Assistant ¹	1	
			GS-OL	Administrative Assistant ⁴	1	
	Public Information and Documentation Section	Public Affairs Unit	P-4	Spokesperson/Head of Public Affairs Unit ⁴	1	
	MP VI	Secretariat TFV	Secretariat TFV	P-3	Monitoring and Evaluation Officer ²	1
MP VII-5	Independent Oversight Mechanism		P-4	TBD ¹	1	
			P-2	TBD ¹	1	
Grand total					20 (24)*	

57 other posts are currently under recruitment or advertised.

1 ASG post under MP II is not currently under recruitment.

Updated status as at 07 April 2010:

¹ : New post in 2010.

² : Recruitment soon to be initiated.

³ : Post vacated due to recent internal move.

⁴ : Post vacated due to recent resignation.

* Number of posts reported as at 31 March 2010. Since then: 3 posts are at the advertisement stage; 1 post is under recruitment.

Table 8: Staffing: approved versus filled posts

	<i>Approved</i> [2]	<i>Filled</i> [3]	<i>Under recruitment</i> [4]	<i>Advertised not under recruitment</i> [5]	<i>Vacant not advertised</i> [6]	<i>% of established posts vacant</i> [(2-3)/2]	<i>Vacancy rate (%) of established posts</i> [(AVG(3)-2)/2]
Judiciary Major Programme I	50	46	2	1	1	8.00	10.00
Office of the Prosecutor Major Programme II	215	203	7	2	3	5.58	5.12
Registry Major Programme III	477	427	30	3	17	10.48	10.48
Secretariat of the ASP Major Programme IV	9	3	6	0	0	66.67	66.67
Secretariat of the TFV Major Programme VI	7	4	2	0	1	42.86	42.86
Proj Office Perm Premises Major Programme VII-1	3	3	0	0	0	0.00	0.00
Independent Oversight Mechanism Major Programme VII-5	2	0	0	0	2	100.00	100.00
Total ICC	763	686	47	6	24	10.09	9.96
Target recruitment	77						
Under recruitment	47						
Percentage of target	61.0						

Annex III

List of documents

Committee on Budget and Finance

ICC-ASP/9/CBF.1/L.1	Provisional agenda
ICC-ASP/9/CBF.1/L.2/Rev.1	Annotated list of items included in the provisional agenda
ICC-ASP/9/CBF.1/1	Report of the Court on its assessment of the implementation of International Public Sector Accounting Standards
ICC-ASP/9/CBF.1/2	Report of the Court on its investments of liquid funds
ICC-ASP/9/CBF.1/3	Report of the Court on procurement
ICC-ASP/9/CBF.1/4	Report of the Court on analytic accountability
ICC-ASP/9/CBF.1/5	Interim report on the activities of the Oversight Committee
ICC-ASP/9/CBF.1/6	Report on budget performance of the International Criminal Court as at 31 March 2010
ICC-ASP/9/CBF.1/6/Add.1	Report on budget performance of the International Criminal Court as at 31 March 2010 – Addendum
ICC-ASP/9/CBF.1/7	Report of the Registrar on the medical insurance of detainees and on its budgetary implications
ICC-ASP/9/CBF.1/8	Report on programme performance of the International Criminal Court for the year 2009
ICC-ASP/9/CBF.1/8/Add.1	Report on programme performance of the International Criminal Court for the year 2009 - Addendum
ICC-ASP/9/CBF.1/9	Report of the Registry on the feasibility and the conditions for the establishment of a voluntary system of funding of family visits
ICC-ASP/9/CBF.1/10	Report of the Court on human resources management
ICC-ASP/9/CBF.1/11	Updated Report of the Court on legal aid: Legal and financial aspects of funding victims' legal representation before the Court, the comparison between internal and external counsel
ICC-ASP/9/CBF.1/12	Report of the Court on measures to increase clarity on the responsibilities of the different organs
ICC-ASP/9/CBF.1/13	Third Status Report on the Court's progress regarding efficiency measures
ICC-ASP/9/CBF.1/14	Report of the Court on the Kampala Field Office: activities, challenges and review of staffing levels; and on memoranda of understanding with situation countries
ICC-ASP/9/CBF.1/15	Report on the review of field operations
ICC-ASP/9/CBF.1/16	Report of the Court on conversion of a GTA psychologist post to a permanent post

2. Report of the Committee on Budget and Finance on the work of its fifteenth session, August 2010*

Contents

	<i>Paragraphs</i>	<i>Page</i>
I. Introduction.....	1-6	192
A. Opening of the session and adoption of the agenda.....	1-5	192
B. Participation of observers	6	193
II. Consideration of issues on the agenda of the Committee at its fifteenth session	7-142	193
A. Review of financial issues	7-10	193
2. Status of contributions	7	193
3. States in arrears.....	8-9	193
4. Surpluses	10	193
B. Audit matters	11-33	193
1. Audit reports.....	11-21	193
(a) Financial statements of the Court for the period 1 January to 31 December 2009		193
(b) Financial statements of the Trust Fund for Victims for the period 1 January to 31 December 2009	11-14	193
(c) Report of the Office of Internal Audit.....	15-19	194
(d) Report of the Audit Committee	20-21	194
2. Governance.....	22-33	195
(a) Statement of Internal Control	25	195
(b) Committee on Budget and Finance and Independent Oversight Mechanism.....	26-29	196
(c) Management oversight of the Assembly and its relationship to the Court.....	30-33	196
C. Budgetary matters.....	34-82	197
1. Financial performance data of the 2010 budget as at 30 June 2010.....	34-44	197
(a) Contingency Fund	35-43	197
(b) Working Capital Fund	44	198
2. Consideration of the proposed programme budget for 2011	45-73	198
(a) Presentation	45-50	198
(b) Assumptions and activities for 2011	51-59	199
(c) Macroanalysis.....	60-69	200
(d) Common system costs / inflation	70-73	202

* Previously issued as ICC-ASP/9/15.

		<i>Paragraphs</i>	<i>Page</i>
3.	Crossing-cutting recommendations on the 2011 budget...	74-82	202
(a)	Parallel trials.....	74	202
(b)	Travel	75	202
(c)	General operating expenses.....	76	203
(d)	Materials and supplies	77	203
(e)	Vacancy rates and staff levels	78-82	203
D.	Major Programmes	83-133	204
1.	Major Programme I: Judiciary.....	83-90	204
2.	Major Programme II: Office of the Prosecutor.....	91-95	205
3.	Major Programme III: Registry	96-119	205
4.	Major Programme IV: Secretariat of the Assembly of States Parties.....	120-122	208
5.	Major Programme VI: Secretariat of the Trust Fund for Victims	123-128	208
6.	Major Programme VII-1: Project Director's Office (permanent premises)	129-132	209
7.	Major Programme VII-2: Permanent Premises Project – Interest.....	133	209
E.	Premises of the Court.....	134-140	209
1.	Permanent premises.....	134-137	209
2.	Interim premises	138-140	210
F.	Other matters.	141-142	210
1.	Family visits	141	210
2.	Future meetings	142	210
Annex I:	List of documents.....		211
Annex II:	Status of contributions as at 27 August 2010.....		212
Annex III:	Draft resolution: Amendment to the Financial Regulations and Rules		214
Annex IV:	List of mistakes and inconsistencies in the proposed programme budget for 2011.....		215
Annex V:	Budgetary implications of the implementation of the recommendations of the Committee on Budget and Finance.....		217

I. Introduction

A. Opening of the session and adoption of the agenda

1. The fifteenth session of the Committee on Budget and Finance (the “Committee”) was convened in accordance with the decision of the Assembly of States Parties (the “Assembly”) taken at the 8th plenary meeting of its eighth session, on 26 November 2009, and the further decision of the Committee on its dates, on 8 May 2010. The session, comprising 15 meetings, was held from 23 to 31 August 2010. The President of the International Criminal Court (the “Court”), Judge Sang-Hyun Song, delivered welcoming remarks at the opening of the session.
2. The Secretariat of the Assembly of States Parties (the “Secretariat”) provided the substantive servicing for the Committee, and its Director, Mr. Renan Villacis, acted as Secretary of the Committee.
3. The following members attended the fifteenth session of the Committee:
 1. David Banyanka (Burundi)
 2. Carolina María Fernández Opazo (Mexico)
 3. Gilles Finkelstein (France)
 4. Fawzi A. Gharaibeh (Jordan)
 5. Masud Husain (Canada)
 6. Shinichi Iida (Japan)
 7. Juhani Lemmik (Estonia)
 8. Rosette Nyirinkindi Katungye (Uganda)
 9. Gerd Saupe (Germany)
 10. Ugo Sessi (Italy)
 11. Elena Sopková (Slovakia)
 12. Santiago Wins (Uruguay)
4. At its 1st meeting, the Committee adopted the following agenda (CBF/15/1):
 13. Opening of the session
 14. Adoption of the agenda
 15. Participation of observers
 16. Organization of work
 17. States in arrears
 18. Financial performance data of the 2010 budget
 19. Consideration of the proposed programme budget for 2011
 20. Administrative matters
 21. Governance
 22. Audit matters:
 - (a) Audit reports
 - (i) Financial statements of the International Criminal Court for the period 1 January to 31 December 2009;
 - (ii) Financial statements for the Trust Fund for Victims for the period 1 January to 31 December 2009; and
 - (iii) Report of the Office of Internal Audit.
 - (b) Report of the Audit Committee
 23. Field offices
 24. Family visits
 25. Premises of the Court
 26. Other matters:
 - (a) Addis Ababa Liaison Office
5. The following organs of the Court were invited to participate in the meetings of the Committee to introduce the reports: the Presidency, the Office of the Prosecutor and the Registry.

B. Participation of observers

6. The Committee decided to accept the request of the Coalition for the International Criminal Court to make a presentation to the Committee. The Committee expressed its appreciation for the presentation.

II. Consideration of issues on the agenda of the Committee at its fifteenth session

A. Review of financial issues

1. Status of contributions

7. The Committee reviewed the status of contributions as at 27 August 2010 (annex II). The Committee noted that a total of €71,183,574 had been received for the 2010 financial period, that is 68.7 per cent of the assessed 2010 contributions, and that €32,987,169 was outstanding from current and previous financial periods. The Committee expressed concern over the level of the arrears and that only 45 States were fully paid up for all their outstanding contributions at this point in the fiscal year. The Committee noted that such a trend could significantly affect the cash flow of the Court. It encouraged all States Parties to make their best efforts to ensure that the Court had sufficient funds throughout the year, in accordance with regulation 5.6 of the Financial Regulations and Rules.

2. States in arrears

8. According to article 112, paragraph 8, of the Rome Statute, "A State Party which is in arrears in the payment of its financial contributions towards the costs of the Court shall have no vote in the Assembly and in the Bureau if the amount of its arrears equals or exceeds the amount of the contributions due from it for the preceding two full years." The Committee noted that on 27 January, 12 May and 21 July 2010 the Secretariat had communicated with States in arrears, informing them of their outstanding contributions and advising them of the minimum payment required to avoid the application of article 112, paragraph 8. The Committee was informed that as of 27 August 2010, eight States Parties remained in arrears and were ineligible to vote.

9. The Committee requested the Secretariat to again advise States in arrears of the minimum payment required before the ninth session of the Assembly.

3. Surpluses

10. In accordance with regulation 4.6 of the Financial Regulations and Rules, the estimated cash surplus that is to be returned to States Parties on 1 January 2011 amounts to €0.4 million, and comprises the provisional cash surplus for 2009 and assessed contributions in respect of prior periods that were received from States Parties in 2010.

B. Audit matters

1. Audit reports

(a) Financial statements of the Court for the period 1 January to 31 December 2009

(b) Financial statements of the Trust Fund for Victims for the period 1 January to 31 December 2009

11. Introducing his reports on the financial statements of the Court¹ and of the Trust Fund for Victims,² the External Auditor informed the Committee that the statements were

¹ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part C.1.

² *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part C.2.

free of material misstatement and presented fairly the financial position of the Court and of the Trust Fund and that he was able to offer an unqualified audit opinion. The Committee noted that total expenditure in 2009 amounted to €96,235,000 compared to the approved budget of €101,230,000, representing a budget implementation rate of 95 per cent.

12. The Committee welcomed the presentation by the External Auditor and endorsed the recommendations as a whole. In particular, the Committee appreciated the presentation of the review of the permanent premises project undertaken by the External Auditor in the presence the Chair of the Oversight Committee, the Project Director of the Assembly and officials of the Court. The Committee welcomed the confirmation from the Chair of the Oversight Committee that the Committee was studying the recommendations carefully and would take action as appropriate.

13. With respect to the Audit Committee, the Committee endorsed the recommendation of the External Auditor for the Audit Committee to review its terms of reference and to ensure that it minimized duplication with existing bodies such as the Committee on Budget and Finance and the Oversight Committee of the permanent premises project.

14. With respect to the Trust Fund for Victims, the Committee endorsed the External Auditor's recommendations as a whole and, in particular, the recommendation that the budget appropriations for the Secretariat of the Trust Fund for Victims be shown as income in the Fund's financial statements.

(c) Report of the Office of Internal Audit

15. Pursuant to rule 110.1 of the Financial Regulations and Rules, the Office of Internal Audit submitted to the Committee its annual activity report³ to the Committee on Budget and Finance, outlining the activities of the Office in the second half of 2009 and the first half of 2010, as well as the report on the status of audit recommendations.⁴

16. The Committee considered the two reports of the Office of Internal Audit. It discussed the specific findings and recommendations with the Director of the Office and Court officials.

17. The Committee reiterated the importance that the Internal Auditor's reports include objective, independent and well documented recommendations.

18. Pursuant to its mandate under rule 110.1, the Committee would like to highlight to the Assembly the low implementation rate of the audit recommendations. The Committee recommended that the Court ensure that it take appropriate measures to consider and implement audit recommendations.

19. The Committee also strongly endorsed the recommendation that the Court implement a zero-based budget approach in a limited number of sections as pilot projects and requested the Court to submit a first feasibility report at the Committee's next session.

(d) Report of the Audit Committee

20. The Committee had before it the report of the Audit Committee,⁵ which had been received on 24 August 2010. The Committee noted that the Audit Committee, which had been established by Presidential Directive ICC/PRES/D/2009 of 11 August 2009, had held its first meeting in April 2010 with the full membership of three internal and four external members, and that it proposed to hold a second meeting in October 2010. The Committee observed that the Audit Committee had considered a number of issues, including the Audit Plan, International Public Sector Accounting Standards (IPSAS) implementation and risk management, and had made recommendations to the Assembly regarding separate preparation of the financial statements for the permanent premises project and the appointment of the External Auditor. In that regard, the Committee recalled the recommendation of the External Auditor on the need to review the terms of reference of the Audit Committee to avoid duplication.

³ CBF/15/8.

⁴ CBF/15/11.

⁵ CBF/15/14.

Appointment of the External Auditor

21. The Committee noted that the term of appointment of the External Auditor was due to expire in 2010 and that a decision would be required by the Assembly pursuant to regulation 12.1 of the Financial Regulations and Rules to either renew the term of the current Auditor or to select a new Auditor for the period 2011-2015. The Committee recalled the international practice of the appointment of External Auditors to non-renewable terms. The Committee recommended that the Assembly adopt a policy of limiting the term of the External Auditor to four years with a possibility of one renewal. Given the fact that the Court had had the same External Auditor for eight years, in order to implement this policy, the Committee recommended that the Court undertake a bidding exercise among States Parties and submit the results to the Assembly in order for the Assembly to take a decision at its ninth session. The Committee also requested the Court to submit to the ninth session of the Assembly any amendments to the Financial Regulations and Rules that might be required.

2. Governance

22. As stated in the report of the Committee on Budget and Finance on the work of its fourteenth session, the Committee had considered the report of the Court on measures to increase clarity on the responsibilities of the different organs, prepared by the President of the Court,⁶ and had requested the President to present a follow-up report on the implementation and operation of the governance arrangements for the sixteenth session of the Committee.⁷

23. However, the Committee decided to consider issues of governance at this session on the basis of certain concerns raised by the External Auditor with respect to the Statement of Internal Control;⁸ and of questions from The Hague Working Group regarding the mandate of the Committee and that of the Independent Oversight Mechanism.⁹

24. Similarly, the Committee had previously asked for information on the number of days each judge had spent in The Hague in 2009. This question prompted a discussion with representatives of the Presidency and Chambers as to the proper understanding of the independence of judges under article 40 of the Rome Statute and the relationship of the Court to the Assembly of States Parties under article 112, paragraph 2(b). However, the Committee found that no relevant information had been provided.

(a) Statement of Internal Control

25. The Committee considered the concerns raised by the External Auditor with respect to the responsibilities of the Registrar when signing the Statement of Internal Control in relation to the Major Programmes of the Court, in particular the Office of the Prosecutor and the Project Office for the Permanent Premises.¹⁰ The External Auditor recommended that the Registrar establish a process “to receive written representations on the risk and control environment from heads of organs, and from senior managers in respect of compliance with rules and regulations.”¹¹ The Committee strongly endorsed this approach

⁶ ICC-ASP/9/CBF.1/12.

⁷ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.1.

⁸ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part C.1, paras. 49-53 and recommendation 11.

⁹ 1. To what extent are evaluation and inspection of the Court, excluding its judicial functions, already covered by the mandate of the Committee on Budget and Finance? Should the Committee be of the view that it does cover evaluation and inspection, the Committee is requested to illustrate the extent and scope of these functions already performed by the Committee.

In the absence of generally accepted definitions of evaluation and inspection, in answering this question the Committee on Budget and Finance should take as a starting point the definitions contained in the United Nations documents by which the United Nations Office of Internal Oversight Services was established, as well as the report of the Court (paragraphs 6 and 7). (These definitions can be found in paragraphs 13-15 of the facilitator’s introductory paper, dated 2 March 2010. Further guidance as to the meaning of inspection and evaluation may be found in paragraphs 4 -11 of the Court’s Paper, dated 30 June 2010.)

2. What is the Committee on Budget and Finance’s position regarding the relationship between its mandate and work, and the mandate of the Independent Oversight Mechanism as provided in article 112, paragraph 4, of the Rome Statute (comprising investigation, evaluation and inspection)?

¹⁰ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part C.1, paras. 52-53.

¹¹ *Ibid.*, recommendation 11.

and recommended that the effectiveness of this approach be further considered to ensure that risks were identified and mitigated. The Committee invited the three heads of organs to agree on developing clear institutional arrangements in accordance with rule 101.1 of the Financial Regulations and Rules.

(b) Committee on Budget and Finance and Independent Oversight Mechanism

26. The Committee noted that its own mandate was clear as it had been given the responsibility for “the technical examination of any document submitted to the Assembly that contains financial or budgetary implications or any other matter of a financial, budgetary or administrative nature, as may be entrusted to it by the Assembly of States Parties.”¹²

27. In the view of the Committee, its mandate would, by necessity, include some aspects of inspection and evaluation, particularly when evaluating the proposed programme budget of the Court as well as the recommendations of the External and Internal Auditors. For example, members of the Committee had visited field offices to meet with staff, review control procedures for inventory and discuss the use of the resources. That had been very useful when considering budget requests from the Court in relation to reclassifications, capital replacements and other staffing requests.

28. At this point, there would seem to be little scope for overlap with the Independent Oversight Mechanism (IOM),¹³ which was currently staffed to focus on setting up investigation procedures, something that the Committee did not undertake. On the contrary, reports from the IOM on specific cases would be useful inputs to the Committee when considering governance arrangements, management practices and the review of requests for new resources. However, the Committee was concerned with the potential for excessive oversight that might cause duplication and consume resources should the inspection and evaluation functions of the IOM be activated.

29. From this perspective, the Committee recommended that fuller consideration be undertaken of all the existing oversight mechanisms for the Court, their respective mandates, areas of activity and their reporting responsibilities, with a view to avoiding unnecessary duplication and potentially unnecessary costs.

(c) Management oversight of the Assembly and its relationship to the Court

30. At its fourteenth session, the Committee had requested information regarding the presence of judges in The Hague. In the absence of a reply, the Committee repeated the request at its fifteenth session. The Presidency replied that, in its interpretation, the judiciary was independent under article 40 of the Rome Statute and as such, it would be inappropriate for the Committee to seek such information.

31. The Committee was of the view that, as a subsidiary body of the Assembly, it could seek information on the leave and travel of elected officials as that was a matter within the management oversight of the Assembly and that no official of the Court should in principle be exempt from accountability for administrative matters.

32. As those issues had not been addressed in the report of the Court on measures to increase clarity on the responsibilities of the different organs,¹⁴ and in order to clarify the issue, the Committee recommended that the Assembly might wish to clarify whether elected officials were accountable for administrative matters and the differentiation between independence in the exercise of functions versus administrative independence. In this regard, the Presidency expressed its willingness to provide a further report to the Committee on its interpretation of the relationship of elected officials to the Assembly. In the event of the Assembly deciding to request such a report, the Committee recommended that such a report should also include the existing administrative accountability measures within each organ and Court-wide for elected officials and identify possibilities to reinforce these measures.

¹² Resolution ICC-ASP/1/Res.4, annex, para. 3.

¹³ The IOM was established by resolution ICC-ASP/8/Res.1.

¹⁴ ICC-ASP/9/CBF.1/12.

33. The Committee further recommended that the Assembly consider its relationship to the Court, including the adequacy of its role in selecting key elected officials such as the President of the Court and the Registrar. The Assembly might also wish to consider whether the office of the President of the Court should be reinforced to provide for greater vertical authority to oversee the administration of judges as well as the allocation of judges to chambers, to minimize problems and costs resulting from the excusal of judges.

C. Budgetary matters

1. Financial performance data of the 2010 budget as at 30 June 2010

34. The Committee had before it the report of the Court on the budget performance as at 30 June 2010.¹⁵ It noted that the implementation rate for 2010 as at 30 June was 50.5 per cent, representing an expenditure of €51,618,000. The implementation was the same as in 2009. The projected implementation rate to 31 December 2010 was 97 per cent, based on a projected expenditure of €99,186,000.

(a) Contingency Fund

35. The Court noted that it had made notifications¹⁶ to the Committee that it would be required to access the Contingency Fund in order to cover the costs for parallel trials and for the investigation into the Darfur and Kenya situations. The total notional cost for these unforeseen activities was €8,241,300.

36. Given a forecast budget implementation rate of 97 per cent for the regular budget, and a forecast implementation rate of 86 per cent for the Contingency Fund supplementary budgets, the Court estimated that its actual access to the Contingency Fund would be approximately €1,975,000 in 2010. Such expenditure would not bring the Contingency Fund below the €7 million replenishment threshold.

37. The Committee noted that 2010 would likely see the first access to actual resources from the Contingency Fund as the Court had been able in past years to cover all unforeseen activities through underspend in the regular budget.

38. The Committee noted that, as the implementation rate of the regular budget approached 100 per cent, use of the Contingency Fund would have a more direct financial impact on States Parties than in the past, as there was less flexibility within the regular budget. Replenishment of the fund would ultimately increase the assessed contributions of States Parties.

39. In this regard, the Committee wished to sound a note of caution to the Court and the Assembly. The Committee observed that under regulation 6.7 of the Financial Regulations and Rules, the Court might access the Contingency Fund two weeks after submitting a “short, supplementary budget notification to the Committee on Budget and Finance” and “taking into consideration any financial comments” of the Committee.

40. The Committee noted that, as there was no prior in-depth scrutiny or approval process on the access of these funds, the Court should expect to provide greater detail in its notifications and be in a position to provide much greater detail and justifications for its actual expenditures. In this regard, the Committee recommended to the Assembly that regulation 6.7 of the Financial Regulations and Rules be amended to replace the word “short” by the word “detailed”.¹⁷

¹⁵ ICC-ASP/9/16.

¹⁶ The Court provided supplementary budget notifications to access the Contingency Fund in 2010 to the Committee in the following instances:

(a) By letter dated 18 December 2009, the Registrar submitted a notification for the sum of €3,316,100 to cover parallel trials for the first half of 2010;

(b) By letter dated 15 April 2010, the Registrar submitted a short supplementary budget notification for the sum of €1,957,100 in respect of certain unavoidable costs in the new situation, Kenya; and

(c) By letter dated 10 May 2010, the Registrar submitted a notification for the sum of €2,968,100 to cover parallel trials for the second half of 2010.

¹⁷ See annex III.

41. Secondly, given the absence of prior in-depth scrutiny and approval, the Committee advised the Court to exercise utmost caution and restraint when preparing its supplementary budgets for accessing the Fund. In particular, the Committee cautioned the Court from taking a maximalist approach to the possible needs that might be required. In that regard, the Committee questioned whether all GTA positions submitted, as well as the acquisition of equipment and funds for training, were required in the 2010 notifications.

42. Thirdly, the Committee cautioned that the Court should ensure that it did not underestimate its requirements as part of its proposed regular programme budget with a view to accessing the Contingency Fund, as such a practice would undermine the integrity of the budget process.

43. Following established practice, the Committee recommended that the Assembly authorize the Court to transfer funds between Major Programmes at year end if the costs of unforeseen activities could not be absorbed within one Major Programme while a surplus existed in other Major Programmes, to ensure that all appropriations for 2010 were exhausted before accessing the Contingency Fund.

(b) Working Capital Fund

44. The Committee took note that the Court had maintained the level of the Working Capital Fund at its 2007 level for the 2011 proposed programme budget. Given the positive cash position of the Court, the Committee recommended that the Assembly maintain the Working Capital Fund at its present level.

2. Consideration of the proposed programme budget for 2011

(a) Presentation

45. While welcoming the improvement in the timely availability of documentation from the Court, the Committee expressed concern at the errors and inconsistencies contained in the budget document,¹⁸ which had complicated its analysis thereof. In particular the Committee was concerned at errors in calculating for the costs of reclassifications, travel and GTAs, as well as the inclusion of a budget to cover extended periods of leave, including maternity leave. A more detailed list of the errors and inconsistencies is included in annex IV of this report.

46. The Committee was also concerned with the inadequacy of the descriptions and justifications provided in different sub-programmes for existing and new resources. The Committee took the general approach to recommend non-funding of positions that were not properly identified or justified.

47. More generally, the Committee could not but note the inconsistencies and inaccuracies in the budget forecasts. By way of illustration, in annex III of the proposed programme budget, the number of court days was assumed to be 200 in 12 months. The Court clarified that 200 was the number of days that a courtroom and a courtroom team would be available for hearings. However, with the request in the budget for an additional courtroom team for six months, there would be approximately 300 sitting days available for trials. Similarly, the number of field offices was assumed to be seven in 2011. However, the Registrar confirmed that, while the office in Abéché would be closed in 2011, no final decision had been made to open an office in Kenya. Hence it was misleading to assume that there would be seven field offices in 2011 when there may in fact be only five. The assumptions further stated that there would be zero site visits by judges in 2011, while the budget proposal for Major Programme I included an increase in the travel budget for a site visit.¹⁹ The Committee was particularly concerned that such inaccuracies could occur in the basic assumptions underlying the Court's projected activities. The frequency of their occurrence made it substantially more difficult to determine the budgetary and financial needs of the Court.

¹⁸ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part A.

¹⁹ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part A, para. 108 and annex III.

48. The Committee acknowledged that the Court had faced considerable challenges in its budget preparation in the current year due to the delay in recruiting the Director of Common Administrative Services Division and the Head of the Budget and Finance Section.

49. In terms of presentation, the Committee noted that the Court had disaggregated training and legal aid from the former heading of “contractual services including training”. However, the Court had not separated the legal aid budgets into legal aid for the defence and legal aid for victims as previously requested.²⁰ The Committee reiterated its request that, for all future budget submissions, the Court separate legal aid into two discrete and distinct budget lines: “Legal aid for the defence” and “Legal aid for victims”.

50. The Committee further noted that the Court had included travel for family visits of indigent detainees in the proposed programme budget. The Committee recalled its earlier recommendation that “as a general rule, items that have not received the policy approval of the Assembly should not be included in the budget. ... Items that have been prepared for consideration by the Assembly, ... such as ... family visits,” should “appear as annexes to the budget document”.²¹ The Court replied that it had understood this recommendation to relate only to the 2010 budget. In order to provide greater certainty, the Committee recommended that for all proposed programme budgets, now and in the future, as a general rule, items that had not received the policy approval of the Assembly should not be included in the budget.

(b) Assumptions and activities for 2011

51. The assumption for 2010 was that there would be three consecutive trials in the *Lubanga*, *Katanga/Ngudjolo Chui* and *Bemba* cases. However, the decision was taken by the Court in 2010 to hold parallel trials and access the Contingency Fund in the amount of €6,284,200.

52. The Court clarified that “parallel” trials means the simultaneous use of the two courtrooms, which required two different teams of Court staff (interpreters, stenographers, security officials, etc.).

53. The 2011 proposed budget was based on the assumption of two parallel trials, *Bemba* and *Katanga/Ngudjolo Chui* during the first half of the year, and then the *Bemba* trial only in the second half of the year. The requested increase in the budget amounted to €2,147,000 to provide for a second courtroom team over a period of six months.

54. The Committee indicated, however, that the appeals lodged in the *Lubanga* and *Bemba* trials had changed the working hypotheses. It seemed possible that three trials would have to run in parallel (*Lubanga*, *Katanga/Ngudjolo Chui* and *Bemba*) during the first semester of 2011, whilst two parallel trials would be held during the rest of the year (*Katanga/Ngudjolo Chui* and *Bemba*). Furthermore, the Committee was informed that there was the possibility of new cases in the second semester of 2011.

55. The Committee was also informed that there was a possibility of appeals and reparation proceedings to be initiated in 2011.

56. The Prosecutor informed the Committee that he would conduct six active investigations in four of the situations currently before the Court, including Kenya, and would maintain seven residual investigations.

57. The Committee observed that the budget assumptions for the Court for 2009 and 2010 had foreseen consecutive trials which would require one courtroom and one courtroom team. The budget assumptions for 2011 suggested that the Court was planning on parallel trials for two cases. Because of that new assumption, the Committee studied in depth the actual usage of courtrooms and courtroom teams in 2010. The Committee was informed that, normally, one courtroom team and one courtroom allowed for 200 sitting days. The additional GTA capacity derived from accessing the Contingency Fund allowed an increase in the number of sitting days. The Committee found that the Court had not used

²⁰ *Official Records ... Eighth session ... 2009* (ICC-ASP/8/20), vol. II, part B.2, para. 36.

²¹ *Ibid.*, para. 39.

more than 146 days in 2010²² and was projected to not use the additional capacity in 2010,²³ due to delays in the *Lubanga* and *Bemba* trials.

58. Because of this situation, many of the GTA staff hired in 2010 to assist in the parallel trials were not able to fully perform this function. The Court advised that many of the additional staff members were assisting in clearing documentary backlog and relieving overtime requirements in other sections, functions that were unrelated to the reasons for which they had been hired. The Committee also discussed with Court officials the manner in which the sitting calendar was established.

59. The Committee recalled its earlier recommendations in relation to trial scheduling²⁴ and called upon the Court to make the most efficient use of the courtroom capacity. In this regard, the Committee recommended that the Division of Court Services enhance its dialogue with Chambers and the Presidency to review the manner in which hearings days were scheduled in order to minimize the number of days that courtroom capacity was not used. The Committee recommended that the Court enhance its discussion with other international tribunals to find inspiration and ideas on how scheduling could be made more effective and cost-efficient.

(c) Macroanalysis

60. The Court informed the Committee that it had proposed a budget of €107 million for 2011, representing an increase of €4,782,900, or 4.7 per cent, over the approved budget level for 2010. The Court identified the major causes for the increase as the planned parallel trials for six months (€2.3 million), increases in the common system costs (€1 million), investigations in the Kenya situation (€0.5 million) and miscellaneous costs including detention (€0.3 million). The Committee recommended that, as a general practice, the Court should prepare the proposed programme budget taking into account actual implementation rather than the approved budget level of the prior year.

61. The Committee found a number of areas where, based on actual and forecast expenditure, as well as actual experience, a number of savings could be made. The recommendations of the Committee were divided into those of a cross-cutting nature, as well as specific items in the Major Programmes.

Medium-term budgeting forecast

62. The Committee considered the updated report of the Court on capital investment replacements.²⁵ The Committee took note of the multi-year expenditure forecast approach taken by the Court. It noted further that there was a significant projected increase in expenditure in 2012 compared to 2011, from €466,000 to €9,887,000, and that the expenditure level was expected to remain near the €9 million level for three consecutive years. The Committee also noted that that figure included capital investment replacement costs as well as additional items such as rent for interim premises, implementation of the IPSAS accounting standards project and the extension of judges' terms. While welcoming the identification of cost drivers, the Committee recommended that the proposed programme budget for replacement of capital investments should not include funds for the permanent premises, IPSAS, the extension of judges' terms and the rent for the interim premises.

Replacement of capital investments

63. The Committee noted the very small increase for vehicles and equipment planned for 2011 (€134,000) and the much larger increase planned for those items for 2012 (€5.8 million). The Committee acknowledged the efforts of the Court to postpone investments by a year or two, but also noted that such an approach would not prevent the rapid increase in

²² *Tableau de bord* provided by the Court, dated 31 July 2010.

²³ This was calculated according to the *tableau de bord* provided by the Court, dated 31 July 2010.

²⁴ *Official Records ... Seventh session ... 2008*, (ICC-ASP/7/20), vol. II, part B.2, para. 47, and *Official Records ... Eighth session ... 2009*, (ICC-ASP/8/20), vol. II, part B.2, para. 42.

²⁵ ICC-ASP/9/19.

associated costs. In order to contain costs, the Committee recommended that the Court explore alternative methods of financing of its capital investment replacement costs, such as leasing the equipment. In the absence of a policy on depreciation, it was incumbent on the Court to stretch out its replacement strategy over a longer period of time. The Committee requested the Court to provide an updated report at its next session.

IPSAS

64. The Committee concurred with the External Auditor that IPSAS implementation was inevitable and that the Court should commence its IPSAS implementation strategy. Noting that there was a revised cost had been presented, the Committee recommended that €332,600 be added to the 2011 proposed programme budget to allow for the commencement of IPSAS implementation.

65. The Committee also recommended that the Court develop an overarching plan for implementation, including identifying sections of the Financial Regulations and Rules that may require amendment, and report to the Committee at its next session.

Other cost drivers

66. Given the assumption of parallel trials in 2011, the Committee considered carefully the cost drivers resulting from increased judicial activity. Beyond the recommendation in paragraph 59 above regarding the scheduling of hearings, the Committee also recommended that other measures to promote efficiencies be explored. In that regard, the Committee also recommended that the judiciary consider establishing specific training modules for new judges in order to familiarize them with the considerable jurisprudence and practice that had been established in the Court.

67. The Committee also noted the potential for cost increases resulting from the extension of judges' terms. This may become particularly acute if the Rome Statute is interpreted to require that a full panel of judges is required to sit for reparations hearings and, if so, whether it should be the same judges who handled the trial. This matter could have two-fold implications:

(a) on the workload by having three judges work on the reparations phase, instead of on other trials; and

(b) on the programme budget if one or more judges whose mandate would otherwise expire were to be extended for the purpose of being able to continue with the reparations phase.

68. In the absence of specific norms in the Rome Statute and the Rules of Procedure and Evidence, the extension of the term of a judge would be decided upon by the respective Chamber. The Committee noted that this approach could lead to divergent decisions by different Chambers. Thus, the Committee was of the view that this was a matter where the Assembly might wish to provide guidance to the Court, for example, through an interpretative declaration, to ensure a consistent approach. The Committee noted that having a single judge handle reparations would help avoid extending mandates of judges and the associated cost implications.²⁶ In this connection, the Committee recommended that the Assembly consider the possibility of using judges appointed to a specific trial, i.e. *ad litem* judges, in order to avoid situations of prolonged extension, so as to ensure greater efficiency.

Policy for GTA staff

69. The Committee observed that there was no standard policy for the recruitment of GTA staff by the Court and requested the Court to report to the Committee at its sixteenth

²⁶ The Court considered that the possibility of having a single judge may not be an option as it had not been specifically catered for in the Rome Statute or the Rules of Procedure and Evidence. As regards the annual costs associated with the extension of a judge's mandate, such costs have been estimated to range between €403,117 and €570,795. A number of varying cost factors have to be taken into consideration when calculating the exact associated costs; these factors depend on individual circumstances. Nonetheless, the associated average annual cost of an extension of a judge's mandate would approximately be €460,023.

session, in the context of the agenda item on human resources, on the directives for the use of GTAs in each organ and on the criteria used in such recruitment. The Committee noted the desirability for flexibility in the recruitment of GTA staff, and that the operational and functional needs of the Court, as well as the duration of the need for GTA were relevant.

(d) Common system costs / inflation

70. The Committee was informed that common systems costs including staff costs would increase by €1 million in 2011.

71. The Committee noted that by following the United Nations common system, the Court's common system costs represented yearly increases in the budget as follows:

2007: €1.49 million	2010: €1.00 million
2008: €2.74 million	2011: €1.00 million
2009: €2.00 million	

72. While the Court was not part of the United Nations common system, the result of the decision to be part of the United Nations Joint Staff Pension Fund required the use of the United Nations salary scale.

73. The Committee had already expressed its views on the issue at its ninth session:

“However, the Committee also noted that this system was less than ideal for an organization with a budget denominated in euros and in which staff (with the exception of some local field staff) are paid in euros. Further, the forecasts relating to estimated staff costs are by nature imprecise and, unlike the United Nations and some other common system organizations, the Court does not report the actual level of common system costs at the end of the financial period. There was as yet insufficient experience to assess whether the actual staff costs incurred by the Court matched the multiplier for common staff costs. The Committee also noted that the system was expensive to administer since it required monthly amendment of post adjustment and salaries for all staff.”²⁷

3. Cross-cutting recommendations on the 2011 Budget

(a) Parallel trials

74. The budget of Major Programme III included €2.134 million to cover six months of parallel trials in 2011. As noted in paragraph 57 above, the Committee conducted an in-depth examination of actual usage of courtroom capacity in 2010, and the use of the additional GTAs that were funded from the Contingency Fund. The Committee noted that a number of positions requested in relation to parallel trials were not well justified. For example, a number of staff requests appeared to be more strategic rather than operational in nature and therefore were not clearly directly related to the holding of parallel trials. In other instances, the requested GTA posts did not appear to be absolutely essential but rather more desirable. In other instances, the request for GTA posts was for 12 months rather than six months. Given the fact that many of the GTA staff hired for parallel trials in 2010 were not in fact engaged on parallel trials and the fact that actual courtroom usage had never approached full capacity, the Committee recommended that the resources provided in Major Programme III be reduced by about one third, i.e. by €700,000. In order not to micromanage the Registry in the scheduling of trials, the Committee recommended that the Registrar have the discretion to decide how this cut should be implemented.

(b) Travel

75. The Court had an overall approved travel budget of €4.997 million in 2010. Implementation was forecast to be approximately 90 per cent. The Court had requested an overall travel budget of €5.071 million for 2011. Given the actual projected implementation rate and the fact that almost all the Major Programmes had erroneously budgeted for travel to New York to a session of the Assembly of States Parties in 2011, the Committee recommended that the travel budget of each Major Programme be reduced by 10 per cent.

²⁷ *Official Records ... Sixth Session ... 2007*, (ICC-ASP/6/20), vol. II, part B.2, para. 43.

For Major Programmes that had already proposed a reduction in their travel budgets, the Committee recommended that the further reduction should be the difference between the proposed reduction and 10 per cent. For Major Programme IV, the Secretariat of the Assembly of States Parties advised the Committee that its travel budget had been over-estimated by €109,100 and that that amount could be removed from its proposed budget. Hence the Committee recommended that the Secretariat's travel budget be reduced by €109,100 rather than by 10 per cent.

(c) General operating expenses

76. The Committee noted that overall general operating expenses for the Court were proposed to be increased by 5.2 per cent, or €620,000. The main cost driver was the expected increase in the cost of detention cells in the Netherlands. Therefore the Committee welcomed the endeavour of the Court to negotiate appropriate terms for rent of detention cells with the host State. The Committee recommended that, given the level of inflation,²⁸ and the fact that such negotiations with the host State were due to start, the budget for the general operating expenses of each Major Programme should be decreased by 2.5 per cent.

(d) Materials and supplies

77. The Committee noted that costs for supplies and materials were proposed to increase by 9.4 per cent, or €116,700. Noting the level of inflation, the Committee recommended that this item be cut by five per cent across the Court. A higher amount was not justified by the items put forward for the Committee's consideration.

(e) Vacancy rates and staff levels

78. The Court advised the Committee that it was seeking to convert seven GTA positions into permanent posts and would propose the addition of one other permanent post in 2011 for a net real increase of one post. The Court also advised that it was applying a vacancy rate of eight per cent for the Office of the Prosecutor and 10 per cent for the rest of the Court.

79. The Committee reiterated its view that the establishment phase of the Court had been completed and that the Court should make greater efforts to prioritize and reallocate resources to carry out its current projected activities within existing levels. That prioritization should include the identification of positions and functions that were no longer required or were underutilized.

80. The Committee also noted the proposed 20 per cent increase in resources for GTA staffing in 2011. The Committee questioned whether the Court would be able to proceed as expeditiously in the recruitment of the current vacant permanent positions because of the additional workload for the Court's Human Resources Section. Therefore the Committee recommended that this budget to be decreased by €57,500 for Major Programme II, and by €101,900 for Major Programme III.

81. The Committee recommended that the Court freeze the number of permanent posts at its approved 2010 level until a comprehensive re-justification of all posts had been conducted and recalled the recommendation it had made at its fourteenth session that, where established posts have been vacant for two years or more, the Court should provide a renewed justification for the positions to the Committee as part of its annual budget submission.²⁹

82. The Committee advised that it had requested a clear schema of the staff required to run the court proceedings as well as an indication of the minimum staffing elements required under the Rome Statute in the event that there were no trials and hearings, the so-called "skeleton" of the Court. That information would help the Committee and the

²⁸ The July 2010 inflation rate for the Netherlands was 1.3 per cent (Euro area 1.7 per cent). Source: Harmonized Index of Consumer Prices (HICP), European Central Bank, <http://www.ecb.int/stats/prices/hicp/html/inflation.en.html>.

²⁹ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.1, para. 56.

Assembly to assess the base needs of the Court. The Committee reiterated its request for the Court to provide that information in a report at its next session.

D. Major Programmes

1. Major Programme I: Judiciary

83. Given the enhanced level of judicial activity foreseen, the Committee expressed concern with the large increase in the travel budget for this Major Programme. In line with the general approach on travel, the Committee recommended that the overall travel budget for Major Programme I be reduced by 10 per cent. Furthermore to promote better coordination, the Committee recommended that the travel budget of Chambers be incorporated under sub-programme 1100 (the Presidency) and that the President closely monitor its implementation.

84. The Committee further noted that this Major Programme had made a budgetary provision for two P-3 GTAs for six months to cover extended periods of leave, including annual and maternity leave, but that those resources had not been explicitly identified in the proposed programme budget. The Committee observed that, as a general principle, resources that were not explicitly provided for in the budget document should not be funded. Furthermore, the Committee recommended that the Court follow a consistent and standard approach - as was the case in other institutions, where existing staff members assumed the extra workload - and enhance productivity. Therefore the Committee recommended that the proposed GTA budget be reduced by €135,000 and that Major Programme I cover those functions through productivity gains.

85. In Programme 1100, the Committee reviewed the request for a P-3 Legal Officer to be converted from GTA on the basis that the position was required as the Presidency was entering the phase where it would be required to exercise its enforcement functions. The Committee noted that the position was not yet required on a full-time basis for enforcement issues as there were no sentenced individuals at this point. Given the current phase of the ongoing trials in the Court, and the current level of staffing in the Presidency, the Committee was not convinced that this resource was required on a permanent basis. Therefore the Committee recommended that the position remain as a GTA.

86. The Committee was of the view that the information on judges' salaries contained in annex V(e) of the budget document was not sufficient to allow it to form a well founded opinion. Having obtained clarification from the Court, and taking into account the basis used for calculating common costs in prior financial periods, as well as the assumption that in 2011 all the judges serving in Chambers would be present, the Committee recommended approval of an allocation of €175,000 in total for the Presidency and Chambers, rather than the €270,000 that had been budgeted by the Court. In addition, the Committee requested the Court to provide, for its next session, the relevant components providing the basis for the common costs calculation.

87. In sub-programme 1310 (New York Liaison Office), the Committee recalled the decision of the Assembly when it created the Liaison Office to keep the structure limited. The Committee was again not convinced that increasing resources in the New York Liaison Office was justified by a cost-benefit analysis. The Committee therefore recommended that the provision for a P-2 position financed on GTA not be approved and that the budget be reduced by €88,800. The Committee further noted that provision had been made for a P-2 GTA for four months to cover medical and long-term sick leave but had not been identified in the budget. The Committee therefore recommended that the proposed GTA budget for sub-programme 1310 be reduced by an additional €29,600.

88. In sub-programme 1320 (African Union Liaison Office), the Committee considered the report on the opening of an African Union Liaison Office,³⁰ introduced by the Registry, as well as an oral update on the developments regarding the mission of a Senior Legal Officer to Addis Ababa. The Court informed the Committee that, as at 27 August 2010, the

³⁰ CBF/15/7.

actual expenditure associated with the opening of the African Union Liaison Office had been €38,300.³¹

89. The Court further informed the Committee of the decision of the African Union “to reject for now, the request by ICC to open a Liaison Office to the AU in Addis Ababa, Ethiopia”.³²

90. In light of these developments, and pending a revision of that decision by the African Union, the Committee recommended that no funds be assessed for the Addis Ababa Liaison Office in the 2011 budget. In order to promote clarity for the Assembly on the budgetary implications of opening the Liaison Office, the Committee recommended that a draft budget for the Liaison Office be annexed to the proposed programme budget.

2. Major Programme II: Office of the Prosecutor

91. The Committee took note of the effort by Major Programme II to contain its travel costs. The Committee observed, however, that the travel budget continued to be quite substantial and questioned the appropriateness of the amount requested, in particular in light of the future increase in judicial activity. Consequently, given the efforts made to reduce the travel budget, in line with the general approach outlined in paragraph 73 above, the Committee recommended that the travel budget of this Major Programme be decreased by 5.3 per cent.

92. The Committee also noted that provision had been made in the GTA budget of sub-programme 2320 (Planning and Operations Section) for a Transcriber at GS-OL level for 1.5 months and in sub-programme 2410, for a P-3 legal officer for 1.3 months. As a justification for these GTAs had not been provided directly in the proposed programme budget, the Committee recommended that the GTA budget for sub-programme 2320 be reduced by €8,413 and that the GTA budget for sub-programme 2410 be reduced by €9,664.

93. In Programme 2300 (Investigation Division), the Committee noted the proposal by the Prosecutor to the Assembly to abolish the post of Deputy Prosecutor for Investigations,³³ which had remained vacant for three years. The Committee noted that it would be for the Assembly to decide on the course of action to take regarding the post of an elected official. For its part, the Committee recalled that the salary for the post of Deputy Prosecutor for Investigations³⁴ had not been included either in the 2010 approved budget or in the 2011 proposed budget, so that no savings would be made through its abolition.

94. In Programme 2400 (Prosecution Division), with respect to the request of the Prosecutor to reclassify the post of Prosecution Coordinator from P-5 to D-1, the Committee recalled that in 2009 it had recommended the reallocation of a P-5 position to the Prosecution Division by redistributing the responsibilities of the Chef de Cabinet to other positions. The Prosecutor indicated that the request contained in the proposed budget for 2011 to convert the P-5 post to a D-1 post was based on the need to have a coordinator to ensure consistency for the filings in all the cases and to prosecution teams. With the changes requested, the structure of the Office of the Prosecutor would consist of the Prosecutor, a single Deputy Prosecutor and three D-1 posts for the three main areas of competence: cooperation, investigations and prosecution.

95. Subject to the agreement of the Assembly to abolish the position of Deputy Prosecutor for Investigations, the Committee recommended that the reclassification of the P-5 Prosecution Coordinator be approved.

3. Major Programme III: Registry

96. The Committee welcomed the fact that the travel budget for Major Programme III had been reduced by 1.7 per cent from the 2010 approved budget level. In application of the

³¹ A total of €346,600 had been approved in the 2010 budget for this sub-programme.

³² Decision on the progress report of the Commission on the implementation of decision Assembly/AU/Dec.270 (XIV) on the Second Ministerial Meeting on the Rome Statute of the International Criminal Court (ICC), Doc. Assembly/AU/10(XV), para. 8.

³³ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part A, para. 134.

³⁴ Foreseen at the ASG level with a standard salary cost of €210,000 per year.

general principle set out in paragraph 73 above, the Committee recommended that the travel budget be further reduced by 8.3 per cent.

97. Furthermore, as the Committee had recommended a cut of €700,000 in Major Programme III with respect to parallel trials, the Committee will not provide recommendations on specific GTA positions related to that function.

98. The Committee noted with concern that a number of sub-programmes had provided minimal description and justification in the proposed programme budget for their activities, in particular the Office of Internal Audit, the Legal Advisory Services Section and the Budget and Finance Section. The Committee reiterated the importance of providing sufficient detail and justification for the resources in the budget document.

99. In sub-programme 3110 (Immediate Office of the Registrar), the Committee was informed that a P-5 Administrative Officer had been redeployed from the Secretariat of the Trust Fund for Victims. The Committee recalled that this post had originally been located in the former Office of the Controller. After the reorganization of the unit, the post was found to be surplus. However given requirements for special financial assistance in the Secretariat of the Trust Fund for Victims, the Assembly had approved the recommendation of the Committee to redeploy this post to the Secretariat for one year (subsequently extended until the Executive Director of the Secretariat was in office).

100. The Registrar understood that this would merely be a temporary redeployment and that the post would ultimately return to the Registry in a different function. The Committee was of the view that, while the texts of its prior reports were not as clear on the matter as would be desirable, a post that is found to be surplus would normally be abolished. Furthermore, it was standard practice to clearly indicate a redeployment in the budget document. The Committee noted that no mention is made in sub-programme 3110 of the redeployment or of the functions of this post. The Committee stressed that posts must be clearly identified and justified, including redeployments, especially senior-level posts. Therefore the Committee recommended that the budget for professional staff in this sub-programme be reduced by €140,100. The Committee further recommended that, should the Registrar wish to maintain this post, she could use existing resources within Major Programme III in 2011 and provide full justification for this post in the proposed programme budget for 2012.

101. In sub-programme 3140 (Security and Safety Section), the Committee considered the request for the reclassification of the existing post of Field Security Coordination Officer from P-2 to P-3.³⁵ The Committee reviewed the existing structures and found that not all field security Coordination Officers were classified at the P-3 level. Therefore the Committee recommended that this post not be reclassified.

102. In sub-programme 3180 (Field Operations Section), the Committee considered the request for reclassification of the four P-3 Field Office Managers to P-4 Registry Field Coordinators.

103. The Committee studied this issue carefully and recommended that the reclassification not be approved at this time for the reasons set out in the paragraph hereunder.

104. The Committee recalled that, at its thirteenth session, it had recommended the reclassification of two posts in this sub-programme.³⁶ The Committee was of the view that it would be important to first evaluate the impact of these reclassifications in terms of the improvement of coordination of the field offices before considering additional reclassifications.

105. Furthermore, four members of the Committee had conducted an ad hoc inspection of the Kampala and Bunia field offices to consider directly issues raised in the report on field offices and noted that each field office had its specific needs, operated in its own environment and was at a different stage of development and that it was therefore not desirable to apply the same criteria to all field offices.

³⁵ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part A, para. 238.

³⁶ *Official Records ... Eighth Session ... 2009*, (ICC-ASP/8/20), vol. II, part B.2, para. 82.

106. The Committee also noted that enhancement of the strategy for field offices had not yet advanced sufficiently to justify large increases in the staffing budget and was not convinced that an improvement of coordination would be directly related to the level of a post.

107. Furthermore, the Committee noted that in the text of the proposed programme budget,³⁷ the amount of the reclassifications would amount to only €15,000 for the four posts. This amount was subsequently found to be wrong and underestimated; the financial costs were actually estimated at over €80,000.

108. The Committee had also raised some concerns with the proposed process for reclassification and had asked to review the legal bases for the process at its last session. This information had not yet been provided.

109. For the same reasons, the Committee also recommended that the reclassification of the GS-PL Forward Field Officer Manager to P-2 not be approved.

110. In sub-programme 3190 (Counsel Support Section), the Committee reiterated its recommendation³⁸ that Counsel costs be separated as distinct budget items: “Legal aid for the Defence” and “Legal aid for victims”.

111. In sub-programme 3220 (Human Resources Section), the Committee remained unconvinced that the post of a P-2 Staffing Officer had undergone a significant change in functions on the basis of the information provided. Therefore, the Committee recommended that this reclassification not be approved.

112. The Committee further noted that a P-4 position in the Human Resources Section had been vacant for a significant amount of time for the last two years. Given the recommendation that a freeze on established posts be applied, the Committee recommended that no funding be allocated in this sub-programme in 2011 for this post until a rejustification of this post had been presented in the context of a revised strategy of human resources in accordance with recommendations made on a staffing freeze and the vacancy rate for vacant posts.

113. In sub-programme 3330 (Detention Section), as noted in paragraph 50 above, the Committee recommended that the funds for family visits be removed from the proposed programme budget and included as an annex to that document.

114. In sub-programme 3340 (Court Interpretation and Translation Section), the Committee reviewed the reasoning provided for one P-3 post, Court Interpreter (Swahili/Lingala) for 12 months, and one P-3 post, Court Interpreter (Swahili) for six months. The Committee was not convinced that the functions of the posts were needed. Therefore, the Committee recommended that those two posts not be approved.

115. In sub-programme 3350 (Victims and Witnesses Unit), the Committee reviewed the reasoning provided for the reclassifications of the Head of the Support Unit from P-3 to P-4 and the Legal Officer from P-2 to P-3. The Committee was not convinced that the functions of the posts had increased significantly enough to warrant reclassifications. Therefore the Committee recommended that those reclassifications not be approved.

116. The Committee noted that the Court had budgeted five more GTA positions in the Victims and Witnesses Unit than were identified in the budget document. As per paragraph 46 above, the Committee recommended that the GTA budget for this sub-programme be reduced by €270,400.

117. In sub-programme 3360 (Victims Participation and Reparations Section), the Committee noted that the Court had budgeted two reclassifications (P-4 to P-5 and a P-1 to P-2) without making a specific request in the budget document or providing a justification for the reclassifications in the budget. The Committee therefore recommended that these reclassifications not be approved.

³⁷ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part A, para. 262.

³⁸ *Official Records ... Eighth Session ... 2009*, (ICC-ASP/8/20), vol. II, part B.2, para. 36.

118. In Programme 3400 (Public Information and Documentation Section), the Committee noted that for the past three years the post of the Court's Spokesperson had only been occupied for relatively short periods of time. Thus the Committee was of the view that financing for this post should not be included in the budget for 2011.

119. However, taking into account that the Court intends to present a Strategic Communications Plan to the ninth session of the Assembly, the Committee recommended that financing for the post continue to be provided on the understanding that the Court would examine and address the reasons for its constant underutilization. Furthermore, should the post not be satisfactorily filled during the next financial year, then the Committee would consider recommending the abolishment of this post.

4. Major Programme IV: Secretariat of the Assembly of States Parties

120. With respect to the travel budget, the Committee recommended a reduction of €109,100 as per paragraph 75 above.

121. With respect to the request to abolish the P-4 post of Conference Service and Protocol Officer, and the request for a new P-2 Special Assistant to the Director and a new P-2 Associate Legal Officer, the Committee welcomed the initiative to abolish positions that were no longer required. The Committee was of the view that this abolition constituted an example of the flexible use of resources that could be emulated.

122. The Committee recommended that the P-4 Conference Services and Protocol Officer post be abolished, that a P-2 level Special Assistant to the Director post be established and that funds be provided for a GTA P-2 Associate Legal Officer for 10 months.

5. Major Programme VI: Secretariat of the Trust Fund for Victims

123. The Committee welcomed the presence of the Chairperson of the Board of Directors of the Trust Fund for Victims, Ms. Elizabeth Rehn, who informed the Committee that the new Executive Director of the Secretariat of the Trust Fund for Victims, Mr. Pieter de Baan, would assume his duties on 1 September 2010. The Committee noted that this key post had remained vacant for over one year.

124. The Committee recalled that at its fourteenth session, the Court had reported that it had not engaged gratis personnel. However, on the organization chart, the position of a legal officer funded by a government was indicated.

125. The Secretariat of the Trust Fund informed the Committee that, in its opinion, the offer of a legal officer did not fall under the regime of gratis personnel, but was rather funded through an earmarked contribution. Thus article 44, paragraph 4, of the Rome Statute and the Guidelines for the selection and engagement of gratis personnel at the International Criminal Court³⁹ did not apply.

126. The Committee expressed its intention to revert to the Court's policy on gratis personnel, including at the Secretariat of the Trust Fund for Victims, at its sixteenth session in the context of the agenda item on human resources and requested the Court to address in its report on human resources the question of the application of the rules on gratis personnel to the Secretariat of the Trust Fund.

127. The Committee considered the request to increase the funds for consultants by 110.5 per cent. The Committee was of the view that the task of professional writer was more appropriately a function of the professional staff of the Secretariat rather than a consultant. Furthermore, the Committee considered that the Secretariat had sufficient field staff to launch activities in relation to the Kenya situation. Therefore, the Committee recommended that the funds for consultants be maintained at the 2010 level.

128. The Committee noted that the travel budget would be subject to the same, general 10 per cent reduction as per paragraph 75 above.

³⁹ *Official Records ... Fourth session ... 2005* (ICC-ASP/4/32), part III, ICC-ASP/4/Res.4, annex II, page 342.

6. Major Programme VII-1: Project Director's Office (permanent premises)

129. The Committee had before it the Second interim report on the activities of the Oversight Committee⁴⁰ and welcomed the presence of the Chairperson of the Oversight Committee, Mr. Martin Strub, and the Project Director, Mr. Hans Heemrood, who responded to different queries, including on the cost and the governance structure of the project, as well as on the recommendations made by the External Auditor.

130. The Committee was not convinced that the amount budgeted for training in this Major Programme was required. Accordingly, the Committee recommended that the budget for training be reduced by 50 per cent.

131. The Committee further considered that the request for contractual services included items that should not be placed against the regular budget of the Court.⁴¹ Accordingly, the Committee recommended that funding for contractual services be maintained at the 2010 level.

132. With respect to the request for a new GS-OL Communication Assistant to prepare communication actions and documents, the Committee was of the view that such products could be prepared within the Office. Therefore the Committee recommended that this request not be funded.

7. Major Programme VII-2: Permanent Premises Project – Interest

133. The Court advised the Committee that arrangements had been made to repay the funds that had been drawn on the host State loan. As such, no interest payments would be required in 2011. Accordingly, the Committee recommended that the amount of €35,600 be removed from the budget.

E. Premises of the Court

1. Permanent premises

134. The Committee had before it the Second interim report on the activities of the Oversight Committee together with the financial report of the Project Director's Office.⁴² It also considered the recommendations of the External Auditor as contained in the External Auditor's report 2009.⁴³ Moreover, the Committee noted that the results of an audit performed by the Office of Internal Audit, focusing on managerial issues, would be available soon.

135. The Committee noted that both the Oversight Committee and the External Auditor had highlighted a number of risks in respect of project governance, project planning and budget control which could lead to delays and unplanned costs over and above those which had already occurred.

136. In particular, there appeared to be a lack of agreement about the roles and responsibilities of the Project Director, the Court and the Oversight Committee. Unanticipated modifications of the project design had resulted in additional costs. There seemed to be uncertain procedures within the Court for determining modifications. Approximately 10 per cent of the project's contingency fund had already been used. Moreover, as the External Auditor had pointed out, a formal and agreed benefits realization plan was lacking. An agreed plan, however, was essential in demonstrating that the project would meet its objectives and to provide assurance to the Assembly that value for money had been achieved.

⁴⁰ CBF/15/10.

⁴¹ For example, translation for tender documents and printing requirements for permits, as well as consultancy services.

⁴² CBF/15/10.

⁴³ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part C.1, paras. 26 and 34.

137. The Committee was provided assurances by the Project Director that, despite the delays, the construction elements of the project were still within the budget. The Committee noted the importance of timely follow-up on the External Auditor's recommendations and urged the Project Board to make every effort to complete the project on time and within budget. In that regard, the Committee urged the Project Director's Office to specify the unexpected costs resulting from the delays which had occurred and help identify offsetting savings. It welcomed the Oversight Committee's intention to address the governance arrangements at its next meeting.

2. Interim premises

138. Recalling the recommendation made by the Committee at its fourteenth session,⁴⁴ the Court informed the Committee that formal discussions with the host State about a possible extension of the rent-free period for the interim premises beyond July 2012 would commence on 22 September 2010. The Committee noted that in the absence of such extension, as of 2013 the Assembly would need to make an annual provision of close to €7 million to pay for the rental and maintenance of the interim premises until the Court could move to its permanent premises; approximately €3.470 million would also be required for rental and maintenance of the interim premises during the second semester of 2012.⁴⁵

139. In light of such circumstances, the Committee recommended that the Court rigorously pursue the extension of the rent-free period.

140. The Committee recalled its prior expectations that the Court continue to provide office space for the translation teams of the Secretariat of the Assembly of States Parties until they could be accommodated in the permanent premises, and that uniform criteria be applied in the allocation of office space.⁴⁶

F. Other matters

1. Family visits

141. The Committee considered the report of the Registry on the feasibility and on the conditions for the establishment of a voluntary system of funding of family visits.⁴⁷ The Committee was of the view that this report did not provide an objective assessment of the possibilities for establishing such a fund but was rather intended to promote a specific policy position of the Registry. As the position of the Registry had been made clear in several reports, the Committee recommended that the Assembly consider alternative mechanisms to prepare information on the feasibility and conditions for establishing a voluntary fund.

2. Future meetings

142. The Committee decided, tentatively, to hold its sixteenth and seventeenth sessions in The Hague, from 11 to 15 April 2011, and from 22 to 30 August 2011, respectively.

⁴⁴ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.1, para. 88.

⁴⁵ ICC-ASP/9/19, paras. 16 to 19.

⁴⁶ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.1, paras. 89 and 90.

⁴⁷ CBF/15/9.

Annex I

List of documents

Committee on Budget and Finance

ICC-ASP/9/2	Report to the Assembly of States Parties on the activities and projects of the Board of Directors of the Trust Fund for Victims for the period 1 July 2009 to 30 June 2010
ICC-ASP/9/5	Report of the Committee on Budget and Finance on the work of its fourteenth session
ICC-ASP/9/10	Proposed Programme Budget for 2011 of the International Criminal Court
ICC-ASP/9/10/Corr.1	Proposed Programme Budget for 2011 of the International Criminal Court – Corrigendum
ICC-ASP/9/13	Financial statements for the period 1 January to 31 December 2009
ICC-ASP/9/14	Trust Fund for Victims financial statements for the period 1 January to 31 December 2009
ICC-ASP/9/15	Report of the Committee on Budget and Finance on the work of its fifteenth session
ICC-ASP/9/16	Report on budget performance of the International Criminal Court as at 30 June 2010
CBF/15/1	Provisional agenda
CBF/15/1/Add.1	Annotated list of items included in the provisional agenda
CBF/15/2	Report on the job evaluation study of posts at Professional level
CBF/15/3	Report of the Court on its proposed schedule and budget for the implementation of the International Public Sector Accounting Standards (IPSAS)
CBF/15/4	Updated Report of the Court on capital investment replacements
CBF/15/5	Report of the Court on the need for a psychologist/psychological trauma expert position within the Victims and Witnesses Unit
CBF/15/6	Report of the Court on negotiations for the agreement between the Court and the host State on detention services and facilities (the “Product Price Agreement”)
CBF/15/7	Report on the opening of an AU Liaison Office
CBF/15/8	Annual Report of the Office of Internal Audit
CBF/15/9	Report of the Registry on the feasibility and on the conditions for the establishment of a voluntary system of funding of family visits
CBF/15/10	Second interim report on the activities of the Oversight Committee
CBF/15/11	Report of the Office of Internal Audit on the status of audit recommendations
CBF/15/12	Report on analytic accountability
CBF/15/13	Report on efficiency measures
CBF/15/14	Report of the Audit Committee

Annex II

Status of contributions as at 27 August 2010

<i>States Parties</i>	<i>Prior Years Assessed Contributions</i>	<i>Prior Years Receipts</i>	<i>Prior Years Outstanding Contributions</i>	<i>2010 Assessed Contributions</i>	<i>2010 Contributions Received</i>	<i>2010 Outstanding Contributions</i>	<i>Total Outstanding Contributions</i>
1 Afghanistan	12,842	10,421	2,421	6,155	-	6,155	8,576
2 Albania	47,698	47,698	-	15,388	15,379	9	9
3 Andorra	57,892	57,892	-	10,771	2,393	8,378	8,378
4 Antigua and Barbuda	22,230	22,230	-	3,078	572	2,506	2,506
5 Argentina	5,951,594	5,951,594	-	441,625	441,625	-	-
6 Australia	15,324,869	15,324,869	-	2,974,427	2,974,427	-	-
7 Austria	7,973,552	7,973,552	-	1,309,486	1,309,486	-	-
8 Bangladesh	-	-	-	8,975	-	8,975	8,975
9 Barbados	83,640	83,640	-	12,310	2,677	9,633	9,633
10 Belgium	9,884,226	9,884,226	-	1,654,169	1,654,169	-	-
11 Belize	9,075	9,075	-	1,539	1,539	-	-
12 Benin	13,772	13,772	-	4,616	4,616	-	-
13 Bolivia	67,925	67,925	-	10,771	1,109	9,662	9,662
14 Bosnia & Herzegovina	40,977	40,977	-	21,543	21,543	-	-
15 Botswana	116,422	116,422	-	27,698	3,555	24,143	24,143
16 Brazil	11,477,597	11,477,597	-	2,478,945	101,004	2,377,941	2,377,941
17 Bulgaria	164,937	164,937	-	58,473	58,473	-	-
18 Burkina Faso	15,816	15,816	-	4,616	718	3,898	3,898
19 Burundi	7,451	2,038	5,413	1,539	-	1,539	6,952
20 Cambodia	13,772	13,772	-	4,616	4,616	-	-
21 Canada	26,091,929	26,091,929	-	4,934,808	4,934,808	-	-
22 Central African Republic	9,075	2,874	6,201	1,539	-	1,539	7,740
23 Chad	4,378	1,606	2,772	3,078	-	3,078	5,850
24 Chile	76,698	76,698	-	363,147	363,147	-	-
25 Colombia	1,197,872	1,197,872	-	221,582	221,582	-	-
26 Comoros	4,644	516	4,128	1,539	-	1,539	5,667
27 Congo	7,817	6,055	1,762	4,616	-	4,616	6,378
28 Cook Islands	1,766	-	1,766	1,539	-	1,539	3,305
29 Costa Rica	274,829	274,829	-	52,318	40,844	11,474	11,474
30 Croatia	393,923	393,923	-	149,260	149,260	-	-
31 Cyprus	375,198	375,198	-	70,783	70,783	-	-
32 Czech Republic	100,398	100,398	-	537,028	537,028	-	-
33 Democratic Republic of the Congo	27,844	27,844	-	4,616	609	4,007	4,007
34 Denmark	6,627,946	6,627,946	-	1,132,529	1,132,529	-	-
35 Djibouti	8,879	5,158	3,721	1,539	-	1,539	5,260
36 Dominica	9,075	9,075	-	1,539	203	1,336	1,336
37 Dominican Republic	181,203	143,614	37,589	64,628	-	64,628	102,217
38 Ecuador	184,889	184,889	-	61,550	61,550	-	-
39 Estonia	125,177	125,177	-	61,550	40,306	21,244	21,244
40 Fiji	31,923	31,923	-	6,155	6,155	-	-
41 Finland	4,966,565	4,966,565	-	870,939	870,939	-	-
42 France	56,186,417	56,186,417	-	9,421,839	5,817,825	3,604,014	3,604,014
43 Gabon	80,386	50,610	29,776	21,543	-	21,543	51,319
44 Gambia	9,075	9,075	-	1,539	207	1,332	1,332
45 Georgia	25,563	25,563	-	9,233	9,233	-	-
46 Germany	78,932,275	78,932,275	-	12,337,792	12,337,792	-	-
47 Ghana	36,918	36,918	-	9,233	3,403	5,830	5,830
48 Greece	5,104,917	5,104,917	-	1,063,284	159,661	903,623	903,623
49 Guinea	17,764	4,309	13,455	3,078	-	3,078	16,533
50 Guyana	7,451	7,451	-	1,539	1,539	-	-
51 Honduras	45,218	31,962	13,256	12,310	-	12,310	25,566
52 Hungary	1,656,481	1,656,481	-	447,780	246,115	201,665	201,665
53 Iceland	321,068	321,068	-	64,628	64,628	-	-
54 Ireland	3,558,035	3,558,035	-	766,303	766,303	-	-
55 Italy	45,298,335	45,298,335	-	7,692,270	5,426,282	2,265,988	2,265,988

<i>States Parties</i>	<i>Prior Years Assessed Contributions</i>	<i>Prior Years Receipts</i>	<i>Prior Years Outstanding Contributions</i>	<i>2010 Assessed Contributions</i>	<i>2010 Contributions Received</i>	<i>2010 Outstanding Contributions</i>	<i>Total Outstanding Contributions</i>
56 Japan	45,942,588	45,942,588	-	19,280,686	4,318,318	14,962,368	14,962,368
57 Jordan	102,350	102,350	-	21,543	21,543	-	-
58 Kenya	65,429	65,429	-	18,465	18,465	-	-
59 Latvia	146,171	146,171	-	58,473	24,274	34,199	34,199
60 Lesotho	9,075	7,579	1,496	1,539	-	1,539	3,035
61 Liberia	7,451	5,689	1,762	1,539	-	1,539	3,301
62 Liechtenstein	67,882	67,882	-	13,849	13,663	186	186
63 Lithuania	236,871	236,871	-	100,020	5,114	94,906	94,906
64 Luxembourg	735,657	735,657	-	138,489	138,489	-	-
65 Madagascar	4,428	1,766	2,662	4,616	-	4,616	7,278
66 Malawi	9,456	9,359	97	1,539	-	1,539	1,636
67 Mali	13,772	13,772	-	4,616	4,616	-	-
68 Malta	137,851	137,851	-	26,159	26,159	-	-
69 Marshall Islands	9,075	5,306	3,769	1,539	-	1,539	5,308
70 Mauritius	99,826	99,826	-	16,926	16,069	857	857
71 Mexico	12,891,808	12,891,808	-	3,625,323	447,631	3,177,692	3,177,692
72 Mongolia	9,075	9,075	-	3,078	3,078	-	-
73 Montenegro	5,311	5,311	-	6,155	6,155	-	-
74 Namibia	55,068	55,068	-	12,310	1,494	10,816	10,816
75 Nauru	9,075	5,267	3,808	1,539	-	1,539	5,347
76 Netherlands	16,169,726	16,169,726	-	2,854,403	2,854,403	-	-
77 New Zealand	2,171,487	2,171,487	-	420,082	420,082	-	-
78 Niger	9,075	7,902	1,173	3,078	-	3,078	4,251
79 Nigeria	421,582	370,914	50,668	120,023	-	120,023	170,691
80 Norway	6,593,446	6,593,446	-	1,340,262	1,340,262	-	-
81 Panama	189,320	189,320	-	33,853	8,614	25,239	25,239
82 Paraguay	80,728	80,728	-	10,771	10,765	6	6
83 Peru	789,843	604,518	185,325	138,489	-	138,489	323,814
84 Poland	4,298,091	4,298,091	-	1,274,094	850,270	423,824	423,824
85 Portugal	4,510,509	4,510,509	-	786,307	786,307	-	-
86 Republic of Korea	17,619,055	17,619,055	-	3,477,602	444,351	3,033,251	3,033,251
87 Romania	587,205	587,205	-	272,361	8,227	264,134	264,134
88 Saint Kitts and Nevis	4,644	4,644	-	1,539	285	1,254	1,254
89 Saint Vincent and the Grenadines	8,879	8,879	-	1,539	189	1,350	1,350
90 Samoa	8,957	8,957	-	1,539	1,539	-	-
91 San Marino	26,607	26,607	-	4,616	4,616	-	-
92 Senegal	40,998	39,661	1,337	9,233	-	9,233	10,570
93 Serbia	181,800	181,800	-	56,934	15,840	41,094	41,094
94 Sierra Leone	9,075	9,075	-	1,539	202	1,337	1,337
95 Slovakia	510,418	510,418	-	218,504	218,504	-	-
96 Slovenia	804,827	804,827	-	158,492	158,492	-	-
97 South Africa	2,713,316	2,713,316	-	592,423	592,423	-	-
98 Spain	24,832,857	24,832,857	-	4,888,645	4,888,645	-	-
99 Suriname	1,766	1,766	-	4,616	4,616	-	-
100 Sweden	9,395,575	9,395,575	-	1,637,243	1,637,243	-	-
101 Switzerland	10,993,626	10,993,626	-	1,738,801	1,738,801	-	-
102 Tajikistan	9,075	9,075	-	3,078	1,624	1,454	1,454
103 The former Yugoslav Rep. of Macedonia	50,072	50,072	-	10,771	1,208	9,563	9,563
104 Timor-Leste	8,957	8,957	-	1,539	61	1,478	1,478
105 Trinidad and Tobago	217,833	217,833	-	67,706	67,706	-	-
106 Uganda	40,699	40,699	-	9,233	6,839	2,394	2,394
107 United Kingdom	57,499,218	57,499,218	-	10,161,982	10,161,982	-	-
108 United Republic of Tanzania	52,898	52,898	-	12,310	12,232	78	78
109 Uruguay	363,602	363,602	-	41,547	41,547	-	-
110 Venezuela	1,701,970	1,537,857	164,113	483,170	-	483,170	647,283
111 Zambia	13,378	13,378	-	6,155	-	6,155	6,155
Total	506,757,546	506,219,078	538,468	103,632,275	71,183,574	32,448,701	32,987,169

Annex III

Draft resolution: Amendment to the Financial Regulations and Rules

The Assembly of States Parties,

Having regard to the Financial Regulations and Rules¹ adopted at its first session on 9 September 2002,

Endorsing the views of the Committee on Budget and Finance at its fifteenth session in respect of the scrutiny and approval process for access to the Contingency Fund, as well as the desirable level of detail and justification required for such access,²

Decides to amend regulation 6.7 of the Financial Regulations and Rules by substituting the word “detailed” in the second sentence for the word “short”.

¹ *Official Records ... First session ... 2002* (ICC-ASP/1/3 and Corr.1), part II.D.

² *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part B.2, para. 40.

Annex IV

List of mistakes and inconsistencies in the proposed programme budget for 2011¹

1. Number of court days: In annex III of the proposed programme budget, the number of court days is assumed to be 200 in 12 months. The Court clarified that 200 is the number of days that a courtroom and a courtroom team would be available for hearings. However, with the request in the budget for an additional courtroom team for six months, there would be 300 sitting days available for trials.
2. Number of field offices: In annex III, the number of field offices is assumed to be seven in 2011. However, the Registrar confirmed that, while the office in Abéché would be closed in 2011, no final decision had been made to open an office in Kenya. Hence it is misleading to assume that there would be seven field offices in 2011 when there might in fact be only five (paragraphs 208 and 257).
3. Number of site visits by judges: In annex III, the assumptions state that there will be zero site visits by judges in 2011, while the budget proposal for Major Programme I (paragraph 108) includes an increase in the travel budget for a site visit.²
4. Travel to the session of the Assembly at United Nations Headquarters: The proposed budget envisages trips to a session of the Assembly of States Parties in 2011, whereas in 2009 the Assembly had already scheduled the session to take place in The Hague.³
5. Duration of trials: The assumptions regarding the length of the *Lubanga* trial are inconsistent throughout the budget document (paragraphs 17, 50 (table 2), 96, 102 and 358). There are similar inconsistencies regarding the *Katanga/Ngudjolo* trial (paragraphs 21 and 197). Table 2, in paragraph 50, envisages €3.9 million for Trial 1 (*Lubanga*), whereas this seems to refer to the appeals and reparation phase of the case.
6. Rounding of figures: In the proposed budget document, some figures have been rounded up, while the same figures are rounded down elsewhere. For example, paragraph 180 (€216,500) and table 31 (€216,400); paragraph 188 (€55,600) and table 33 (€55,700).
7. Tables with erroneous entries: In numerous tables of the original budget document in English and French the “Distributed maintenance” line, under the columns “Resource Growth Amount” and “Resource Growth %”, the negative increases had been entered in error as positive increases and the positive increases as negative increases.⁴
8. Capital investment replacements: Rental costs of interim premises, IPSAS and the extension of judges’ terms should not have been included as capital investments.⁵
9. Programme 1100: the Presidency: Paragraph 82 seeks to justify the request for the conversion on the basis of the need for the staff member to carry out activities related to the Enforcement Unit, whereas the oral explanations given by the Court indicated that the staff member would actually be entrusted, in addition to the Enforcement Unit assignments, with a series of other tasks.
10. Programme 1100: the Presidency: Paragraph 84 incorrectly refers to trips of the Presidency’s judges (in plural) to attend sessions of the Assembly in New York.
11. Programme 1200: Chambers: Regarding the travel of judges, paragraph 108 is inconsistent with table 9. According to the Court, the correct figure for in situ trips for judges is the one in paragraph 108 (i.e. €110, 827) and not €125,900 as stated in table 9.
12. Sub-programme 2120: Services Section: Paragraph 157 refers to a “reduction in travel costs” of €55,200, while the corresponding table 25 refers to an increase of the same amount.

¹ *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part A.

² *Official Records ... Ninth session ... 2010* (ICC-ASP/9/20), vol. II, part A, para. 108 and annex III.

³ *Official Records ... Eighth session ... 2009*, (ICC-ASP/8/20), vol. I, part II, ICC-ASP/8/Res.3, para. 58.

⁴ A corrigendum to the English and French versions was issued on 18 August 2010 as ICC-ASP/9/10/Corr.1.

⁵ ICC-ASP/9/19.

13. Sub-programme 3180: Field Operations Section: The Court had indicated that the cost of reclassifications of four P-3 Field Office Managers to P-4 would be €15,000 (paragraph 262), when it is, in fact, over €80,000.
14. Sub-programme 3330: Detention Section: In accordance with the respective Assembly resolution on the issue of family visits for indigent detainees, the €81,500 proposed for family visits should not have been included in this section but in an annex to the proposed programme budget.
15. Sub-programme 3350: Victims and Witnesses Unit: The Committee noted that the Court had budgeted GTA positions in the Victims and Witnesses Unit for 12 months (one P-2 Associate Operations Officer, one P-2 Associate Protection Officer, two GS-OL Support Assistants, and three GS-OL Field Support Assistants, paragraphs 388 - 391), while indicating a real need for the positions for six months.
16. Major Programme VII-2: There should be no provision in the budget for the payment of interest to the host State (€35,000) since there is no need to draw from the loan due to one-time payments.

Annex V

Budgetary implications of the implementation of the recommendations of the Committee on Budget and Finance

Comparison of proposed budget and the recommendations of the Committee on Budget and Finance (thousands of euro)

Table 1: Total of all Major Programmes

Total ICC	Proposed Budget 2011			Proposed Budget 2011			Difference		
	Before CBF recommendations			After CBF recommendations					
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total
Judges	5,852.2		5,852.2	5,757.2		5,757.2	-95.0	0.0	-95.0
Professional staff	19,563.1	21,665.4	41,228.5	18,785.3	20,903.0	39,688.3	-777.8	-762.4	-1,540.2
General Service staff	10,763.7	9,515.7	20,279.4	10,688.4	9,489.2	20,177.6	-75.3	-26.5	-101.8
Subtotal staff	30,326.8	31,181.1	61,507.9	29,473.7	30,392.1	59,865.9	-853.1	-789.0	-1,642.0
General temporary assistance	2,371.1	7,134.5	9,505.6	2,215.7	6,734.4	8,950.1	-155.4	-400.1	-555.5
Temporary assistance for meetings	1,135.7	292.5	1,428.2	1,135.7	292.5	1,428.2	0.0	0.0	0.0
Overtime	288.9	155.3	444.2	288.9	155.3	444.2	0.0	0.0	0.0
Consultants	178.3	335.7	514.0	178.8	303.7	482.5	0.5	-32.0	-31.5
Subtotal other staff	3,974.0	7,918.0	11,892.0	3,819.1	7,485.9	11,305.0	-154.9	-432.1	-587.0
Travel	1,217.9	3,853.2	5,071.1	1,020.2	3,513.1	4,533.3	-197.7	-340.1	-537.8
Hospitality	58.0		58.0	57.0		57.0	-1.0	0.0	-1.0
Contractual services	2,219.8	1,591.8	3,811.6	2,175.2	1,591.8	3,767.0	-44.6	0.0	-44.6
Training	472.6	483.3	955.9	466.5	483.3	949.8	-6.2	0.0	-6.1
Counsel	0.0	2,711.2	2,711.2	0.0	2,711.2	2,711.2	0.0	0.0	0.0
General operating expenses	6,536.9	6,214.8	12,751.7	6,476.7	6,205.7	12,682.4	-60.2	-9.1	-69.3
Supplies and materials	910.4	442.6	1,353.0	860.1	420.5	1,280.6	-50.3	-22.1	-72.5
Furniture and equipment	655.2	402.9	1,058.1	607.5	402.9	1,010.4	-47.7	0.0	-47.7
Subtotal non-staff	12,070.8	15,699.8	27,770.6	11,663.1	15,328.5	26,991.6	-407.7	-371.3	-779.0
Total	52,223.8	54,798.9	107,022.7	50,713.1	53,206.6	103,919.6	-1,510.7	-1,592.3	-3,103.1

Table 2: Major Programme I: Judiciary

<i>Major Programme I Judiciary</i>	<i>Proposed Budget 2011 Before CBF recommendations</i>			<i>Proposed Budget 2011 After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
<i>Judges</i>	5,852.2	0.0	5,852.2	5,757.2	0.0	5,757.2	-95.0	0.0	-95.0
Professional staff	3,012.4	452.0	3,464.4	2,756.3	452.0	3,208.3	-256.1	0.0	-256.1
General Service staff	864.9	181.8	1,046.7	804.3	181.8	986.1	-60.6	0.0	-60.6
<i>Subtotal staff</i>	<i>3,877.3</i>	<i>633.8</i>	<i>4,511.1</i>	<i>3,560.6</i>	<i>633.8</i>	<i>4,194.4</i>	<i>-316.7</i>	<i>0.0</i>	<i>-316.7</i>
General temporary assistance	320.6	270.8	591.4	108.0	270.8	378.8	-212.6	0.0	-212.6
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	15.4	0.0	15.4	15.4	0.0	15.4	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>336.0</i>	<i>270.8</i>	<i>606.8</i>	<i>123.4</i>	<i>270.8</i>	<i>394.2</i>	<i>-212.6</i>	<i>0.0</i>	<i>-212.6</i>
Travel	244.3	29.9	274.2	206.2	26.9	233.1	-38.1	-3.0	-41.1
Hospitality	18.0	0.0	18.0	17.0	0.0	17.0	-1.0	0.0	-1.0
Contractual services	15.6	0.0	15.6	0.0	0.0	0.0	-15.6	0.0	-15.6
Training	21.3	0.0	21.3	21.3	0.0	21.3	0.0	0.0	0.0
General operating expenses	105.5	0.0	105.5	54.5	0.0	54.5	-51.0	0.0	-51.0
Supplies and materials	10.0	0.0	10.0	4.8	0.0	4.8	-5.3	0.0	-5.3
Furniture and equipment	47.7	0.0	47.7	0.0	0.0	0.0	-47.7	0.0	-47.7
<i>Subtotal non-staff</i>	<i>462.4</i>	<i>29.9</i>	<i>492.3</i>	<i>303.7</i>	<i>26.9</i>	<i>330.7</i>	<i>-158.7</i>	<i>-3.0</i>	<i>-161.6</i>
Total	10,527.9	934.5	11,462.4	9,744.9	931.5	10,676.5	-783.0	-3.0	-785.9

Table 3: Programme 1100: The Presidency

<i>1100 The Presidency</i>	<i>Proposed Budget 2011 Before CBF recommendations</i>			<i>Proposed Budget 2011 After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
<i>Judges</i>	1,049.9	0.0	1,049.9	1,049.9	0.0	1,049.9	0.0	0.0	0.0
Professional staff	804.9	0.0	804.9	707.8	0.0	707.8	-97.1	0.0	-97.1
General Service staff	258.9	0.0	258.9	258.9	0.0	258.9	0.0	0.0	0.0
<i>Subtotal staff</i>	1,063.8	0.0	1,063.8	966.7	0.0	966.7	-97.1	0.0	-97.1
General temporary assistance	67.5	0.0	67.5	108.0	0.0	108.0	40.5	0.0	40.5
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	15.4	0.0	15.4	15.4	0.0	15.4	0.0	0.0	0.0
<i>Subtotal other staff</i>	82.9	0.0	82.9	123.4	0.0	123.4	40.5	0.0	40.5
Travel	124.3	0.0	124.3	198.3	26.9	225.2	74.0	26.9	100.9
Hospitality	15.0	0.0	15.0	15.0	0.0	15.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	5.5	0.0	5.5	5.5	0.0	5.5	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	144.8	0.0	144.8	218.8	26.9	245.7	74.0	26.9	100.9
Total	2,341.4	0.0	2,341.4	2,358.8	26.9	2,385.7	17.4	26.9	44.3

Table 4: Programme 1200: Chambers

<i>1200 Chambers</i>	<i>Proposed Budget 2011 Before CBF recommendations</i>			<i>Proposed Budget 2011 After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
<i>Judges</i>	4,802.3	0.0	4,802.3	4,707.3	0.0	4,707.3	-95.0	0.0	-95.0
Professional staff	1,908.4	452.0	2,360.4	1,908.4	452.0	2,360.4	0.0	0.0	0.0
General Service staff	484.8	181.8	666.6	484.8	181.8	666.6	0.0	0.0	0.0
<i>Subtotal staff</i>	2,393.2	633.8	3,027.0	2,393.2	633.8	3,027.0	0.0	0.0	0.0
General temporary assistance	67.5	270.8	338.3	0.0	270.8	270.8	-67.5	0.0	-67.5
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	67.5	270.8	338.3	0.0	270.8	270.8	-67.5	0.0	-67.5
Travel	96.0	29.9	125.9	0.0	0.0	0.0	-96.0	-29.9	-125.9
Hospitality	1.0	0.0	1.0	1.0	0.0	1.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	15.8	0.0	15.8	15.8	0.0	15.8	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	112.8	29.9	142.7	16.8	0.0	16.8	-96.0	-29.9	-125.9
Total	7,375.8	934.5	8,310.3	7,117.3	904.6	8,021.9	-258.5	-29.9	-288.4

Table 5: Sub-programme 1310: New York Liaison Office

1310 New York Liaison Office	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
<i>Judges</i>							<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Professional staff	140.1	0.0	140.1	140.1	0.0	140.1	0.0	0.0	0.0
General Service staff	60.6	0.0	60.6	60.6	0.0	60.6	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>200.7</i>	<i>0.0</i>	<i>200.7</i>	<i>200.7</i>	<i>0.0</i>	<i>200.7</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
General temporary assistance	118.4	0.0	118.4	0.0	0.0	0.0	-118.4	0.0	-118.4
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>118.4</i>	<i>0.0</i>	<i>118.4</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>-118.4</i>	<i>0.0</i>	<i>-118.4</i>
Travel	8.8	0.0	8.8	7.9	0.0	7.9	-0.9	0.0	-0.9
Hospitality	1.0	0.0	1.0	1.0	0.0	1.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	55.9	0.0	55.9	54.5	0.0	54.5	-1.4	0.0	-1.4
Supplies and materials	5.0	0.0	5.0	4.8	0.0	4.8	-0.3	0.0	-0.3
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>70.7</i>	<i>0.0</i>	<i>70.7</i>	<i>68.2</i>	<i>0.0</i>	<i>68.2</i>	<i>-2.5</i>	<i>0.0</i>	<i>-2.5</i>
Total	389.8	0.0	389.8	268.9	0.0	268.9	-120.9	0.0	-120.9

Table 6: Sub-programme 1320: African Union Liaison Office

<i>1320 African Union Liaison Office</i>	<i>Proposed Budget 2011 Before CBF recommendations</i>			<i>Proposed Budget 2011 After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
<i>Judges</i>							<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Professional staff	159.0		159.0	0.0		0.0	-159.0	0.0	-159.0
General Service staff	60.6		60.6	0.0		0.0	-60.6	0.0	-60.6
<i>Subtotal staff</i>	<i>219.6</i>		<i>219.6</i>	<i>0.0</i>		<i>0.0</i>	<i>-219.6</i>	<i>0.0</i>	<i>-219.6</i>
General temporary assistance	67.2		67.2	0.0		0.0	-67.2	0.0	-67.2
Temporary assistance for meetings							0.0	0.0	0.0
Overtime							0.0	0.0	0.0
Consultants							0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>67.2</i>		<i>67.2</i>	<i>0.0</i>		<i>0.0</i>	<i>-67.2</i>	<i>0.0</i>	<i>-67.2</i>
Travel	15.2		15.2	0.0		0.0	-15.2	0.0	-15.2
Hospitality	1.0		1.0	0.0		0.0	-1.0	0.0	-1.0
Contractual services	15.6	0.0	15.6	0.0	0.0	0.0	-15.6	0.0	-15.6
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	49.6		49.6	0.0		0.0	-49.6	0.0	-49.6
Supplies and materials	5.0		5.0	0.0		0.0	-5.0	0.0	-5.0
Furniture and equipment	47.7		47.7	0.0		0.0	-47.7	0.0	-47.7
<i>Subtotal non-staff</i>	<i>134.1</i>		<i>134.1</i>	<i>0.0</i>		<i>0.0</i>	<i>-134.1</i>	<i>0.0</i>	<i>-134.1</i>
Total	420.9		420.9	0.0		0.0	-420.9	0.0	-420.9

Table 7: Major Programme II: Office of the Prosecutor

<i>Programme II Office of the Prosecutor</i>	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	3,701.3	12,327.0	16,028.3	3,714.3	11,774.8	15,489.1	13.0	-552.2	-539.2
General Service staff	1,007.4	2,940.2	3,947.6	997.2	2,937.6	3,934.8	-10.2	-2.6	-12.8
<i>Subtotal staff</i>	<i>4,708.7</i>	<i>15,267.2</i>	<i>19,975.9</i>	<i>4,711.5</i>	<i>14,712.4</i>	<i>19,423.9</i>	<i>2.8</i>	<i>-554.8</i>	<i>-552.0</i>
General temporary assistance	37.0	3,648.2	3,685.2	37.0	4,164.5	4,201.5	0.0	516.3	516.3
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	15.0	0.0	15.0	15.0	0.0	15.0	0.0	0.0	0.0
Consultants	0.0	106.0	106.0	0.0	106.0	106.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>52.0</i>	<i>3,754.2</i>	<i>3,806.2</i>	<i>52.0</i>	<i>4,270.5</i>	<i>4,322.5</i>	<i>0.0</i>	<i>516.3</i>	<i>516.3</i>
Travel	241.8	1,906.6	2,148.4	229.0	1,805.6	2,034.6	-12.8	-101.0	-113.8
Hospitality	10.0	0.0	10.0	10.0	0.0	10.0	0.0	0.0	0.0
Contractual services	25.0	252.5	277.5	25.0	252.5	277.5	0.0	0.0	0.0
Training	23.9	55.5	79.4	23.9	55.5	79.4	0.0	0.0	0.0
General operating expenses	0.0	349.6	349.6	0.0	340.9	340.9	0.0	-8.7	-8.7
Supplies and materials	53.0	48.0	101.0	50.3	45.6	95.9	-2.7	-2.4	-5.1
Furniture and equipment	0.0	30.0	30.0	0.0	30.0	30.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>353.7</i>	<i>2,642.2</i>	<i>2,995.9</i>	<i>338.2</i>	<i>2,530.0</i>	<i>2,868.2</i>	<i>-15.5</i>	<i>-112.2</i>	<i>-127.7</i>
Total	5,114.4	21,663.6	26,778.0	5,101.7	21,512.9	26,614.6	-12.7	-150.7	-163.4

Table 8: Sub-programme 2110: Immediate Office of the Prosecutor

2110 Immediate Office of the Prosecutor	<i>Proposed Budget 2011 Before CBF recommendations</i>			<i>Proposed Budget 2011 After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
Professional staff	993.0	0.0	993.0	994.6	0.0	994.6	1.6	0.0	1.6
General Service staff	326.5	0.0	326.5	324.0	0.0	324.0	-2.5	0.0	-2.5
<i>Subtotal staff</i>	<i>1,319.5</i>	<i>0.0</i>	<i>1,319.5</i>	<i>1,318.6</i>	<i>0.0</i>	<i>1,318.6</i>	<i>-0.9</i>	<i>0.0</i>	<i>-0.9</i>
General temporary assistance	37.0	0.0	37.0	37.0	0.0	37.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	15.0	0.0	15.0	15.0	0.0	15.0	0.0	0.0	0.0
Consultants	0.0	106.0	106.0	0.0	106.0	106.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>52.0</i>	<i>106.0</i>	<i>158.0</i>	<i>52.0</i>	<i>106.0</i>	<i>158.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	58.0	96.9	154.9	54.9	91.8	146.7	-3.1	-5.1	-8.2
Hospitality	10.0	0.0	10.0	10.0	0.0	10.0	0.0	0.0	0.0
Contractual services	0.0	25.0	25.0	0.0	25.0	25.0	0.0	0.0	0.0
Training	23.9	55.5	79.4	23.9	55.5	79.4	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>91.9</i>	<i>177.4</i>	<i>269.3</i>	<i>88.8</i>	<i>172.3</i>	<i>261.1</i>	<i>-3.1</i>	<i>-5.1</i>	<i>-8.2</i>
Total	1,463.4	283.4	1,746.8	1,459.4	278.3	1,737.7	-4.0	-5.1	-9.1

Table 9: Sub-programme 2120: Services Section

2120 Services Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	1,061.5	923.6	1,985.1	1,063.4	424.6	1,488.0	1.9	-499.0	-497.1
General Service staff	309.5	680.9	990.4	306.0	673.2	979.2	-3.5	-7.7	-11.2
<i>Subtotal staff</i>	<i>1,371.0</i>	<i>1,604.5</i>	<i>2,975.5</i>	<i>1,369.4</i>	<i>1,097.8</i>	<i>2,467.2</i>	<i>-1.6</i>	<i>-506.7</i>	<i>-508.3</i>
General temporary assistance	0.0	973.1	973.1	0.0	1,533.8	1,533.8	0.0	560.7	560.7
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>973.1</i>	<i>973.1</i>	<i>0.0</i>	<i>1,533.8</i>	<i>1,533.8</i>	<i>0.0</i>	<i>560.7</i>	<i>560.7</i>
Travel	18.6	370.2	388.8	17.6	350.6	368.2	-1.0	-19.6	-20.6
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	25.0	167.5	192.5	25.0	167.5	192.5	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	10.0	10.0	0.0	9.8	9.8	0.0	-0.3	-0.3
Supplies and materials	53.0	28.0	81.0	50.3	26.6	76.9	-2.7	-1.4	-4.1
Furniture and equipment	0.0	30.0	30.0	0.0	30.0	30.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>96.6</i>	<i>605.7</i>	<i>702.3</i>	<i>92.9</i>	<i>584.4</i>	<i>677.3</i>	<i>-3.7</i>	<i>-21.3</i>	<i>-25.0</i>
Total	1,467.6	3,183.3	4,650.9	1,462.3	3,216.0	4,678.3	-5.3	32.7	27.4

Table 10: Sub-programme 2210: Office of the Head

2210 Office of the Head	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	723.8	899.1	1,622.9	727.2	900.0	1,627.2	3.4	0.9	4.3
General Service staff	123.8	0.0	123.8	122.4	0.0	122.4	-1.4	0.0	-1.4
<i>Subtotal staff</i>	<i>847.6</i>	<i>899.1</i>	<i>1,746.7</i>	<i>849.6</i>	<i>900.0</i>	<i>1,749.6</i>	<i>2.0</i>	<i>0.9</i>	<i>2.9</i>
General temporary assistance	0.0	74.0	74.0	0.0	70.3	70.3	0.0	-3.7	-3.7
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>74.0</i>	<i>74.0</i>	<i>0.0</i>	<i>70.3</i>	<i>70.3</i>	<i>0.0</i>	<i>-3.7</i>	<i>-3.7</i>
Travel	134.6	271.6	406.2	127.5	257.2	384.7	-7.1	-14.4	-21.5
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>134.6</i>	<i>271.6</i>	<i>406.2</i>	<i>127.5</i>	<i>257.2</i>	<i>384.7</i>	<i>-7.1</i>	<i>-14.4</i>	<i>-21.5</i>
Total	982.2	1,244.7	2,226.9	977.1	1,227.5	2,204.6	-5.1	-17.2	-22.3

Table 11: Sub-programme 2320: Planning and Operations Section

2320 Planning and Operations Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	280.9	3,178.3	3,459.2	285.1	3,070.5	3,355.6	4.2	-107.8	-103.6
General Service staff	123.8	1,454.6	1,578.4	122.4	1,468.8	1,591.2	-1.4	14.2	12.8
<i>Subtotal staff</i>	<i>404.7</i>	<i>4,632.9</i>	<i>5,037.6</i>	<i>407.5</i>	<i>4,539.3</i>	<i>4,946.8</i>	<i>2.8</i>	<i>-93.6</i>	<i>-90.8</i>
General temporary assistance	0.0	1,671.4	1,671.4	0.0	1,642.5	1,642.5	0.0	-28.9	-28.9
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>1,671.4</i>	<i>1,671.4</i>	<i>0.0</i>	<i>1,642.5</i>	<i>1,642.5</i>	<i>0.0</i>	<i>-28.9</i>	<i>-28.9</i>
Travel	0.0	346.4	346.4	0.0	328.0	328.0	0.0	-18.4	-18.4
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	60.0	60.0	0.0	60.0	60.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>0.0</i>	<i>406.4</i>	<i>406.4</i>	<i>0.0</i>	<i>388.0</i>	<i>388.0</i>	<i>0.0</i>	<i>-18.4</i>	<i>-18.4</i>
Total	404.7	6,710.7	7,115.4	407.5	6,569.8	6,977.3	2.8	-140.9	-138.1

Table 12: Sub-programme 2330: Investigation Teams

2330 Investigation Teams	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	0.0	4,368.8	4,368.8	0.0	4,424.6	4,424.6	0.0	55.8	55.8
General Service staff	0.0	371.4	371.4	0.0	367.2	367.2	0.0	-4.2	-4.2
<i>Subtotal staff</i>	<i>0.0</i>	<i>4,740.2</i>	<i>4,740.2</i>	<i>0.0</i>	<i>4,791.8</i>	<i>4,791.8</i>	<i>0.0</i>	<i>51.6</i>	<i>51.6</i>
General temporary assistance	0.0	170.8	170.8	0.0	170.6	170.6	0.0	-0.2	-0.2
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>170.8</i>	<i>170.8</i>	<i>0.0</i>	<i>170.6</i>	<i>170.6</i>	<i>0.0</i>	<i>-0.2</i>	<i>-0.2</i>
Travel	0.0	645.2	645.2	0.0	611.0	611.0	0.0	-34.2	-34.2
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	339.6	339.6	0.0	331.1	331.1	0.0	-8.5	-8.5
Supplies and materials	0.0	20.0	20.0	0.0	19.0	19.0	0.0	-1.0	-1.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>0.0</i>	<i>1,004.8</i>	<i>1,004.8</i>	<i>0.0</i>	<i>961.1</i>	<i>961.1</i>	<i>0.0</i>	<i>-43.7</i>	<i>-43.7</i>
Total	0.0	5,915.8	5,915.8	0.0	5,923.5	5,923.5	0.0	7.7	7.7

Table 13: Sub-programme 2410: Deputy Prosecutor for Prosecutions

2410 <i>Deputy Prosecutor for Prosecutions</i>	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	642.1	2,957.2	3,599.3	644.0	2,955.1	3,599.1	1.9	-2.1	-0.2
General Service staff	123.8	433.3	557.1	122.4	428.4	550.8	-1.4	-4.9	-6.3
<i>Subtotal staff</i>	<i>765.9</i>	<i>3,390.5</i>	<i>4,156.4</i>	<i>766.4</i>	<i>3,383.5</i>	<i>4,149.9</i>	<i>0.5</i>	<i>-7.0</i>	<i>-6.5</i>
General temporary assistance	0.0	758.9	758.9	0.0	747.3	747.3	0.0	-11.6	-11.6
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>758.9</i>	<i>758.9</i>	<i>0.0</i>	<i>747.3</i>	<i>747.3</i>	<i>0.0</i>	<i>-11.6</i>	<i>-11.6</i>
Travel	30.6	176.3	206.9	29.0	167.0	196.0	-1.6	-9.3	-10.9
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>30.6</i>	<i>176.3</i>	<i>206.9</i>	<i>29.0</i>	<i>167.0</i>	<i>196.0</i>	<i>-1.6</i>	<i>-9.3</i>	<i>-10.9</i>
Total	796.5	4,325.7	5,122.2	795.4	4,297.8	5,093.2	-1.1	-27.9	-29.0

Table 14: Major Programme III: Registry

<i>Programme III Registry</i>	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	11,571.2	8,464.0	20,035.2	11,116.8	8,253.8	19,370.6	-454.4	-210.2	-664.6
General Service staff	8,494.8	6,333.1	14,827.9	8,490.3	6,309.2	14,799.5	-4.5	-23.9	-28.4
<i>Subtotal staff</i>	<i>20,066.0</i>	<i>14,797.1</i>	<i>34,863.1</i>	<i>19,607.1</i>	<i>14,562.9</i>	<i>34,170.1</i>	<i>-458.9</i>	<i>-234.2</i>	<i>-693.0</i>
General temporary assistance	1,629.9	3,154.3	4,784.2	1,629.9	2,237.9	3,867.8	0.0	-916.4	-916.4
Temporary assistance for meetings	435.7	292.5	728.2	435.7	292.5	728.2	0.0	0.0	0.0
Overtime	235.9	155.3	391.2	235.9	155.3	391.2	0.0	0.0	0.0
Consultants	142.9	189.7	332.6	142.9	189.7	332.6	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>2,444.4</i>	<i>3,791.8</i>	<i>6,236.2</i>	<i>2,444.4</i>	<i>2,875.4</i>	<i>5,319.8</i>	<i>0.0</i>	<i>-916.4</i>	<i>-916.4</i>
Travel	277.4	1,787.3	2,064.7	254.4	1,564.2	1,818.6	-23.0	-223.1	-246.1
Hospitality	10.0	0.0	10.0	10.0	0.0	10.0	0.0	0.0	0.0
Contractual services	1,292.7	1,277.3	2,570.0	1,292.7	1,277.3	2,570.0	0.0	0.0	0.0
Training	403.5	403.4	806.9	403.5	403.4	806.9	0.0	0.0	0.0
Counsel	0.0	2,711.2	2,711.2	0.0	2,711.2	2,711.2	0.0	0.0	0.0
General operating expenses	6,310.8	5,851.2	12,162.0	6,339.3	5,851.2	12,190.5	28.5	0.0	28.5
Supplies and materials	797.4	394.6	1,192.0	757.5	374.9	1,132.4	-39.9	-19.7	-59.6
Furniture and equipment	547.5	372.9	920.4	547.5	372.9	920.4	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>9,639.3</i>	<i>12,797.9</i>	<i>22,437.2</i>	<i>9,605.0</i>	<i>12,555.1</i>	<i>22,160.0</i>	<i>-34.3</i>	<i>-242.8</i>	<i>-277.2</i>
Total	32,149.7	31,386.8	63,536.5	31,656.5	29,993.4	61,649.9	-493.2	-1,393.4	-1,886.6

Table 15: Programme 3100: Office of the Registrar

3100 Office of the Registrar	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	3,370.2	2,725.4	6,095.6	3,215.5	2,534.7	5,750.2	-154.7	-190.7	-345.4
General Service staff	2,699.4	2,083.1	4,782.5	2,694.9	2,081.6	4,776.5	-4.5	-1.5	-6.0
<i>Subtotal staff</i>	<i>6,069.6</i>	<i>4,808.5</i>	<i>10,878.1</i>	<i>5,910.4</i>	<i>4,616.3</i>	<i>10,526.7</i>	<i>-159.2</i>	<i>-192.2</i>	<i>-351.4</i>
General temporary assistance	1,185.9	405.6	1,591.5	1,185.9	204.0	1,389.9	0.0	-201.6	-201.6
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	124.4	86.4	210.8	124.4	86.4	210.8	0.0	0.0	0.0
Consultants	90.0	0.0	90.0	90.0	0.0	90.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>1,400.3</i>	<i>492.0</i>	<i>1,892.3</i>	<i>1,400.3</i>	<i>290.4</i>	<i>1,690.7</i>	<i>0.0</i>	<i>-201.6</i>	<i>-201.6</i>
Travel	83.3	530.0	613.3	76.4	486.0	562.4	-6.9	-44.0	-50.9
Hospitality	10.0	0.0	10.0	10.0	0.0	10.0	0.0	0.0	0.0
Contractual services	280.3	315.1	595.4	280.3	315.1	595.4	0.0	0.0	0.0
Training	154.8	136.9	291.7	154.8	136.9	291.7	0.0	0.0	0.0
Counsel	0.0	2,711.2	2,711.2	0.0	2,711.2	2,711.2	0.0	0.0	0.0
General operating expenses	198.0	647.3	845.3	198.0	647.3	845.3	0.0	0.0	0.0
Supplies and materials	84.0	268.8	352.8	79.8	255.4	335.2	-4.2	-13.4	-17.6
Furniture and equipment	11.0	163.8	174.8	11.0	163.8	174.8	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>821.4</i>	<i>4,773.1</i>	<i>5,594.5</i>	<i>810.3</i>	<i>4,715.7</i>	<i>5,526.0</i>	<i>-11.1</i>	<i>-57.4</i>	<i>-68.5</i>
Total	8,291.3	10,073.6	18,364.9	8,121.0	9,622.4	17,743.4	-170.3	-451.2	-621.5

Table 16: Sub-programme 3110: Immediate Office of the Registrar

3110 <i>Immediate Office of the Registrar</i>	<i>Proposed Budget 2011</i> <i>Before CBF recommendations</i>			<i>Proposed Budget 2011</i> <i>After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	902.7	0.0	902.7	759.7	0.0	759.7	-143.1	0.0	-143.1
General Service staff	198.3	0.0	198.3	198.3	0.0	198.3	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>1,101.0</i>	<i>0.0</i>	<i>1,101.0</i>	<i>958.0</i>	<i>0.0</i>	<i>958.0</i>	<i>-143.1</i>	<i>0.0</i>	<i>-143.1</i>
General temporary assistance	95.2	0.0	95.2	95.2	0.0	95.2	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>95.2</i>	<i>0.0</i>	<i>95.2</i>	<i>95.2</i>	<i>0.0</i>	<i>95.2</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	28.0	18.6	46.6	25.7	17.1	42.7	-2.3	-1.5	-3.9
Hospitality	10.0	0.0	10.0	10.0	0.0	10.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>38.0</i>	<i>18.6</i>	<i>56.6</i>	<i>35.7</i>	<i>17.1</i>	<i>52.7</i>	<i>-2.3</i>	<i>-1.5</i>	<i>-3.9</i>
Total	1,234.2	18.6	1,252.8	1,088.8	17.1	1,105.9	-145.4	-1.5	-146.9

Table 17: Sub-programme 3120: Office of Internal Audit

3120 Office of Internal Audit	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	371.9	0.0	371.9	368.5	0.0	368.5	-3.4	0.0	-3.4
General Service staff	60.6	0.0	60.6	60.6	0.0	60.6	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>432.5</i>	<i>0.0</i>	<i>432.5</i>	<i>429.1</i>	<i>0.0</i>	<i>429.1</i>	<i>-3.4</i>	<i>0.0</i>	<i>-3.4</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	90.0	0.0	90.0	90.0	0.0	90.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>90.0</i>	<i>0.0</i>	<i>90.0</i>	<i>90.0</i>	<i>0.0</i>	<i>90.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	9.1	22.2	31.3	8.3	20.4	28.7	-0.8	-1.8	-2.6
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	20.0	0.0	20.0	20.0	0.0	20.0	0.0	0.0	0.0
Training	20.6	0.0	20.6	20.6	0.0	20.6	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>49.7</i>	<i>22.2</i>	<i>71.9</i>	<i>48.9</i>	<i>20.4</i>	<i>69.3</i>	<i>-0.8</i>	<i>-1.8</i>	<i>-2.6</i>
Total	572.2	22.2	594.4	568.0	20.4	588.4	-4.2	-1.8	-6.0

Table 18: Sub-programme 3130: Legal Advisory Services Section

3130 Legal Advisory Services Section	<i>Proposed Budget 2011</i> <i>Before CBF recommendations</i>			<i>Proposed Budget 2011</i> <i>After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	549.1	0.0	549.1	548.2	0.0	548.2	-0.9	0.0	-0.9
General Service staff	121.2	0.0	121.2	121.2	0.0	121.2	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>670.3</i>	<i>0.0</i>	<i>670.3</i>	<i>669.4</i>	<i>0.0</i>	<i>669.4</i>	<i>-0.9</i>	<i>0.0</i>	<i>-0.9</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	1.7	15.4	17.1	1.6	14.1	15.7	-0.1	-1.3	-1.4
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	15.0	0.0	15.0	15.0	0.0	15.0	0.0	0.0	0.0
Training	10.9	0.0	10.9	10.9	0.0	10.9	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>27.6</i>	<i>15.4</i>	<i>43.0</i>	<i>27.5</i>	<i>14.1</i>	<i>41.6</i>	<i>-0.1</i>	<i>-1.3</i>	<i>-1.4</i>
Total	697.9	15.4	713.3	696.8	14.1	711.0	-1.1	-1.3	-2.3

Table 19: Sub-programme 3140: Security and Safety Section

3140 Security and Safety Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	549.1	533.7	1,082.8	542.2	516.4	1,058.7	-6.9	-17.3	-24.1
General Service staff	2,076.9	1,534.1	3,611.0	2,072.4	1,507.2	3,579.6	-4.5	-26.9	-31.4
<i>Subtotal staff</i>	<i>2,626.0</i>	<i>2,067.8</i>	<i>4,693.8</i>	<i>2,614.6</i>	<i>2,023.6</i>	<i>4,638.3</i>	<i>-11.4</i>	<i>-44.2</i>	<i>-55.5</i>
General temporary assistance	1,090.7	336.0	1,426.7	1,090.7	134.4	1,225.1	0.0	-201.6	-201.6
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	124.4	86.4	210.8	124.4	86.4	210.8	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>1,215.1</i>	<i>422.4</i>	<i>1,637.5</i>	<i>1,215.1</i>	<i>220.8</i>	<i>1,435.9</i>	<i>0.0</i>	<i>-201.6</i>	<i>-201.6</i>
Travel	15.1	276.9	292.0	13.8	253.9	267.8	-1.3	-23.0	-24.2
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	73.5	255.0	328.5	73.5	255.0	328.5	0.0	0.0	0.0
Training	118.5	78.7	197.2	118.5	78.7	197.2	0.0	0.0	0.0
General operating expenses	193.0	69.5	262.5	193.0	69.5	262.5	0.0	0.0	0.0
Supplies and materials	84.0	50.5	134.5	79.8	48.0	127.8	-4.2	-2.5	-6.7
Furniture and equipment	1.0	0.0	1.0	1.0	0.0	1.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>485.1</i>	<i>730.6</i>	<i>1,215.7</i>	<i>479.6</i>	<i>705.1</i>	<i>1,184.7</i>	<i>-5.5</i>	<i>-25.5</i>	<i>-31.0</i>
Total	4,326.2	3,220.8	7,547.0	4,309.4	2,949.5	7,258.9	-16.8	-271.3	-288.1

Table 20: Sub-programme 3160: Registry Permanent Premises Office

3160 Registry Permanent Premises Office	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	220.4	0.0	220.4	220.4	0.0	220.4	0.0	0.0	0.0
General Service staff	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>220.4</i>	<i>0.0</i>	<i>220.4</i>	<i>220.4</i>	<i>0.0</i>	<i>220.4</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	13.5	0.0	13.5	12.4	0.0	12.4	-1.1	0.0	-1.1
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	171.8	0.0	171.8	171.8	0.0	171.8	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	5.0	0.0	5.0	5.0	0.0	5.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	10.0	0.0	10.0	10.0	0.0	10.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>200.3</i>	<i>0.0</i>	<i>200.3</i>	<i>199.2</i>	<i>0.0</i>	<i>199.2</i>	<i>-1.1</i>	<i>0.0</i>	<i>-1.1</i>
Total	420.7	0.0	420.7	419.6	0.0	419.6	-1.1	0.0	-1.1

Table 21: Sub-programme 3180: Field Operations Section

3180 Field Operations Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	0.0	1,052.5	1,052.5	0.0	881.0	881.0	0.0	-171.5	-171.5
General Service staff	0.0	488.4	488.4	0.0	513.8	513.8	0.0	25.4	25.4
<i>Subtotal staff</i>	<i>0.0</i>	<i>1,540.9</i>	<i>1,540.9</i>	<i>0.0</i>	<i>1,394.8</i>	<i>1,394.8</i>	<i>0.0</i>	<i>-146.1</i>	<i>-146.1</i>
General temporary assistance	0.0	69.6	69.6	0.0	69.6	69.6	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>69.6</i>	<i>69.6</i>	<i>0.0</i>	<i>69.6</i>	<i>69.6</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	0.0	132.0	132.0	0.0	121.0	121.0	0.0	-11.0	-11.0
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	8.1	8.1	0.0	8.1	8.1	0.0	0.0	0.0
Training	0.0	58.2	58.2	0.0	58.2	58.2	0.0	0.0	0.0
General operating expenses	0.0	567.8	567.8	0.0	567.8	567.8	0.0	0.0	0.0
Supplies and materials	0.0	218.3	218.3	0.0	207.4	207.4	0.0	-10.9	-10.9
Furniture and equipment	0.0	163.8	163.8	0.0	163.8	163.8	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>0.0</i>	<i>1,148.2</i>	<i>1,148.2</i>	<i>0.0</i>	<i>1,126.3</i>	<i>1,126.3</i>	<i>0.0</i>	<i>-21.9</i>	<i>-21.9</i>
Total	0.0	2,758.7	2,758.7	0.0	2,590.7	2,590.7	0.0	-168.0	-168.0

Table 22: Sub-programme 3190: Counsel Support Section

3190 Counsel Support Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	416.5	196.1	612.6	416.0	195.6	611.7	-0.5	-0.5	-0.9
General Service staff	121.2	60.6	181.8	121.2	60.6	181.8	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>537.7</i>	<i>256.7</i>	<i>794.4</i>	<i>537.2</i>	<i>256.2</i>	<i>793.5</i>	<i>-0.5</i>	<i>-0.5</i>	<i>-0.9</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	8.8	0.0	8.8	8.1	0.0	8.1	-0.7	0.0	-0.7
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	2.0	2.0	0.0	2.0	2.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Counsel	0.0	2,711.2	2,711.2	0.0	2,711.2	2,711.2	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>8.8</i>	<i>2,713.2</i>	<i>2,722.0</i>	<i>8.1</i>	<i>2,713.2</i>	<i>2,721.3</i>	<i>-0.7</i>	<i>0.0</i>	<i>-0.7</i>
Total	546.5	2,969.9	3,516.4	545.3	2,969.4	3,514.8	-1.2	-0.5	-1.6

Table 23: Sub-programme 3191: Office of the Public Counsel for Defence

3191 Office of the Public Counsel for Defence	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	140.1	276.4	416.5	140.1	275.9	416.0	0.0	-0.5	-0.5
General Service staff	60.6	0.0	60.6	60.6	0.0	60.6	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>200.7</i>	<i>276.4</i>	<i>477.1</i>	<i>200.7</i>	<i>275.9</i>	<i>476.6</i>	<i>0.0</i>	<i>-0.5</i>	<i>-0.5</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	2.3	16.0	18.3	2.1	14.7	16.8	-0.2	-1.3	-1.5
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	20.0	20.0	0.0	20.0	20.0	0.0	0.0	0.0
Training	4.8	0.0	4.8	4.8	0.0	4.8	0.0	0.0	0.0
General operating expenses	0.0	4.0	4.0	0.0	4.0	4.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>7.1</i>	<i>40.0</i>	<i>47.1</i>	<i>6.9</i>	<i>38.7</i>	<i>45.6</i>	<i>-0.2</i>	<i>-1.3</i>	<i>-1.5</i>
Total	207.8	316.4	524.2	207.6	314.6	522.2	-0.2	-1.8	-2.0

Table 24: Sub-programme 3192: Office of the Public Counsel for Victims

3192 <i>Office of the Public Counsel for Victims</i>	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	220.4	666.7	887.1	220.4	665.8	886.2	0.0	-0.9	-0.9
General Service staff	60.6	0.0	60.6	60.6	0.0	60.6	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>281.0</i>	<i>666.7</i>	<i>947.7</i>	<i>281.0</i>	<i>665.8</i>	<i>946.8</i>	<i>0.0</i>	<i>-0.9</i>	<i>-0.9</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	4.8	48.9	53.7	4.4	44.8	49.2	-0.4	-4.1	-4.5
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	30.0	30.0	0.0	30.0	30.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	6.0	6.0	0.0	6.0	6.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>4.8</i>	<i>84.9</i>	<i>89.7</i>	<i>4.4</i>	<i>80.8</i>	<i>85.2</i>	<i>-0.4</i>	<i>-4.1</i>	<i>-4.5</i>
Total	285.8	751.6	1,037.4	285.4	746.6	1,032.0	-0.4	-5.0	-5.4

Table 25: Programme 3200: Common Administrative Services Division

3200 Common Administrative Services Division	Proposed Budget 2011 Before CBF recommendations			Proposed Budget 2011 After CBF recommendations			Difference		
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total
Professional staff	3,494.4	522.9	4,017.3	3,351.0	522.0	3,873.0	-143.4	-0.9	-144.3
General Service staff	4,704.6	1,760.2	6,464.8	4,704.6	1,755.7	6,460.3	0.0	-4.5	-4.5
<i>Subtotal staff</i>	<i>8,199.0</i>	<i>2,283.1</i>	<i>10,482.1</i>	<i>8,055.6</i>	<i>2,277.7</i>	<i>10,333.3</i>	<i>-143.4</i>	<i>-5.4</i>	<i>-148.8</i>
General temporary assistance	336.0	268.8	604.8	336.0	168.0	504.0	0.0	-100.8	-100.8
Temporary assistance for meetings	20.0	0.0	20.0	20.0	0.0	20.0	0.0	0.0	0.0
Overtime	111.5	0.0	111.5	111.5	0.0	111.5	0.0	0.0	0.0
Consultants	20.0	0.0	20.0	20.0	0.0	20.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>487.5</i>	<i>268.8</i>	<i>756.3</i>	<i>487.5</i>	<i>168.0</i>	<i>655.5</i>	<i>0.0</i>	<i>-100.8</i>	<i>-100.8</i>
Travel	99.9	85.6	185.5	91.6	78.5	170.1	-8.3	-7.1	-15.4
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	405.5	60.0	465.5	405.5	60.0	465.5	0.0	0.0	0.0
Training	225.0	162.4	387.4	225.0	162.4	387.4	0.0	0.0	0.0
General operating expenses	4,221.1	2,927.5	7,148.6	4,553.7	2,927.5	7,481.2	332.6	0.0	332.6
Supplies and materials	552.9	10.0	562.9	525.3	9.5	534.8	-27.6	-0.5	-28.1
Furniture and equipment	505.0	181.1	686.1	505.0	181.1	686.1	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>6,009.4</i>	<i>3,426.6</i>	<i>9,436.0</i>	<i>6,306.1</i>	<i>3,419.0</i>	<i>9,725.1</i>	<i>296.7</i>	<i>-7.6</i>	<i>289.1</i>
Total	14,695.9	5,978.5	20,674.4	14,849.2	5,864.7	20,713.9	153.3	-113.8	39.5

Table 26: Sub-programme 3210: Office of the Director CASD

3210 Office of the Director CASD	Proposed Budget 2011 Before CBF recommendations			Proposed Budget 2011 After CBF recommendations			Difference		
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total
Professional staff	353.2	0.0	353.2	350.3	0.0	350.3	-2.9	0.0	-2.9
General Service staff	60.6	0.0	60.6	60.6	0.0	60.6	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>413.8</i>	<i>0.0</i>	<i>413.8</i>	<i>410.9</i>	<i>0.0</i>	<i>410.9</i>	<i>-2.9</i>	<i>0.0</i>	<i>-2.9</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	15.3	8.1	23.4	14.0	7.4	21.5	-1.3	-0.7	-1.9
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	20.0	0.0	20.0	20.0	0.0	20.0	0.0	0.0	0.0
Training	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>35.3</i>	<i>8.1</i>	<i>43.4</i>	<i>34.0</i>	<i>7.4</i>	<i>41.5</i>	<i>-1.3</i>	<i>-0.7</i>	<i>-2.0</i>
Total	449.1	8.1	457.2	444.9	7.4	452.3	-4.2	-0.7	-4.9

Table 27: Sub-programme 3220: Human Resources Section

3220 Human Resources Section	Proposed Budget 2011 Before CBF recommendations			Proposed Budget 2011 After CBF recommendations			Difference		
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total
Professional staff	760.1	0.0	760.1	627.0	0.0	627.0	-133.1	0.0	-133.1
General Service staff	760.2	181.8	942.0	760.2	181.8	942.0	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>1,520.3</i>	<i>181.8</i>	<i>1,702.1</i>	<i>1,387.2</i>	<i>181.8</i>	<i>1,569.0</i>	<i>-133.1</i>	<i>0.0</i>	<i>-133.1</i>
General temporary assistance	201.6	0.0	201.6	201.6	0.0	201.6	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	20.0	0.0	20.0	20.0	0.0	20.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>221.6</i>	<i>0.0</i>	<i>221.6</i>	<i>221.6</i>	<i>0.0</i>	<i>221.6</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	15.2	16.7	31.9	13.9	15.3	29.3	-1.3	-1.4	-2.6
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	11.5	0.0	11.5	11.5	0.0	11.5	0.0	0.0	0.0
Training	147.6	162.4	310.0	147.6	162.4	310.0	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	52.0	0.0	52.0	49.4	0.0	49.4	-2.6	0.0	-2.6
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>226.3</i>	<i>179.1</i>	<i>405.4</i>	<i>222.4</i>	<i>177.7</i>	<i>400.2</i>	<i>-3.9</i>	<i>-1.4</i>	<i>-5.2</i>
Total	1,968.2	360.9	2,329.1	1,831.3	359.5	2,190.8	-136.9	-1.4	-138.3

Table 28: Sub-programme 3240: Budget and Finance Section

3240 Budget and Finance Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	707.8	0.0	707.8	707.3	0.0	707.3	-0.5	0.0	-0.5
General Service staff	727.2	319.5	1,046.7	727.2	319.5	1,046.7	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>1,435.0</i>	<i>319.5</i>	<i>1,754.5</i>	<i>1,434.5</i>	<i>319.5</i>	<i>1,754.0</i>	<i>-0.5</i>	<i>0.0</i>	<i>-0.5</i>
General temporary assistance	67.2	0.0	67.2	67.2	0.0	67.2	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	5.0	0.0	5.0	5.0	0.0	5.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>72.2</i>	<i>0.0</i>	<i>72.2</i>	<i>72.2</i>	<i>0.0</i>	<i>72.2</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	26.0	0.0	26.0	23.8	0.0	23.8	-2.2	0.0	-2.2
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	64.0	0.0	64.0	64.0	0.0	64.0	0.0	0.0	0.0
Training	12.5	0.0	12.5	12.5	0.0	12.5	0.0	0.0	0.0
General operating expenses	100.0	0.0	100.0	432.6	0.0	432.6	332.6	0.0	332.6
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>202.5</i>	<i>0.0</i>	<i>202.5</i>	<i>532.9</i>	<i>0.0</i>	<i>532.9</i>	<i>-2.2</i>	<i>0.0</i>	<i>-2.2</i>
Total	1,709.7	319.5	2,029.2	2,039.7	319.5	2,359.2	330.0	0.0	330.0

Table 29: Sub-programme 3250: General Services Section

3250 General Services Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	610.7	0.0	610.7	604.3	0.0	604.3	-6.4	0.0	-6.4
General Service staff	1,928.1	303.0	2,231.1	1,928.1	303.0	2,231.1	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>2,538.8</i>	<i>303.0</i>	<i>2,841.8</i>	<i>2,532.4</i>	<i>303.0</i>	<i>2,835.4</i>	<i>-6.4</i>	<i>0.0</i>	<i>-6.4</i>
General temporary assistance	67.2	67.2	134.4	67.2	0.0	67.2	0.0	-67.2	-67.2
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	76.5	0.0	76.5	76.5	0.0	76.5	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>143.7</i>	<i>67.2</i>	<i>210.9</i>	<i>143.7</i>	<i>0.0</i>	<i>143.7</i>	<i>0.0</i>	<i>-67.2</i>	<i>-67.2</i>
Travel	16.6	0.0	16.6	15.2	0.0	15.2	-1.4	0.0	-1.4
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	41.0	0.0	41.0	41.0	0.0	41.0	0.0	0.0	0.0
Training	24.0	0.0	24.0	24.0	0.0	24.0	0.0	0.0	0.0
General operating expenses	2,592.7	101.0	2,693.7	2,592.7	101.0	2,693.7	0.0	0.0	0.0
Supplies and materials	290.9	0.0	290.9	276.4	0.0	276.4	-14.5	0.0	-14.5
Furniture and equipment	139.6	0.0	139.6	139.6	0.0	139.6	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>3,104.8</i>	<i>101.0</i>	<i>3,205.8</i>	<i>3,088.9</i>	<i>101.0</i>	<i>3,189.9</i>	<i>-15.9</i>	<i>0.0</i>	<i>-15.9</i>
Total	5,787.3	471.2	6,258.5	5,765.0	404.0	6,169.0	-22.3	-67.2	-89.5

Table 30: Sub-programme 3260: Information and Communication Technologies Section

3260 Information and Communication Technologies Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	1,062.6	522.9	1,585.5	1,062.1	522.0	1,584.1	-0.5	-0.9	-1.4
General Service staff	1,228.5	955.9	2,184.4	1,228.5	951.4	2,179.9	0.0	-4.5	-4.5
<i>Subtotal staff</i>	<i>2,291.1</i>	<i>1,478.8</i>	<i>3,769.9</i>	<i>2,290.6</i>	<i>1,473.4</i>	<i>3,764.0</i>	<i>-0.5</i>	<i>-5.4</i>	<i>-5.9</i>
General temporary assistance	0.0	201.6	201.6	0.0	168.0	168.0	0.0	-33.6	-33.6
Temporary assistance for meetings	20.0	0.0	20.0	20.0	0.0	20.0	0.0	0.0	0.0
Overtime	30.0	0.0	30.0	30.0	0.0	30.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>50.0</i>	<i>201.6</i>	<i>251.6</i>	<i>50.0</i>	<i>168.0</i>	<i>218.0</i>	<i>0.0</i>	<i>-33.6</i>	<i>-33.6</i>
Travel	26.8	60.8	87.6	24.6	55.8	80.3	-2.2	-5.0	-7.3
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	269.0	60.0	329.0	269.0	60.0	329.0	0.0	0.0	0.0
Training	40.9	0.0	40.9	40.9	0.0	40.9	0.0	0.0	0.0
General operating expenses	1,528.4	2,826.5	4,354.9	1,528.4	2,826.5	4,354.9	0.0	0.0	0.0
Supplies and materials	210.0	10.0	220.0	199.5	9.5	209.0	-10.5	-0.5	-11.0
Furniture and equipment	365.4	181.1	546.5	365.4	181.1	546.5	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>2,440.5</i>	<i>3,138.4</i>	<i>5,578.9</i>	<i>2,427.8</i>	<i>3,132.9</i>	<i>5,560.6</i>	<i>-12.7</i>	<i>-5.5</i>	<i>-18.3</i>
Total	4,781.6	4,818.8	9,600.4	4,768.4	4,774.2	9,542.7	-13.2	-44.6	-57.7

Table 31: Programme 3300: Division of Court Services

3300 Division of Court Services	Proposed Budget 2011 Before CBF recommendations			Proposed Budget 2011 After CBF recommendations			Difference		
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total
Professional staff	3,881.1	4,694.5	8,575.6	3,726.2	4,675.8	8,402.0	-154.9	-18.7	-173.6
General Service staff	606.0	2,282.9	2,888.9	606.0	2,269.5	2,875.5	0.0	-13.4	-13.4
<i>Subtotal staff</i>	<i>4,487.1</i>	<i>6,977.4</i>	<i>11,464.5</i>	<i>4,332.2</i>	<i>6,945.3</i>	<i>11,277.5</i>	<i>-154.9</i>	<i>-32.1</i>	<i>-187.0</i>
General temporary assistance	0.0	2,278.3	2,278.3	0.0	1,697.9	1,697.9	0.0	-580.4	-580.4
Temporary assistance for meetings	415.7	292.5	708.2	415.7	292.5	708.2	0.0	0.0	0.0
Overtime	0.0	68.9	68.9	0.0	68.9	68.9	0.0	0.0	0.0
Consultants	32.9	189.7	222.6	32.9	189.7	222.6	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>448.6</i>	<i>2,829.4</i>	<i>3,278.0</i>	<i>448.6</i>	<i>2,249.0</i>	<i>2,697.6</i>	<i>0.0</i>	<i>-580.4</i>	<i>-580.4</i>
Travel	63.0	1,110.0	1,173.0	57.8	943.1	1,000.9	-5.2	-166.9	-172.1
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	360.9	303.3	664.2	360.9	303.3	664.2	0.0	0.0	0.0
Training	19.9	104.1	124.0	19.9	104.1	124.0	0.0	0.0	0.0
Counsel	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	1,809.7	2,262.9	4,072.6	1,505.6	2,262.9	3,768.5	-304.1	0.0	-304.1
Supplies and materials	30.5	115.8	146.3	29.0	110.0	139.0	-1.5	-5.8	-7.3
Furniture and equipment	31.5	28.0	59.5	31.5	28.0	59.5	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>2,315.5</i>	<i>3,924.1</i>	<i>6,239.6</i>	<i>2,004.6</i>	<i>3,751.4</i>	<i>5,756.1</i>	<i>-310.9</i>	<i>-172.7</i>	<i>-483.5</i>
Total	7,251.2	13,730.9	20,982.1	6,785.4	12,945.7	19,731.2	-465.8	-785.2	-1,250.9

Table 32: Sub-programme 3310: Office of the Director DCS

3310 Office of the Director DCS	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	159.0	274.5	433.5	156.1	274.5	430.6	-2.9	0.0	-2.9
General Service staff	60.6	0.0	60.6	60.6	0.0	60.6	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>219.6</i>	<i>274.5</i>	<i>494.1</i>	<i>216.7</i>	<i>274.5</i>	<i>491.2</i>	<i>-2.9</i>	<i>0.0</i>	<i>-3.0</i>
General temporary assistance	0.0	122.4	122.4	0.0	122.4	122.4	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	13.9	68.0	81.9	13.9	68.0	81.9	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>13.9</i>	<i>190.4</i>	<i>204.3</i>	<i>13.9</i>	<i>190.4</i>	<i>204.3</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	6.9	48.6	55.5	6.3	44.6	50.9	-0.6	-4.0	-4.6
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	18.4	0.0	18.4	18.4	0.0	18.4	0.0	0.0	0.0
General operating expenses	0.0	5.0	5.0	0.0	5.0	5.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>25.3</i>	<i>53.6</i>	<i>78.9</i>	<i>24.7</i>	<i>49.6</i>	<i>74.3</i>	<i>-0.6</i>	<i>-4.0</i>	<i>-4.6</i>
Total	258.8	518.5	777.3	255.3	514.5	769.7	-3.5	-4.0	-7.6

Table 33: Sub-programme 3320: Court Management Section

3320 Court Management Section	Proposed Budget 2011 Before CBF recommendations			Proposed Budget 2011 After CBF recommendations			Difference		
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total
Professional staff	397.8	739.5	1,137.3	397.8	739.5	1,137.3	0.0	0.0	0.0
General Service staff	121.2	683.1	804.3	121.2	683.1	804.3	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>519.0</i>	<i>1,422.6</i>	<i>1,941.6</i>	<i>519.0</i>	<i>1,422.6</i>	<i>1,941.6</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
General temporary assistance	0.0	535.2	535.2	0.0	430.8	430.8	0.0	-104.4	-104.4
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	27.5	27.5	0.0	27.5	27.5	0.0	0.0	0.0
Consultants	11.0	30.0	41.0	11.0	30.0	41.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>11.0</i>	<i>592.7</i>	<i>603.7</i>	<i>11.0</i>	<i>488.3</i>	<i>499.3</i>	<i>0.0</i>	<i>-104.4</i>	<i>-104.4</i>
Travel	0.0	37.4	37.4	0.0	34.3	34.3	0.0	-3.1	-3.1
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	154.5	154.5	0.0	154.5	154.5	0.0	0.0	0.0
Training	0.0	37.4	37.4	0.0	37.4	37.4	0.0	0.0	0.0
General operating expenses	7.8	0.0	7.8	7.8	0.0	7.8	0.0	0.0	0.0
Supplies and materials	13.0	96.0	109.0	12.4	91.2	103.6	-0.7	-4.8	-5.5
Furniture and equipment	25.5	28.0	53.5	25.5	28.0	53.5	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>46.3</i>	<i>353.3</i>	<i>399.6</i>	<i>45.7</i>	<i>345.4</i>	<i>391.0</i>	<i>-0.6</i>	<i>-7.9</i>	<i>-8.6</i>
Total	576.3	2,368.6	2,944.9	575.7	2,256.3	2,831.9	-0.6	-112.3	-113.0

Table 34: Sub-programme 3330: Detention Section

3330 Detention Section	Proposed Budget 2011			Proposed Budget 2011			Difference		
	Before CBF recommendations			After CBF recommendations					
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total
Professional staff	196.1	80.3	276.4	195.6	80.3	275.9	-0.5	0.0	-0.5
General Service staff	60.6	60.6	121.2	60.6	60.6	121.2	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>256.7</i>	<i>140.9</i>	<i>397.6</i>	<i>256.2</i>	<i>140.9</i>	<i>397.1</i>	<i>-0.5</i>	<i>0.0</i>	<i>-0.5</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	6.0	6.0	0.0	6.0	6.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>6.0</i>	<i>6.0</i>	<i>0.0</i>	<i>6.0</i>	<i>6.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	3.0	81.5	84.5	2.8	0.0	2.8	-0.2	-81.5	-81.7
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	2.1	2.1	0.0	2.1	2.1	0.0	0.0	0.0
Training	1.5	17.0	18.5	1.5	17.0	18.5	0.0	0.0	0.0
General operating expenses	1,801.9	94.0	1,895.9	1,497.8	94.0	1,591.8	-304.1	0.0	-304.1
Supplies and materials	7.5	0.0	7.5	7.1	0.0	7.1	-0.4	0.0	-0.4
Furniture and equipment	6.0	0.0	6.0	6.0	0.0	6.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>1,819.9</i>	<i>194.6</i>	<i>2,014.5</i>	<i>1,515.2</i>	<i>113.1</i>	<i>1,628.3</i>	<i>-304.7</i>	<i>-81.5</i>	<i>-386.2</i>
Total	2,076.6	341.5	2,418.1	1,771.4	260.0	2,031.4	-305.2	-81.5	-386.7

Table 35: Sub-programme 3340: Court Interpretation and Translation Section

3340 Court Interpretation and Translation Section	Proposed Budget 2011 Before CBF recommendations			Proposed Budget 2011 After CBF recommendations			Difference		
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total
Professional staff	1,710.7	2,386.6	4,097.3	1,700.7	2,384.7	4,085.5	-10.0	-1.9	-11.9
General Service staff	242.4	258.9	501.3	242.4	258.9	501.3	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>1,953.1</i>	<i>2,645.5</i>	<i>4,598.6</i>	<i>1,943.1</i>	<i>2,643.6</i>	<i>4,586.8</i>	<i>-10.0</i>	<i>-1.9</i>	<i>-11.9</i>
General temporary assistance	0.0	1,158.9	1,158.9	0.0	909.1	909.1	0.0	-249.8	-249.8
Temporary assistance for meetings	415.7	292.5	708.2	415.7	292.5	708.2	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	64.2	64.2	0.0	64.2	64.2	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>415.7</i>	<i>1,515.6</i>	<i>1,931.3</i>	<i>415.7</i>	<i>1,265.8</i>	<i>1,681.5</i>	<i>0.0</i>	<i>-249.8</i>	<i>-249.8</i>
Travel	10.8	220.4	231.2	9.9	202.1	212.0	-0.9	-18.3	-19.2
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	257.5	93.0	350.5	257.5	93.0	350.5	0.0	0.0	0.0
Training	0.0	17.8	17.8	0.0	17.8	17.8	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	10.0	10.0	20.0	9.5	9.5	19.0	-0.5	-0.5	-1.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>278.3</i>	<i>341.2</i>	<i>619.5</i>	<i>276.9</i>	<i>322.4</i>	<i>599.3</i>	<i>-1.4</i>	<i>-18.8</i>	<i>-20.2</i>
Total	2,647.1	4,502.3	7,149.4	2,635.7	4,231.8	6,867.6	-11.4	-270.5	-281.8

Table 36: Sub-programme 3350: Victims and Witnesses Unit

3350 Victims and Witnesses Unit	Proposed Budget 2011 Before CBF recommendations			Proposed Budget 2011 After CBF recommendations			Difference		
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total
Professional staff	762.0	1,027.7	1,789.7	645.3	1,010.9	1,656.2	-116.7	-16.8	-133.5
General Service staff	60.6	1,106.7	1,167.3	60.6	1,097.7	1,158.3	0.0	-9.0	-9.0
<i>Subtotal staff</i>	<i>822.6</i>	<i>2,134.4</i>	<i>2,957.0</i>	<i>705.9</i>	<i>2,108.6</i>	<i>2,814.5</i>	<i>-116.7</i>	<i>-25.8</i>	<i>-142.5</i>
General temporary assistance	0.0	455.2	455.2	0.0	231.2	231.2	0.0	-224.0	-224.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	41.4	41.4	0.0	41.4	41.4	0.0	0.0	0.0
Consultants	0.0	21.5	21.5	0.0	21.5	21.5	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>518.1</i>	<i>518.1</i>	<i>0.0</i>	<i>294.1</i>	<i>294.1</i>	<i>0.0</i>	<i>-224.0</i>	<i>-224.0</i>
Travel	34.4	607.6	642.0	31.5	557.2	588.7	-2.9	-50.4	-53.3
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Training	0.0	26.9	26.9	0.0	26.9	26.9	0.0	0.0	0.0
General operating expenses	0.0	2,163.9	2,163.9	0.0	2,163.9	2,163.9	0.0	0.0	0.0
Supplies and materials	0.0	8.0	8.0	0.0	7.6	7.6	0.0	-0.4	-0.4
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>34.4</i>	<i>2,806.4</i>	<i>2,840.8</i>	<i>31.5</i>	<i>2,755.6</i>	<i>2,787.1</i>	<i>-2.9</i>	<i>-50.8</i>	<i>-53.7</i>
Total	857.0	5,458.9	6,315.9	737.4	5,158.3	5,895.7	-119.6	-300.6	-420.2

Table 37: Sub-programme 3360: Victims Participation and Reparation Section

3360 Victims Participation and Reparation Section	Proposed Budget 2011 Before CBF recommendations			Proposed Budget 2011 After CBF recommendations			Difference		
	Basic	Situation- related	Total	Basic	Situation- related	Total	Basic	Situation- related	Total
Professional staff	655.5	185.9	841.4	630.7	185.9	816.6	-24.8	0.0	-24.8
General Service staff	60.6	173.6	234.2	60.6	169.1	229.7	0.0	-4.5	-4.5
<i>Subtotal staff</i>	<i>716.1</i>	<i>359.5</i>	<i>1,075.6</i>	<i>691.3</i>	<i>355.0</i>	<i>1,046.4</i>	<i>-24.8</i>	<i>-4.5</i>	<i>-29.2</i>
General temporary assistance	0.0	6.6	6.6	0.0	4.4	4.4	0.0	-2.2	-2.2
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	8.0	0.0	8.0	8.0	0.0	8.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>8.0</i>	<i>6.6</i>	<i>14.6</i>	<i>8.0</i>	<i>4.4</i>	<i>12.4</i>	<i>0.0</i>	<i>-2.2</i>	<i>-2.2</i>
Travel	7.9	114.5	122.4	7.2	105.0	112.2	-0.7	-9.5	-10.2
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	103.4	53.7	157.1	103.4	53.7	157.1	0.0	0.0	0.0
Training	0.0	5.0	5.0	0.0	5.0	5.0	0.0	0.0	0.0
Counsel	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	1.8	1.8	0.0	1.7	1.7	0.0	-0.1	-0.1
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>111.3</i>	<i>175.0</i>	<i>286.3</i>	<i>110.6</i>	<i>165.4</i>	<i>276.1</i>	<i>-0.7</i>	<i>-9.6</i>	<i>-10.2</i>
Total	835.4	541.1	1,376.5	810.0	524.8	1,334.8	-25.4	-16.3	-41.7

Table 38: Programme 3400: Public Information and Documentation Section

3400 Public Information and Documentation Section	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
Professional staff	825.5	521.2	1,346.7	824.1	521.2	1,345.3	-1.4	0.0	-1.4
General Service staff	484.8	206.9	691.7	484.8	202.4	687.2	0.0	-4.5	-4.5
<i>Subtotal staff</i>	<i>1,310.3</i>	<i>728.1</i>	<i>2,038.4</i>	<i>1,308.9</i>	<i>723.6</i>	<i>2,032.5</i>	<i>-1.4</i>	<i>-4.5</i>	<i>-5.9</i>
General temporary assistance	108.0	201.6	309.6	108.0	168.0	276.0	0.0	-33.6	-33.6
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>108.0</i>	<i>201.6</i>	<i>309.6</i>	<i>108.0</i>	<i>168.0</i>	<i>276.0</i>	<i>0.0</i>	<i>-33.6</i>	<i>-33.6</i>
Travel	31.2	61.7	92.9	28.6	56.6	85.2	-2.6	-5.1	-7.7
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	246.0	598.9	844.9	246.0	598.9	844.9	0.0	0.0	0.0
Training	3.8	0.0	3.8	3.8	0.0	3.8	0.0	0.0	0.0
General operating expenses	82.0	13.5	95.5	82.0	13.5	95.5	0.0	0.0	0.0
Supplies and materials	130.0	0.0	130.0	123.5	0.0	123.5	-6.5	0.0	-6.5
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>493.0</i>	<i>674.1</i>	<i>1,167.1</i>	<i>483.9</i>	<i>669.0</i>	<i>1,152.9</i>	<i>-9.1</i>	<i>-5.1</i>	<i>-14.2</i>
Total	1,911.3	1,603.8	3,515.1	1,900.8	1,560.6	3,461.4	-10.5	-43.2	-53.7

Table 39: Sub-programme 3410: Office of the Head

3410 Office of the Head	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	140.1	0.0	140.1	140.1	0.0	140.1	0.0	0.0	0.0
General Service staff	60.6	0.0	60.6	60.6	0.0	60.6	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>200.7</i>	<i>0.0</i>	<i>200.7</i>	<i>200.7</i>	<i>0.0</i>	<i>200.7</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	16.1	0.0	16.1	14.8	0.0	14.8	-1.3	0.0	-1.3
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Traininig	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>16.1</i>	<i>0.0</i>	<i>16.1</i>	<i>14.8</i>	<i>0.0</i>	<i>14.8</i>	<i>-1.3</i>	<i>0.0</i>	<i>-1.3</i>
Total	216.8	0.0	216.8	215.5	0.0	215.5	-1.3	0.0	-1.3

Table 40: Sub-programme 3420: Library

3420 Library	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	196.1	0.0	196.1	195.6	0.0	195.6	-0.5	0.0	-0.5
General Service staff	121.2	0.0	121.2	121.2	0.0	121.2	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>317.3</i>	<i>0.0</i>	<i>317.3</i>	<i>316.8</i>	<i>0.0</i>	<i>316.8</i>	<i>-0.5</i>	<i>0.0</i>	<i>-0.5</i>
General temporary assistance	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>	<i>0.0</i>
Travel	2.5	0.0	2.5	2.3	0.0	2.3	-0.2	0.0	-0.2
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	46.0	0.0	46.0	46.0	0.0	46.0	0.0	0.0	0.0
Training	2.2	0.0	2.2	2.2	0.0	2.2	0.0	0.0	0.0
General operating expenses	82.0	0.0	82.0	82.0	0.0	82.0	0.0	0.0	0.0
Supplies and materials	130.0	0.0	130.0	123.5	0.0	123.5	-6.5	0.0	-6.5
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>262.7</i>	<i>0.0</i>	<i>262.7</i>	<i>256.0</i>	<i>0.0</i>	<i>256.0</i>	<i>-6.7</i>	<i>0.0</i>	<i>-6.7</i>
Total	580.0	0.0	580.0	572.8	0.0	572.8	-7.2	0.0	-7.2

Table 41: Sub-programme 3430: Public Affairs Unit

3430 Public Affairs Unit	Proposed Budget 2011			Proposed Budget 2011			Difference		
	Before CBF recommendations			After CBF recommendations					
	Basic	Situation-related	Total	Basic	Situation-related	Total	Basic	Situation-related	Total
Professional staff	293.2	0.0	293.2	292.7	0.0	292.7	-0.5	0.0	-0.5
General Service staff	242.4	0.0	242.4	242.4	0.0	242.4	0.0	0.0	0.0
<i>Subtotal staff</i>	<i>535.6</i>	<i>0.0</i>	<i>535.6</i>	<i>535.1</i>	<i>0.0</i>	<i>535.1</i>	<i>-0.5</i>	<i>0.0</i>	<i>-0.5</i>
General temporary assistance	0.0	100.8	100.8	0.0	89.6	89.6	0.0	-11.2	-11.2
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>0.0</i>	<i>100.8</i>	<i>100.8</i>	<i>0.0</i>	<i>89.6</i>	<i>89.6</i>	<i>0.0</i>	<i>-11.2</i>	<i>-11.2</i>
Travel	12.6	0.0	12.6	11.6	0.0	11.6	-1.0	0.0	-1.0
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	140.0	70.0	210.0	140.0	70.0	210.0	0.0	0.0	0.0
Traininig	1.6	0.0	1.6	1.6	0.0	1.6	0.0	0.0	0.0
General operating expenses	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>154.2</i>	<i>70.0</i>	<i>224.2</i>	<i>153.2</i>	<i>70.0</i>	<i>223.2</i>	<i>-1.0</i>	<i>0.0</i>	<i>-1.0</i>
Total	689.8	170.8	860.6	688.3	159.6	847.9	-1.5	-11.2	-12.7

Table 42: Sub-programme 3440: Outreach Unit

3440 Outreach Unit	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	196.1	521.2	717.3	195.6	521.2	716.8	-0.5	0.0	-0.5
General Service staff	60.6	206.9	267.5	60.6	202.4	263.0	0.0	-4.5	-4.5
<i>Subtotal staff</i>	<i>256.7</i>	<i>728.1</i>	<i>984.8</i>	<i>256.2</i>	<i>723.6</i>	<i>979.9</i>	<i>-0.5</i>	<i>-4.5</i>	<i>-4.9</i>
General temporary assistance	108.0	100.8	208.8	108.0	78.4	186.4	0.0	-22.4	-22.4
Temporary assistance for meetings	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Overtime	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Consultants	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal other staff</i>	<i>108.0</i>	<i>100.8</i>	<i>208.8</i>	<i>108.0</i>	<i>78.4</i>	<i>186.4</i>	<i>0.0</i>	<i>-22.4</i>	<i>-22.4</i>
Travel	0.0	61.7	61.7	0.0	56.6	56.6	0.0	-5.1	-5.1
Hospitality	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Contractual services	60.0	528.9	588.9	60.0	528.9	588.9	0.0	0.0	0.0
Traininig	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
General operating expenses	0.0	13.5	13.5	0.0	13.5	13.5	0.0	0.0	0.0
Supplies and materials	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
Furniture and equipment	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0	0.0
<i>Subtotal non-staff</i>	<i>60.0</i>	<i>604.1</i>	<i>664.1</i>	<i>60.0</i>	<i>599.0</i>	<i>659.0</i>	<i>0.0</i>	<i>-5.1</i>	<i>-5.1</i>
Total	424.7	1,433.0	1,857.7	424.2	1,401.0	1,825.2	-0.5	-32.0	-32.5

Table 43: Major Programme IV: Secretariat of the Assembly of States Parties

<i>Programme IV Secretariat of the Assembly of States Parties</i>	<i>Proposed Budget 2011 Before CBF recommendations</i>			<i>Proposed Budget 2011 After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
Professional staff	648.3		648.3	568.0		568.0	-80.3		-80.3
General Service staff	275.4		275.4	275.4		275.4			
<i>Subtotal staff</i>	<i>923.7</i>		<i>923.7</i>	<i>843.4</i>		<i>843.4</i>	<i>-80.3</i>		<i>-80.3</i>
General temporary assistance	350.0		350.0	424.0		424.0	74.0		74.0
Temporary assistance for meetings	700.0		700.0	700.0		700.0			
Overtime	38.0		38.0	38.0		38.0			
Consultants									
<i>Subtotal other staff</i>	<i>1,088.0</i>		<i>1,088.0</i>	<i>1,162.0</i>		<i>1,162.0</i>	<i>74.0</i>		<i>74.0</i>
Travel	306.9		306.9	197.8		197.8	-109.1		-109.1
Hospitality	10.0		10.0	10.0		10.0			
Contractual services	693.0		693.0	693.0		693.0			
Training	9.0		9.0	9.0		9.0			
General operating expenses	30.0		30.0	29.3		29.3	-0.8		-0.8
Supplies and materials	25.0		25.0	23.8		23.8	-1.3		-1.3
Furniture and equipment	10.0		10.0	10.0		10.0			
<i>Subtotal non-staff</i>	<i>1,083.9</i>		<i>1,083.9</i>	<i>972.8</i>		<i>972.8</i>	<i>-111.1</i>		<i>-111.1</i>
Total	3,095.6		3,095.6	2,978.2		2,978.2	-117.4		-117.4

Table 44: Programme 4100: Conference

4100 Conference	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
Professional staff									
General Service staff									
<i>Subtotal staff</i>									
General temporary assistance	350.0		350.0	350.0		350.0			
Temporary assistance for meetings	700.0		700.0	700.0		700.0			
Overtime	20.0		20.0	20.0		20.0			
Consultants									
<i>Subtotal other staff</i>	1,070.0		1,070.0	1,070.0		1,070.0			
Travel	207.9		207.9	98.8		98.8	-109.1		-109.1
Hospitality									
Contractual services	681.0		681.0	681.0		681.0			
Training									
General operating expenses	25.0		25.0	24.4		24.4	-0.6		-0.6
Supplies and materials	20.0		20.0	19.0		19.0	-1.0		-1.0
Furniture and equipment									
<i>Subtotal non-staff</i>	933.9		933.9	823.2		823.2	-110.7		-110.7
Total	2,003.9		2,003.9	1,893.2		1,893.2	-110.7		-110.7

Table 45: Programme 4200: Secretariat of ASP

4200 Secretariat of ASP	<i>Proposed Budget 2011</i>			<i>Proposed Budget 2011</i>			<i>Difference</i>		
	<i>Before CBF recommendations</i>			<i>After CBF recommendations</i>					
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	648.3		648.3	568.0		568.0	-80.3		-80.3
General Service staff	275.4		275.4	275.4		275.4			
<i>Subtotal staff</i>	923.7		923.7	843.4		843.4	-80.3		-80.3
General temporary assistance				74.0		74.0	74.0		74.0
Temporary assistance for meetings									
Overtime	18.0		18.0	18.0		18.0			
Consultants									
<i>Subtotal other staff</i>	18.0		18.0	92.0		92.0	74.0		74.0
Travel	99.0		99.0	99.0		99.0			
Hospitality	10.0		10.0	10.0		10.0			
Contractual services	12.0		12.0	12.0		12.0			
Training	9.0		9.0	9.0		9.0			
General operating expenses	5.0		5.0	4.9		4.9	-0.1		-0.1
Supplies and materials	5.0		5.0	4.8		4.8	-0.3		-0.3
Furniture and equipment	10.0		10.0	10.0		10.0			
<i>Subtotal non-staff</i>	150.0		150.0	149.6		149.6	-0.4		-0.4
Total	1,091.7		1,091.7	1,085.0		1,085.0	-6.7		-6.7

Table 46: Programme 6100: Secretariat of the Trust Fund for Victims

6100 <i>Secretariat of the Trust Fund for Victims</i>	<i>Proposed Budget 2011</i> <i>Before CBF recommendations</i>			<i>Proposed Budget 2011</i> <i>After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	159.0	422.4	581.4	159.0	422.4	581.4			
General Service staff	60.6	60.6	121.2	60.6	60.6	121.2			
<i>Subtotal staff</i>	<i>219.6</i>	<i>483.0</i>	<i>702.6</i>	<i>219.6</i>	<i>483.0</i>	<i>702.6</i>			
General temporary assistance		61.2	61.2		61.2	61.2			
Temporary assistance for meetings									
Overtime									
Consultants	20.0	40.0	60.0	20.5	8.0	28.5	0.5	-32.0	-31.5
<i>Subtotal other staff</i>	<i>20.0</i>	<i>101.2</i>	<i>121.2</i>	<i>20.5</i>	<i>69.2</i>	<i>89.7</i>	<i>0.5</i>	<i>-32.0</i>	<i>-31.5</i>
Travel	108.4	129.4	237.8	97.6	116.5	214.0	-10.8	-12.9	-23.8
Hospitality	5.0		5.0	5.0		5.0			
Contractual services	83.5	62.0	145.5	83.5	62.0	145.5			
Training	2.6	24.4	27.0	2.6	24.4	27.0			
General operating expenses	5.0	14.0	19.0	4.9	13.7	18.5	-0.1	-0.4	-0.5
Supplies and materials	3.0		3.0	2.9		2.9	-0.2		-0.2
Furniture and equipment									
<i>Subtotal non-staff</i>	<i>207.5</i>	<i>229.8</i>	<i>437.3</i>	<i>196.4</i>	<i>216.5</i>	<i>412.9</i>	<i>-11.1</i>	<i>-13.3</i>	<i>-24.4</i>
Total	447.1	814.0	1,261.1	436.5	768.7	1,205.2	-10.6	-45.3	-55.9

Table 47: Programme 7100: Project Office for the Permanent Premises

7100 <i>Project Office for the Permanent Premises</i>	<i>Proposed Budget 2011</i> <i>Before CBF recommendations</i>			<i>Proposed Budget 2011</i> <i>After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation-related</i>	<i>Total</i>
Professional staff	274.8		274.8	274.8		274.8			
General Service staff	60.6		60.6	60.6		60.6			
<i>Subtotal staff</i>	<i>335.4</i>		<i>335.4</i>	<i>335.4</i>		<i>335.4</i>			
General temporary assistance	33.6		33.6	16.8		16.8	-16.8		-16.8
Temporary assistance for meetings									
Overtime									
Consultants									
<i>Subtotal other staff</i>	<i>33.6</i>		<i>33.6</i>	<i>16.8</i>		<i>16.8</i>	<i>-16.8</i>		<i>-16.8</i>
Travel	29.1		29.1	26.2		26.2	-2.9		-2.9
Hospitality	5.0		5.0	5.0		5.0			
Contractual services	110.0		110.0	81.0		81.0	-29.0		-29.0
Training	12.3		12.3	6.2		6.2	-6.2		-6.2
General operating expenses	10.0		10.0	9.8		9.8	-0.3		-0.3
Supplies and materials	2.0		2.0	1.9		1.9	-0.1		-0.1
Furniture and equipment	10.0		10.0	10.0		10.0			
<i>Subtotal non-staff</i>	<i>178.4</i>		<i>178.4</i>	<i>140.0</i>		<i>140.0</i>	<i>-38.4</i>		<i>-38.4</i>
Total	547.4		547.4	492.2		492.2	-55.2		-55.2

Table 48: Programme 7500: Independent Oversight Mechanisms

7500 Independent Oversight Mechanisms	<i>Proposed Budget 2011</i> <i>Before CBF recommendations</i>			<i>Proposed Budget 2011</i> <i>After CBF recommendations</i>			<i>Difference</i>		
	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>	<i>Basic</i>	<i>Situation- related</i>	<i>Total</i>
Professional staff	196.1		196.1	196.1		196.1			
General Service staff									
<i>Subtotal staff</i>	<i>196.1</i>		<i>196.1</i>	<i>196.1</i>		<i>196.1</i>			
General temporary assistance									
Temporary assistance for meetings									
Overtime									
Consultants									
<i>Subtotal other staff</i>									
Travel	10.0		10.0	9.0		9.0	-1.0		-1.0
Hospitality									
Contractual services									
Training									
General operating expenses	40.0		40.0	39.0		39.0	-1.0		-1.0
Supplies and materials	20.0		20.0	19.0		19.0	-1.0		-1.0
Furniture and equipment	40.0		40.0	40.0		40.0			
<i>Subtotal non-staff</i>	<i>110.0</i>		<i>110.0</i>	<i>107.0</i>		<i>107.0</i>	<i>-3.0</i>		<i>-3.0</i>
Total	306.1		306.1	303.1		303.1	-3.0		-3.0

Part C

Related documents

1. Financial statements for the period 1 January to 31 December 2009*

Contents

Letter of transmittal.....	266
Statement of Internal Control.....	267
External Auditor's report.....	269
Statements	
Statement I: Income and expenditure and changes in fund balances for the period ending 31 December 2009.....	290
Statement II: Assets, liabilities, reserves and fund balances as at 31 December 2009.....	291
Statement III: Cash flow as at 31 December 2009.....	292
Statement IV: Appropriations for the period 1 January to 31 December 2009.....	293
Schedule 1: Status of contributions as at 31 December 2009.....	293
Schedule 2: Status of Working Capital Fund as at 31 December 2009.....	296
Schedule 3: Status of advances to the Working Capital Fund as at 31 December 2009.....	296
Schedule 4: Status of cash surplus as at 31 December 2009.....	299
Schedule 5: Shares of States Parties in the 2008 cash surplus.....	299
Schedule 6: Status of voluntary contributions as at 31 December 2009.....	302
Schedule 7: Status of trust funds as at 31 December 2009.....	303
Notes to the financial statements	
1. The International Criminal Court and its objectives.....	304
2. Summary of significant accounting and financial reporting policies.....	305
3. The International Criminal Court (statements I-IV).....	308
4. General Fund, Working Capital Fund and Contingency Fund.....	309
Table 1: Details of miscellaneous income.....	310
Table 2: Details of expenditures.....	310
Table 3: Details of other accounts receivable.....	311
Table 4: Details of other accounts payable.....	312
5. Trust funds.....	313
6. Non-expendable property.....	313
Table 5: Summary of non-expendable property.....	314
Table 6: Summary of non-expendable property funded by other sources.....	314
7. Write-off losses of cash, receivables and property.....	314
8. Ex-gratia payments.....	314
9. Gratis personnel.....	314
10. Contingent liabilities.....	314
11. Service-incurred injury.....	315
12. Contributions in kind.....	315
13. Contributions to the Trust Fund for Victims.....	315
14. The Permanent Premises Project: General description.....	315
15. The Permanent Premises Project: Accounting and financial reporting policies.....	316
16. The Permanent Premises Project: Notes to Statements (I-III).....	316

* Previously issued as ICC-ASP/9/13.

Letter of transmittal

5th of July, 2010

In accordance with Financial Regulation 11.1, I have the honour to submit the financial statements of the International Criminal Court for the financial period 1 January to 31 December 2009.

(Signed) Silvana Arbia
Registrar

Mr. Damian Brewitt
Director
National Audit Office
157-197 Buckingham Palace Road
London SW1W 9SP
United Kingdom

Statement of internal control

The Registrar's responsibilities

In accordance with Financial Rule 101.1, in my capacity as the principle administrative officer of the Court, I am responsible and accountable for ensuring that the Financial Rules and Regulations are administered in a coherent manner by all organs of the Court. Under Rule 111.1 responsibility for the financial statements is assigned to me and I have prescribed and maintained financial and subsidiary records; established appropriate accounting procedures for the Court; and designated officials responsible for performing the accounting functions.

Statement on internal control

As Registrar I have responsibility for maintaining a sound system of internal control that supports the achievement of Registry and Court objectives, and operates as one component of the Court's developing wider governance and audit environment.

The system of internal control is based on an ongoing process designed to identify the principal risks to the achievement of strategic objectives and the operation of key controls; it can therefore only provide reasonable and not absolute assurance of effectiveness.

Risk assessment

Although there was no systematic process of risk management during the period, the Court has made significant progress in establishing an Enterprise Risk Management project. As part of this process the Court has identified and prioritized its major strategic risks. 22 major risks have been identified which encompass 7 key areas of activity: Cooperation, Human Resources, Regulatory Compliance, External communication, Security, Operational management, Permanent premises. The Court is in the process of transferring these strategic risks into operational risk registers. As part of this process Inter-organ working groups have been established. Once their work is completed, a report will be delivered to the Coordination Council, which will review the operational risks, assess the organization's risk appetite, select risk mitigation strategies and address residual risks.

The Court has also established an Audit Committee with four external members, which met for the first time in March 2010. According to the terms of reference of the Audit Committee, members of the Committee are responsible for reviewing and advising on the existence of an appropriate mechanism for the identification, reporting and management of risks. They should also consider the adequacy of the internal controls set up by the Court to address these risks. Good progress has been made with the establishment of this Committee, which will make a significant contribution in providing advice and guidance on the overall internal control environment over the coming year.

Review of effectiveness of the system of internal control

The effectiveness of the system of internal control and of compliance with the Court's Financial Rules and Regulations is informed by the work of the operational managers within the Registry. Confirmation of compliance by budget holders from the other Organs has not been organized yet.

Assurance is gained from the performance of audit and assurance work by the Office of Internal Audit, and is also informed by the work and reports of the External Auditor.

During the period the Office of Internal Audit undertook a number of audit and assurance assignments, the results of which were reported to the Co-ordination Council. During the period the Office of Internal Audit reviewed the management of Court Trust Funds, the Court's budget preparation and the Court's budget expenditure processes, including SAP controls. Overall, Internal Audit obtained substantial assurance on the effectiveness of controls in these activities.

In addition to its auditing work, the Office of Internal Audit annually undertakes a review of the Court's financial control environment. The scope of this review includes the areas of procurement and finance and assesses the attitude of the Court's senior management in respect of financial controls. A self assessment questionnaire is provided to senior management and managers within these areas. These documents form the basis of OIA's risk-based testing of the key controls in these areas.

For 2009, controls were reviewed according to the following framework:

- (a) Internal environment: Governance included the Audit Committee, Delegations of authority systems, management's operating style, conflict of interest (ethics);
- (b) Risk assessment;
- (c) Control activities (SAP controls and the separation of duties within the system);
- (d) Information systems and communication; and
- (e) Monitoring of controls.

From the work performed some significant weaknesses were noted:

The review of SAP controls identified weaknesses in the monitoring and awarding of access rights which might impact on the separation of duties within the accounting system. Recommendations have been made for the improvement of these arrangements and ICT management has committed to address this issue in its 2011 work plan.

The Court has not yet implemented a Disaster Recovery Plan. Initiatives were taken to identify major risks and areas where the impact of failure of the systems would be significant. The development of this plan is in progress. It should be completed end of 2011, subject to availability of resources.

Whilst recognizing the above issues, action plans have been set up to resolve them and there are plans in place to further enhance financial control systems and improve practice.

Although the audit activities undertaken showed some scope for improvement in controls the overall conclusions from the results of these reviews is that the Court has maintained an adequate framework of internal control framework during the period and that no significant weakness have been identified which would impact on the operation of the internal control framework during this period. I am satisfied that I have received the necessary assurances to confirm that an adequate framework of internal control was in place during the year and up to the date of signature of this Statement.

Ms Silvana Arbia
Registrar

External Auditor's report 2009

Audit of the Financial Statements of the International Criminal Court

Contents

Executive summary

Detailed findings:

Paragraph

- Overall Results	1-5
- Financial Matters	6-15
- Permanent Premises Project	16-34
- Implementing IPSAS	35-45
- Audit Committee	46-48
- Statement of Internal Control	49-53
- Business Continuity Planning	54-57
- Ethics and Fraud Policy	58-62
- Follow-up of Previous Audit Recommendations	63-64

Acknowledgement.....65

Annex A: Summary of audit recommendations

Annex B: Follow-up to audit recommendations for 2008

Executive summary

We have provided an unqualified audit opinion on the 2009 financial statements – which present fairly in all material respects the financial position of the Court as at 31 December 2009 and confirm that our audit revealed no weaknesses or errors which are considered to be material to the accuracy, completeness and validity of the financial statements.

In addition to comments on the Court's financial performance our audit report focuses on:

(a) Permanent Premises project – Our initial review of high-level governance has identified issues around the reporting and accountability arrangements resulting from the split between the division of responsibilities between the ASP Project Office and the Registry Project Office. This has led to some lack of clarity in respect of the responsibilities of the Project Director and Registrar, especially with regard to information flows. Around 10 per cent of the Project's contingency had been utilized by April 2010, despite the project not yet having completed the design stage and there will be a need to carefully monitor and contain the deployment of project contingency. We also noted that the Project did not have a benefits realization plan, which will make it harder for States Parties to measure the success of the project and its value for money.

(b) IPSAS implementation - We have highlighted the key actions that the Court needs to consider in developing a project plan for the adoption of these standards which we continue to encourage. We have highlighted the main areas where action is needed including the review of financial rules, regulations and accounting policies. The Court is well placed to begin to plan the transition to IPSAS, and we have set out the key areas of difference from the current accounting policies.

(c) Governance matters - We commend the Court for establishing an Audit Committee with a majority of independent members. We provide further comment on the process required to strengthen the evidence base supporting the Statement of Internal Control, and highlight a number of areas where control improvements could be secured.

Overall results of the audit

1. We have audited the financial statements of the International Criminal Court in accordance with the Financial Regulations and in compliance with International Standards on Auditing.
2. The audit revealed no weaknesses or errors which we considered material to the accuracy, completeness and validity of the financial statements. In our opinion, these financial statements present fairly, in all material respects, the financial position as at 31 December 2009 and the results of operations and cash flows for the period then ended. They have been prepared in accordance with the United Nations System Accounting Standards (UNSAS) and, in all material respects, the transactions have been made in accordance with regulations and applied to the purposes intended.
3. The audit included a general review of the Court's accounting procedures; an assessment of internal controls and such tests of the accounting records and other supporting evidence as we considered necessary in the circumstances.
4. Our audit procedures are designed primarily for the purpose of forming an audit opinion. Consequently, the work did not involve a detailed review of all aspects of the financial information systems, and the results should not be regarded as a comprehensive statement on them. Finally, an examination was carried out to ensure that the financial statements accurately reflected the Court's accounting records and were fairly presented.
5. The main observations from our audit are set out below and the recommendations are summarized in Annex A. Action taken by management in response to our 2008 recommendations is set out at **Annex B**.

Financial Matters

Overall Financial Performance

6. An analysis of income and expenditure for the Court and how this compares to prior years is shown at Table 1, covering the General Fund, the Working Capital Fund and Trust Funds.

Table 1: Analysis of total income and expenditure

	<i>2009 Euro 000s</i>	<i>2008 Euro 000s</i>	<i>2007 Euro 000s</i>	<i>2006 Euro 000s</i>
Assessed Contributions	96,230	90,382	88,872	80,417
Voluntary Contributions	1,652	1,216	1,339	1,641
Interest Income	1,297	4,582	3,128	1,703
Miscellaneous Income	294	338	204	104
Total Income	99,473	96,518	93,543	83,865
Total Expenditure	(96,235)	(84,854)	(78,738)	(66,431)
Excess/(shortfall) of income over Expenditure	3,238	11,664	14,805	17,434

Income and expenditure

7. The Court had a surplus of income over expenditure of €3.3 million in 2009, and total expenditure represented some 92 per cent of the approved appropriations. Other key points to note are:

(a) Assessed contributions increased by €5.8 million to fund the continued growth of the Court, where four trial proceedings are now underway;

(b) Total outstanding assessed contributions as at 31 December 2009 were €1.09 million of which €0.33 million related to prior years. The Court collected 99.2 per cent of assessed contributions, and this continues to reflect the efficiency of the Court's finance department in collecting contributions;

(c) Voluntary contributions increased by €0.44 million as a result of increased fund raising activities;

(d) There was a significant decline in interest income, which was €3.3 million lower than in 2008, reflecting low interest rates offered by commercial banks; and

(e) Expenditure increased significantly from €84.9 million to €96.2 million primarily as a result of the Court's increased trial and investigation activity. Approved expenditure on the permanent premises project has also been charged against appropriations, totalling €1.3 million in 2009.

Assets and liabilities

8. Although there has been an overall fall in total assets over the period, which now stand at €34 million (2008: €47.8 million), the Court continues to have a strong balance sheet position, providing assurance in respect of its financial health. There are a number of features reported within the financial statements of particular interest to States Parties:

(a) Cash and term deposits declined from €67.8 million to €51.9 million, largely as a result of a significant reduction in advanced assessed contributions from States Parties which decreased from €13 million in 2008 to €3.7 million in 2009 and the €12 million increase in expenditure;

(b) Advanced contributions declined from €13.3 million in 2008 to €7 million in 2009, as a result of agreement amongst States Parties to reduce the amount of contributions advanced;

(c) The provision for potential payments in respect of cases brought before the International Labour Organization Tribunal by staff members increased from €35,000 to €240,000;

(d) Unliquidated obligations continue to decline falling from €9.2 million to €7.9 million in 2009, which represents an improved position. We have continued to carry out a detailed examination to ensure that funds are being properly obligated for the purposes intended and are supported by valid legal commitments at the year end (contracts or purchase orders);

(e) The Working Capital Fund remained at €7.4 million and once again the Court has not drawn on the Contingency Fund which retains a balance of €9.2 million.

Treasury management

9. The Court continues to have considerable cash reserves invested to make returns on surplus funds. Following up on work undertaken in 2008 we have reviewed the operation of the Investment Committee and the Court's application of its treasury management policies. Although no formal meetings were held in 2009, a meeting was held in March 2010, which considered the current cash and investment position.

10. Our examination of cash balances identified that the Registrar's Administrative Instruction of 2004, which states that the Court should not hold more than one third of its cash with one institution, had not been fully applied. For example, the Court held 93 per cent of their cash holdings with ABN Amro in August 2009. The Court has made progress over the period but the cash holdings at 31 December were still in non-compliance with the Administrative Instruction, although this had been addressed at the time of our audit in April 2010.

Table 2: Percentage of cash holdings by banking institution.

<i>Bank Investment</i>	<i>December 2009</i>	<i>April 2010</i>
ABN AMRO	56%	32%
Rabobank	43%	32%
BNP Parisbas	-	29%
Deutsche Bank	-	7%
Other	1%	-

11. Although action has been taken to reduce individual holdings, we encourage the Committee to meet more regularly to monitor compliance in view of the continued instability in the financial markets. We would also continue to advise that the Court consider external expertise to supplement the membership of the Committee, this could help management identify opportunities to maximise return for the level of accepted risk.

Internal controls

12. We routinely review the system of internal control to confirm that internal control processes are sufficient for the purposes of our audit; we did not identify any material weaknesses in controls in 2009. We have noted the improvements to the arrangements for the provision of internal audit over the period, and we continue to stress the importance of this work in providing assurance over financial and control risk.

13. We have separately reported to management on internal control improvements which could be made and have noted the weaknesses detailed in the Statement on Internal Control in respect of the separation of duties within the financial system, although we were able to obtain sufficient alternative assurance to confirm that this did not impact on our opinion.

Fraud, losses and special payments

14. Primary responsibility for the prevention and detection of fraud rests with the Registry. International Standards on Auditing require us to consider the risk of fraud or presumptive fraud as part of our audit procedures, and our audit provides assurance in respect of material fraud. The Registrar informed us of one minor case of fraud identified during 2009, with a value of €2,095. During the course of our audit we did not identify any instances of fraud.

15. Approved writes-offs of assets during the year were €42,799 in respect of receivables, and a total of €292,644 of non-expendable property was written off following the Court's approved disposal procedure.

Permanent Premises

Background

16. In December 2007 the Assembly of States Parties approved the project to construct new permanent premises in The Hague. A budget of €190 million was established by the Assembly of States Parties to fund construction of premises with a total gross floor area of 46,000 square metres, to include three courtrooms and 1200 workplaces. In 2008 a competition was undertaken to appoint an architect with the proposal that the building would be constructed by 2014.

Scope of our audit

17. The Permanent Premises project is in its early stages, activity to date has focused on the finalization of the design and only €3.1 million had been spent on the project as at 31 December 2009, this is in addition to other project related costs included within other programme appropriations. It is too early for us to form a view on the progress of the project but we have undertaken a high-level review of the overall governance arrangements at the time of our audit in May 2010, and highlighted a number of potential risk areas which could impact on the efficient delivery of the project. We would intend to provide an update on progress in future reports to the Assembly.

18. Successful projects have effective oversight mechanisms and clear lines of accountability, with well defined budgets and outcomes against which the success of the project can be determined. These arrangements are important mechanisms through which progress and costs are tracked and considered. Within the context of project governance and planning we have focused on whether the Project has:

- (a) Clearly assigned accountabilities;
- (b) Ensured that clear and adequate communications are in place to co-ordinate stakeholder and user views;
- (c) Clearly articulated success and benefit realization criteria against which to assess success;
- (d) Established a realistic and well monitored budget and plan; and
- (e) Has appropriately engaged expertise.

Project governance

Oversight mechanisms

19. In approving the project, the Assembly established the following governance arrangements:

(a) An Oversight Committee of States Parties has been established as a subsidiary body of the Assembly of States Parties. The mandate of the Oversight Committee is to provide a standing body to act on behalf of the Assembly in the construction of the permanent premises. This includes obtaining support from an ad hoc committee of experts from States Parties. The role of the Committee is to provide strategic oversight, with management of the project resting with the ASP Project Director, and his Project Office, which report directly to the Committee;

(b) Although the Project Office has overall responsibility for the project, and reports to the Registrar in respect of terms and conditions, the Registrar retains many operational responsibilities. These include approval and contract administration and payment, supplying necessary information to the ASP Project Director, and for co-ordinating the requirements of the Court. She is supported in this role by the Registry's own Project Director and Office; and

(c) Creation of a Project Board with the mandate to provide a cooperative and consultative structure for the overall management of the project, bringing together the key stakeholders. The Board is chaired by the ASP Project Director and includes representatives of The Court and the Dutch Government as host state.

Project accountability

20. For a project to be successful there needs to be a clearly identified project sponsor or senior responsible owner (SRO) whose role it is to have overall accountability and responsibility for the design, approval and compliance with the decisions of the Assembly. The Assembly made a decision that this role should sit outside of the Court's current management structures and rest with a separate Project Director, reporting directly to the ASP Oversight Committee. The governance of this project is unusual in that there are three senior key stakeholders: the Host State, the Assembly (which provides the resources and oversight) and the Registrar (the person responsible for defining the requirements of the project and the legal entity executing contracts).

21. Project arrangements are complicated by a lack of clarity and agreement in respect of roles and responsibilities. The Registrar should have sufficient evidence and input to enable her to meet responsibilities for certifying expenditures and approving contracts. This might include specific letters of assurance on which she might base her approval. For example the Oversight Committee makes decisions which then have to be approved by the Registrar, who will be required to implement them. From our observations the lines of accountability and the responsibility for decision making lack clarity.

22. Good practice would suggest that accountability should rest with a single nominated individual. From our initial discussions with staff involved in the project it was unclear where responsibility will lie for cost overruns, whether these will sit with the Project Director with operational responsibility, with the Oversight Committee who have the ability to make key strategic decisions which drive cost or with the Registrar who is accountable for authorization of transactions and value for money. We would encourage the Oversight Committee to review the governance and accountability arrangements in place to provide greater clarity on accountabilities between the ASP and ICC Project Offices, and to take account of the initial experience of operating these arrangements.

Effectiveness of communications

23. Effective communication of key project information is essential to ensure that appropriate decisions are made, and to ensure that project risks are appropriately managed. From our initial review and discussions we identified some deficiencies in the way in which information was communicated to the Project Board, and there was scope for improvement in the timeliness and adequacy of the information provided. These are areas which require development as the project enters the build phase. The Board as well as the Oversight Committee should receive regular financial and progress updates, and a regular discussion and sharing of the project risk registers. We understand the process for compiling a project risk register will be completed in July.

24. The Registry's Project Director co-ordinates user groups, but we noted that some users did not feel fully engaged in the project due to a lack of information on which to provide comment and feedback. It is important that proper consideration is given to user needs as the design phase nears completion, and this will require a regular flow of appropriate information to users from both Project Offices. Without on-going engagement there is a risk that the project may not deliver what is reasonably required for the stakeholders and may give rise to expensive and late contract variations.

Benefit realization

25. In order to assess whether the project meets its objectives it is essential to have a clear articulation of project benefits. A benefit realization plan will facilitate assessment and monitoring of the delivery of benefits from the outset of the project, and should represent the agreement of the key stakeholders on the project's expected benefits. An agreed plan is essential in demonstrating that the project has met its objectives and to provide assurance to the Assembly that value for money has been achieved. As a result of our work management have begun to take steps to follow this practice and we have shared experiences with the project team. However, at present there is no formal benefits realization plan and outcomes have not been fully agreed or recorded. A successful plan will:

- (a) Be integrated into the overall project plan;
- (b) Establish the expected outcomes;
- (c) Demonstrate where and when the project benefits can be expected; and
- (d) Detail the staff responsible for the delivery of these benefits.

26. Benefits realization should also include a tracking mechanism which monitors achievement of benefits against the expectations and targets. The tracking process must be capable of tracking both 'hard' (e.g. cost, headcount) and 'soft' (e.g. image and reputational) benefits. In addition, there should be evidence of realization of benefits, which should be defensible against third party scrutiny in order to provide States Parties with assurance.

Recommendation 1:

We recommend that, following the initial period of operation, the Oversight Committee should review the governance arrangements relating to the Project, to confirm they are fit for purpose, and provide for full and clear accountability.

Recommendation 2:

We recommend that delegations and authorities are set at an appropriate level and that authorizing officers have sufficient information, authority and oversight to discharge their responsibilities. Authorization should ultimately sit with the project sponsor.

Recommendation 3:

We recommend that effective channels of communication should be developed between the Project Board, Oversight Committee and the Court's Co-Ordination Council to

ensure that all relevant information is communicated freely and openly to all of the organs within the Court.

Recommendation 4:

We recommend that the Court build on the development of communications between the project team and users to ensure that the project will meet their needs; manage their expectations and to communicate progress. And that the Board might consider a survey of key users on whether they feel these are effective.

Recommendation 5:

We recommend that the project team develop a benefits realization plan which is included in the project manual in order to demonstrate the achievement of agreed objectives and benefits to enable the assessment of value for money.

Project planning

Budget cost risks

27. In December 2007, on passing the resolution to construct permanent premises, the Assembly of States Parties set out a user requirement for the premises, this has formed the basis of design plans and assumptions, based on a total area of 45,552 m².

28. The overall budget for this project has been set at €190 million at 2014 prices (Table 3), with a planned completion date now extending to 2015 as a result of delays in the design process. Additional resources have been made available in the Court's approved budget for Project Office and Registry costs associated with the Project, these are disclosed within the notes to the Project's financial statements, but are not included within the Statement of Income and Expenditure. The separation of these costs from the main project budget will mean that aggregate project related costs will not be easily identified. We would encourage the Court to provide a clear disclosure of the overall full costs of the project within one table in future financial statements.

Table 3: The budget of the ICC permanent premises project

<i>Costs</i>	<i>Total budget approved (Euro million)</i>
Construction Costs	115
Other costs:	
- Escalation (cost increases)	29
- Fees (designers, engineers, consultants)	19
- Project Management Fees	5
- Permits and dues (including consultancy permits)	4
- Integrated specialized features	1
- <i>Financial Contingency</i>	<i>17</i>
Total Costs	190

29. The original specification for the design competition did not include two specifications relating to technical and security requirements. Rather than delay the competition a decision was taken to reduce the cost estimation for design by 10 per cent to reflect this omission, and to retain these funds to cover the new specifications. In future tenders should be clearly reviewed to ensure that they are fully complete. Risks will always remain if a specification has to be varied, although the retention of budget for this purpose will minimise risk.

30. We identified some concerns that the approach to calculating the cost of the project lacked sufficient rigour and detail for a project of this complexity and specification, noting that the original estimate did not allow for the external security perimeter – and this had to be added in later. There is a risk therefore that costs may become difficult to control as the project develops and as variations to specification are requested. It will therefore be important for close cost control on contract variations.

31. The delays in the design stage and the protracted procurement process have resulted in extra unplanned costs. At May 2010 €1.65 million of the €17 million contingency had already been accessed, and this related to unplanned design stage costs. This equated to an expenditure of around ten per cent of the contingency, which is more than would normally be expected at the design stage. Careful on-going management of the contingency will be required to ensure that it can absorb further significant risks if they should arise.

Appointment of project experts

32. The ASP Project Director's Office recognized that they were too small to manage a project of this size and complexity. The Court therefore appointed a specific project management firm, Brink Consultancy, to aid with the management and control of the project. To date there has been positive feedback on this appointment, with the consultants acting in the role of a third party, co-ordinating the functionality requirements of the users with the design of the architect, and monitoring the budget on a daily basis. Their appointment has also resulted in the introduction of new processes such as the adoption of a project manual approach. This facilitates adaptation of the approach to meet the circumstances. This is a welcome development in terms of strengthening the governance arrangements of this project.

33. Another key source of expertise is clearly the design architects. The Assembly initially established a timetable for the completion of the appointment of the architect by January 2009. Our review of the project has shown that there were considerable delays with the completion of the design competition. While there is no definitive norm for the appointment of an architect for such a project, such a process should have been completed in less than the 18 months taken.

34. Three architects were invited to deliver a detailed proposal for the building project with an associated cost. On appraising the various tenders and reaching a decision one of the bidders raised an objection on process. As a consequence, in the summer of 2009 a decision was taken to include all three architects within phase two of the design competition, with a clear understanding that proposals must be within the stipulated budget. Ultimately the proposal submitted by Schmidt Hammer Lassen, which was within budget, was accepted by the Project Board. These delays have resulted in significant additional design phase costs and there are clearly lessons which are important for the Court to learn in respect of project procurement processes, and we would recommend that these lessons are widely communicated.

Recommendation 6:

We recommend that following appointment of an architect the Project Board should undertake a process review to identify any lessons learned to inform future procurements.

Recommendation 7:

We recommend that the budget and financial contingency set aside for the project should be re-appraised in the light of the current level of drawdown at the design stage, and to undertake an assessment of the risk of further cost overruns.

Governance matters

Implementing IPSAS

Background

35. In July 2006, the General Assembly of the United Nations (UN) decided to approve the adoption of International Public Sector Accounting Standards (IPSAS) as the basis for the preparation of financial statements. These will replace the existing United Nations System Accounting Standards (UNSAS) and a number of UN entities have now committed to implementation over the next few years.

36. In both our 2007 and 2008 reports we recommended that the Court make a formal decision to move from its current financial reporting framework to IPSAS. Although an initial plan for the transition has been prepared, no decision has been taken and the Committee on Budget and Finance proposed a year's deferral when we reported on this issue in 2009.

37. We continue to emphasise the benefits of adopting IPSAS as a means of implementing high quality reporting standards and recommend that the Court endorse this change. We believe that IPSAS will provide enhanced financial oversight and the quality of financial management and decision making. It will also demonstrate the Court's commitment to transparency and sound financial stewardship.

Benefits of IPSAS

38. A major benefit of adopting IPSAS is that the annual financial statements will provide a complete statement of assets and liabilities under control of the Court, and reflect the true costs of carrying out activities. This information will assist management and States Parties in making long-term strategic decisions based on more complete and transparent financial information. IPSAS requires full accruals accounting, matching income and expenditure to the period when it is incurred rather than paid. It also requires that all assets and liabilities owned or incurred by the Court are captured within the financial statements.

39. To meet these reporting requirements, underlying financial information systems must be sufficiently robust to capture the relevant information and staff must have a good understanding of the transactions and business processes. IPSAS works to drive improvements to the basic financial systems, supporting the preparation of financial reports on an ongoing basis, rather than just at the year end. A more accurate and frequent monthly management reporting regime, based on the resource utilization is an additional benefit of adopting IPSAS.

40. Management and the Audit Committee have begun early consideration of the impact of IPSAS implementation, our report is intended to aid management in focusing on the key issues around implementation. We have conducted a preliminary review to determine the practical challenges the Court would face if IPSAS was adopted, and set out an indication of the key steps for a successful IPSAS implementation.

Key Stages for the adoption of IPSAS

41. The NAO has unique experience in helping international clients move to IPSAS, and we have supported the World Food Programme's transition in 2008, and in 2010 we are supporting the transitions at the World Meteorological Organization and the Pan-American Health Organization. From our experience of working with these organizations we have identified a number of key steps which need to take place in order to support a successful transition. The Court will need to:

(a) Develop an overarching project plan and establish an agreed date for the adoption of IPSAS for approval by the Assembly of States Parties (ASP);

(b) Review and assess whether the financial information systems are adequate to provide the information required for financial reporting against IPSAS standards and to upgrade any necessary elements;

(c) Review the financial rules and regulations to ensure that they are compliant with IPSAS, in particular the changes from commitment to accruals accounting and seek approval of the ASP;

(d) Consider and adapt accounting policies to incorporate the requirements of IPSAS, and agreeing these accounting treatments with the external auditor as early as possible;

(e) Produce a set of mock financial statements showing the format and structure of the IPSAS financial statements, incorporating the re-statement of opening balances to be audited on an interim basis and provided for information of the Audit Committee; and

(f) Undertake a review of the budgeting process with the aim of preparing budgets on an IPSAS basis to ensure that budgets and financial reporting are in alignment.

42. These stages should form the basis of a detailed project plan to facilitate an efficient implementation. The newly established Audit Committee would take an active role in monitoring progress against the plan.

Recommendation 8:

We recommend that the Court should develop a project plan for the adoption of IPSAS and make proposals to the Assembly of States Parties for their agreement.

Recommendation 9:

We further recommend that the project plan should include an internal review of financial rules, regulations and accounting policies using the information presented in this report. This should consider whether the financial systems are sufficient to support IPSAS.

Consideration of Accounting Issues

43. IPSAS will require accounting policies to be compliant with relevant standards and this exercise is a key stage of any implementation plan. It will require the Court to systematically assess its business processes, consider the appropriateness of existing accounting treatments and identify where they need amendment.

44. Our own initial review of income, expenditure, assets and liabilities of the Court indicates that IPSAS may have the following impact:

(a) A substantial part of the Court's income in respect of the general fund arises from assessed contributions and should not be affected by IPSAS, other income will be recognized when it is due to the Court rather than when it is received, we would not consider this to be significantly material to the accounts;

(b) Expenditure will no longer be recorded when committed, but will instead be accrued when goods and services have been received. IPSAS will enable States Parties to match the use of resources to the period of activity, and it is likely that this change will have a significant impact on expenditure profiles;

(c) Expenditure on property, plant and equipment will no longer be expensed on acquisition. Instead costs will be capitalized and depreciated as they are consumed over the life of the asset. This is likely to be significant in respect of the capitalization of costs relating to the new Court Premises, providing a more accurate measure to aid with the assessment of trial costs;

(d) The change in expenditure recognition policy will also affect liabilities. The financial statements will no longer report unliquidated obligations, and only include accruals where the goods and services have been delivered but not yet paid;

(e) The Court already makes provision for some end of service liabilities, these include the costs of repatriation grants. Other liabilities such as the cost of the accrual for accumulated staff leave entitlements are shown as a disclosure note in the financial statements. All these liabilities will need to be fully reported within the Statement of Financial Position and will require more detailed evidence to support them. Consequently IPSAS will provide a more accurate measure of the Court's liabilities; and

(f) Additional disclosures will be required to report any related party transactions, including the total remuneration of senior management personnel.

45. While there are a number of areas of the financial statements which will be affected by IPSAS, our view is that the existing accounting software used by the Court, SAP, with the addition of some further modules should be sufficient. At this stage, it does not appear that the Court will need a major customization of its existing accounting system. Some additional costs will be incurred to provide valuations of the Court buildings and to provide staff training.

Audit Committee

46. We have promoted the positive benefits of an Audit Committee as part of the effective governance of an international organization, we therefore welcome the establishment of an independent and expert Audit Committee. We were pleased to attend the first meeting in April and to provide an induction on our audit approach and assessment of overall governance and financial reporting.

47. The Committee will be able to make a valuable contribution to monitoring the effectiveness of internal control, risk management and financial reporting, bringing practical experience and examples from their own areas of expertise to provide advice and support to management. The Committee's challenge and review of both management and the adequacy of internal and external audit will significantly strengthen assurance. This will provide valuable support to management and help States Parties in discharging their governance responsibilities. Over the coming year it will be important for the Committee to consider:

(a) A review of its terms of reference to ensure that its mandate is in line with best practice – we will support this by providing assessment tools and by sharing best practice from other international organizations;

(b) Its position with regard to the functions of the Committee on Budget and Finance and the Permanent Premises Oversight Committee, to minimise duplication of effort;

(c) Providing advice in respect of any potential transition to IPSAS based financial reporting;

(d) Planning meetings to match the audit cycle to enable comment on audit plans, annual reporting of both internal and external audit and in order to review management's draft financial statements; and

(e) Advise and approve the content of the Statement on Internal Control.

48. The Audit Committee will also have an important function in supporting management in the development of effective risk management arrangements. Progress in this area has been reported within the Statement on Internal Control, but further work remains to ensure the processes are systematic and properly embedded within the Court.

Statement on Internal Control

49. The Court adopted best practice with the inclusion of a Statement on Internal Control for the first time in 2008. The Statement represents a commitment to publicly comment on the systems of control, and to provide details on effectiveness, and the assurances which underpin management's responsibility for maintaining effective internal controls.

50. Having considered the Statement this year in the light of our audit work we made a number of suggestions to improve disclosure, and we are content that the Statement reflects fairly the overall control environment. However, we believe there is further scope to develop the Statement and to consider the adequacy of the assurances which the Registrar receives in support of this. Following the creation of an expert Audit Committee we believe there is much greater scope to drive improvement, particularly in relation to describing the substance of the roles and responsibilities of the Registrar and the other heads of organs. This particularly relates to the need to ensure that the Court's governance arrangements, as operated on a day to day basis, are fully and accurately described.

51. We have identified a number of areas where we believe the Statement might be enhanced, and some progress has been made on these disclosures this year:

(a) The scope of responsibility, outlining the Registrar's responsibility for maintaining a sound system of financial control, should disclose where the Registrar must rely on the assurance provided by the other heads of organs or the actions requested by the Permanent Premises Committees;

(b) The SIC could more fully describe the purpose of the system of internal control, explaining that it is designed to manage the risk of control failure throughout the year;

(c) The risk and control framework should describe the key elements of the control framework and risk management strategy as they actually operate, and to reflect the Court's capacity to handle risk, setting out how the Court is equipped to manage changing risk profiles;

(d) A review of effectiveness, identifying what assurances have been received in forming the conclusion, including the opinion of the Office of Internal Audit and the assurances received from senior management; and

(e) Any significant control weaknesses, in summary form, and how these will be addressed.

52. We have emphasized to management the importance of the Registrar having a sufficient process in place to obtain the necessary assurances to support the representation disclosed within the SIC. The Registrar should seek written assurances from all senior management personnel with responsibility for a key activity within the Court.

53. In some areas, such as Office of the Prosecutor and the Project Office, the Registrar has limited oversight of transactions and the decisions which underpin them. As a consequence she is dependent on processes applied by management within these areas of operations. The assurances of these managers should either confirm that there have been no control weaknesses that have come to their attention or highlight what these are and how these are being managed. These assurances will need to be underpinned by evidence of due consideration. Assurances would also be received from the Head of the Office of Internal Oversight and from the Investigations Officer as part of their annual assurance statement and reporting processes.

Recommendation 10:

We recommend that, with input from the assurance functions and the new Audit Committee, the Registrar reviews the processes which underpin the Statement on Internal Control to ensure it is supported by appropriate evidence.

Recommendation 11:

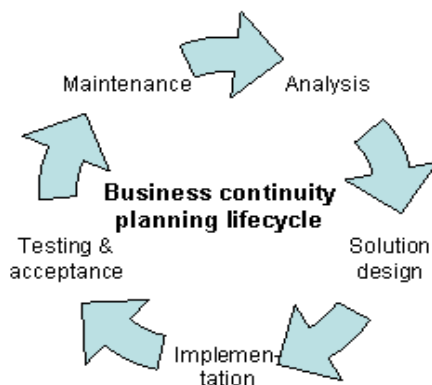
We recommend that the Registrar establishes a process to receive written representations on the risk and control environment from heads of organs, and from senior managers in respect of compliance with rules and regulations.

Business Continuity Planning

54. The Court has a high public profile and its success will be judged by its ability to efficiently and effectively discharge justice in accordance with the Rome Statute. As a result the Court needs to ensure that it is able to mitigate against disruption to its activities as a result of physical damage or systems failure. Although steps have been taken to address physical security, only limited progress has been achieved in respect of the development and testing of business continuity plans.

55. Effective business continuity planning establishes clear and documented procedures and resources to ensure operational continuity in the event of an accident, disaster, or a failure in key systems. It will also include regular assessments of adequacy by stress testing and reviewing risk. A clear plan would enable the Court to react positively to unforeseen events, thereby limiting disruption from any particular incident.

56. Business continuity planning needs to be an ongoing process, embedded within the Court's governance and operational processes. There are typically five stages to the lifecycle for such plans and these are shown in the diagram below. The process starts with an initial analysis of risks to business continuity, through to an ongoing maintenance plan to ensure the continuity arrangements are regularly tested. The Court is currently at this first Business Impact Analysis stage, which given the Court now has on-going cases, gives rise to cause for concern.



57. Although there has been some informal assessment of the potential impact on the core information and technology systems, the likely impact has not been subject to independent scrutiny or agreed by management. Accordingly, a detailed plan and adequate response strategies have not been developed.

Recommendation 12:

We recommend that the Court should establish a clear timeline for the implementation of a full business continuity plan for all processes and areas identified as part of the business impact assessment, focusing resources on functions and systems deemed critical to the Court's operations.

Ethical conduct

58. As a legal institution the Court has to operate to a very high standard of integrity in order to maintain its reputation. The Court has developed mechanisms to review and ensure the quality of its legal processes but our audit work continues to identify areas of ethical conduct which do not meet current best practice for non-profit organizations.

59. Some progress has been made in promoting a culture of ethical conduct and to prevent and detect fraud. This has included the planned appointment of an Investigator, whose role will be to follow up any reported suspicions of fraudulent or inappropriate conduct. However, such a post can only be effective if there are appropriate systems and processes in place to clearly establish management's expectations, and a culture which emphasises the importance of reporting suspicions of impropriety.

60. The Court should develop a clear and comprehensive fraud policy to establish its commitment to a zero-tolerance approach, and to clearly communicate the actions which will be taken in the event that fraud or impropriety is identified. This policy should be made available on the website and communicated to all staff through processes such as induction. The policy itself cannot guarantee protection against fraud, but it can help to strengthen an anti-fraud culture by ensuring that all parties are clear about the Court's approach and attitude to impropriety.

61. In our experience, the majority of fraudulent activity is discovered by staff raising concerns with appropriate management or auditors. All staff should be made aware that they are able to report concerns without recourse to their direct line manager, and that if concerns are made in genuine good faith that they will not be subject to reprisal or detrimental treatment. More specifically, the policy should include a process by which employees or external parties are able to report suspicions or cases of fraud anonymously. An appropriate process can protect the Court by identifying impropriety and protecting it from reputational damage. If staff felt unable to use internal processes they may use other means such as reporting concerns to external parties such as the media.

62. In previous years we have stressed the importance of senior managers and staff in posts such as procurement, making declarations of interests on a regular annual basis, we have noted that the Court has made little progress in this area. Without a systematic process to capture information the Court will be unable to gain assurance that staff do not have conflicting interests. A comprehensive and well maintained register of interests is another important part of creating an effective anti-fraud culture and to ensure that staff consider their obligations on a regular basis.

Recommendation 13:

We recommend that following the appointment of an Investigations Officer, the Court should develop and communicate a clear anti-fraud policy and that this should include an approved process to enable staff to report concerns.

Recommendation 14:

We recommend that the Court establish a process to record and retain a register of interests for senior management and for those making procurement decisions. These declarations should be updated on an annual basis.

Follow-up of previous audit recommendations

63. In our 2008 Report, we made a number of recommendations on financial and governance matters. As part of our work we have followed up the progress that the Court has made in implementing these. The detailed follow-up, including both the response from the Court and our observations on these are set out in **Annex B**.

64. A number of these recommendations have only been partially implemented to date as they are currently in progress. The introduction of the new audit committee arrangements will provide an opportunity to review progress on implementation of recommendations in greater detail, and to provide guidance to management on the prioritization of their implementation.

Acknowledgement

65. We wish to record our appreciation for the co-operation and assistance provided by the Registrar and the staff of the Court during the course of our audit.

Amyas C E Morse
Comptroller and Auditor General, United Kingdom
External Auditor

Annex A

Summary of audit recommendations

Recommendation 1:

We recommend that, following the initial period of operation, the Oversight Committee should review the governance arrangements relating to the Project, to confirm they are fit for purpose, and provide for full and clear accountability.

Recommendation 2:

We recommend that delegations and authorities are set at an appropriate level and that authorizing officers have sufficient information, authority and oversight to discharge their responsibilities. Authorization should ultimately sit with the project sponsor.

Recommendation 3:

We recommend that effective channels of communication should be developed between the Project Board, Oversight Committee and the Court's Co-Ordination Council to ensure that all relevant information is communicated freely and openly to all of the organs within the Court.

Recommendation 4:

We recommend that the Court build on the development of communications between the project team and users to ensure that the project will meet their needs; manage their expectations and to communicate progress and that the Board might consider a survey of key users on whether they feel these are effective.

Recommendation 5:

We recommend that the project team develop a benefits realization plan which is included in the project manual in order to demonstrate the achievement of agreed objectives and benefits to enable the assessment of value for money.

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We recommend that following appointment of an architect the Project Board should undertake a process review to identify any lessons learned to inform future procurements in respect of the project.

Recommendation 7:

We recommend that the budget and financial contingency set aside for the project should be re-appraised in the light of the current level of drawdown at the design stage, and to undertake an assessment of the risk of cost overruns.

Recommendation 8:

We recommend that the Court should develop a project plan for the adoption of IPSAS and make proposals to the Assembly of States Parties for their agreement.

Recommendation 9:

We further recommend that the project plan should include an internal review of financial rules, regulations and accounting policies using the information presented in this report. This should consider whether the financial systems are sufficient to support IPSAS.

Recommendation 10:

We recommend that, with input from the assurance functions and the new Audit Committee, the Registrar reviews the processes which underpin the Statement on Internal Control to ensure it is supported by appropriate evidence.

Recommendation 11:

We recommend that the Registrar establishes a process to receive written representations on the risk and control environment from heads of organs, and from senior managers in respect of compliance with rules and regulations.

Recommendation 12:

We recommend that the Court should establish a clear timeline for the implementation of a full business continuity plan for all processes and areas identified as part of the business impact assessment, focusing resources on functions and systems deemed critical to the Court's operations.

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Recommendation 14:

We recommend that the Court establish a process to record and retain a register of interests for senior management and for those making procurement decisions. These declarations should be updated on an annual basis.

Annex B

Follow-up to audit recommendations for 2008

<i>Audit Recommendation</i>	<i>Management Response</i>	<i>External Auditor's Comments</i>
We recommended that periodically, staff be required to confirm awareness and compliance with the IT security policy.	Staff are briefed on controls like passwords etc. during inductions and now all new staff are made aware of the risks associated with the use of information and information technology. Since 2009, a weekly awareness poster is displayed on every corridor display. Also in 2009 the Information Security Unit started with the Court-wide training programme is being rolled out (starting with the OTP) which deals again with the risks associated with the use of information and information technology. Controls have been implemented in the log-on procedure of Windows to put users on notice with regards to the applicable ICC regulations. Outgoing e-mails now have an e-mail disclaimer and sites with inappropriate content is blocked.	We welcome the positive action taken to implement our recommendation.
We recommend that the Court consider the potential cost of disability payments that may have to be made for judges over 65 years of age if they were to become disabled, and whether any financial provision should be established for this liability.	The Court made enquiries regarding insuring against this risk, but no companies in the Netherlands were prepared to provide insurance for this risk.	We note the comments and will examine any provision that is made for disability payments in the future.
A risk register containing the information set out above should be used by the Court as an active diagnostic tool to manage risks on an ongoing basis. The risk register should be reviewed by the Oversight Committee and an annual submission should be made to the Court's governing body to bring key risks to their attention.	Risk Register finalized. Analysis and risk mitigation strategy in process. Finalization of mitigation strategy planned for 2010 Q2 or Q3. Implementation to follow, including review process proposals.	We recommend that the Risk Register and mitigation strategies are completed as a matter of priority and shared with the Audit Committee for their review and consideration.
We recommend that the ICT division implement the recommendations of the external investigations into the SAP crash as a matter of urgency and in particular ensure that contingency plans for a similar systems failure are in place to ensure business continuity. Such plans may help to mitigate the risk that key financial information may be lost through system failure.	The recommendations contain a series of technical issues but can be categorized as follows: (a) Improve the SAP infrastructure (Status: complete. A new SAP cluster has been installed and signed off); and (b) Improve the backup infrastructure (Status: complete. The backup software has been upgraded and the hardware improved. Recovery has been tested from disk and it is successful). The risk has been mitigated in that financial information will not be lost through system failure. An ongoing project is underway for a full Disaster Recovery Plan of all IT systems in case of fire.	We welcome the action taken. We are commenting on the need to take more urgent action in respect of establishing effective disaster recovery processes in place in our report this year.

<i>Audit Recommendation</i>	<i>Management Response</i>	<i>External Auditor's Comments</i>
We also recommend that the Court ensure that its IT strategy reflects the arrangements set down in the IT disaster recovery plan once it has been developed. The IT strategy should show how contingency arrangements are to be achieved and maintained.	ICTS has a strategic plan approved by its governing body (ITSB). Plan was presented and accepted by ITSB Q1 2009. Implementation ongoing. The Disaster Recovery Plan is still in the phase of a Business Impact Analysis. All heads of organs and their direct reports have been consulted on the project. The final report of the Business Impact Analysis has been delivered by the external consultants. The ICT Section will put the report forward to the ITSB and senior management for final consultation.	We are commenting on the need to take more urgent action in respect of establishing effective disaster recovery processes in place in our report this year.
We recommend that the Court ensure that it review carefully the upcoming OIA report on annual leave and ensure that recommended improvements are implemented to ensure that annual leave balances for all staff are accurately recorded, complete and available at the year end. These figures should be collated, reviewed and available for audit ensuring that this figure is fully supported when it becomes a balance sheet liability on the adoption of IPSAS accounting standards.	As of 27 October 2008, the Court implemented the time management in SAP.	<p>The Office of Internal Audit identified some issues regarding the accuracy of the data held in the time management system.</p> <p>Our audit of the untaken annual leave identified that the figure was not fully supported by underlying annual leave records.</p> <p>If the Court wishes to include annual leave balances within its financial statements it will need to undertake further work to ensure that the balances provided are supported by more robust evidence.</p>
<p>We recommend that the Court take a view on the financial consequences and risks of drawing funds from the Working Capital Fund to fund budgeted expenditure rather than short term operational requirements. In doing so the Court should ensure that cash flow forecasting procedures are adequate to identify when and for how long advances from the Working Capital Fund will be needed.</p> <p>We further recommend that the Court extend cash flow forecasting to the medium and long term, to inform the funding strategy for future years, where the level of assessed contributions may be lower than approved budgets and alternative sources of funding required to meet higher budget implementation rates.</p>	Cash flow forecasting is one of the activities that the Court's Investment Committee is looking into. Further action will be proposed after the next Investment Committee meeting Q1 2010. Diversification of bank holdings is already well under way.	<p>We note the comments and planned action.</p> <p>Our report refers to several instances in 2009 when balances exceeded the guidance issued by the Registrar.</p> <p>We have not yet seen the Court's proposals to develop a more robust management of cashflow planning.</p>
We recommend that an investment committee, meeting regularly, should be used to provide continuous oversight of the status and strategy of the Court's financial investments. Discussions in the meetings should be documented to support any strategic decisions that need to be made. We also recommend that the Committee should include external members with relevant experience and expertise.	The Investment Committee has been set up and met once in 2009. The Committee met again on 29 March 2010 and agreed that the next meeting take place once the new Director of CASD and new Chief of Budget & Finance are on board.	We note the comments and planned action. We will continue to monitor this, although we feel that Investment Committee meetings should be held more frequently during the period of financial instability, and that the Court might benefit from external expertise to secure the best investment returns in the context of its level of risk.

<i>Audit Recommendation</i>	<i>Management Response</i>	<i>External Auditor's Comments</i>
<p>To reflect best practice in the operation of audit committees, we recommend that the Court give consideration to:</p> <ul style="list-style-type: none"> - A membership for the committee which consists mainly of independent non-executive members, with the heads of Organs in attendance at each meeting in line with their executive responsibilities; - The chairing of the Audit Committee by a non-executive independent member of the Committee, without prejudice to the authority of the President and Prosecutor; - At least two meetings a year are held for the Audit Committee to consider the draft financial statements of the Court and to receive the audit plans and results of the External Auditor and the Office of Internal Oversight; - The Chairperson of the Audit Committee should report annually to the Court's governing body level on the work of the Audit Committee, and bring to attention any significant issues arising from the Audit Committee's deliberations. 	<p>An Audit Committee has been set up and four independent non-executive members have been appointed. The Audit Committee is chaired by one of these external members. The first meeting of the Committee with the external members has been scheduled on April 2010.</p>	<p>We welcome the action taken and can confirm that we attended the meeting with the external members in April 2010.</p>
<p>We recommend that improved risk assessment and risk management arrangements be taken forward as a matter of priority, to ensure that the Court maintains momentum on this important aspect of governance and is able to actively manage significant risks, ensuring that both external and internal risks are recognized and prioritized.</p> <p>This should lead to the production of a risk register with prioritized risks, the identification of risk owners, and actions to mitigate key risks; reviewed and updated regularly by senior management and submitted to the Audit Committee for review and further action as necessary.</p>	<p>The third phase of the project, risk treatment planning and implementation, was started in the second quarter of 2009 with the identification of focal points from all major programmes who will work together in working groups on their assigned risks. Project management put together a work programme for these focal points to ensure coordination of actions. At the end of the exercise, which is planned for the beginning of 2010, the Court will have performed a thorough review and prioritization of its risks, determined its preferred strategy to handle the risks and implemented the first measures accordingly. The final phase of the project will be started in 2010: implementation of project monitoring and residual risks reviews.</p>	<p>We recommend that the Risk Register and mitigation strategies are completed as a matter of priority and shared with the Court's governing body and Audit Committee for review and further action as necessary.</p>
<p>We recommend that the Court recruit a senior auditor as a matter of priority to enable the Office of Internal Audit to function at full capacity and deliver all planned reports.</p> <p>We also recommend that the Court consider the need for IT audit expertise to ensure effective coverage in this specialized area.</p>	<p>A senior auditor has been recruited and has started at the end of September 2009. An established post of ICT auditor was requested in the proposed budget 2010 for the Office of the Internal Audit. However, further to the recommendation of the Committee on Budget and Finance at its 13th session, the post has not been approved.</p> <p>It is the intention of the Internal Audit to request additional contractual services (40.000 euros) in the budget 2011 in order to undertake at least one audit in this area.</p> <p>Further to the Registrar's request, and with the assistance of the Internal Audit Office, a consultancy has been made by the company "Management Consulting GmbH" to review the ICC's eCourt systems and processes; the conclusions are expected in the course of April – May 2010.</p>	<p>Our audit work has identified some issues regarding IT internal controls such as a lack of review of user access rights to the SAP accounting system. The Office of Internal Audit has not had the required expertise to undertake the necessary IT audit work and we re-enforce our 2008 recommendation that the Court ensure that there is adequate expertise to enable specialist IT audit work to be performed.</p>

<i>Audit Recommendation</i>	<i>Management Response</i>	<i>External Auditor's Comments</i>
We recommend that the Court's decision to appoint a project management firm be implemented as early as practical, so that the procedures for monthly financial reporting can be established promptly. As the loan for this project is now being drawn down, it is important that accountability arrangements for the use of these funds are in place as quickly as possible.	<p>A Project Management firm has been selected by means of a competitive Request for Proposal process, led by the ICC Procurement Unit. The firm that was appointed is Brink Groep. This firm has been operational since March 2009.</p> <p>With regard to the regular financial reporting, a set of proposed financial reporting templates have been endorsed by the Oversight Committee.</p>	We welcome the action taken and have made some high-level observations as part of our first review of the Permanent Premises Project.
We recommend that the Court further develop SAP system capability so as to be able to report on the cost of each trial. Such information would help to better inform the Court, both for the purposes of future budgeting and also to assess the cash flow implications of each trial. This would facilitate the identification of risks (such as areas susceptible to cost overruns) and help support efficient and effective financial management.	The Court is in the process of implementing an SAP Business Intelligence module which will provide clearer budget and financial analysis of the Court's implementation. Specific 'Trial' costing is not yet being developed by CASD/ICT team. Clearer definition of the different phases of a trial across all organs of the Court first need to be available.	We note the comments made and encourage the Court to continue progress in this area.
We reiterate our recommendation that the Assembly of States Parties takes a decision in principle at the earliest opportunity for the adoption of IPSAS, to support the establishment of an overall time frame for adoption and to allow sufficient time for changes to systems and financial regulations to be made.	The Court presented to the CBF (13th session) a report on the implications of implementation of IPSAS. The report provided general guidance on the implication of implementations of IPSAS in the Court (impact on accounting and financial reporting; impact on budgeting; impact on IT systems; financial impact; and impact on the FRR), together with a detailed project plan, timeline for transition to IPSAS and budget. The ASP approved the CBF recommendation that the project be put on hold for reasons of cost. (CBF recommendation: <i>Official Records of the Assembly of States Parties to the Rome Statute of the International Criminal Court, Eighth session, The Hague, 18-26 November 2009</i> (ICC-ASP/8/20), vol. II, part B.2, para. 13).	<p>We encourage the Court to reconsider the decision to delay the IPSAS project.</p> <p>We have commented further in our report on the importance of IPSAS and that the cost increases involved would primarily stem from obtaining more useful information and systems to aid more efficient management of assets and liabilities.</p>

Statement I

International Criminal Court

Statement of income and expenditure and changes in fund balances for the period ending 31 December 2009 (in thousands of euros)

	General Fund & Working Capital Fund		Notes ref.	Trust Funds		Notes ref.	Permanent Premises	Notes ref.	Total	
	2009	2008		2009	2008		2009		2009	(re-stated) 2008
Income										
Assessed contributions	96,230	90,382	4,1	-	-		-		96,230	90,382
Voluntary contributions	-	-		1,652	1,216	5,1	-		1,652	1,216
Interest income	1,292	4,537	4,2	4	45	5,2	1		1,297	4,582
Other/miscellaneous income	294	338	4,3	-	-		-		294	338
Total income	97,816	95,257		1,656	1,261		1		99,473	96,518
Expenditure										
Disbursed expenditures	84,399	73,713	4,4	1,292	994	5,7	1,274	16,5	86,965	74,707
Unliquidated obligations	7,714	9,000	4,4	172	200	5,7	5	16,5	7,891	9,200
Provision for pension liabilities	-	-	4,5	-	-		-		-	-
Provision for US tax liability	60	74	4,5	-	-		-		60	74
Provision for ILO cases	224	35	4,6	-	-		-		224	35
Provision for Repatriation Grant	1,095	838		-	-		-		1,095	838
Total expenditure	93,492	83,660		1,464	1,194		1,279		96,235	84,854
Excess/(shortfall) of income over expenditure	4,324	11,597		192	67		(1,278)		3,238	11,664
Savings on, or cancellation of, prior periods' obligations	2,162	2,433	4,7	13	24		-		2,175	2,457
Credits to States Parties	(19,195)	(23,217)	4,8	-	-		-		(19,195)	(23,217)
Refund to donors	-	-		(19)	(73)	5,3	-		(19)	(73)
Net increase in Working Capital Fund	-	-	4,9	-	-		-		-	-
Fund balances at beginning of financial period	47,619	56,806		275	464		-		47,894	57,270
Fund balances as at 31 December	34,910	47,619		461	482		(1,278)		34,093	48,101

Signed: Chief of Budget and Finance Section.....

Statement II

International Criminal Court

Statement of assets, liabilities, reserves and fund balances as at 31 December 2009 (*in thousands of euros*)

	General Fund & Working Capital Fund		Notes ref.	Trust Funds		Notes ref.	Permanent Premises	Notes ref.	Total	
	2009	(re-stated) 2008		2009	(re-stated) 2008		2009		2009	(re-stated) 2008
Assets										
Cash and term deposits	46,760	66,977		2,005	835		3,144		51,909	67,812
Assessed contributions receivable from States Parties	1,093	557	4,10	-	-		-		1,093	557
Voluntary contributions receivable	-	-		26	79		-		26	79
Other contributions receivable	-	1	4,11	-	-		-		-	1
Interfund balances receivable	140	561	4,12	-	-		-		140	561
Other accounts receivable	2,811	4,584	4,13	1	8	5,4	206	16,7	3,018	4,592
Prepaid expenses – education grants	688	787	4,14	-	-		-		688	787
Total assets	51,492	73,467		2,032	922		3,350		56,874	74,389
Liabilities										
Contributions/payments received in advance	3,672	13,090	4,15	1,259	299	5,5	2,092	16,9	7,023	13,389
Unliquidated obligations	7,714	9,000		172	200		5	16,5	7,891	9,200
Interfund balances payable	40	14		140	148	5,6	-		180	162
Host State Loan	-	-	4,5	-	-		1,425	16,10	1,425	-
Provision for US Tax Liability	60	74	4,5	-	-		-		60	74
Provision for ILO cases	245	35	4,6	-	-		-		245	35
Provisions for Repatriation Grant	3,512	2,844		-	-		-		3,512	2,844
Other accounts payable	1,339	791	4,16	-	-		1,106	16,8	2,445	791
Total liabilities	16,582	25,848		1,571	647		4,628		22,781	26,495
Reserves and fund balances										
Working Capital Fund	7,406	7,406	4,9	-	-		-		7,406	7,406
Contingency Fund	9,169	9,169	4,17	-	-		-		9,169	9,169
Reserve for unpaid contributions	332	252	4,18	-	-		-		332	252
Cumulative surplus	18,003	30,792	5,4	461	275		(1,278)		17,186	31,067
Total reserves and fund balances	34,910	47,619		461	275		(1,278)		34,093	47,894
Total liabilities, reserves and fund balances	51,492	73,467		2,032	922		3,350		56,874	74,389

Signed: Chief of Budget and Finance Section.....

Statement III

International Criminal Court Statement of cash flow as at 31 December 2009 *(in thousands of euros)*

	General Fund & Working Capital Fund		Trust Funds		Permanent Premises	Total	
	2009	2008	2009	2008	2009	2009	2008
<i>Cash flows from operating activities</i>							
Net excess/(shortfall) of income over expenditure (Statement I)	4,324	11,597	192	67	(1,278)	3,238	11,664
Contributions receivable (increase)/decrease	(535)	7,610	53	(79)	-	(482)	7,531
Interfund balances receivable (increase)/decrease	421	(535)	-	-	-	421	(535)
Other accounts receivable (increase)/decrease	1,773	(158)	7	-	(206)	1,574	(158)
Prepaid expenses (increase)/decrease	99	(457)	-	-	-	99	(457)
Contributions received in advance increase/(decrease)	(9,418)	3,929	960	76	2,092	(6,366)	4,005
Unliquidated obligations increase/(decrease)	(1,286)	(1,914)	(28)	7	5	(1,309)	(1,907)
Interfund balances payable increase/(decrease)	26	14	(8)	126	-	18	140
Host State Loan	-	-	-	-	1,425	1,425	-
Provision for accrued pension of judges increase/(decrease)	-	(9,715)	-	-	-	-	(9,715)
Provision for US Tax liability increase /(decrease)	(14)	74	-	-	-	(14)	74
Provision for ILO cases increase/(decrease)	210	(39)	-	-	-	210	(39)
Provision for Repatriation Grant	668	532	-	-	-	668	532
Accounts payable increase/(decrease)	548	(413)	-	-	1,106	1,654	(413)
Less: interest income	(1,292)	(4,537)	(4)	(45)	(1)	(1,297)	(4,582)
Net cash from operating activities	(4,476)	5,988	1,172	152	3,143	(161)	6,140
<i>Cash flows from investing and financing activities</i>							
Plus: interest income	1,292	4,537	4	45	1	1,297	4,582
Net cash from investing and financing activities	1,292	4,537	4	45	1	1,297	4,582
<i>Cash flows from other sources</i>							
Net increase/(decrease) in Working Capital Fund	-	-	-	-	-	-	-
Savings on, or cancellation of, prior periods' obligations	2,162	2,433	13	24	-	2,175	2,457
Credits to States Parties	(19,195)	(23,217)	-	-	-	(19,195)	(23,217)
Refund to donors	-	-	(19)	(73)	-	(19)	(73)
Net cash from other resources	(17,033)	(20,784)	(6)	(49)	-	(17,039)	(20,833)
Net increase/(decrease) in cash and term deposits	(20,217)	(10,259)	1,170	148	3,144	(15,903)	(10,111)
Cash and term deposits at beginning of financial period	66,977	77,236	835	687	-	67,812	77,923
Cash and term deposits at 31 December (Statement II)	46,760	66,977	2,005	835	3,144	51,909	67,812

Statement IV

International Criminal Court Statement of appropriations for the period 1 January to 31 December 2009 (in thousands of euros)

Major programme	Appropriation approved	Disbursements	Unliquidated obligations	Provisions	Total expenditures	Unencumbered balance
Judiciary	10,332	9,275	221	299	9,795	537
Office of the Prosecutor	25,529	22,601	868	440	23,909	1,620
Registry	60,223	48,642	6,098	374	55,114	5,109
Secretariat of the Assembly of States Parties	3,343	2,632	450	10	3,092	251
Secretariat of the Trust Fund for Victims	1,301	946	71	247	1,264	37
Permanent Premises Project Office	502	303	6	9	318	184
Total	101,230	84,399	7,714	1,379	93,492	7,738

Schedule 1

International Criminal Court Status of contributions as at 31 December 2009 (in euros)

States Parties	Outstanding as at 1 January 2009	Collections (a)	Outstanding	Assessed	Credits from 2008	Collections (b)	Outstanding	Total outstanding	Credit on 2009 receipts	Receipts relating to 2010
	Prior years			2009						
Afghanistan	4,427	3,231	1,196	1,430	-	1	1,429	2,625	-	-
Albania	-	-	-	8,575	2,304	6,271	-	-	-	488
Andorra	-	-	-	11,433	2,310	9,123	-	-	658	-
Antigua and Barbuda	-	-	-	2,858	1,353	1,505	-	-	165	-
Argentina	-	-	-	464,477	90,830	373,647	-	-	176,491	-
Australia	-	-	-	2,553,905	735,133	1,818,772	-	-	-	146,978
Austria	-	-	-	1,267,662	45	1,267,617	-	-	-	3,817
Barbados	-	-	-	12,863	-	12,863	-	-	-	739
Belgium	-	-	-	1,574,931	8,059	1,566,872	-	-	90,637	-
Belize	-	-	-	1,430	462	347	621	621	-	-
Benin	-	-	-	1,430	1,430	-	-	-	5,218	-
Bolivia	31,701	31,701	-	8,575	-	6	8,569	8,569	-	-
Bosnia & Herzegovina	-	-	-	8,575	1,385	7,190	-	-	493	-
Botswana	-	-	-	20,009	91	19,918	-	-	-	1,150
Brazil	71,066	71,066	-	1,251,943	-	1,251,943	-	-	3,769	-
Bulgaria	-	-	-	28,583	128	28,455	-	-	1,644	-
Burkina Faso	1,255	1,255	-	2,858	-	1,768	1,090	1,090	-	-
Burundi	4,533	346	4,187	1,430	-	-	1,430	5,617	-	-
Cambodia	-	-	-	1,430	14	1,416	-	-	82	-
Canada	-	-	-	4,254,605	1,298,942	2,955,663	-	-	244,854	-

States Parties	Outstanding as at 1 January 2009	Collections (a)	Outstanding	Assessed	Credits from 2008	Collections (b)	Outstanding	Total outstanding	Credit on 2009 receipts	Receipts relating to 2010
	Prior years			2009						
Central African Republic	5,321	346	4,975	1,430	-	-	1,430	6,405	-	-
Chad	2,949	1,401	1,548	1,430	-	1	1,429	2,977	-	-
Chile	-	-	-	76,698	-	76,698	-	-	-	-
Colombia	-	-	-	150,062	72,236	36,450	41,376	41,376	-	-
Comoros	3,216	313	2,903	1,430	-	1	1,429	4,332	-	-
Congo	884	346	538	1,430	-	1	1,429	1,967	-	-
Cook Islands	336	-	336	1,430	-	-	1,430	1,766	-	-
Costa Rica	-	-	-	45,733	2,714	37,204	5,815	5,815	-	-
Croatia	-	-	-	71,457	17,085	54,372	-	-	-	4,111
Cyprus	-	-	-	62,883	295	62,588	-	-	190	-
Czech Republic	-	-	-	100,398	-	100,398	-	-	1	-
Democratic Republic of the Congo	-	-	-	4,288	1,688	2,600	-	-	-	-
Denmark	-	-	-	1,056,148	331,545	724,603	-	-	-	60,784
Djibouti	3,743	1,246	2,497	1,430	-	1	1,429	3,926	-	-
Dominica	3,857	3,857	-	1,430	-	1,430	-	-	-	-
Dominican Republic	70,503	8,309	62,194	34,300	-	22	34,278	96,472	-	-
Ecuador	-	-	-	30,012	-	7,290	22,722	22,722	-	-
Estonia	-	-	-	22,867	5,541	17,326	-	-	1,315	-
Fiji	6,303	1,039	5,264	4,288	-	3	4,285	9,549	-	-
Finland	-	-	-	806,046	30	806,016	-	-	2,426	-
France	-	-	-	9,005,130	1,378,151	7,626,979	-	-	518,247	-
Gabon	22,751	2,770	19,981	11,433	-	7	11,426	31,407	-	-
Gambia	-	-	-	1,430	8	1,422	-	-	4	-
Georgia	-	-	-	4,288	1,385	2,903	-	-	247	-
Germany	-	-	-	12,257,897	439	12,257,458	-	-	-	705,448
Ghana	-	-	-	5,716	5,716	-	-	-	-	2,809
Greece	-	-	-	851,778	3,998	847,780	-	-	49,019	-
Guinea	12,577	346	12,231	1,430	-	-	1,430	13,661	-	-
Guyana	-	-	-	1,430	1,430	-	-	-	-	5,946
Honduras	14,621	7,487	7,134	7,146	-	5	7,141	14,275	-	-
Hungary	-	-	-	348,715	58,187	84,703	205,825	205,825	-	-
Iceland	-	-	-	52,879	15,700	37,179	-	-	-	-
Ireland	-	-	-	635,976	161,621	474,355	-	-	-	36,599
Italy	-	-	-	7,258,697	2,255,714	5,002,983	-	-	-	417,741
Japan	-	-	-	21,170,577	-	21,170,577	-	-	-	63,925
Jordan	-	-	-	17,149	1	17,148	-	-	989	-
Kenya	-	-	-	14,291	67	14,224	-	-	-	3,505
Latvia	-	-	-	25,725	6,927	18,798	-	-	-	1,481
Lesotho	618	346	272	1,430	-	1	1,429	1,701	-	-
Liberia	884	346	538	1,430	-	1	1,429	1,967	-	-
Liechtenstein	-	-	-	14,291	2,309	11,982	-	-	821	-
Lithuania	-	-	-	44,304	11,082	33,222	-	-	2,550	-
Luxembourg	-	-	-	121,479	35,557	85,922	-	-	-	6,991
Madagascar	43	-	43	2,858	-	2	2,856	2,899	-	-
Malawi	884	884	-	1,430	-	1,128	302	302	-	-

States Parties	Outstanding as at 1 January 2009	Collections (a)	Outstanding	Assessed	Credits from 2008	Collections (b)	Outstanding	Total outstanding	Credit on 2009 receipts	Receipts relating to 2010
	Prior years			2009						
Mali	-	-	-	1,430	1,430	-	-	-	6,590	-
Malta	-	-	-	24,295	6,465	17,830	-	-	-	1,400
Marshall Islands	5,432	2,888	2,544	1,430	-	1	1,429	3,973	-	-
Mauritius	-	-	-	15,721	-	15,721	-	-	-	905
Mexico	-	-	-	3,225,611	869,516	2,356,095	-	-	-	-
Mongolia	-	-	-	1,430	462	968	-	-	82	-
Montenegro	-	-	-	1,430	269	1,161	-	-	-	82
Namibia	-	-	-	8,575	2,771	5,804	-	-	495	-
Nauru	5,131	2,548	2,583	1,430	-	-	1,430	4,013	-	-
Netherlands	-	-	-	2,676,813	96	2,676,717	-	-	8,059	-
New Zealand	-	-	-	365,865	102,050	263,815	-	-	21,053	-
Niger	6,915	6,915	-	1,430	-	52	1,378	1,378	-	-
Nigeria	40,067	40,067	-	68,600	-	8,145	60,455	60,455	-	-
Norway	-	-	-	1,117,603	313,541	804,062	-	-	-	64,317
Panama	-	-	-	32,870	918	31,952	-	-	3,832	-
Paraguay	418	418	-	7,146	-	1,318	5,828	5,828	-	-
Peru	223,925	27,005	196,920	111,474	-	72	111,402	308,322	-	-
Poland	-	-	-	716,009	26	715,983	-	-	2,155	-
Portugal	-	-	-	753,167	217,031	536,136	-	-	-	43,346
Republic of Korea	-	-	-	3,105,562	829,342	2,276,220	-	-	9,349	-
Romania	-	-	-	100,041	453	99,588	-	-	-	5,757
Saint Kitts and Nevis	-	-	-	1,430	-	1,430	-	-	82	-
Saint Vincent and the Grenadines	918	918	-	1,430	-	333	1,097	1,097	-	-
Samoa	2	2	-	1,430	-	1,430	-	-	80	-
San Marino	-	-	-	4,288	1,385	2,903	-	-	247	-
Senegal	2,483	2,483	-	5,716	-	3,563	2,153	2,153	-	-
Serbia	-	-	-	30,012	142	29,870	-	-	1,727	-
Sierra Leone	4,899	346	4,553	1,430	-	-	1,430	5,983	-	-
Slovakia	-	-	-	90,037	23,551	66,486	-	-	-	5,183
Slovenia	-	-	-	137,200	617	136,583	-	-	7,896	-
South Africa	-	-	-	414,456	134,833	279,623	-	-	23,850	-
Spain	-	-	-	4,241,742	1,163,661	3,078,081	-	-	244,116	-
Suriname	336	336	-	1,430	-	1,430	-	-	4	-
Sweden	-	-	-	1,530,628	7,527	1,523,101	-	-	88,088	-
Switzerland	-	-	-	1,737,857	62	1,737,795	-	-	-	5,231
Tajikistan	458	458	-	1,430	-	990	440	440	-	-
The Former Yugoslav Rep. of Macedonia	-	-	-	7,146	4,003	3,143	-	-	-	412
Timor-Leste	-	-	-	1,430	577	710	143	143	-	-
Trinidad and Tobago	-	-	-	38,588	166	38,422	-	-	2,220	-
Uganda	-	-	-	4,288	232	4,056	-	-	3,879	-
United Kingdom	-	-	-	9,492,474	2,829,237	6,663,237	-	-	-	546,298
United Republic of Tanzania	-	-	-	8,575	21	2,082	6,472	6,472	-	-
Uruguay	-	-	-	38,589	38,589	-	-	-	-	12,567
Venezuela	-	-	-	285,832	11,515	69,428	204,889	204,889	-	-
Zambia	4,005	4,005	-	1,430	-	1,430	-	-	82	-
Total (110 States Parties)	557,461	225,024	332,437	96,229,900	13,072,400	82,396,925	760,575	1,093,012	1,523,706	2,148,010

Schedule 2

International Criminal Court Status of Working Capital Fund as at 31 December 2009 (*in euros*)

	2009	2008
Balance at beginning of financial period	7,405,382	7,386,629
Receipts/(refunds)		
Receipts from States Parties	331	18,753
Withdrawals	-	-
Balance as at 31 December	7,405,713	7,405,382
Established level	7,405,983	7,405,983
Less: due from States Parties (Schedule 3)	270	601
Balance as at 31 December	7,405,713	7,405,382

Schedule 3

International Criminal Court Status of advances to the Working Capital Fund as at 31 December 2009 (*in euros*)

States Parties	Working Capital Fund	Cumulative payments	Outstanding	Receipts relating to 2010
Afghanistan	109	109	-	-
Albania	655	655	-	-
Andorra	874	874	-	-
Antigua and Barbuda	219	219	-	-
Argentina	35,532	35,532	-	-
Australia	195,370	195,370	-	-
Austria	96,976	96,976	-	-
Barbados	984	984	-	-
Belgium	120,480	120,480	-	-
Belize	108	108	-	-
Benin	110	110	-	-
Bolivia	655	655	-	-
Bosnia & Herzegovina	655	655	-	-
Botswana	1,531	1,531	-	-
Brazil	95,772	95,772	-	-
Bulgaria	2,186	2,186	-	-
Burkina Faso	219	219	-	-
Burundi	110	110	-	-
Cambodia	110	110	-	-
Canada	325,470	325,470	-	-
Central African Republic	109	91	18	-
Chad	109	109	-	-
Chile	17,602	17,602	-	-
Colombia	11,478	11,478	-	-
Comoros	109	109	-	-

States Parties	Working Capital Fund	Cumulative payments	Outstanding	Receipts relating to 2010
Congo	109	109	-	-
Cook Islands	109	0	109	-
Costa Rica	3,498	3,498	-	-
Croatia	5,466	5,466	-	-
Cyprus	4,810	4,810	-	-
Czech Republic	30,721	30,721	-	-
Democratic Republic of the Congo	327	327	-	-
Denmark	80,794	80,794	-	-
Djibouti	108	108	-	-
Dominica	108	108	-	-
Dominican Republic	2,624	2,624	-	-
Ecuador	2,295	2,295	-	-
Estonia	1,749	1,749	-	-
Fiji	328	328	-	-
Finland	61,663	61,663	-	-
France	688,876	688,876	-	-
Gabon	875	875	-	-
Gambia	108	108	-	-
Georgia	327	327	-	-
Germany	937,705	937,705	-	-
Ghana	437	437	-	-
Greece	65,159	65,159	-	-
Guinea *	110	-15	125	-
Guyana	109	109	-	-
Honduras	546	546	-	-
Hungary	26,675	26,675	-	-
Iceland	4,046	4,046	-	-
Ireland	48,652	48,652	-	-
Italy	555,278	555,278	-	-
Japan	1,629,316	1,629,316	-	-
Jordan	1,312	1,312	-	-
Kenya	1,094	1,094	-	-
Latvia	1,968	1,968	-	-
Lesotho	108	108	-	-
Liberia	109	109	-	-
Liechtenstein	1,093	1,093	-	-
Lithuania	3,389	3,389	-	-
Luxembourg	9,294	9,294	-	-
Madagascar	219	219	-	-
Malawi	109	109	-	-
Mali	110	110	-	-
Malta	1,858	1,858	-	-
Marshall Islands	108	108	-	-
Mauritius	1,202	1,202	-	-
Mexico	246,753	246,753	-	-

States Parties	Working Capital Fund	Cumulative payments	Outstanding	Receipts relating to 2010
Mongolia	108	108	-	-
Montenegro	109	109	-	-
Namibia	656	656	-	-
Nauru	109	109	-	-
Netherlands	204,773	204,773	-	-
New Zealand	27,988	27,988	-	-
Niger	109	109	-	-
Nigeria	5,249	5,249	-	-
Norway	85,496	85,496	-	-
Panama	2,515	2,515	-	-
Paraguay	546	546	-	-
Peru	8,527	8,527	-	-
Poland	54,773	54,773	-	-
Portugal	57,616	57,616	-	-
Republic of Korea	237,571	237,571	-	-
Romania	7,653	7,653	-	-
Saint Kitts and Nevis	109	109	-	-
Saint Vincent and the Grenadines	108	108	-	-
Samoa	108	108	-	-
San Marino	328	328	-	-
Senegal	436	436	-	-
Serbia	2,296	2,296	-	-
Sierra Leone	109	91	18	-
Slovakia	6,887	6,887	-	-
Slovenia	10,495	10,495	-	-
South Africa	31,706	31,706	-	-
Spain	324,487	324,487	-	-
Suriname	109	109	-	-
Sweden	117,091	117,091	-	-
Switzerland	132,944	132,944	-	-
Tajikistan	108	108	-	-
The Former Yugoslav Rep. of Macedonia	547	547	-	-
Timor-Leste	108	108	-	-
Trinidad and Tobago	2,952	2,952	-	-
Uganda	327	327	-	-
United Kingdom	726,157	726,157	-	-
United Republic of Tanzania	656	656	-	-
Uruguay	2,952	2,952	-	-
Venezuela	21,866	21,866	-	-
Zambia	110	110	-	-
Total (110 States Parties)	7,405,983	7,405,713	270	-

* The negative amount for the cumulative payments for Guinea is caused by the refund of the WCF portion of the cash surplus for 2005, being €290; this decreased the total assessed WCF for Guinea from €400 to €110.

Schedule 4

International Criminal Court Status of cash surplus as at 31 December 2009 (*in euros*)

	2009	2008
Current year		
<i>Credits</i>		
Receipts of assessed contributions	95,469,325	90,077,103
Miscellaneous income	1,586,097	4,875,171
	97,055,422	94,952,274
<i>Charges</i>		
Disbursed expenditures	84,398,821	73,712,446
Unliquidated obligations	7,714,050	8,999,929
Provision for US Tax liability	60,161	73,945
Provision for ILO cases	223,700	34,947
Provision for Repatriation Grant Accrual	1,095,487	838,414
	93,492,219	83,659,681
Provisional cash surplus/(deficit)	3,563,203	11,292,593
Contributions receivable	760,575	304,997
Excess/(shortfall) of income over expenditure (Statement I)	4,323,778	11,597,590
Disposition of prior year's provisional surplus/(deficit)		
Prior year's provisional surplus/(deficit)	11,292,593	8,865,630
Plus: Receipt of prior periods' assessed contributions	225,024	7,896,065
Savings on, or cancellation of, prior periods' obligations	2,161,500	2,433,169
Prior year's cash surplus/(deficit)	13,679,117	19,194,864
Total cash surplus (Statement II)	18,002,895	30,792,454

Schedule 5

International Criminal Court Shares of States Parties in the 2008 cash surplus (*in euros*)

States Parties	States Parties to the Rome Statute since	2008 Scale of assessment	Surplus
Afghanistan	May-03	0,00149	203
Albania	Apr-03	0,00893	1,222
Andorra	Dec-02	0,01191	1,629
Antigua and Barbuda	Dec-02	0,00298	407
Argentina	Dec-02	0,48384	66,185
Australia	Dec-02	2,66036	363,914
Austria	Dec-02	1,32050	180,633
Barbados	Mar-03	0,01340	1,833
Belgium	Dec-02	1,64058	224,417
Belize	Dec-02	0,00149	203

States Parties	States Parties to the Rome Statute since	2008 Scale of assessment	Surplus
Benin	Dec-02	0,00149	203
Bolivia	Dec-02	0,00893	1,222
Bosnia & Herzegovina	Dec-02	0,00893	1,222
Botswana	Dec-02	0,02084	2,851
Brazil	Dec-02	1,30413	178,393
Bulgaria	Dec-02	0,02977	4,073
Burkina Faso	Jul-04	0,00298	407
Burundi	Dec-04	0,00149	203
Cambodia	Dec-02	0,00149	203
Canada	Dec-02	4,43195	606,251
Central African Republic	Dec-02	0,00149	203
Chad	Jan-07	0,00149	203
Colombia	Dec-02	0,15632	21,383
Comoros	Nov-06	0,00149	203
Congo	Aug-04	0,00149	204
Cook Islands	Oct-08	0,00037	50
Costa Rica	Dec-02	0,04764	6,517
Croatia	Dec-02	0,07444	10,182
Cyprus	Dec-02	0,06550	8,960
Democratic Republic of the Congo	Dec-02	0,00447	611
Denmark	Dec-02	1,10017	150,494
Djibouti	Feb-03	0,00149	204
Dominica	Dec-02	0,00149	204
Dominican Republic	Aug-05	0,03573	4,887
Ecuador	Dec-02	0,03126	4,277
Estonia	Dec-02	0,02382	3,258
Fiji	Dec-02	0,00447	611
Finland	Dec-02	0,83964	114,856
France	Dec-02	9,38048	1,283,167
Gabon	Dec-02	0,01191	1,629
Gambia	Dec-02	0,00149	204
Georgia	Dec-02	0,00447	611
Germany	Dec-02	12,76883	1,746,664
Ghana	Dec-02	0,00595	815
Greece	Dec-02	0,88728	121,372
Guinea	Dec-02	0,00149	204
Guyana	Dec-04	0,00149	204
Honduras	Dec-02	0,00744	1,018
Hungary	Dec-02	0,36325	49,689
Iceland	Dec-02	0,05508	7,535
Ireland	Dec-02	0,66248	90,622
Italy	Dec-02	7,56126	1,034,313
Japan	Oct-07	22,00000	3,009,406
Jordan	Dec-02	0,01786	2,444
Kenya	Jun-05	0,01489	2,036
Latvia	Dec-02	0,02680	3,666
Lesotho	Dec-02	0,00149	204
Liberia	Dec-04	0,00149	204
Liechtenstein	Dec-02	0,01489	2,036
Lithuania	Dec-02	0,04615	6,313

States Parties	States Parties to the Rome Statute since	2008 Scale of assessment	Surplus
Luxembourg	Dec-02	0,12654	17,310
Madagascar	Jun-08	0,00174	238
Malawi	Dec-02	0,00149	204
Mali	Dec-02	0,00149	204
Malta	Dec-02	0,02531	3,462
Marshall Islands	Dec-02	0,00149	204
Mauritius	Dec-02	0,01638	2,240
Mexico	Jan-06	3,36006	459,627
Mongolia	Dec-02	0,00149	204
Montenegro	Jun-06	0,00149	204
Namibia	Dec-02	0,00893	1,222
Nauru	Dec-02	0,00149	204
Netherlands	Dec-02	2,78839	381,427
New Zealand	Dec-02	0,38111	52,133
Niger	Dec-02	0,00149	204
Nigeria	Dec-02	0,07146	9,775
Norway	Dec-02	1,16419	159,250
Panama	Dec-02	0,03424	4,684
Paraguay	Dec-02	0,00744	1,018
Peru	Dec-02	0,11612	15,884
Poland	Dec-02	0,74585	102,026
Portugal	Dec-02	0,78456	107,321
Republic of Korea	Dec-02	3,23501	442,521
Romania	Dec-02	0,10421	14,255
Saint Kitts and Nevis	Nov-06	0,00149	204
Saint Vincent and the Grenadines	Dec-02	0,00149	204
Samoa	Dec-02	0,00149	204
San Marino	Dec-02	0,00447	611
Senegal	Dec-02	0,00595	815
Serbia	Dec-02	0,03126	4,277
Sierra Leone	Dec-02	0,00149	204
Slovakia	Dec-02	0,09379	12,830
Slovenia	Dec-02	0,14292	19,550
South Africa	Dec-02	0,43173	59,057
Spain	Dec-02	4,41855	604,419
Suriname	Oct-08	0,00037	50
Sweden	Dec-02	1,59443	218,104
Switzerland	Dec-02	1,81030	247,632
Tajikistan	Dec-02	0,00149	204
The Former Yugoslav Rep, of Macedonia	Dec-02	0,00744	1,018
Timor-Leste	Dec-02	0,00149	204
Trinidad and Tobago	Dec-02	0,04020	5,498
Uganda	Dec-02	0,00447	611
United Kingdom	Dec-02	9,88814	1,352,610
United Republic of Tanzania	Dec-02	0,00893	1,222
Uruguay	Dec-02	0,04020	5,498
Venezuela	Dec-02	0,29775	40,729
Zambia	Dec-02	0,00149	204
Total (108 States Parties)		100,00000	13,679,117

Schedule 6

International Criminal Court
Status of voluntary contributions as at 31 December 2009 *(in euros)*

Project	Contributor	Pledges	Received	Outstanding	Receipts for future periods	Refund to donors
Internship and Visiting Professionals Programme	European Commission	879,020	876,648	26,166	1,170,010	-
	MacArthur Foundation	76,493	76,493	-	-	7,302
	Finland	18,901	18,900	-	-	-
	Norway	60,000	60,000	-	20,000	-
	Australia	37,500	37,500	-	12,500	-
	Netherlands	15,000	15,000	-	5,000	-
	Belgium	40,000	40,000	-	-	-
	Republic of Korea	0	0	-	31,218	-
	Switzerland	6,250	6,250	-	-	-
Subtotal		1,133,164	1,130,791	26,166	1,238,728	7,302
Least Developed Countries	Australia	-	35,000	-	-	-
	Austria	-	10,000	-	-	-
	Croatia	-	3,000	-	-	-
	Finland	-	20,000	-	-	-
	Germany	-	20,000	-	-	-
	Ireland	-	25,000	-	-	-
	Netherlands	-	25,000	-	-	-
	Poland	-	10,000	-	-	-
	United Kingdom	-	21,954	-	-	-
Subtotal		-	169,954	-	-	-
Dakar Seminar	France	46,700	46,700	-	-	-
	Organisation Internationale de la Francophonie	14,320	14,320	-	-	-
Subtotal		61,020	61,020	-	-	-
ICC Legal Tools Project (Case Matrix)	Canada	59,528	59,528	-	-	-
	Finland	35,876	35,876	-	-	876
	Germany	23,052	23,052	-	-	15
	Netherlands	20,000	20,000	-	-	-
Subtotal		138,456	138,456	-	-	891
Defence Counsel Seminar	Belgium	20,000	20,000	-	-	6,459
	Finland	29,099	29,099	-	-	-
	MacArthur Foundation	38,247	38,247	-	-	-
	Netherlands	38,764	38,764	-	-	4,551
Subtotal		126,110	126,110	-	-	11,010
General Trust Fund	Austria	-	-	-	10,549	-
	Republic of Korea	-	-	-	10,000	-
Subtotal					20,549	
Total voluntary contributions		1,458,750	1,626,333	26,166	1,259,277	19,203

Schedule 7

International Criminal Court Status of trust funds as at 31 December 2009 (*in euros*)

Trust Fund	Balances brought forward as at 1 January	Receipts	Disbursements	Unliquidated obligations	Total expenditures	Interest Earned	Savings on, or cancellation of, prior periods' obligations	Refunds to donors	Unencumbered balances
Internship and Visiting Professionals Programme	-70,253	1,130,793	973,105	85,899	1,059,004	2,523	4,311	-7,302	1,068
Least Developed Countries	83,846	169,954	43,852	57,436	101,288	569	8,266	-	161,347
ICC Legal Tools Project (Case Matrix)	9,086	138,456	128,590	1,922	130,512	337	-	-891	16,476
Judicial Capacity Strengthening Programme	2,119	-	-	-	-	21	540	-	2,680
Dakar Seminar	-	61,020	35,510	27,203	62,713	20	-	-	-1,673
Defence Counsel Seminar	6,905	126,110	110,714	-	110,714	227	-	-11,010	11,518
Total	31,703	1,626,333	1,291,771	172,460	1,464,231	3,697	13,117	-19,203	191,416

Notes to the financial statements

1. The International Criminal Court and its objectives

1.1 The International Criminal Court (ICC) is a permanent institution with the power to exercise jurisdiction over perpetrators of the most serious crimes of international concern (genocide, crimes against humanity, war crimes and crimes of aggression once formally defined). The Court has four organs: the Presidency, Chambers (consisting of an Appeals Division, a Trial Division and a Pre-Trial Division), the Office of the Prosecutor and the Registry. In its resolution ICC-ASP/2/Res.3, adopted in September 2003, the Assembly of States Parties established the Secretariat of the Assembly of States Parties (the Secretariat), to begin its operations on 1 January 2004. In undertaking their tasks, the organs of the Court are guided by the framework set out in the Rome Statute, the Rules of Procedure and Evidence, and other relevant instruments.

The objectives pursued by each organ of the Court are as follows:

(a) **Presidency**

- (i) To ensure the proper administration of the Court through means of managerial oversight, coordination and cooperation;
- (ii) To oversee and support the fair, open and effective conduct of proceedings and to fulfil all exclusive judicial functions assigned to the Presidency; and
- (iii) To broaden global understanding of and support for the work of the Court by representing it in the international arena.

(b) **Chambers**

- (i) To ensure the conduct of fair, effective and open proceedings, safeguarding the rights of all parties.

(c) **Office of the Prosecutor**

- (i) To promote national efforts and international cooperation to prevent and punish genocide, crimes against humanity and war crimes;
- (ii) To investigate and prosecute genocide, crimes against humanity and war crimes; and
- (iii) To build universal consensus on the principles and purposes of the Rome Statute.

(d) **Registry**

- (i) To provide efficient, effective and high-quality judicial and administrative support services to the Presidency and Chambers, the Office of the Prosecutor, the defence, and victims and witnesses.

(e) **Secretariat of the Assembly of States Parties**

- (i) To organise conferences of the Assembly and meetings of the subsidiary bodies of the Assembly, including the Bureau and the Committee on Budget and Finance;
- (ii) To assist the Assembly, including its Bureau and subsidiary bodies in all matters relating to their work, with particular emphasis on the effective scheduling and procedurally correct conduct of meetings as well as consultations; and
- (iii) To enable the Assembly and its subsidiary bodies to carry out their mandate more effectively by providing them with high-quality substantive Secretariat servicing and support, including technical Secretariat services.

(f) **Secretariat of the Trust Fund for Victims:**

- (i) The Secretariat of the Trust Fund for Victims administers the Trust Fund for Victims and offers administrative support to the Board and its meetings. For more information regarding the Trust Fund for Victims, please refer to the Financial Statements of 2009 for the Trust Fund for Victims.

(g) **Permanent Premises Project Office:**

(i) In annexes IV and V of resolution ICC-ASP/6/Res.1, the Assembly of States Parties decided to establish the Project Director's Office within the annual proposed programme budget of the Court to cover the Staff costs and other operational costs related to the Permanent Premises Project. The Project Director's Office operates under the full authority of the Assembly of States Parties and report directly and be accountable to the Assembly through the Oversight Committee. For more information regarding the Permanent Premises Project, please refer to point 14 of the present report.

2. Summary of significant accounting and financial reporting policies

2.1 The accounts of the International Criminal Court are maintained in accordance with the Financial Regulations and Rules of the Court, as adopted by the Assembly of States Parties at its first session in September 2002, and amendments thereto. The accounts of the ICC are currently in conformity with the United Nations system accounting standards. These notes form an integral part of the ICC's financial statements.

2.2 **Fund accounting:** the Organization's accounts are maintained on a fund accounting basis. Separate funds for general or special purposes may be established by the Assembly of States Parties. Trust funds and special accounts funded wholly by voluntary contributions may be established and closed by the Registrar.

2.3 **Financial period:** the financial period of the Organization is one calendar year, unless otherwise decided by the Assembly of States Parties.

2.4 **Accrual basis:** with the exception of voluntary contributions, as defined in subparagraph 2.15 (b) below, income, expenditure, assets and liabilities are recognized on the modified accrual basis of accounting.

2.5 **Historical cost basis:** the accounts are prepared on the historical cost basis of accounting and are not adjusted to reflect the effects of changing prices for goods and services.

2.6 **Currency of accounts and treatment of exchange rate movements:** the accounts of the Organization are presented in euros. Accounting records kept in other currencies are converted into euros at the United Nations operational rate of exchange in effect at the date of the financial statement. Transactions in other currencies are converted into euros at the United Nations operational rate of exchange in effect at the date of transaction.

Exchange rate gains and losses are treated as follows:

(a) Realized gains and losses resulting from the purchase of other currencies are recorded as miscellaneous income;

(b) Realized losses on transactions are reflected in the expenditures of the main programme;

(c) Unrealized gains and losses resulting from the revaluation of cash and other assets and liabilities are recorded as a provision in the balance sheet. At year-end, a cumulative net gain is left as a provision in the balance sheet, whereas a net loss is specifically provided for and recorded as expenditure; and

(d) Unrealized gains and losses relating to the revaluation of unliquidated obligations are recorded as expenditure and adjusted in the corresponding programme budgets.

2.7 **The General Fund** was established for the purpose of accounting for the expenditures of the Court. The General Fund includes assessed contributions, funds provided by the United Nations, voluntary contributions, miscellaneous income and advances made from the Working Capital Fund to finance expenditures.

2.8 **The Working Capital Fund** is the fund established to ensure capital for the Court to meet short-term liquidity problems pending receipt of assessed contributions. The amount of the Working Capital Fund is determined by the Assembly of States Parties for each financial period and is assessed in accordance with the scale of assessments used for the appropriations of the Court, in accordance with financial regulation 6.2.

2.9 **Trust Funds and special accounts** are established and closed by the Registrar, and are reported to the Presidency and, through the Committee on Budget and Finance, to the Assembly of States Parties. They are funded wholly by voluntary contributions in accordance with specific terms and agreements with the donors.

Reserve accounts and special accounts funded wholly or in part by assessed contributions may be established by the Assembly of States Parties.

2.10 **The Contingency Fund** in the maximum amount of €10,000,000 was established by the Assembly of States Parties in September 2004 to be effective 1 January 2005, to ensure that the Court can meet:

- (a) Costs associated with an unforeseen situation following a decision by the Prosecutor to open an investigation;
- (b) Unavoidable expenses for developments in existing situations that could not be foreseen or could not be accurately estimated at the time of adoption of the budget; or
- (c) Costs associated with an unforeseen meeting of the Assembly of States Parties.

The level of the Contingency Fund is determined by the Assembly of States Parties and is financed by assessed contributions or by applying cash surpluses, as determined by the Assembly of States Parties.

2.11 **Assessed contributions:**

- (a) In accordance with financial regulation 5.2, the appropriations are assessed to States Parties in accordance with the scale of assessments adopted by the United Nations for its regular budget, adjusted to reflect differences in membership between the United Nations and the Court;
- (b) In accordance with financial regulation 5.8, payments made by a State Party are credited first to the Working Capital Fund and then to the contributions due to the General Fund, and then to the Contingency Fund, in the order in which the State Party was assessed;
- (c) Contributions paid in other currencies are converted into euros at the rate of exchange in effect at the date of payment; and
- (d) New States Parties to the Rome Statute are assessed for the year in which they became States Parties for the Working Capital Fund and the regular budget, in accordance with financial regulation 5.10.

2.12 **Surpluses due to States Parties** for a given financial period are funds arising from:

- (a) Unencumbered balances of appropriations;
- (b) Savings on, or cancellation of, prior periods' obligations;
- (c) Contributions resulting from the assessment of new States Parties;
- (d) Revisions to the scale of assessments taking effect during the financial year; and
- (e) Miscellaneous income as defined in subparagraph 2.15(d) below.

Unless otherwise determined by the Assembly of States Parties, surpluses at the end of the financial period, after deducting there from any assessed contributions for that financial period which remain unpaid, are apportioned to the States Parties based on the scale of assessments applicable for the financial period to which the surplus relates. As of 1 January following the year in which the audit of the accounts of the financial period is completed, the amount of surplus apportioned to a State Party is surrendered if its contribution for that financial period has been paid in full. In such cases, the credit is used to offset, in whole or in part, contributions due to the Working Capital Fund and assessed contributions due for the calendar year following the financial period to which the surplus relates.

2.13 Reserve for unpaid assessed contributions: a reserve in the amount of assessed contributions remaining unpaid for prior financial periods is shown on the balance sheet as a deduction from the cumulative surplus.

2.14 Contributions received in advance: contributions received in advance are shown on the balance sheet as a liability. Contributions received in advance are applied in the following financial period, first against any advances due to the Working Capital Fund and second, to the assessed contributions.

2.15 Income: the organization's income consists of:

(a) Assessed contributions: for the purposes of the financial statements, income is recognized when the assessments to the States Parties of the adopted programme budget have been approved by the Assembly of States Parties;

(b) Voluntary contributions are recorded as income on the basis of a written commitment to pay monetary contributions during the current financial year, except where contributions are not preceded by a pledge. For these funds, income is recorded at the time that the actual contributions are received from the donors;

(c) Funds provided by the United Nations in accordance with article 115, subparagraph (b), of the Rome Statute; and

(d) Miscellaneous income includes:

(i) Refunds of actual expenditures incurred in prior financial periods;

(ii) Interest income: includes all interest earned on deposits in interest-bearing bank accounts and time deposits;

(iii) Income derived from investments of the General Fund, the Working Capital Fund and the Contingency Fund;

(iv) At the end of the financial period, a net positive balance of the account for loss or gain on exchange resulting from currency exchange, or revaluation and devaluation of currency is credited to miscellaneous income. A net negative balance is charged to the relevant expenditure account;

(v) Proceeds from the sale of property; and

(vi) Voluntary contributions for which no purpose is specified.

2.16 Expenditure:

(a) Expenditures are incurred against authorized allotments in accordance with financial rule 104.1. Total expenditures reported include disbursement expenditures and unliquidated obligations;

(b) Expenditures incurred for non-expendable property are charged to the budget of the period when acquired and are not capitalized. Inventory of such non-expendable property is maintained at the historical cost; and

(c) Obligations pertaining to future financial periods are recorded against a deferred charge account, in accordance with financial rule 111.7.

2.17 Unliquidated obligations are commitments entered into that have not been disbursed during the financial period. Obligations are based on a formal contract, agreement, purchase order or other form of undertaking, or on a liability recognized by the Court. Current period obligations remain valid for 12 months after the end of the financial year to which they relate.

2.18 Provision for pension liabilities for judges:

During its seventh session held from 9 to 13 October 2006, the CBF recommended that the Court should accept the tender of Allianz/NL to insure the judges' pension scheme. The CBF recommendation was accepted by the Assembly at its fifth session (see ICC-ASP/5/32, paragraph 31). Allianz have been appointed as the Judges Pension Scheme Administrators and the commencement date for the contract is 31 December 2008.

The ICC pays Allianz and annual premium of €1,975,611. Based on the premium paid the scheme is a defined benefit scheme which provides the following benefits for scheme members:

- (a) a defined retirement pension for judges after the completion of the 9-year term (pro-rated if 9-year term is not completed);
- (b) surviving spouse pension is 50 per cent; and
- (c) disability pension for judges aged 65 and under.

2.19 **Cash and term deposits** comprise funds held in interest-bearing bank accounts, time deposits and call accounts.

2.20 **Deferred income** includes pledged contributions for future financial periods and other income received but not yet earned.

2.21 **Prepaid expenses comprise:** That portion of the education grant advance which is assumed to pertain to the scholastic year completed at the date of the financial statement is reported as prepaid expenses for the purposes of the balance sheet statement only. The full amount of the advance is maintained in the accounts receivable of staff members and officials of the Court until the required evidence of entitlement is produced, at which time the budgetary account is charged and the advance settled. Compared to last year these costs were presented under deferred charges. Prepaid expenses seem to be a more appropriate accounting treatment, as the education costs are paid in advance of the school year. To keep the figures comparable a restatement of the 2008 figures was made to reflect the prepaid expenses for education grants.

2.22 **Repatriation grant liability:** internationally recruited staff members who separate become entitled to repatriation grants after one year of service. Similarly, judges become entitled to receive a relocation allowance after five years of service, upon termination of duty.

This method of estimating the liability is more prudent and in line with current best practice for employee benefits, where the underlying principle is that the cost of providing employee benefits should be recognized in the period in which the benefit is earned by the employee, rather than when it is paid or payable.

2.23 **Contingent liabilities**, if any, are disclosed in the notes to the financial statements.

2.24 **United Nations Joint Staff Pension Fund (UNJSPF):** in accordance with decision ICC-ASP/1/Decision 3 of the Assembly of States Parties and United Nations General Assembly resolution 58/262 adopted on 23 December 2003, the ICC became a member organization of the UNJSPF with effect from 1 January 2004. The UNJSPF provides retirement, death, disability and related benefits to ICC staff.

The Pension Fund is a funded defined benefit plan. The financial obligation of the organization to the Fund consists of its mandated contribution at the rate established by the United Nations General Assembly of 15.8 per cent of pensionable remuneration, together with any share of any actuarial deficiency payments under article 26 of the Regulations of the Fund. Such deficiency payments are only payable if and when the United Nations General Assembly has invoked the provision of article 26, following determination that there is a requirement for deficiency payments based on an assessment of the actuarial sufficiency of the Fund as of the valuation date. At the time of this report, the United Nations General Assembly has not invoked this provision.

3. The International Criminal Court (statements I-IV)

3.1 The International Criminal Court was established by the Rome Statute of the International Criminal Court on 17 July 1998, when 120 States participating in the "United Nations Diplomatic Conference of Plenipotentiaries on the Establishment of an International Criminal Court" adopted the Statute. The Court is an independent permanent judicial institution composed of the following organs:

- (a) The Presidency, comprising the President and the First and Second Vice-Presidents;

(b) The Chambers, comprising an Appeals Division, a Trial Division and a Pre-Trial Division. The Appeals Division is composed of the President and four other judges; the Trial Division is composed of not less than six judges, as is the Pre-Trial Division;

(c) The Office of the Prosecutor, which acts independently as a separate organ of the Court, is responsible for the investigation and prosecution of crimes within the jurisdiction of the Court;

(d) The Registry, which is responsible for:

- (i) Non-judicial support and administrative services for the Court;
- (ii) The provision of judicial support for court services;
- (iii) Management of the internal security of the Court; and
- (iv) The implementation of mechanisms to assist and safeguard the rights of victims, witnesses and defence; and

(e) The Secretariat, which provides the Assembly and its Bureau, the Credentials Committee, the Committee on Budget and Finance, the Special Group on the Crime of Aggression, as well as, upon explicit decision by the Assembly, any subsidiary body that may be established by the Assembly, with independent substantive servicing as well as administrative and technical assistance.

The seat of the Court has been established at The Hague in the Netherlands in accordance with article 3 of the Rome Statute. The Court has also established six field offices to enable it to conduct its field operations. These field offices are operational in Uganda, Democratic Republic of the Congo (2), Chad (2) and Central African Republic.

For the purposes of the 2009 financial period, the appropriations were divided into six major programmes: the Judiciary (Presidency and Chambers), the Office of the Prosecutor, the Registry, the Secretariat of the Assembly of States Parties, the Secretariat of the Trust Fund for Victims and the Permanent Premises Project Office.

3.2 The financial period of the organization is one calendar year unless otherwise decided by the Assembly of States Parties.

3.3 **Statement I** reports the income and expenditure and changes in reserve and fund balances during the financial period. It includes the calculation of the excess of income over expenditure for the current period and prior period adjustments of income or expenditure.

3.4 **Statement II** shows the assets, liabilities, reserves and fund balances as at 31 December 2009. The value of non-expendable property is excluded from the assets (see note 6).

3.5 **Statement III** is the cash flow summary statement prepared using the indirect method of International Accounting Standard 7.

3.6 **Statement IV** reports on expenditures against the appropriation approved for the financial period.

4. General Fund, Working Capital Fund and Contingency Fund

4.1 **Assessed contributions:** the Assembly of States Parties, in its resolution ICC-ASP/7/Res.4, approved the funding of the appropriations of the Court for the financial period 1 January to 31 December 2009 in the total amount of €101,229,900. As at 31 December 2009, there were 110 States Parties to the Rome Statute.

4.2 **Interest income:** interest in the amount of €1,291,713 represents interest earned on the Court's bank accounts for the General Fund, the Working Capital Fund and the Contingency Fund.

4.3 **Miscellaneous income:** the total miscellaneous income of €294,384 represents the following:

Table 1: Details of miscellaneous income

<i>Miscellaneous income</i>	<i>Amount (euros)</i>
Refund of expenditures incurred in prior financial periods	221,577
Miscellaneous income	72,807
Total	294,384

4.4 **Expenditures:** the total amount of expenditures, €93,492,219, comprises total disbursements of €84,398,821, outstanding obligations of €7,714,050 and provisions for liabilities of €1,379,348. The expenditures are detailed in table 2 below.

Table 2: Details of expenditures (in euros)

<i>Category of expenditure</i>	<i>Appropriation Amount</i>	<i>Disbursed expenditures</i>	<i>Unliquidated Obligations</i>	<i>Provisions ^(a)</i>	<i>Total Expenditures</i>
Salaries & other staff costs	71,457,300	65,457,575	933,529	1,379,348	67,770,452
Travel & hospitality	5,122,200	3,102,344	857,863	-	3,960,207
Contractual services	8,986,000	5,787,185	2,417,987	-	8,205,172
Operating expenses	13,207,300	7,706,166	2,893,409	-	10,599,575
Acquisitions	2,457,100	2,345,551	611,262	-	2,956,813
Total	101,229,900	84,398,821	7,714,050	1,379,348	93,492,219

^(a) The amount of €1,379,348 is recorded in the accounts as the sum of the following provisions: a provision for US Tax Liability for staff of €60,161; provision for ILO cases of €223,700; and an accrual for repatriation grant of €1,095,487 as stated in notes 4.5 and 4.6 below.

Pension expenditures:

During its seventh session held from 9 to 13 October 2006, the CBF recommended that the Court should accept the tender of Allianz/NL to insure the judges' pension scheme. The CBF recommendation followed the earlier ASP request to the CBF (ICC-ASP/4/Res.9) for the latter to advice on the most cost-effective option of administering the judge's pension scheme. The CBF recommendation was accepted by the ASP at its fifth session (see ICC-ASP/5/32, paragraph 31).

The commencement date for the contract between ICC and Allianz/NL is 31 December 2008.

The pension scheme of the judges' pension will change from an accrual basis system to the payment of an annual premium to Allianz. The accrual amount premium for 2009 was paid to Allianz and recorded as disbursed expenditure for €2,280,263.

4.5 Provisions

(a) **Repatriation Grant Accrual:** The Repatriation Grant Liability has been subdivided into a Repatriation Grant accrual of €3,512,533 and Repatriation Grant Liability of €283,544 under Other Accounts Payable. The distinction being that the Repatriation Grant Liability refers to amounts owed to staff as of 31 December 2009 who have already left the Court and the amounts are therefore due and payable. The Repatriation Grant Accrual refers to amounts earned by eligible employees as at 31 December 2009 but are not payable as at 31 December as the staff are still employed by the Court. The amount charged to the budget in 2009 for Repatriation Grant accrual was €1,095,487 which was split between the different organs of ICC; and

(b) **Provision for US Tax Liability:** According to the practice and fundamental principles of the International Civil Service, as adjudicated upon by the International Labour Organization Administrative Tribunal (ILOAT), all employees of the Court are entitled to exemption from taxation on Court salaries, emoluments and allowances paid by the Court. The calculated tax liability is €60,161 that estimated for the year 2009 for 10 United States taxpayers on the payroll of the Court during this period.

4.6 Provision for ILO cases:

During 2009 one case has been filed with the International Labour Organization Administrative Tribunal (ILOAT) by a former staff member of the ICC. The ICC Legal Department have assessed that it is probable that a payment will have to be made to this staff member and based upon ICC's experience of such cases an amount has been provided for in 2009.

4.7 Savings on, or cancellation of, prior periods' obligations: actual disbursements of prior periods' obligations of €8,999,929 amounted to €6,838,429 due to savings on or cancellation of obligations in the amount of €2,161,500.

4.8 Credits to States Parties: cash surplus credited to eligible States Parties amounted to €19,194,864. This comprises cash surpluses from 2007 and had been apportioned to States Parties in accordance with their respective assessments for that financial period. The sum of €396,357 of this cash surplus had been returned to States Parties and the remaining amount of €18,798,507 was credited towards assessed contributions due and is included in the total amount of collections during 2009 (Schedule 1).

4.9 Working Capital Fund: the Assembly of States Parties, in its resolution ICC-ASP/7/Res.4, established the Working Capital Fund for the financial period 1 January to 31 December 2009 in the amount of €7,405,983 at the same level compared to the previous financial period.

4.10 Assessed contributions receivable: the outstanding balance of contributions of €1,093,012 comprises €332,437 due for prior financial periods and €760,575 due for 2009 (Schedule 1). Contributions received from States Parties in excess of contributions due in the amount of €1,523,706 are reported as contributions received in advance (see note 4.15(b) below).

4.11 Other contributions receivable refer to the outstanding balance due to the Working Capital Fund. The outstanding balance as at 31 December 2009 was €270 (Schedules 2 and 3).

4.12 Interfund balances receivable to the General Fund as at 31 December 2009 amount to €140,150, from the trust funds for which there are separate accounts.

4.13 Other accounts receivable in the total amount of €2,811,247 are detailed in table 3 below.

Table 3: Details of other accounts receivable

<i>Accounts receivable</i>	<i>2009 Amount (euros)</i>	<i>2008 Amount (euros)</i>
Governments (value-added tax) ^(a)	1,127,297	989,495
Staff	277,681	665,523
Vendors	9,921	77,871
Interest accrued	97,439	1,715,624
Travel advances (other than cash advances) ^(b)	513,251	495,306
Advances to vendors for travel-related expenses ^(c)	565,848	507,269
Others ^(d)	219,810	132,673
Total	2,811,247	4,583,761

^(a) **Value-added tax:** the amount of €1,127,297 recorded in the accounts as receivable from government for value-added tax (VAT) paid on goods and services does include the amount of €215,019 representing VAT for purchases in Uganda during 2005, 2006, 2007, 2008 and 2009. This amount is a receivable from the Ugandan government. It is not certain whether the amount of €215,019 will be fully recoverable, as the law granting VAT exemption status to ICC in Uganda was signed on 18 October 2008 and entered into force on 1st July 2008. Efforts are made for retroactive VAT reimbursement on the basis that the ICC was entitled to VAT exemption status from the beginning of its operations in Uganda and that the delays in formally recognizing that status were not caused by ICC.

^(b) **Travel advances (other than cash advances):** represent the amount of travel advances for which the travellers had not submitted travel expense claims to be recorded in the accounts as expenditure in 2009. This is the amount of travel advances with the exception of those paid in the form of cash. Cash advances are recorded as receivables from the travellers and are included in the total amounts for "Staff", "Vendors", or "Others" receivables.

^(c) **Advances to vendors for travel-related expenses:** represent the amounts paid to vendors for travel-related expenses such as tickets and shipments for which the travel claims had not been submitted by the travellers to be recorded as expenditure in the accounts as at 31 December 2009.

^(d) **Within the others accounts receivable** an amount of €109,293 is included related to the Defence Counsel Costs of an accused. As a result of a judicial decision dated 20 October 2009 (Trial chamber III, number ICC-01/05-01/08-568), the Court advanced an amount of €178,872 for legal costs to cover the legal representation of an accused person whose assets have been frozen. At the closing of the financial statements an amount of €69,579 was retrieved by the Court from the frozen assets. It is likely but cannot be guaranteed that a full recovery of the amount will be possible when the Court applies for all the assets to be released.

4.14 Education grant advances: prepaid expenses contain the amount of €688,312 which is the portion of the education grant advance that is assumed to pertain to the scholastic years completed as at 31 December 2009 and 2010. To keep the figures comparable a restatement of the 2008 figures was made to reflect the prepaid expenses for education grants for the amount of €787,308.

4.15 Contributions or payments received in advance: a total of €3,671,716 was received from States Parties to be applied to the next financial period. This comprises:

(a) €1,523,706 received from States Parties in advance for 2009 assessed contributions (Schedule 1); and

(b) €2,148,010 representing contributions received in excess of assessed contributions for 2009 resulting from assessment of new States Parties, overpayments or the distribution of 2007 cash surplus (Schedule 1).

4.16 Other accounts payable in the total amount of €1,338,759 are detailed in table 4 below.

Table 4: Details of other accounts payable

<i>Accounts payable</i>	<i>2009 Amount (euros)</i>	<i>2008 Amount (euros)</i>
Staff	161,520	41,780
Vendors	447,034	130,304
Deferred payment from Special Court for Sierra Leone ^(a)	386,039	356,870
Repatriation grant liability ^(b)	283,544	197,433
Others	50,745	64,596
Interest accrued (Loan from MFA Netherlands) ^(c)	9,877	
Total	1,338,759	790,983

^(a) **Deferred payment from the Special Court of Sierra Leone:** on 29 March 2006, the ICC received a request from the President of the Special Court of Sierra Leone (Special Court) to provide temporary assistance with regard to the provision of courtroom and detention services and facilities and support for the conduct of the trial of Charles Taylor. After consultations with the Bureau of the Assembly of States Parties, the ICC agreed to provide the services and facilities on condition that full payment in advance would be made before services are rendered. Based on this decision, the ICC and the Special Court signed a Memorandum of Understanding (MOU) on 13 April 2006 regarding the administrative arrangements between the two Courts.

Following this agreement, the Special Court made advance payments to the ICC to cover its expenditures. The amount of €386,039 is the balance of the advance payments received from the Special Court less the expenditures incurred by the Special Court as at 31 December 2009.

^(b) **Repatriation grant liability:** The accounting for repatriation grants has been adjusted in 2008 to increase financial statement transparency. The Repatriation Grant Liability has been subdivided into a Repatriation Grant accrual of €3,512,533 and Repatriation Grant Liability of €283,544 under Other Accounts Payable. The distinction being that the Repatriation Grant Liability refers to amounts owed to staff as of 31 December 2009 who have already left the Court and the amounts are therefore due and payable. The Repatriation Grant Accrual refers to amounts earned by eligible employees as at 31 December 2009 but are not payable as at 31 December as the staff are still employed by the Court.

^(c) **Interest Accrued:** the amount of €9,877 is the Interest accrued on the utilization of the Host State Loan of €1,425,000 during 2009. Those interests were paid to the MFA Netherlands in February 2010 in accordance with annex II to resolution ICC-ASP/7/Res.1. The Interest is to be paid annually, as of the first utilization of the host State loan. Repayment of the loan, through regular annual instalments, will commence after expiration of the existing or future leases of the interim premises. For more information regarding the Permanent Premises Project, please refer to point 14, of the present report.

4.17 **Contingency Fund:** the amount of €9,168,567 representing the cash surplus for 2002-2003 financial period had been credited to establish the Contingency Fund in accordance with resolution ICC-ASP/ICC/Res.4 (b). The fund had not been used in 2009.

4.18 **Reserve for unpaid assessed contributions:** an amount of €332,437, being the amount of outstanding assessed contributions for prior financial periods (Schedule 1), is deducted from the cumulative surplus to derive the cash surplus for 2008 for distribution to States Parties (Schedule 4).

5. Trust funds

5.1 **Voluntary contributions:** contributions in the amount of €1,652,499 have been pledged and/or received for 2009 projects.

5.2 **Interest income:** interest in the amount of €3,697 represents interest earned on the Court's bank account for the Trust Funds.

5.3 **Refund to donors:** the amount of €19,203 was refunded to donors, being the amount in excess of the requirements of the specific projects completed (Schedules 6 and 7).

5.4 **Other accounts receivable:** the amount of €754 is the interest earned but not received with regard to the bank account of the Trust Funds.

5.5 **Contributions or payments received in advance:** the ICC received voluntary contributions in the total amount of €1,259,277 for projects starting after 31 December 2009 (Schedule 6).

5.6 **Interfund balances payable:** as at 31 December 2009, interfund balances between the Trust Fund and the General Fund amounted to a payable of €140,150.

5.7 **Restatement of 2008:** In the financial Statements for the period ending 31 December 2007, the ICC erroneously reported €207,256 as voluntary contributions outstanding pledged from the European Commission in schedule 6. This pledge was received and to correct the Trust Fund balance it has been reduced by €207,256 in the comparative figure for 2008 as this is the earliest year of account disclosed in these financial statements.

6. Non-expendable property

6.1 A summary of non-expendable property, at historical cost, as at 31 December 2009 is provided in table 6 below. In accordance with the current ICC accounting policies, non-expendable property is not included in the fixed assets of the organization, but is directly charged to the budget upon acquisition.

Table 5: Summary of non-expendable property (in euros)

<i>Asset management category</i>	<i>Opening balance as at 1 January 2009</i>	<i>Acquisitions adjustments</i>	<i>Written-off items^{a)}</i>	<i>Closing balance as at 31 December 2009</i>
Information, technology and communications equipment	6,809,746	704,828	(253,559)	7,261,015
Security and safety equipment	814,865	7,844	(798)	821,911
General services equipment	1,029,438	49,441	(3,047)	1,075,832
Vehicles and transportation equipment	811,106	82,491	(29,054)	864,543
Office of the Prosecutor equipment	1,334,178	20,049	(6,186)	1,348,041
Other equipment	1,175,624	250,616	-	1,426,240
Courtrooms and Pre-Trial Chamber*	1,362,918	-	-	1,362,918
Total	13,337,875	1,115,269	(292,644)	14,160,500

* Courtrooms and Pre-Trial chamber – Courtroom elements constituting an integral part of the installation.

In addition to the above, the ICC's records include the following non-expendable property acquired from voluntary contributions:

Table 6: Summary of non-expendable property funded by other sources (in euros)

<i>Asset management category</i>	<i>Opening balance as at 1 January 2009</i>	<i>Written-off items^(a)</i>	<i>Closing balance as at 31 December 2009</i>
Advance team budget	18,277	(717)	17,560
Donations to the ICC	419,557	(91,382)	328,175
Total	437,834	(92,099)	345,735

^(a) During 2009, items valued at a total amount of €384,743 had been written off.

7. Write-off losses of cash, receivables and property

7.1 In addition to the items written off during 2009 as stated in 6.1 above, a total amount of €2,095 had been written off as loss of cash; a total amount of €56,613 had been written off as irrecoverable accounts receivable since 2005.

8. Ex-gratia payments

8.1 No ex-gratia payments were made by the Court during the financial period.

9. Gratis personnel

9.1 No services of gratis personnel were received during the financial period.

10. Contingent liabilities

10.1 During 2009 three potential cases have been identified where a former staff member of the ICC may consider filing actions with the International Labour Organization Administrative Tribunal (ILOAT). In such an eventuality the ICC will attempt to establish the likely outcome of these cases and take action to account for them accordingly.

11. Service-incurred injury

11.1 The ICC entered into an agreement with an insurance company to offer coverage for service-incurred injuries for ICC staff, judges, consultants and temporary assistants. The insurance premium, calculated as a percentage of the pensionable remuneration for the staff members and a comparable percentage for judges, consultants and temporary assistants, is charged to the organization's budget and is reflected in the accounts under expenditures. The total premium paid during 2009 for this insurance was €771,244.

12. Contributions in kind

12.1 The following are the significant (higher than €25,000) contributions in kind received by the Court during the financial period:

(a) As reported in the financial statements for the prior financial periods, the Court continues to receive the following contributions from the host State:

- (i) Premises to the Court free of rent for a period of 10 years, starting 1 July 2002; and
- (ii) Costs associated with the interim premises of the Court to a maximum of €33 million, including the costs of building a courtroom.

13. Contributions to the Trust Fund for Victims

13.1 In its resolution ICC-ASP/1/Res.6, the Assembly of States Parties established the Trust Fund for Victims for the benefit of victims of crimes within the jurisdiction of the ICC, and the families of such victims.

In the annex to that resolution, the Assembly established a Board of Directors, which is responsible for the management of the Trust Fund, and decided that the Registrar of the Court should be responsible for providing such assistance as is necessary for the proper functioning of the Board in carrying out its tasks and should participate in the meetings of the Board in an advisory capacity.

In 2009, the Assembly approved an appropriation of €1,301,400 for the Secretariat of the Trust Fund for Victims, which administers the Trust Fund and offers administrative support to the Board and its meetings. Expenditures recorded in the accounts for the Secretariat during the financial period are €1,263,964.

14. The Permanent Premises Project: General description

14.1 The Permanent Premises Project was established by the Assembly of States Parties in resolution ICC-ASP/4/Res.2, which emphasized that “the Court is a permanent judicial institution and as such requires functional permanent premises to enable the Court to discharge its duties effectively and to reflect the significance of the Court for the fight against impunity”, and reiterating the importance of permanent premises to the future of the Court.

14.2 In resolution ICC-ASP/6/Res.1, the Assembly further recalled that the overall construction costs, which include a contingency reserve, fees for the consultants and contractors, pre-tender and post-tender inflation, any fees for permits and dues and a fund for integrated, specialized representational features were estimated to be no more than €190 million at the 2014 price level. In annex II to resolution ICC-ASP/6/Res.1, the Assembly of States Parties established an Oversight Committee of States Parties as a subsidiary body of the Assembly, pursuant to article 112, paragraph 4, of the Rome Statute.

14.3 The mandate of the Oversight Committee is to provide a standing body to act on behalf of the Assembly in the construction of the permanent premises of the International Criminal Court. The role of the Oversight Committee will be strategic oversight, with routine management of the project resting with the Project Director. The Oversight Committee is a body consisting of 10 States Parties, with at least one member from each regional group.

15. The Permanent Premises Project: Accounting and financial reporting policies

15.1 The accounts of the Permanent Premises Project are maintained in accordance with the Financial Regulations and Rules of the ICC, as established by the Assembly of States Parties in the annex to resolution ICC-ASP/1/Res.6. Therefore, the accounts of the Permanent Premises Project are currently in conformity with the United Nations system accounting standards. These notes form an integral part of the Permanent Premises Project's financial statements.

15.2 **Fund accounting:** the Permanent Premises Project's accounts are maintained on a fund accounting basis.

15.3 **Financial period:** the financial period of the Permanent Premises Project is one calendar year, unless otherwise decided by the Assembly of States Parties.

15.4 **Historical cost basis:** the accounts are prepared on the historical cost basis of accounting and are not adjusted to reflect the effects of changing prices for goods and services.

15.5 **Currency of accounts:** the accounts of the Permanent Premises Project are presented in euros. Accounting records kept in other currencies are converted into euros at the United Nations operational rate of exchange in effect at the date of the financial statement. Transactions in other currencies are converted into euros at the United Nations operational rate of exchange in effect at the date of transaction.

15.6 **Funding:** the Permanent Premises Project is funded by:

(a) Ministry of Foreign Affairs of the Host State Netherlands: through the provision to the Court of a loan of up to a maximum of €200 million, to be repaid over a period of 30 years at an interest rate of 2.5 per cent, on the basis of annex II to resolution ICC-ASP/7/Res.1. The Interest is to be paid annually, as of the first utilization of the host State loan. Repayment of the loan, through regular annual instalments, will commence after expiration of the existing or future leases of the interim premises;

(b) Assessed Contributions based on the principles laid out in resolution ICC-ASP/7/Res.1 Annex III for one-time payments of the assessed share;

(c) Voluntary contributions from governments, international organizations, individuals, corporations and other entities, in accordance with annex VI of ICC-ASP/6/Res.1 adopted by the Assembly of States Parties on the establishment of a permanent premises construction trust fund; and

(d) Alternative resources as the Assembly of States Parties may decide to allocate.

15.7 **Income:** assessed and voluntary contributions are recorded as income on the basis of a written commitment to pay monetary contributions during the current financial year, except where contributions are not preceded by a pledge. For these funds, income is recorded at the time that the actual contributions are received from the donors.

15.8 **Cash and term deposits** comprise funds held in interest-bearing bank accounts, time deposits, and call accounts.

16. The Permanent Premises Project: Notes to Statements (I-III)

16.1 **Statement I** reports the income and expenditure and changes in reserve and fund balances during the financial period. It includes the calculation of the excess of income over expenditure for the current period and prior-period adjustments of income or expenditure.

16.2 **Statement II** shows the assets, liabilities, reserves and fund balances as at 31 December 2009.

16.3 **Statement III** is the cash flow summary statement prepared using the indirect method of International Accounting Standard 7.

16.4 **Assessed Contributions and Voluntary contributions** in the total amount of €0 were received from governments, individuals, organizations and other entities.

16.5 **Expenditures:** the total amount of expenditures of €1,279,471 comprises disbursements of €1,274,082 and outstanding obligations of €5,389.

16.6 **Savings on, or cancellation of, prior periods' obligations:** actual disbursements of prior periods' obligations of €0 amounted to €0 due to savings on or cancellation of obligations in the amount of €0.

16.7 **Other accounts receivable** in the amount of €554 represent interest earned but not yet received as at 31 December 2009 and €205,304 represent Value-added tax paid on goods and services to be received from the Dutch government.

16.8 **Other accounts payable** in the amount of €1,105,903 represent invoices booked in the accounts but not yet paid as at 31 December 2009. Those invoices were paid in January and February 2010.

16.9 **Contributions received in advance** in the amount of €2,092,090 is an advance payment issued by the Embassy of Italy for 2010 contribution to the Permanent Premises Project in relation to one-time payments option as referred to resolution ICC-ASP/7/Res.1.

16.10 **Host State Loan** in the amount of €1,425,000 was utilized during 2009 to finance costs related to the construction of the permanent premises. The Interests accrued on the utilization of the loan of €9,877 are included in the annual programme budget of the Court for 2009 and paid to the MFA Netherlands in February 2010.

16.11 **Project Director's Office:** in annex IV and V of resolution ICC-ASP/6/Res.1, the Assembly of States Parties decided to establish the Project Director's Office within the annual proposed programme budget of the Court under major programme VII to cover the Staff costs and other operational costs related to the premises project. The Project Director's Office operates under the full authority of the Assembly of State Parties and report directly and be accountable to the Assembly through the Oversight Committee.

Without prejudice to the paragraph above, the Project Director's Office is an integral part of the International Criminal Court; for administrative and staff purposes, the Project Director's Office and its staff are attached to the Registry of the Court.

In 2009, the Assembly of States Parties approved an appropriation of €502,000 for the Project Director's Office which is responsible for the management of the Permanent Premises Project. Expenditures recorded in the accounts for the Project Director's Office during the financial period are €317,410.

16.12 **Contributions in kind**

The following are the significant contributions in kind received by the Permanent Premises Project during the financial period:

a) Administrative Services are provided by ICC sections mainly by the Budget and Finance Section (accounts preparation, bank reconciliations, recording and payment of invoices); Legal Advisory Services Section (contract negotiation and other legal matters); General Services Section (Procurement services : preparation of Purchase Orders and Facilities management services); Information Communication and Technology Section (provision of ICT services); and

b) Host state contribution to architect selection.

2. Trust Fund for Victims financial statements for the period 1 January to 31 December 2009*

Contents

Letter of transmittal.....	319
External Auditor's report.....	320
Financial statements	
Statement I: Income and expenditure and changes in fund balances for the period ending 31 December 2009	328
Statement II: Assets, liabilities, reserves and fund balances as at 31 December 2009	328
Statement III: Cash flow as at 31 December 2009	329
Notes to the financial statements	
1. The Trust Fund and its objectives.....	330
2. Summary of significant accounting and financial reporting policies	330
3. The Trust Fund for Victims (statements I-III)	330

* Previously issued as ICC-ASP/9/14.

Letter of transmittal

5th of July, 2010

In accordance with Financial Regulation 11.1, I have the honour to submit the financial statements of the Trust Fund for Victims for the financial period 1 January to 31 December 2009.

(Signed) Silvana Arbia
Registrar

Mr. Damian Brewitt
Director
National Audit Office
157 Buckingham Palace Road
London SW1W 9SS
United Kingdom

External Auditor's Report 2009

The audit of the financial statements of the Trust Fund for Victims

Contents

Executive summary

Detailed findings:

Paragraph

- Overall results	1- 4
- Financial results	5
- Online donations	6 - 11
- Staffing of the Trust Fund	12-13
- Preparations for Court ordered reparations	14-15
- Governance issues.....	16-20

Acknowledgement.....21

Annex A: Summary of audit recommendations

Annex B: Follow-up to audit recommendations for 2008

Executive summary

We have provided an unqualified audit opinion on the 2009 financial statements – which present fairly in all material respects the financial position of the Trust Fund for Victims as at 31 December 2009 and confirm that our audit revealed no weaknesses or errors which are considered to be material to the accuracy, completeness and validity of the financial statements. In addition to comments on the Trust Fund for Victims' financial performance, our audit report focuses on other areas as follows:

(a) On the online donations facility, it is currently under consideration and we have made recommendations to review the usage of this facility to consider the cost benefits which will be associated with the deductions made from donations. We have also highlighted the need to establish appropriate procedures in advance of the facility being introduced, to ensure that funds are managed in accordance with the Fund's rules and regulations;

(b) On staffing we identified that new planned appointments may reduce the level of financial expertise available to the Fund, and that an assessment should be made to identify the level of required resource to manage expected developments such as online donation and the anticipated initiation of reparations;

(c) On court ordered reparations we identify the need to reappraise the level of reserves set aside for any future reparations and the need to monitor cash flows to ensure that the Fund is able to meet expectations; and

(d) On governance issues we have made recommendations for the Trust Fund to utilize some of the Court's existing governance structures to provide additional support and assurance to the Board of Directors, and we have made recommendations to improve the accountability of the Fund by suggesting that the financial statements should also be signed by the Chair of the Board.

Overall results of the audit

1. We have audited the financial statements of the Trust Fund for Victims in accordance with the Financial Regulations and in compliance with International Standards on Auditing.

2. The audit revealed no weaknesses or errors which we considered material to the accuracy, completeness or validity of the financial statements. In our opinion, these financial statements present fairly, in all material respects, the financial position as at 31 December 2009 and the results of operations and cash flows for the period then ended. They have been prepared in accordance with the United Nations System Accounting Standards (UNSAS) and with the Trust Fund for Victims stated accounting policies. In all material respects, the transactions have been made in accordance with the applicable financial regulations and applied to the purposes intended by legislative authority.

3. The audit included a general review of the Trust Fund for Victims accounting procedures; an assessment of internal controls and such tests of the accounting records and other supporting evidence as we considered necessary in the circumstances. Our audit procedures are designed primarily for the purpose of forming an audit opinion. Consequently, the work did not involve a detailed review of all aspects of the financial information systems, and the results should not be regarded as a comprehensive statement on them. Finally, an examination was carried out to ensure that the financial statements accurately reflected the Fund's accounting records and were fairly presented.

4. The main observations from our audit are set out below and the recommendations are summarized in Annex A. Action taken by management in response to our 2008 recommendations is set out at Annex B.

Financial results

5. The key aspects of the Trust Fund's financial performance in 2009 are as follows;
 - (a) Income for the Trust Fund increased by 15 per cent, with voluntary contributions increasing by 28 per cent from €929,000 to €1.2 million. The increase is primarily as a result of a new earmarked donation received from Denmark of €497,160, for the assistance to victims of sexual violence. Income from interest on cash deposits decreased by 71 per cent from €135,927 to €40,070. This is a result of a change in the International Criminal Court's investment policy in 2009 following the global financial crisis. In 2009 the Court used saving accounts as opposed to time deposits in order to keep funds liquid and this resulted in a significantly lower interest rate yield; Expenditure increased by 25 per cent from €1.1 million to €1.4 million which is a result of increased activities on projects within the Trust Fund as a result of new projects; and
 - (b) The overall fund balance decreased from €3,005,904 to €2,887,733.

Online donations

6. The Trust Fund is still in the processes of developing the online donation facility and we have commented in previous reports regarding the vetting arrangements for donations once this facility is active. The online donation facility will be linked to the Trust Fund's website which has been live since November 2009 and provides information about the Trust Fund, current projects and legislative basis. The website contains a link to make donations which provides details of the Trust Fund's bank accounts to enable donations in euros and US dollars. Once the online donation facility is operational the process to donate will be much simpler.
7. The Trust Fund has already made good progress developing the website which explains the Trust Fund's mission, goals, objectives and sets out the details of projects it currently supports. This type of information is important given the competition with NGOs to secure donations to fund activities. Clear information about the organization and how it uses funds to achieve objectives are important factors in encouraging donors to contribute. It will be important to further develop the site to demonstrate how the Trust Fund's resources have delivered direct benefits and to actively promote the Fund's work.
8. In 2009 less than 2 per cent of voluntary contributions were received as donations from individuals. To date no analysis has been performed by the Trust Fund to establish whether there has been an increase in donations since the introduction of the website in late 2009. Promotion of the website and the online donation facility should be factored into the Trust Fund's fundraising strategy in the future.
9. Most of the Trust Fund's contributions are from Member States while 2 per cent of other donations are from ICC staff members who have established relationships with the Trust Fund. At present therefore, it is not easy to predict the level of donations which might be received via the new website from donors outside the ICC.
10. We understand that the Fund is considering using the PayPal donation mechanism; although no final decision has yet been made. This facility would require the deduction of a fee (a percentage of the donation received) and as a result has an overhead cost, the value of which is dependent on the level of donations made. Depending on the ability of the Fund to attract donations through this source, the Fund should review the cost benefits of establishing its own direct facility, which might have lower overhead costs and encourage more on-going donations. This might best be achieved after a review of the uptake of the PayPal facility, and as part of a wider review of fundraising strategy.
11. In preparation for an online donation facility the Court should establish a procedure for reviewing balances held in any on-line account to ensure they are regularly reconciled and transferred to interest bearing accounts, this will ensure that resources follow the Financial Regulations and Rules in respect of cash balances.

Recommendation 1:

We recommend that in finalizing the online donation mechanisms the Trust Fund considers the cost benefits of an outsourced web donation facility, and that this is actively promoted through a clear fundraising strategy.

Recommendation 2:

We recommend that once a new web facility is brought into use, the Fund establishes clear procedures to ensure that those resources are subject to appropriate controls, in compliance with the Court's Financial Regulations and Rules.

Staffing for the Trust Fund

12. The Executive Director and the Executive Assistant positions at the Trust Fund have been vacant since July and February 2009 respectively, and the Fund is still in the process of recruiting a new Director. While the Director's position has been vacant there has been increased pressure on existing staff members, whose roles are primarily on the operating programme side and not the administration of the Fund. Inevitably this has led to a hiatus in developing the Fund's profile and in actively seeking resources. We understand that a new Director will be in post from 1 September 2010.

13. The Fund has been operating with a P5 Administrative Officer to cover the financial operations for a number of years. We understand that with the appointment of a new Director the administrative post will no longer exist within the Secretariat of the Trust Fund and return to the Immediate Office of the Registrar, with current administrative and financial responsibilities transferring to the new Director and Executive Assistant. It will be important to ensure that the necessary level of financial expertise is not lost with the removal of the P5 administrative role, and that the new Director will have sufficient resources available to cover the necessary financial and administrative functions. The expected growth in voluntary contributions once the full online donation facility is functional, and the expectations that Court reparations will be ordered in the near future, will increase the need to ensure adequate financial management and oversight. Such support might be provided on a part-time basis, to prevent the expense of a full time post.

Recommendation 3:

We recommend that the Trust Fund for Victims should consider the necessary resources required to support the administrative and financial requirements of the Fund as online donations and reparations become more significant over the coming financial periods.

Preparations for Court ordered reparations

14. The Trust Fund was established to fulfil two mandates. These are administering reparations ordered by the Court against a convicted person, and using other resources for the benefit of victims subject to the provisions of article 79 of the Rome Statute. To date the Trust Fund has not been required to fulfil its first mandate of administering reparations (as restitution, compensation and rehabilitation to Victims) ordered by the Court. This is because trial activity only commenced in 2009. However it is now likely that by the end of the year, depending on the outcome of trial proceedings, the first court-ordered reparations may be made.

15. In 2009 the Trust Fund made a reserve for reparations of €1 million, which means that this amount has been retained for the purpose of fulfilling the first mandate and not spent on other projects. The Trust Fund should engage at an early stage with the Court to estimate the likely financial impact of providing reparations and assess whether the current level of reserves will be adequate. There is a risk that the Trust Fund will not set aside a sufficient reserve in order to cover the necessary level of reparations. This is particularly important as the Court has now started other trials and there is a risk that the Court may make orders for other substantial reparations in the future. If the Fund was unable to meet

the obligations placed upon it this would create financial and reputational damage to the Fund.

Recommendation 4:

We recommend that the reserve for reparations is subject to regular and evidenced review and scrutiny to ensure that the level of the reserve is sufficient to cover future anticipated Court ordered reparations.

Recommendation 5:

We further recommend that the Trust Fund develop simple management accounts including a cash flow forecast for review by the Board on regular basis. Information on financial resources for reparations should be shared with the Court on a regular basis. This would ensure that the financial position of the Fund is properly understood.

Governance issues

Audit Committee and risk management

16. The Court is developing governance processes and during 2010 established an Audit Committee. Some progress has been made in respect of the development of risk management processes, although further work remains to be done. In our view it is important that the remit of the Audit Committee and the risk management mechanisms being developed by the Court are extended to cover the Trust Fund for Victims. In particular we would see it as important for the Trust Fund to have its own risk register, to take into consideration the risks around fund raising, meeting the requirement for Court-ordered reparations, and its ability to meet the mandate expectations set by the Rome Statute. Given that the Board does not meet regularly these oversight mechanisms will aid them in discharging their responsibilities.

Presentation of Secretariat costs

17. In last year's report we suggested that performance targets should be set for the Fund and that a report should be included within the financial statements to provide analysis of activities and priorities for the year ahead. Such an accountability document would give extra confidence to donors in respect of the use of their funds, and would help to encourage future potential donors external to the States Parties. We have noted that while some progress has been made by setting performance targets for the Fund in the 2010 Programme Budget, there is still scope for further improvement. Our recommendation from 2009 remains outstanding.

18. The States Parties have provided funding of €1.26 million for the costs of the Trust Fund Secretariat, these costs and associated appropriations are currently contained within the budget and financial statements of the ICC. The Trust Fund Secretariat's costs are substantial when set against its activities and the income arising in respect of the Fund. It would be normal practice for the full costs of the Fund to be fully disclosed within its own financial statements, and for the associated appropriations (currently within the ICC's approved budget) to be shown as income to the Fund. This provides transparency in respect of the costs of its operations and makes it easier for States Parties to fully assess its achievements against the cost of its operations. We would recommend that future budget cycles might make appropriations directly to the Trust Fund for Victims.

Submission of financial statements

19. The Trust Fund financial statements are transmitted by the Registrar and also signed by the ICC Head of Budget and Finance. The Trust Fund operates within a very different governance structure to that of the ICC. Although the Registrar is responsible for the ICC internal financial controls through which the Trust Fund's transactions are processed, it is

the Trust Fund Board of Directors who are accountable for the income and expenditure reported in the financial statements and for the management of the Trust Fund in accordance with the Regulations under which it was established.

20. In order to follow best governance practice we would recommend that future statements should be submitted for certification with the additional signature by a member of the Board of the Trust Fund, in order to ensure appropriate accountability. This will help to ensure that the Board are fully sighted on the Fund's performance and improve the governance arrangements under which the Fund operates.

Recommendation 6:

We recommend that the Audit Committee mandate specifically includes consideration of the Trust Fund for Victims, and that a separate risk register is developed to consider the operational, financial and reputational risks which the Fund faces.

Recommendation 7:

We recommend that the Assembly considers approving the appropriations in respect of the Secretariat of the Trust Fund for Victims directly to the Fund, so that the full income and cost of operations are disclosed within its financial statements.

Recommendation 8:

We recommend that the Board of Directors review the procedure for approval of the Fund's financial statements following their preparation by ICC Budget and Finance Section. We also recommend that the Chair of the Board of Directors sign the financial statements to confirm their acceptance on behalf of the Board.

Acknowledgement

21. We wish to record our appreciation for the co-operation and assistance provided during the audit by the staff of the Trust Fund for Victims.

Amyas C E Morse
Comptroller and Auditor General, United Kingdom
External Auditor

Annex A

Summary of audit recommendations

Recommendation 1:

We recommend that in finalizing the online donation mechanisms the Trust Fund considers the cost benefits of an outsourced web donation facility, and that this is actively promoted through a clear fundraising strategy.

Recommendation 2:

We recommend that once a new web facility is brought into use the Fund establishes clear procedures to ensure that that resources are subject to appropriate controls, in compliance with the Court's Financial Regulations and Rules.

Recommendation 3:

We recommend that the Trust Fund for Victims should consider the necessary resources required to support the administrative and financial requirements of the Fund as online donations and reparations become more significant over the coming financial periods.

Recommendation 4:

We recommend that the reserve for reparations is subject to regular and evidenced review and scrutiny by the Board to ensure that the level of the reserve is sufficient to cover future anticipated Court ordered reparations.

Recommendation 5:

We further recommend that the Trust Fund develop simple management accounts including a cash flow forecast for review by the Board on regular basis. Information on financial resources for reparations should be shared with the Court on a regular basis. This would ensure that the financial position of the Fund is properly understood.

Recommendation 6:

We recommend that the Audit Committee mandate specifically includes consideration of the Trust Fund for Victims, and that a separate risk register is developed to consider the operational, financial and reputational risks which the Fund faces.

Recommendation 7:

We recommend that the Assembly considers approving the appropriations in respect of the Secretariat of the Trust Fund for Victims directly to the Fund, so that the full income and cost of operations are disclosed within its financial statements.

Recommendation 8:

We recommend that the Board of Directors review the procedure for approval of the Fund's financial statements following their preparation by ICC Budget and Finance Section. We also recommend that the Chair of the Board of Directors sign the financial statements to confirm their acceptance on behalf of the Board.

Annex B

Follow up to audit recommendations for 2008

<i>Audit Recommendation</i>	<i>Management Response</i>	<i>External Auditor's Comments</i>
<p>We strongly recommend that as a matter of urgency, the necessary changes are made to the accounting and reporting systems to ensure expenditure relating to ear-marked funding is separately identifiable in order to meet the necessary accountability requirements of the donors.</p> <p>We further recommend that the Trust Fund for Victims makes appropriate audit arrangements for such donations to satisfy the requirements set down by donors for audited information on how their funds are used.</p>	<p>The implementation of this SAP Grants Management system only began in August and is now scheduled to be completed by May 2010. Once this Grants Management system is operational, all earmarked contributions and expenditure of the Trust Fund will be controlled within the SAP system, thus fulfilling the accountability requirements of donors.</p>	<p>We note the comments made and will continue to monitor the progress made.</p>
<p>We recommend that Court management assess whether additional performance targets can now be set in order to evaluate the effectiveness of Fund given the increased level of resources provided by Member States and the increased level of activity being undertaken by the Fund's staff.</p> <p>We also recommend that formal reporting of performance against these set targets is produced so that this information can be presented to Member States. Such reporting could be in the form of management commentary included as a Director's report submitted with the annual financial statements of the Fund.</p>	<p>The proposed programme budget for 2010 included tangible performance indicators and targets as recommended by the External Auditor. The programme budget for 2008, however, was written in early 2007, shortly after the first staff member of the Secretariat had been recruited. As a result, the programme budget for 2008 reflects the fact that in the first few weeks of the existence of the Secretariat it was not possible to set tangible targets and performance indicators. Nevertheless, the Secretariat achieved within its 2008 budget the Court's highest implementation rate.</p>	<p>We note the comments made and will continue to monitor the progress made.</p>
<p>We recommend that a formal evaluation of the level of activity of the Trust Fund is undertaken in relation to the original ASP resolutions so that the outcome of this review can then determine whether it is appropriate for the expenses of the Fund to be paid from the voluntary contributions rather than from the major programme budget of the ICC.</p>	<p>Article 79.1 of the Rome Statue states that a "Trust Fund shall be established by decision of the Assembly of States Parties for the benefit of victims of crimes within the jurisdiction of the Court, and of families of such victims". Victims are defined in rule 85 of the Rules of Procedure and Evidence. Furthermore, rule 98 of the Rules of Procedure and Evidence refers to the individual or collective reparations the Trust Fund has to provide to victims. It is therefore the opinion of the Board that it would be inappropriate to use any voluntary contributions or money or other property collected through fines or forfeiture in accordance with article 79 to cover the costs of the Secretariat (including the costs of Board meetings).</p>	<p>We recommend that the ASP reconsider our 2008 recommendation.</p> <p>We have suggested in our 2009 report that the Assembly considers approving the appropriations in respect of the Secretariat of the Trust Fund for Victims directly to the Fund, so that the full income and cost of operating the Trust Fund are disclosed within its financial statements. This presentation would not result in the use of any voluntary funds to cover secretariat costs.</p>

Statement I

Trust Fund for Victims

Statement of income and expenditure and changes in fund balances for the period ending 31 December 2009 (*in euros*)

	2009	Notes Ref.	2008
Income			
Voluntary contributions	1,188,238	3,4	928,716
Interest income	40,070		135,927
Other/miscellaneous income	-		-
Total income	1,228,308		1,064,643
Expenditure			
Disbursements	945,723	3,5	464,538
Unliquidated obligations	464,933	3,5	663,990
Total expenditure	1,410,656		1,128,528
Excess/(shortfall) of income over expenditure	(182,348)		(63,885)
Savings on, or cancellation of, prior periods obligations	64,460	3,6	18,078
Refund to Donors	(283)	3,7	0
Fund balances at beginning of financial period	3,005,904		3,051,711
Fund balances as at 31 December 2008	2,887,733		3,005,904

Signed: Chief of Budget and Finance Section.....

Statement II

Trust Fund for Victims

Statement of assets, liabilities, reserves and fund balances as at 31 December 2009 (*in euros*)

	2009	Notes Ref.	2008
Assets			
Cash and term deposits	3,459,860		4,009,926
Other accounts receivable	2,054	3,8	73,136
Total assets	3,461,914		4,083,062
Liabilities			
Unliquidated obligations	464,933		663,990
Interfund balances payable	0		413,168
Other accounts payable	109,248	3,9	0
Total liabilities	574,181		1,077,158
Reserves and fund balances			
Cumulative surplus	2,887,733		3,005,904
Total reserves and fund balances	2,887,733		3,005,904
Total liabilities, reserves and fund balances	3,461,914		4,083,062

Signed: Chief of Budget and Finance Section.....

Statement III

Trust Fund for Victims

Statement of cash flow as at 31 December 2009 (*in euros*)

	2009	2008
<i>Cash flows from operating activities</i>		
Net excess/(shortfall) of income over expenditure (Statement I)	(182,348)	(63,885)
Other accounts receivable (increase)/decrease	71,082	(16,467)
Unliquidated obligations increase/(decrease)	(199,057)	625,871
Interfund balances payable increase/(decrease)	(413,168)	409,168
Other accounts payable increase (decrease)	109,248	0
Less: interest income	(40,070)	(135,927)
Net cash from operating activities	(654,313)	818,760
<i>Cash flows from investing and financing activities</i>		
Plus: interest income	40,070	135,927
Net cash from investing and financing activities	40,070	135,927
<i>Cash flows from other sources</i>		
Net increase/(decrease)	64,177	18,078
Net cash from other sources	64,177	18,078
Net increase/(decrease) in cash and term deposits	(550,066)	972,765
Cash and term deposits at beginning of period	4,009,926	3,037,161
Cash and term deposits at 31 December 2009 (Statement II)	3,459,860	4,009,926

Notes to the financial statements of the Trust Fund for Victims

1. The Trust Fund for Victims and its objectives

1.1 The Trust Fund for Victims was established by the Assembly of States Parties, in its resolution ICC-ASP/1/Res.6, for the benefit of victims of crimes within the jurisdiction of the International Criminal Court, and the families of such victims.

1.2 In the annex to that resolution, the Assembly established a Board of Directors, which is responsible for the management of the Trust Fund.

2. Summary of significant accounting and financial reporting policies

2.1 The accounts of the Trust Fund for Victims are maintained in accordance with the Financial Regulations and Rules of the ICC, as established by the Assembly of States Parties in the annex to resolution ICC-ASP/1/Res.6. Therefore, the accounts of the Trust Fund are currently in conformity with the United Nations system accounting standards. These notes form an integral part of the Trust Fund's financial statements.

2.2 **Fund accounting:** the Trust Fund's accounts are maintained on a fund accounting basis.

2.3 **Financial period:** the financial period of the Trust Fund is one calendar year, unless otherwise decided by the Assembly of States Parties.

2.4 **Historical cost basis:** the accounts are prepared on the historical cost basis of accounting and are not adjusted to reflect the effects of changing prices for goods and services.

2.5 **Currency of accounts:** the accounts of the Trust Fund are presented in euros. Accounting records kept in other currencies are converted into euros at the United Nations operational rate of exchange in effect at the date of the financial statement. Transactions in other currencies are converted into euros at the United Nations operational rate of exchange in effect at the date of transaction.

2.6 **Funding:** the Trust Fund for Victims is funded by:

(a) Voluntary contributions from governments, international organizations, individuals, corporations and other entities, in accordance with relevant criteria adopted by the Assembly of States Parties;

(b) Money and other property collected through fines or forfeiture transferred to the Trust Fund if ordered by the ICC pursuant to article 79, paragraph 2, of the Statute;

(c) Resources collected through awards for reparations, if ordered by the ICC pursuant to rule 98 of the Rules of Procedure and Evidence;

(d) Resources as the Assembly of States Parties may decide to allocate.

2.7 **Income:** voluntary contributions are recorded as income at the time that the actual contributions are received from the donors.

2.8 **Cash and term deposits** comprise funds held in interest-bearing bank accounts, time deposits, and call accounts.

3. The Trust Fund for Victims (statements I-III)

3.1 **Statement I** reports the income and expenditure and changes in reserve and fund balances during the financial period. It includes the calculation of the excess of income over expenditure for the current period and prior-period adjustments of income or expenditure.

3.2 **Statement II** shows the assets, liabilities, reserves and fund balances as at 31 December 2009.

3.3 **Statement III** is the cash flow summary statement prepared using the indirect method of International Accounting Standard 7.

3.4 **Voluntary contributions** in the total amount of €1,188,238 were received from governments, individuals, organizations and other entities. In 2009, almost 43 per cent or €509,160 of voluntary contributions accepted by the Trust Fund was earmarked for assistance to victims of gender crimes and sexual violence.

<i>Earmarked contributions</i>	<i>Countries</i>	<i>2009</i>	<i>2008</i>	<i>Total</i>
Contributions received	Denmark	497,160	-	497,160
	Andorra	12,000	12,000	24,000
	Norway	-	191,081	191,081
Total (in euros)		509,160	203,081	712,241
Expenditures	Denmark	295,610	-	295,610
	Andorra	11,114	-	11,114
	Norway	10,401	180,680	191,081
Total (in euros)		317,125	180,680	497,805

3.5 **Expenditures:** the total amount of expenditures of €1,410,656 comprises disbursements of €945,723 and unliquidated obligations of €464,933. Earmarked expenditure also grew significantly during 2009 with €317,125 spent on assistance to victims.

3.6 **Savings on, or cancellation of, prior periods' obligations:** actual disbursements of prior periods' obligations of €663,990 amounted to €599,530 due to savings on or cancellation of obligations in the amount of €64,460.

3.7 **Refund to donors:** the amount of €283 was refunded to donor, being the amount of voluntary contributions received twice by the same donor at the end of 2008.

3.8 **Other accounts receivable** in the amount of €2,054 represent interest earned but not yet received as at 31 December 2009.

3.9 **Other accounts payable** in the amount of €109,248 represent an invoice booked in the accounts including the foreign exchange rate revaluation but not yet paid as at 31 December 2009. This invoice was paid in January 2010.

3.10 **Contributions from the ICC:** in annex 6 of resolution ICC-ASP/1/Res.6, the Assembly of States Parties decided that the Registrar of the Court should be responsible for providing such assistance as is necessary for the proper functioning of the Board of Directors of the Trust Fund in carrying out its tasks, and should participate in the meetings of the Board in an advisory capacity.

In 2009, the Assembly of States Parties approved an appropriation of €1,301,400 for the Secretariat of the Trust Fund for Victims which administers the Trust Fund and offers administrative support to the Board and its meetings. Expenditures recorded in the accounts for the Secretariat during the financial period are €1,263,964.

3.11 Contributions in kind

The following are the significant contributions in kind received by the Trust Fund for Victims during the financial period:

(a) Administrative services are provided by ICC sections mainly by the Budget and Finance Section (accounts preparation, bank reconciliations, recording and payment of invoices), and General Services Section for Procurement (preparation of Purchase Orders).

(b) Other contributions in kind: the Trust Fund for Victims indirectly received around two hundred thirty thousands Euro (equivalent to three hundred and thirty-two thousand USD at 31.12.2009 foreign exchange rate) as matching resources such as services, support provided to the victims by implementing partners in 2009.